



Filing ID #10034709

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Roger Polack
Status: Congressional Candidate
State/District: WI01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2020
Filing Date: 09/17/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Align Technology, Inc. (ALGN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		
Chase Bank Checking Acct [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Covington & Burling Retirement Savings Plan ⇒ Vanguard Extended Market Index Instl (VIEIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Covington & Burling Retirement Savings Plan ⇒ Vanguard Wellington Admiral (VWENX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Fidelity 500 Index Fund (FXAIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Fidelity Emerging Markets (FEMKX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity MMKT Premium Class (FZDXX)	SP	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
[MF]					
Fidelity Total Intl Index Fund (FTIHX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Fidelity Total Market Index Fund (FSKAX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		
Fidelity Total Market Index Fund (FSKAX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Fidelity Total Market Index Fund (FSKAX) [MF]		\$15,001 - \$50,000	None		
Fidelity US Bond Index Fund (FXNAX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Globalstar, Inc. (GSAT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Nanoton, Inc. [CS]	SP	\$50,001 - \$100,000	None		
DESCRIPTION: Holder of convertible note in Nanoton, Inc., One International Place Suite 1400, Boston, MA 02110					
Racine Angels 1, LLC [CS]	SP	\$15,001 - \$50,000	None		
DESCRIPTION: Investment club with interest in Brightlamp LLC and SpeechVive LLC, both Purdue University-affiliated medical technology companies.					
Racine Medical Investors, LLC [CS]	SP	\$50,001 - \$100,000	None		
DESCRIPTION: Investment club with interest in Spaulding Medical LLC, 525 S Silverbrook Dr, West Bend, WI 53095					
Rental Property [RP]	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	\$50,001 - \$100,000
LOCATION: Washington , DC, US					
USAA Checking [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Checking Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Checking Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Covington & Burling LLC	Self Salary	\$14,839.98	\$228,121.87
Self-employed practicing orthodontist	Spouse Self-employment Income	N/A	N/A
COMMENTS: Income amount over \$1,000			

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	SoFi/Mohela	August 2013	Student Loan Debt	\$10,000 - \$15,000
SP	American Education Services	August 2004	Student Loan Debt	\$15,001 - \$50,000
JT	US Bank	May 2013	Mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Roger for Wisconsin

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2007	Federal Government - Thrift Savings Plan	Continuing Participation in an Employee Benefit Plan
March 2017	Covington & Burling	Continuing Participation in an Employee Benefit Plan

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

◦ Covington & Burling Retirement Savings Plan

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Roger Polack , 09/17/2020