



Filing ID #10035246

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Kate Schroder
Status: Congressional Candidate
State/District: OH01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 08/12/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Acorn [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Fidelity Targeted Emerging Markets Factor ETF (FDEM) [ST]		\$1,001 - \$15,000	None		
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Fidelity Targeted International Factor ETF (FDEV) [ST]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Fidelity Total Bond ETF (FBND) [ST]		\$1,001 - \$15,000	None		
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vanguard Intm BD Indx [CS]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
DESCRIPTION: Bond asset class portion of Fidelity 401k					
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vanguard S&P Mid-Cap 400 ETF (IVOO) [ST]		\$1 - \$1,000	None		
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vanguard Small-Cap ETF (VB) [ST]		\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vanguard St. INV GR ADM [CS]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
DESCRIPTION: Bond asset class portion of Fidelity 401k					
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vanguard Target Ret 2040 [CS]		\$100,001 - \$250,000	None		
DESCRIPTION: Bond asset class portion of Fidelity 401k					
Citibank checking account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Citibank Savings Account [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
City of Cincinnati Retirement System [PE]	SP	\$100,001 - \$250,000	Tax-Deferred		
Congressional Federal Credit Union [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Home Residence [RP]	JT	\$250,001 - \$500,000	None		
LOCATION: Cincinnati, OH, US					
JAJ 529 Education Account [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		
LOCATION: OH					
Life Insurance JAJ [WU]	DC	\$1,001 - \$15,000	None		
Life Insurance JSJ [WU]	SP	\$15,001 - \$50,000	None		
Life Insurance PJJ [WU]	DC	\$1,001 - \$15,000	None		
Merrill Edge ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$1,001 - \$15,000	None		
Merrill Edge ⇒ Fiserv, Inc. (FISV) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Healthpeak Properties, Inc. (PEAK) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Netflix, Inc. (NFLX) [ST]	SP	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Edge ⇒ Nexstar Media Group, Inc. - Class A (NXST) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Quotient Technology Inc. (QUOT) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Sage Therapeutics, Inc. (SAGE) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Stitch Fix, Inc. - Class A (SFIX) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ T. Rowe Price Group, Inc. (TROW) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Edge ⇒ Tilray, Inc. - Class 2 (TLRY) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Twitter, Inc. (TWTR) [ST]	SP	\$1 - \$1,000	None		
Merrill Edge ⇒ Visa Inc. (V) [ST]	SP	\$1 - \$1,000	Capital Gains	\$1 - \$200	\$1 - \$200
PJJ 529 Account [5P] LOCATION: OH	DC	\$15,001 - \$50,000	Tax-Deferred		
Robinhood Invesment ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Robinhood Invesment ⇒ Applied Materials, Inc. (AMAT) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Robinhood Invesment ⇒ Arista Networks, Inc. (ANET) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ Canopy Growth Corporation (CGC) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Costco Wholesale Corporation (COST) [ST]					
Robinhood Invesment ⇒ CrowdStrike Holdings, Inc. - Class A (CRWD) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ DraftKings Inc. - Class A (DKNG) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ First Solar, Inc. (FSLR) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ Fitbit, Inc. Class A (FIT) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ General Electric Company (GE) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ Gilead Sciences, Inc. (GILD) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Robinhood Invesment ⇒ Hertz Global Holdings, Inc (HTZ) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ Ingevity Corporation (NGVT) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ Invesco Solar ETF (TAN) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Robinhood Invesment ⇒ Kroger Company (KR) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Robinhood Invesment ⇒ Moderna, Inc. (MRNA) [ST]	SP	\$1 - \$1,000	None		
Robinhood Invesment ⇒ Netflix, Inc. (NFLX) [ST]	SP	\$1,001 - \$15,000	None		
Robinhood Invesment ⇒ New York Times Company (NYT) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Robinhood Invesment ⇒ Procter & Gamble Company (PG) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Robinhood Investment ⇒ Slack Technologies, Inc. Class A (WORK) [ST]	SP	\$1 - \$1,000	None		
Robinhood Investment ⇒ Tesla, Inc. (TSLA) [ST]	SP	\$1,001 - \$15,000	None		
Robinhood Investment ⇒ Tupperware Brands Corporation (TUP) [ST]	SP	\$1 - \$1,000	None		
Robinhood Investment ⇒ Twitter, Inc. (TWTR) [ST]	SP	\$1 - \$1,000	None		
Robinhood Investment ⇒ Walt Disney Company (DIS) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Robinhood Investment ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]	SP	\$1,001 - \$15,000	None		
Rollover IRA [IH]		\$1,001 - \$15,000	Tax-Deferred		
USAA Checking Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
USAA Roth IRA [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
USAA Savings Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
City of Cincinnati	Spouse Salary	\$90,851.00	\$162,535.00
Rocky Mountain Institute	Spouse Salary	\$9,000.00	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Navient	May 2004	Student Loan	\$15,001 - \$50,000
	American Education Services	May 2002	Student Loan	\$10,000 - \$15,000
JT	USAA	2012	Home mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member of Board of Health	Cincinnati Board of Health
Employee	Clinton Health Access Initiative, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- CHAI, Inc. 401(k) Plan at Fidelity - Merrill Edge (Owner: SP) LOCATION: US - Robinhood Investment (Owner: SP) LOCATION: US
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Kate Schroder , 08/12/2020