



Filing ID #10035085

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Dan Schwartz
Status: Congressional Candidate
State/District: NV03

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 06/12/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	None
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]		\$100,001 - \$250,000	None		
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$100,001 - \$250,000	None		
Altice USA, Inc. Class A (ATUS) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Amazon.com, Inc. (AMZN) [ST]		\$100,001 - \$250,000	Capital Gains	\$100,001 - \$1,000,000	None
Anheuser-Busch Inbev SA Sponsored ADR (BUD) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	\$201 - \$1,000
Apartment [RP]		\$500,001 - \$1,000,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: New York, NY, US					
Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Apple Inc. (AAPL) [ST]		\$250,001 - \$500,000	Capital Gains	\$100,001 - \$1,000,000	\$201 - \$1,000
Ascent Solar Technologies, Inc. (ASTI) [ST]		\$1 - \$1,000	None		
Australian Dollar Currency ⇒ Australian Dollar Currency [OT]		\$15,001 - \$50,000	None		
DESCRIPTION: Australian Dollar currency					
Boeing Company (BA) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Boyd Gaming Corporation (BYD) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Bristol-Myers Squibb Company (BMY) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	\$201 - \$1,000
Carsales.com Ltd Unsponsored ADR (CSXXY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Cisco Systems, Inc. (CSCO) [ST]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Cisco Systems, Inc. (CSCO) [ST]		\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000	\$5,001 - \$15,000
Citibank Checking [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Citibank Pref Money Market [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Citigroup, Inc. (C) [ST]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	\$50,001 - \$100,000
Citigroup, Inc. (C) [ST]		\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Coller Private Equity V [HE]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	\$2,501 - \$5,000
Condo apartment [RP]		\$500,001 - \$1,000,000	None		
LOCATION: Las Vegas, NV, US					
Coupa Software Incorporated (COUP) [ST]		\$50,001 - \$100,000	None		
CVS Health Corporation (CVS) [ST]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	\$201 - \$1,000
Deere & Company (DE) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	\$201 - \$1,000
Digital Currency Group [PS]		\$1,000,001 - \$5,000,000	Redemption	\$100,001 - \$1,000,000	None
DESCRIPTION: Bitcoin trading platform					
DXC Technology Company (DXC) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	\$1,001 - \$2,500
Facebook, Inc. - Class A (FB) [ST]		\$1,000,001 - \$5,000,000	None		
Flight Centre Ltd Ordinary Shares (FGETF) [ST]		\$1,001 - \$15,000	None		
Ford Motor Company (F) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Franklin Floating Rate Fund [MF]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	\$5,001 - \$15,000
Frontier Communications [CS]		\$15,001 - \$50,000	Interest	None	\$5,001 - \$15,000
DESCRIPTION: Filed for bankruptcy in 4/2020					
General Motors Company (GM) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Gilead Sciences, Inc. (GILD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Gilead Sciences, Inc. (GILD) [ST]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	\$1,001 - \$2,500
GoPro, Inc. - Class A (GPRO) [ST]		\$1,001 - \$15,000	None		
Groupon, Inc. (GRPN) [ST]		\$1,001 - \$15,000	None		
Henkel AG & CO KGAA Inhaber - Vorzugsaktien O.ST.O.N. (HENOF) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	\$1,001 - \$2,500
Hewlett Packard Enterprise Company (HPE) [ST]		\$50,001 - \$100,000	Capital Gains	\$50,001 - \$100,000	\$1,001 - \$2,500
House [RP]		\$1,000,001 - \$5,000,000	None		
LOCATION: Marin County, CA, US					
HP Inc. (HPQ) [ST]		\$100,001 - \$250,000	Capital Gains	\$100,001 - \$1,000,000	\$1,001 - \$2,500
HSBC GIF Indian Equity [MF]		\$50,001 - \$100,000	None		
HSBC Savings [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Intel Corporation (INTC) [ST]		\$250,001 - \$500,000	Capital Gains	\$100,001 - \$1,000,000	\$5,001 - \$15,000
Intel Corporation (INTC) [ST]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
ishares Gold Trust (IAU) [ST]		\$50,001 - \$100,000	None		
iShares plc shares FTSE/Xinhua [EF]		\$50,001 - \$100,000	None		
Kering SA Ordinary Shares (PPRUF) [ST]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Kering SA Ordinary Shares (PPRUF) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	\$1,001 - \$2,500
Lake Mountain Mining LLC, 2% Interest [OL] LOCATION: Reno, NV, US DESCRIPTION: Gold mining company NV		\$250,001 - \$500,000	None		
Landemier & Busse, 5% Interest [OL] LOCATION: Cook County, IL, US DESCRIPTION: LP owns Holiday Inn-Elk Grove IL		\$100,001 - \$250,000	None		
Lord Abbett Ultra Short Bond Fund [MF]		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	\$5,001 - \$15,000
LVMH Moet Hennessy Louis Vuitton Ordinary Shares (LVMHF) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
MFS Emerging Markets Debt Fund [MF]		\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	\$15,001 - \$50,000
MFS Meridian European Research [MF]		\$1,000,001 - \$5,000,000	None		
MFS Meridian U.K. Equity Fund [MF]		\$250,001 - \$500,000	None		
MFS US Total Return [MF]		\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000	None
Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Novartis AG (NVS) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	\$201 - \$1,000
NVIDIA Corporation (NVDA) [ST]		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Perspecta Inc. (PRSP) [ST]		\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000	\$1 - \$200
Polen Growth Fund Institutional Class [MF]		\$100,001 - \$250,000	None		
Polen Growth Fund Institutional Class [MF]		\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000	\$5,001 - \$15,000
Pro Invest Aust Opportunity Offshore [PS]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
DESCRIPTION: Australian LP investing in Holiday Inns					
Qiosk.com Corp [PS]		\$100,001 - \$250,000	None		
DESCRIPTION: Receiving payment for sale of assets					
Reata Pharmaceuticals, Inc. - Class A (RETA) [ST]		\$50,001 - \$100,000	Dividends	None	None
Roku, Inc. - Class A (ROKU) [ST]		\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000	None
SPDR S&P 500 [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Starbucks Corporation (SBUX) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Take-Two Interactive Software, Inc. (TTWO) [ST]		\$50,001 - \$100,000	None		
The Blackstone Group Inc. Class A (BX) [ST]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	\$1,001 - \$2,500
Tilray, Inc. - Class 2 (TLRY) [ST]		\$15,001 - \$50,000	None		
TJX Companies, Inc. (TJX) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	\$201 - \$1,000
Twitter, Inc. (TWTR) [ST]		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$250,001 - \$500,000	Capital Gains	\$100,001 - \$1,000,000	\$201 - \$1,000
Visa Inc. (V) [ST]		\$50,001 - \$100,000	Capital Gains	\$50,001 - \$100,000	\$201 - \$1,000
Visa Inc. (V) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Vmware, Inc. Common stock, Class A (VMW) [ST]		\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000	None
Walmart Inc. (WMT) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	\$1,001 - \$2,500
Walmart Inc. (WMT) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Western Asset Govt Money Market [MF]		\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	\$201 - \$1,000

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Australian Dollar Currency

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Dan Schwartz , 06/12/2020