



Filing ID #10035059

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Sean J. McCaffity
Status: Congressional Candidate
State/District: TX03

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 05/14/2020
Period Covered: 01/01/2019– 05/15/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank Account #1 [BA]	JT	\$15,001 - \$50,000	Interest	None	\$1 - \$200
Bank Account #2 [BA]	JT	\$15,001 - \$50,000	Interest	None	\$1 - \$200
College Savings Plan ⇒ Growth Fund of America 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
College Savings Plan ⇒ Growth Fund of America 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
College Savings Plan ⇒ Growth Fund of America 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
College Savings Plan ⇒ Investment Co. of America 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
College Savings Plan ⇒ Investment Co. of America 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
College Savings Plan ⇒	DC	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Investment Co. of America 529A [MF]					
College Savings Plan ⇒ New Perspective Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
College Savings Plan ⇒ New Perspective Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
College Savings Plan ⇒ New Perspective Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
Sean J McCaffity, PLLC ⇒ Sean J McCaffity, PLLC, 100% Interest [OL]		\$500,001 - \$1,000,000	Partnership Income	\$15,001 - \$50,000	\$100,001 - \$1,000,000
LOCATION: Dallas, TX, US DESCRIPTION: See Schedule C Earned Income for actively engaged business					
Sean J McCaffity, PLLC ⇒ Sommerman, McCaffity, Quesada & Geisler, LLP, 33% Interest [OL]		\$500,001 - \$1,000,000	Partnership Income	None	\$100,001 - \$1,000,000
LOCATION: Dallas, TX, US DESCRIPTION: Law firm partnership interest held by Sean J McCaffity, PLLC					
SEP IRA ⇒ Amcap CL A Fund (AMCPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
SEP IRA ⇒ American High Income Fund (AHITX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
SEP IRA ⇒ Capital Income Builder Fund (CAIBX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
SEP IRA ⇒ Fundamental Investors CL A Fund (ANCFX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
SEP IRA ⇒ Growth Fund of America (AGTHX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
SEP IRA ⇒ Growth Fund of America (AGTHX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Simple IRA ⇒ American Growth & Income (GAIOX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Baron Partners Fund [MF]					
SMQG, LLP 401k Plan ⇒ BNY Mellon Global Fixed Income Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ Causeway International Value Institutional Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ DFA US Small Cap Value I [MF]		\$15,001 - \$50,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ Dodge & Cox Stock [MF]		\$15,001 - \$50,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ Doubleline Total Return Bond I [MF]		\$15,001 - \$50,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ Fidelity Adv New Insights [MF]		\$15,001 - \$50,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ Vanguard Emerging Markets Stock Index [MF]		\$1,001 - \$15,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ Vanguard Mid Cap Index [MF]		\$15,001 - \$50,000	Tax-Deferred		
SMQG, LLP 401k Plan ⇒ Vanguard Small Cap Growth Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
Vocable Communications, LLC, 3% Interest [OL]		\$1 - \$1,000	Partnership Income	None	\$201 - \$1,000
LOCATION: Hillsborough, NC, US					
DESCRIPTION: Communications consulting firm					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Sean J McCaffity, PLLC	Salary	\$36,000.00	\$144,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	EFS (Nelnet servicer)	2001	Consolidated Student Loans	\$15,001 - \$50,000
	Citibank	2019	Revolving credit charge account	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Vocable Communications, LLC
President and Owner	Sean J McCaffity, PLLC
Officer	Wolf Creek Homeowners Association

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- College Savings Plan (Owner: DC) LOCATION: TX - College Savings Plan (Owner: DC) LOCATION: TX - College Savings Plan (Owner: DC) LOCATION: TX - Sean J McCaffity, PLLC (100% Interest) LOCATION: Dallas, TX, US DESCRIPTION: Professional limited liability company performing legal services - SEP IRA - SEP IRA (Owner: SP) - Simple IRA (Owner: SP) - SMQG, LLP 401k Plan
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sean J. McCaffity , 05/14/2020