LEGISLATIVE RESOURCE CENTER
2019 JUN 27 PM 1:22
(Office Use Only)

| UNITED STATES HOUSE OF REPRESENTATIVES<br>FINANCIAL DISCLOSURE STATEMENT | FORM B<br>For New Members, Candidates, and New Employees |
|---|---|
| Name: Robert A. Wieckowski | Daytime Telephone: |

| FILER STATUS | | |
|---|---|---|
| | [X] New Member of or Candidate for U.S. House of Representatives — State: CA District: 15<br>Candidates – Date of Election: March 3, 2020 (Primary) | [ ] Check if Amendment |
| | [ ] New Officer or Employee<br>Employing Office: ______ Staff Filer Type (If Applicable): Shared [ ] Principal Assistant [ ] | Period Covered: January 1, 2018 to June 2, 2019 |

**A $200 penalty shall be assessed against any individual who files more than 30 days late.**

## PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | Yes | No | | Yes | No |
|---|---|---|---|---|---|
| **A.** Did you, your spouse, or your dependent child:<br>a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? **or**<br>b. Receive more than $200 in unearned income from any reportable asset during the reporting period? | X | | **E.** Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | X | |
| **C.** Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period? | X | | **F.** Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | X | |
| **D.** Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period? | X | | **J.** Did you receive compensation of more than $5,000 from a single source in the current year and two prior years? | X | |

**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**

**THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE**

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

| | Yes | No |
|---|---|---|
| **TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? | | X |
| **EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | | X |

# SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: ROBERT A. WIECKOWSKI

Page 2 of 7

**BLOCK A — Assets and/or Income Sources**

Identify (a) each asset held for investment or production of income and with a fair market value *exceeding $1,000 at the end of the reporting period*, and (b) any other reportable asset or source of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

**For all IRAs** and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

**Exclude:** Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

**BLOCK B — Value of Asset**

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please *specify the method used.*

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

**BLOCK C — Type of Income**

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

**BLOCK D — Amount of Income**

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

| SP, DC, JT | Asset | EIF | A None | B $1-$1,000 | C $1,001-$15,000 | D $15,001-$50,000 | E $50,001-$100,000 | F $100,001-$250,000 | G $250,001-$500,000 | H $500,001-$1,000,000 | I $1,000,001-$5,000,000 | J $5,000,001-$25,000,000 | K $25,000,001-$50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | Current Year I None | Current Year II $1-$200 | Current Year III $201-$1,000 | Current Year IV $1,001-$2,500 | Current Year V $2,501-$5,000 | Current Year VI $5,001-$15,000 | Current Year VII $15,001-$50,000 | Current Year VIII $50,001-$100,000 | Current Year IX $100,001-$1,000,000 | Current Year X $1,000,001-$5,000,000 | Current Year XI Over $5,000,000 | Current Year XII Spouse/DC Income over $1,000,000* | Preceding Year I None | Preceding Year II $1-$200 | Preceding Year III $201-$1,000 | Preceding Year IV $1,001-$2,500 | Preceding Year V $2,501-$5,000 | Preceding Year VI $5,001-$15,000 | Preceding Year VII $15,001-$50,000 | Preceding Year VIII $50,001-$100,000 | Preceding Year IX $100,001-$1,000,000 | Preceding Year X $1,000,001-$5,000,000 | Preceding Year XI Over $5,000,000 | Preceding Year XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples: | Mega Corp Stock | | | | | | x | | | | | | | | | | x | | | | | | | | x | | | | | | | | | | | | | x | | | | | | | | | |
| Examples: | Simon & Schuster | | | | Indefinite | | | | | | | | | | | | | | | | | | Royalties | | | | | x | | | | | | | | | | | | | x | | | | | | |
| Examples: | ABC Hedge Fund | x | | | | | | | x | | | | | | | | | | | | | | Partnership Income | | | | | | x | | | | | | | | | | | | | x | | | | | |
| | LAW OFFICE OF R WIECKOWSKI | | | | X | | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | X | | | | | | |
| | STATE OF CA. 459 FUND | | | | | | | X | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | X | | | | | | | | | | | |
| | STATE OF CA 401 FUND | | | | | | | X | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | X | | | | | | | | | | | |
| SP | FORMER RENTAL HOUSE | | X | | | | | | | | | | | | | X | | | | | | | | | | | X | | | X | | | | | | X | | | | | | | | | | | |

Use additional sheets if more space is required.

FREMONT, CA SOLD 6/18

# SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: ROBERT A. WIECKOWSKI

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**BLOCK A – Assets and/or Income Sources; BLOCK B – Value of Asset**

| SP, DC, JT | ASSET NAME | EIF | A None | B $1-$1,000 | C $1,001-$15,000 | D $15,001-$50,000 | E $50,001-$100,000 | F $100,001-$250,000 | G $250,001-$500,000 | H $500,001-$1,000,000 | I $1,000,001-$5,000,000 | J $5,000,001-$25,000,000 | K $25,000,001-$50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SP | CALSTRS RETIREMENT | | | | | | | X | | X | | | | | |
| JT | FISHER INVESTMENT | | | | | | | | | X | | | | | |
| | STOCK PORTFOLIO | | | | | | | | | | | | | | |
| | FROM LIQUIDATION OF | | | | | | | | | | | | | | |
| | W's RENTAL HOUSE + | | | | | | | | | | | | | | |
| | INSURANCE (NOTE A) | | | | | | | | | | | | | | |
| DC | AMERITRADE STOCK | | | | | | | X | | | | | | | |
| | & CANDIDATES 401K | | | | | | | | | | | | | | |
| | TRANSFERED 11/30/18 | | | | | | | | | | | | | | |
| SP | AMERICA FUNDS (W) | | | | | | | | | | | | | | |
| | LIQUIDATED 11/30/18 | | | | | | | | | | | | | | |
| | ~~[illegible]~~ (NOTE 'B') | | | | | | | | | | | | | | |
| SP | Checking w/ Wells Fargo | | | | | | X | | | | | | | | |
| JT | Checking w/ Bank of the West | | | | X | | | | | | | | | | |
| DC | Checking at Bank of [illegible] | | | | X | | | | | | | | | | |
| DC | checking & saving Provident CU | | | | X | | | | | | | | | | |
| DC | checking & saving Federal CU | | | | X | | | | | | | | | | |

**BLOCK C – Type of Income**

| ASSET NAME | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) |
|---|---|---|---|---|---|---|---|---|
| CALSTRS RETIREMENT | X | | | | | | X | |
| FISHER INVESTMENT | | X | | | | | | |
| AMERITRADE STOCK | | | | | | | X | |
| Checking w/ Wells Fargo | X | | | | | | | |
| Checking w/ Bank of the West | X | | | | | | | |
| Checking at Bank of [illegible] | X | | | | | | | |
| checking & saving Provident CU | X | | | | | | | |
| checking & saving Federal CU | X | | | | | | | |

**BLOCK D – Amount of Income – Current Year**

| ASSET NAME | I None | II $1-$200 | III $201-$1,000 | IV $1,001-$2,500 | V $2,501-$5,000 | VI $5,001-$15,000 | VII $15,001-$50,000 | VIII $50,001-$100,000 | IX $100,001-$1,000,000 | X $1,000,001-$5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CALSTRS RETIREMENT | X | | | | | | | | | | | |
| FISHER INVESTMENT | | | | | | | X | | | | | |
| AMERITRADE STOCK | | | | | | | X | | | | | |
| Checking w/ Wells Fargo | X | | | | | | | | | | | |
| Checking w/ Bank of the West | X | | | | | | | | | | | |
| Checking at Bank of [illegible] | X | | | | | | | | | | | |
| checking & saving Provident CU | X | | | | | | | | | | | |
| checking & saving Federal CU | X | | | | | | | | | | | |

**BLOCK D – Amount of Income – Preceding Year**

| ASSET NAME | I None | II $1-$200 | III $201-$1,000 | IV $1,001-$2,500 | V $2,501-$5,000 | VI $5,001-$15,000 | VII $15,001-$50,000 | VIII $50,001-$100,000 | IX $100,001-$1,000,000 | X $1,000,001-$5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CALSTRS RETIREMENT | X | | | | | | | | | | | |
| FISHER INVESTMENT | X | | | | | | | | | | | |
| AMERITRADE STOCK | | | | | | X | | | | | | |
| Checking w/ Wells Fargo | X | | | | | | | | | | | |
| Checking w/ Bank of the West | X | | | | | | | | | | | |
| Checking at Bank of [illegible] | X | | | | | | | | | | | |
| checking & saving Provident CU | X | | | | | | | | | | | |
| checking & saving Federal CU | X | | | | | | | | | | | |

Use additional sheets if more space is required.

## SCHEDULE C – EARNED INCOME

Name: ROBERT A. WIECKOWSKI | Page 4 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding $1,000. See examples below.

**EXCLUDE**: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS and PROHIBITED INCOME**: Be advised that the outside earned income limit and prohibitions on types of income may apply to you after you are on House payroll. The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $28,050. The 2019 limit is $28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

| Source (include date of receipt for honoraria) | | Type | Amount | |
|---|---|---|---|---|
| | | | Current Year to Filing | Preceding Year |
| Examples: | ABC Trade Association, Baltimore, MD (July 15) | Honorarium | $0 | $500 |
| | State of Maryland | Salary | $20,000 | $76,000 |
| | Civil War Roundtable (Oct. 2) | Spouse Speech | $0 | $1,000 |
| | Ontario County Board of Education | Spouse Salary | N/A | N/A |
| STATE OF CALIFORNIA | | SALARY | 35,000 | $70,103 |
| FREMONT UNIFIED SCHOOL DISTRICT | | SPOUSE SALARY | 75,000 | $134,067 |
| SPOUSE'S RENTAL INCOME FROM FORMER | | SPOUSE RENT | 0 | $22,500 |
| HOUSE LOCATED IN FREMONT, CA | | | | |
| CALIF. PUBLIC EMPLOYEE RETIREMENT | | CANDIDATE RETIREMENT | $1224 | 2377 |
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Use additional sheets if more space is required.

## SCHEDULE D – LIABILITIES

Name: ROBERT A. WIECKOWSKI | Page 5 of 7

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period**. **New Members**: *Members are required to report all liabilities secured by real property including mortgages on their personal residence.* **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor | Date Liability Incurred MO/YR | Type of Liability | A $10,001-$15,000 | B $15,001-$50,000 | C $50,001-$100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Over $1,000,000* (Spouse/DC Liability) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | *Example* First Bank of Wilmington, DE | 5/16 | Mortgage on Rental Property, Dover, DE | | | | X | | | | | | | |
| JT | NATIONWIDE MORTGAGE | 3/15 | MORTGAGE | | | | X | | | | | | | |
| DC | BANK OF THE WEST | 2/12 | BUSINESS LINE OF CREDIT | | X | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
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## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

| Position | Name of Organization |
|---|---|
| NONE | |
| | |
| | |
| | |
| | |

Use additional sheets if more space is required.

## SCHEDULE F – AGREEMENTS

Name: ROBERT A. WIECKOWSKI | 

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|---|---|---|
| | NONE | |
| | | |
| | | |
| | | |
| | | |
| | | |

## SCHEDULE J – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and **two** prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than $5,000. **Exclude**: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

| Source (Name and City/State) | Brief Description of Duties |
|---|---|
| *Example:* Doe Jones & Smith, Hometown, Homestate | Accounting Services |
| NONE | |
| | |
| | |
| | |
| | |
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| | |

**Use additional sheets if more space is required.**

## FILER NOTES
(Optional)

Name: ROBERT A. WIECKOWSKI | Page 7 of 7

| NOTE NUMBER | NOTES |
|---|---|
| A | STOCK PORTFOLIO FROM FISHER INVESTMENTS FOR CANDIDATE + SPOUSE |
| B | STOCK PORTFOLIO FROM FISHER NVESTMENT FOR CANDIDATE ONLY |
| | |
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Use additional sheets if more space is required.

"A"

# 4th Quarter 2018 Portfolio Analysis

As of December 31, 2018

Mr. Robert A. Wieckowski and Mrs. Sue
F. Lemke

Fremont, CA 94538-2609

For the Following Accounts:

Susan F. Lemke and Robert A. Wieckowski JTWROS (XXX-XXX )
Robert A. Wieckowski IRA (XXX-XXX)

## Asset Allocation

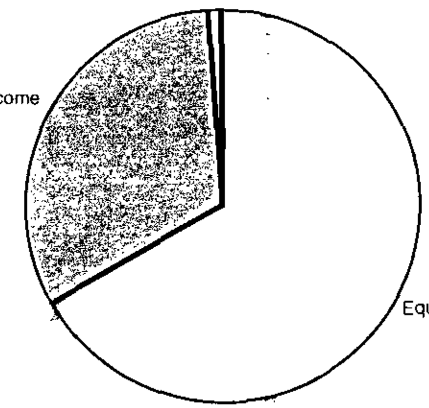
Our research shows that asset allocation is the single most important determinant of long-term relative portfolio performance. At the highest level, we gather a wide range of data inputs that aid both qualitative and quantitative application of our proprietary capital markets technology. Unique analysis of current market conditions, history, and behavioral factors enable us to make dynamic tactical asset allocation decisions -what mix of stocks, bonds, and cash to own at any particular time.

Please refer to the disclosures at the end of the statement.

## Portfolio Summary

| | Market Value | Percent of Portfolio |
|---|---|---|
| Equities | $493,596.83 | 66.72 % |
| Fixed Income | $238,324.58 | 32.21 % |
| Cash and Cash Equivalents | $7,919.58 | 1.07 % |
| **Total Portfolio** | **$739,840.99** | **100.00 %** |

## Portfolio Summary




## Portfolio Changes

| | |
|---|---|
| Market Value at 11/7/2018 | $500,000.00 |
| **Market Value at 12/31/2018** | **$739,840.99** |

FISHER INVESTMENTS™
PRIVATE CLIENT GROUP

# Portfolio Objectives

On behalf of the Investment Policy Committee, we are pleased to present this confirmation of the current portfolio objective and cash flow needs of your portfolio based on the information you provided to us. We realize your needs may change over time, and we welcome the opportunity to discuss how these changes may affect your portfolio. By maintaining an open dialogue with you, we are better able to communicate how we can help you reach your investment objectives.

Please refer to the disclosures at the end of the statement.

## *Susan F. Lemke and Robert A. Wieckowski JTWROS (*

### *Objectives & Time Horizon*

**Portfolio Objective:** Target some growth without the risks typically associated with 100% equity exposure.

**Time Horizon for Assets:** 35+ Years

### *Annual Cash Flow Need*

| *Year* | *Cash Flow Amount* |
|---|---|
| 2018 - 2052 | $0.00 |

## *Robert A. Wieckowski IRA (*

### *Objectives & Time Horizon*

**Portfolio Objective:** Target some growth without the risks typically associated with 100% equity exposure.

**Time Horizon for Assets:** 35+ Years

### *Annual Cash Flow Need*

| *Year* | *Cash Flow Amount* |
|---|---|
| 2018 - 2052 | $0.00 |

## Sub-Asset Allocation

A significant but secondary force driving relative returns over time in our view is sub-asset allocation. How we weight country, sector, and style factors depends on a multitude of indicators or portfolio drivers. Economic drivers include factors such as monetary policy, the shapes of yield curves, and relative expected economic growth. Political drivers include topics such as changes in tax codes, governmental stability, and regulatory and structural changes. Sentiment drivers include measures of consensus thinking to identify the relative popularity and perceptions of investment categories.

### Equity Only Sector Allocation

| Sector | Underweight / Overweight | Your Portfolio % | Your Benchmark % |
|---|---|---|---|
| Miscellaneous | 12.98 % | 12.98 | 0.00 |
| Information Technology | 7.52 % | 22.45 | 14.93 |
| Health Care | 1.33 % | 14.69 | 13.36 |
| Energy | 0.69 % | 6.64 | 5.95 |
| Consumer Staples | 0.62 % | 9.35 | 8.73 |
| Materials | -1.13 % | 3.49 | 4.62 |
| Consumer Discretionary | -1.98 % | 8.39 | 10.37 |
| Communication Services | -2.35 % | 5.94 | 8.29 |
| Real Estate | -3.20 % | 0.00 | 3.20 |
| Utilities | -3.44 % | 0.00 | 3.44 |
| Financials | -4.92 % | 11.29 | 16.21 |
| Industrials | -6.12 % | 4.78 | 10.90 |

Please refer to the disclosures at the end of the statement.

# Equity Only Country Allocation

| Country | Underweight / Overweight | Your Portfolio % | Your Benchmark % |
|---|---|---|---|
| Global | 5.19 % | 5.19 | 0.00 |
| France | 4.96 % | 8.84 | 3.88 |
| China | 3.03 % | 3.03 | 0.00 |
| Europe | 2.47 % | 2.47 | 0.00 |
| Denmark | 1.92 % | 2.53 | 0.61 |
| United Kingdom | 1.81 % | 7.73 | 5.92 |
| Belgium | 0.57 % | 0.90 | 0.33 |
| Taiwan | 0.54 % | 0.54 | 0.00 |
| Spain | 0.49 % | 1.57 | 1.08 |
| Netherlands | 0.40 % | 1.60 | 1.20 |
| Switzerland | 0.34 % | 3.36 | 3.02 |
| Germany | 0.25 % | 3.33 | 3.08 |
| Portugal | -0.06 % | 0.00 | 0.06 |
| New Zealand | -0.08 % | 0.00 | 0.08 |
| Austria | -0.08 % | 0.00 | 0.08 |
| Italy | -0.19 % | 0.60 | 0.79 |
| Israel | -0.19 % | 0.00 | 0.19 |
| Ireland | -0.19 % | 0.00 | 0.19 |
| Norway | -0.26 % | 0.00 | 0.26 |
| Finland | -0.36 % | 0.00 | 0.36 |
| Singapore | -0.48 % | 0.00 | 0.48 |
| Sweden | -0.95 % | 0.00 | 0.95 |
| Australia | -1.31 % | 1.11 | 2.42 |
| Hong Kong | -1.36 % | 0.00 | 1.36 |
| Canada | -3.34 % | 0.00 | 3.34 |
| Japan | -4.40 % | 4.20 | 8.60 |
| United States | -8.72 % | 53.00 | 61.72 |

Please refer to the disclosures at the end of the statement.

# Security Selection

Individual security selection is usually the least influential determinant of relative portfolio return, following asset and sub-asset allocation decisions. To maximize our likelihood of beating the benchmark, we select securities we believe are most likely to outperform their specific category (i.e. country, sector, and style categories when analyzing stocks). Rather than trying to pick the best security in the overall marketplace, we attempt to add value within each category represented in the portfolio, which allows us to control relative risk to the benchmark while seeking to enhance return.

| *Description* | *Symbol* | *Quantity* | *Price* | *Market Value* | *Country* | *Economic Sector* |
|---|---|---|---|---|---|---|
| ***Equities*** | | | | | | |
| APPLE INC | AAPL | 116 | $157.74 | $18,297.84 | UNITED STATES | INFORMATION TECHNOLOGY |
| ADOBE INC | ADBE | 16 | $226.24 | $3,619.84 | UNITED STATES | INFORMATION TECHNOLOGY |
| AMAZON COM INC COM | AMZN | 14 | $1,501.97 | $21,027.58 | UNITED STATES | CONSUMER DISCRETIONARY |
| ASML HOLDING N V NYS | ASML | 18 | $155.62 | $2,801.16 | NETHERLANDS | INFORMATION TECHNOLOGY |
| AMERICAN EXPRESS CO | AXP | 102 | $95.32 | $9,722.64 | UNITED STATES | FINANCIALS |
| ASTRAZENECA PLC ADR | AZN | 145 | $37.98 | $5,507.10 | UNITED KINGDOM | HEALTH CARE |
| ALIBABA GROUP HLDG LTD ADR | BABA | 52 | $137.07 | $7,127.64 | CHINA | CONSUMER DISCRETIONARY |
| BASF SE ADR | BASFY | 144 | $17.26 | $2,485.66 | GERMANY | MATERIALS |
| BANCO BILBAO VIZCAYA ARGEN ADR | BBVA | 389 | $5.29 | $2,057.81 | SPAIN | FINANCIALS |
| BHP GROUP LIMITED ADR | BHP | 114 | $48.29 | $5,505.06 | AUSTRALIA | MATERIALS |
| BNP PARIBAS ADR | BNPQY | 221 | $22.56 | $4,986.38 | FRANCE | FINANCIALS |
| BP PLC SPONSORED ADR ADR | BP | 91 | $37.92 | $3,450.72 | UNITED KINGDOM | ENERGY |
| ANHEUSER BUSCH INBEV SA/NV ADR | BUD | 68 | $65.81 | $4,475.08 | BELGIUM | CONSUMER STAPLES |
| COSTCO WHSL CORP NEW | COST | 26 | $203.71 | $5,296.46 | UNITED STATES | CONSUMER STAPLES |
| SALESFORCE COM INC | CRM | 28 | $136.97 | $3,835.16 | UNITED STATES | INFORMATION TECHNOLOGY |
| CISCO SYS INC | CSCO | 261 | $43.33 | $11,309.13 | UNITED STATES | INFORMATION TECHNOLOGY |
| CHEVRON CORP NEW | CVX | 41 | $108.79 | $4,460.39 | UNITED STATES | ENERGY |
| FREEPORT-MCMORAN INC | FCX | 335 | $10.31 | $3,453.85 | UNITED STATES | MATERIALS |
| FI ENHANCED EUR 50 ETN C BCS | FFEU | 165 | $74.08 | $12,223.20 | EUROPE | MISCELLANEOUS |
| FI ENHANCED GLBL HIGH YLD UBS | FIHD | 181 | $141.51 | $25,613.40 | GLOBAL | MISCELLANEOUS |
| FI ENHANCED LRG CAP GROWTH GS | FRLG | 318 | $82.41 | $26,206.28 | UNITED STATES | MISCELLANEOUS |
| GENERAL ELECTRIC CO | GE | 284 | $7.57 | $2,149.88 | UNITED STATES | INDUSTRIALS |
| ALPHABET INC CLASS A | GOOGL | 13 | $1,044.96 | $13,584.48 | UNITED STATES | COMMUNICATION SERVICES |
| GLAXOSMITHKLINE PLC ADR | GSK | 137 | $38.21 | $5,234.77 | UNITED KINGDOM | HEALTH CARE |
| HOME DEPOT INC | HD | 38 | $171.82 | $6,529.16 | UNITED STATES | CONSUMER DISCRETIONARY |
| HSBC HLDGS PLC ADR | HSBC | 159 | $41.11 | $6,536.49 | UNITED KINGDOM | FINANCIALS |
| HITACHI LTD ADR | HTHIY | 47 | $53.51 | $2,515.04 | JAPAN | INFORMATION TECHNOLOGY |
| ING GROEP N V ADR | ING | 483 | $10.66 | $5,148.78 | NETHERLANDS | FINANCIALS |

Please refer to the disclosures at the end of the statement.

## Security Selection (continued)

| Description | Symbol | Quantity | Price | Market Value | Country | Economic Sector |
|---|---|---|---|---|---|---|
| INTEL CORP | INTC | 215 | $46.93 | $10,089.95 | UNITED STATES | INFORMATION TECHNOLOGY |
| INTESA SANPAOLO S P A ADR | ISNPY | 224 | $13.30 | $2,980.28 | ITALY | FINANCIALS |
| JOHNSON & JOHNSON | JNJ | 102 | $129.05 | $13,163.10 | UNITED STATES | HEALTH CARE |
| JPMORGAN CHASE & CO | JPM | 54 | $97.62 | $5,271.48 | UNITED STATES | FINANCIALS |
| L OREAL CO ADR | LRLCY | 132 | $46.00 | $6,072.01 | FRANCE | CONSUMER STAPLES |
| LVMH MOET HENNESSY LOU VUI ADR | LVMUY | 114 | $59.03 | $6,729.65 | FRANCE | CONSUMER DISCRETIONARY |
| MITSUBISHI ELEC CORP ADR | MIELY | 154 | $22.18 | $3,415.04 | JAPAN | INDUSTRIALS |
| MERCK & CO INC | MRK | 70 | $76.41 | $5,348.70 | UNITED STATES | HEALTH CARE |
| MICROSOFT CORP | MSFT | 171 | $101.57 | $17,368.47 | UNITED STATES | INFORMATION TECHNOLOGY |
| NESTLE S A ADR | NSRGY | 38 | $80.95 | $3,076.08 | SWITZERLAND | CONSUMER STAPLES |
| NOVO-NORDISK A S ADR | NVO | 271 | $46.07 | $12,484.97 | DENMARK | HEALTH CARE |
| NOVARTIS A G SPONSORED ADR ADR | NVS | 77 | $85.81 | $6,607.37 | SWITZERLAND | HEALTH CARE |
| ORACLE CORP | ORCL | 121 | $45.15 | $5,463.15 | UNITED STATES | INFORMATION TECHNOLOGY |
| PEPSICO INC COM | PEP | 64 | $110.48 | $7,070.72 | UNITED STATES | CONSUMER STAPLES |
| PFIZER INC | PFE | 392 | $43.65 | $17,110.80 | UNITED STATES | HEALTH CARE |
| PROCTER AND GAMBLE CO | PG | 92 | $91.92 | $8,456.64 | UNITED STATES | CONSUMER STAPLES |
| RECKITT BENCKISER GRP PLC ADR | RBGLY | 201 | $15.32 | $3,078.56 | UNITED KINGDOM | CONSUMER STAPLES |
| ROYAL DUTCH SHELL PLC ADR | RDS/A | 148 | $58.27 | $8,623.96 | UNITED KINGDOM | ENERGY |
| RIO TINTO PLC ADR | RIO | 119 | $48.48 | $5,769.12 | UNITED KINGDOM | MATERIALS |
| BANCO SANTANDER SA ADR | SAN | 1,276 | $4.48 | $5,716.48 | SPAIN | FINANCIALS |
| SAP SE ADR | SAP | 72 | $99.55 | $7,167.60 | GERMANY | INFORMATION TECHNOLOGY |
| SOCIETE GENERALE FRANCE SP ADR | SCGLY | 329 | $6.36 | $2,092.60 | FRANCE | FINANCIALS |
| SOFTBANK GROUP CORP ADR ADR | SFTBY | 236 | $33.29 | $7,856.63 | JAPAN | COMMUNICATION SERVICES |
| SIEMENS A G ADR | SIEGY | 122 | $55.66 | $6,790.48 | GERMANY | INDUSTRIALS |
| SCHLUMBERGER LTD | SLB | 65 | $36.08 | $2,345.20 | UNITED STATES | ENERGY |
| SUMITOMO MITSUI FINL GROUP ADR | SMFG | 666 | $6.51 | $4,335.66 | JAPAN | FINANCIALS |
| SANOFI ADR | SNY | 163 | $43.41 | $7,075.83 | FRANCE | HEALTH CARE |
| TENCENT HLDGS LTD ADR ADR | TCEHY | 195 | $40.11 | $7,820.57 | CHINA | COMMUNICATION SERVICES |

Please refer to the disclosures at the end of the statement.

# Security Selection (continued)

| Description | Symbol | Quantity | Price | Market Value | Country | Economic Sector |
|---|---|---|---|---|---|---|
| TOTAL S A ADR | TOT | 199 | $52.18 | $10,383.82 | FRANCE | ENERGY |
| TAIWAN SEMICONDUCTOR MFG L ADR | TSM | 73 | $36.91 | $2,694.43 | TAIWAN | INFORMATION TECHNOLOGY |
| UBS GROUP AG | UBS | 556 | $12.38 | $6,883.28 | SWITZERLAND | FINANCIALS |
| UNITED TECHNOLOGIES CORP | UTX | 46 | $106.48 | $4,898.08 | UNITED STATES | INDUSTRIALS |
| VISA INC | V | 175 | $131.94 | $23,089.50 | UNITED STATES | INFORMATION TECHNOLOGY |
| VINCI S A ADR | VCISY | 308 | $20.58 | $6,339.35 | FRANCE | INDUSTRIALS |
| WALMART INC | WMT | 93 | $93.15 | $8,662.95 | UNITED STATES | CONSUMER STAPLES |
| EXXON MOBIL CORP | XOM | 52 | $68.19 | $3,545.88 | UNITED STATES | ENERGY |
| YASKAWA ELEC CORP ADR | YASKY | 52 | $49.18 | $2,557.46 | JAPAN | INFORMATION TECHNOLOGY |
| ***Total Equities*** | | | | ***$493,596.83*** | | |
| ***Fixed Income*** | | | | | | |
| ISHARES TR MBS ETF | MBB | 424 | $104.65 | $44,371.60 | UNITED STATES | FIXED INCOME |
| ISHARES NATIONAL MUNI BOND ETF | MUB | 284 | $109.04 | $30,967.36 | UNITED STATES | FIXED INCOME |
| VANGUARD FDS INT-TERM CORP | VCIT | 1,967 | $82.86 | $162,985.62 | UNITED STATES | FIXED INCOME |
| ***Total Fixed Income*** | | | | ***$238,324.58*** | | |
| ***Cash and Cash Equivalents*** | | | | | | |
| ACCRUED INCOME | | | $1.00 | $370.01 | UNITED STATES | CASH BALANCE |
| CASH BALANCE | | | $1.00 | $7,549.57 | UNITED STATES | CASH BALANCE |
| ***Total Cash and Cash Equivalents*** | | | | ***$7,919.58*** | | |
| ***Total Portfolio*** | | | | ***$739,840.99*** | | |

Please refer to the disclosures at the end of the statement.

# Disclosures

The asset allocation listed in your Portfolio Summary is in accordance with Fisher Investments' recommendation, or where applicable, your written mandate.

For any accounts with a margin balance, Fisher Investments does not recommend maintaining a margin balance as it introduces additional market risk to your portfolio.

Any Annual Cash Flow Needs listed were provided by you. If your annual cash flow needs are greater than 5% of your portfolio, you may be subject to a decrease in purchasing power and/or higher risk of depletion during the time horizon for the portfolio and is not recommended. Time horizon is defined as the length of time the assets are expected to be invested to meet your objectives for the portfolio.

Where applicable, any portion of Required Minimum Distributions (RMDs) from qualified retirement accounts that you have communicated to Fisher Investments you are saving or reinvesting is not included in the cash flow amount(s) listed.

For informational purposes only. The portfolio analysis was generated on 1/8/2019 and unless otherwise specified, all values reported herein are as of 12/31/2018. The analysis may reflect end of quarter adjustments, such as unsettled trades, accrued interest, and/or dividends that have not yet been applied to your account(s) at the custodian. Please note that Fisher Investments is not a broker-dealer and cannot provide assurances as to the price terms of particular securities. We urge you to compare the information herein with the information contained in statements provided by your custodian. You should rely on trade confirmations and statements provided by the custodian for account balance, cost basis, proceeds, gain, loss, date purchased and date sold information. Consult your tax advisor for tax reporting purposes.

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"B"

Account
ROBERT A WIECKOWSKI IRA
TD AMERITRADE CLEARING, CUSTODIAN
IRA

# MONTHLY STATEMENT

Reporting Period: **May 1 - 31, 2019**

## ACCOUNT SUMMARY

**Total Account Value: $294,502.53**

**YOUR INDEPENDENT ADVISOR**

FISHER INVESTMENTS
5525 NW FISHER CREEK DR
CAMAS WA 98607

For questions regarding the services provided by your Independent Advisor call (800) 550-1071

**CHANGE IN ACCOUNT VALUE**

| | This Month 5/1/19 - 5/31/19 | Year to Date 1/1/19 - 5/31/19 |
|---|---|---|
| **BEGINNING VALUE** | **$311,049.85** | **$272,502.03** |
| Dividends and Interest | **667.93** | 3,841.14 |
| Market Appreciation/(Depreciation) | **(17,108.27)** | 20,009.91 |
| Other Income or Expense | **(106.98)** | (1,850.55) |
| **ENDING VALUE** | **$294,502.53** | **$294,502.53** |
| CHANGE IN VALUE | **$(16,547.32)** | $22,000.50 |

***Market Appreciation/ Depreciation***
*The change in value of investments due to the market assessment of their worth, which is separate from value added by corporate actions (such as the issuance of dividend or interest payments) and your own additions or withdrawals.*

***Other Income or Expense***
*Miscellaneous expenses including management fees, as well as TD Ameritrade fees (such as for wire transfer or returned checks) and/or miscellaneous income credited to the account such as a margin interest adjustment, royalties, etc.*

**SUMMARY OF HOLDINGS** (does not represent an asset allocation)

| | Market Value as of 5/31/19 | Percent of Account |
|---|---|---|
| Cash and Cash Alternatives | $3,545.99 | 1.20% |
| Exchange Traded Funds (ETFs) | 107,449.09 | 36.48 |
| Stocks | 183,507.45 | 62.31 |
| **TOTAL VALUE** | **$294,502.53** | **100.0%** |

Questions about reading your Statement -
Contact us. (800) 431-3500
*Accounts carried by TD Ameritrade Clearing, Inc., Member SIPC*

TD Ameritrade Institutional

## ACCOUNT SUMMARY *(continued)*

### RETIREMENT ACCOUNT ACTIVITY OVERVIEW

| | This Month | Year to Date 1/1/19 - 5/31/19 Reported for 2019 | Reported for 2018 |
|---|---|---|---|
| **CONTRIBUTIONS** | | | |
| Direct Transfer | $ - | $ - | $138,879.54 |
| Rollover | - | - | 150,588.30 |

***Contributions to your IRA***
*The amount of money you have deposited into your IRA.*

# HOLDINGS DETAIL

## CASH AND CASH ALTERNATIVES

| Investment Description | Quantity | Price | Market Value |
|---|---|---|---|
| FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC | - | $ - | $3,545.99 |
| **TOTAL CASH & CASH ALTERNATIVES** | | | **$3,545.99** |

## EXCHANGE TRADED FUNDS (ETFs)

| Investment Description | Symbol/ CUSIP | Purchase Date | Quantity | Closing Price | Market Value | Cost Basis | Unrealized Gain/(Loss) |
|---|---|---|---|---|---|---|---|
| BARCLAYS BANK PLC ENHANCED EURP C ETN | FFEU | 11/12/18 | 165 | $87.1624 | $14,381.80 | $13,800.20 | $581.60 |
| ISHARES TRUST MBS ETF | MBB | 11/12/18 | 129 | 107.01 | 13,804.29 | 13,177.15 | 627.14 |
| VANGUARD INT-TERM CORP ETF | VCIT | 11/12/18 | 900 | 88.07 | 79,263.00 | 74,055.88 | 5,207.12 |
| **TOTAL EXCHANGE TRADED FUNDS (ETFs)** | | | | | **$107,449.09** | **$101,033.23** | **$6,415.86** |
| *TOTAL EXCHANGE TRADED FUNDS- LONG POSITION* | | | | | **107,449.09** | | |

## STOCKS

| Investment Description | Symbol/ CUSIP | Purchase Date | Quantity | Closing Price | Market Value | Cost Basis | Unrealized Gain/(Loss) |
|---|---|---|---|---|---|---|---|
| ADOBE INC COM | ADBE | 11/14/18 | 27 | $270.90 | $7,314.30 | $6,408.24 | $906.06 |
| ALPHABET INC COM CL A | GOOGL | 11/14/18 | 13 | 1,106.50 | 14,384.50 | 13,731.62 | 652.88 |

## HOLDINGS DETAIL *(continued)*

### STOCKS

| Investment Description | Symbol/ CUSIP | Purchase Date | Quantity | Closing Price | Market Value | Cost Basis | Unrealized Gain/(Loss) |
|---|---|---|---|---|---|---|---|
| ASTRAZENECA ADR SPONSORED | AZN | 11/14/18 | 145 | 37.38 | 5,420.10 | 6,023.44 | (603.34) |
| BANCO BILBAO VIZCAYA ARGENTARI ADR SPONSORED | BBVA | 11/15/18 | 389 | 5.43 | 2,112.27 | 2,195.21 | (82.94) |
| BANCO SANTANDER SA ADR SPONSORED | SAN | 11/13/18 | 1,276 | 4.33 | 5,525.08 | 6,195.72 | (670.64) |
| BASF SE ADR SPONSORED | BASFY | 11/15/18 | 144 | 16.44 | 2,367.36 | 2,848.24 | (480.88) |
| BP PLC ADR SPONSORED | BP | 11/15/18 | 91 | 40.72 | 3,705.52 | 3,704.45 | 1.07 |
| CISCO SYSTEMS INC COM | CSCO | 11/14/18 | 261 | 52.03 | 13,579.83 | 11,715.68 | 1,864.15 |
| CONOCOPHILLIPS COM | COP | 1/10/19 | 37 | 58.96 | 2,181.52 | 2,521.16 | (339.64) |
| COSTCO WHOLESALE CORP COM | COST | 11/14/18 | 26 | 239.58 | 6,229.08 | 6,099.92 | 129.16 |
| GENERAL ELECTRIC CO COM | GE | 11/15/18 | 284 | 9.44 | 2,680.96 | 2,355.93 | 325.03 |
| GLAXOSMITHKLINE ADR SPONSORED | GSK | 11/13/18 | 137 | 38.64 | 5,293.68 | 5,679.87 | (386.19) |
| HITACHI ADR SPONSORED | HTHIY | 11/15/18 | 47 | 67.75 | 3,184.25 | 2,798.40 | 385.85 |
| INTESA SANPAOLO S.P.A. ADR SPONSORED | ISNPY | 11/15/18 | 224 | 12.185 | 2,729.44 | 2,967.62 | (238.18) |
| JOHNSON & JOHNSON COM | JNJ | 11/13/18 | 102 | 131.15 | 13,377.30 | 14,775.51 | (1,398.21) |
| JPMORGAN CHASE & CO. COM | JPM | 11/15/18 | 54 | 105.96 | 5,721.84 | 5,889.53 | (167.69) |
| L'OREAL ADR SPONSORED | LRLCY | 11/14/18 | 132 | 53.51 | 7,063.32 | 6,240.41 | 822.91 |

## HOLDINGS DETAIL *(continued)*

### STOCKS

| Investment Description | Symbol/ CUSIP | Purchase Date | Quantity | Closing Price | Market Value | Cost Basis | Unrealized Gain/(Loss) |
|---|---|---|---|---|---|---|---|
| MARATHON OIL CORPORATION COM | MRO | 1/10/19 | 161 | 13.15 | 2,117.15 | 2,632.55 | (515.40) |
| MITSUBISHI ELECTRIC CORP ADR UNSPONSORED | MIELY | 11/15/18 | 154 | 24.86 | 3,828.44 | 3,928.24 | (99.80) |
| NETFLIX INC COM | NFLX | 1/10/19 | 7 | 343.28 | 2,402.96 | 2,278.33 | 124.63 |
| NOVO NORDISK A/S ADR SPONSORED | NVO | 11/14/18 | 271 | 47.20 | 12,791.20 | 12,066.13 | 725.07 |
| PROCTER & GAMBLE CO COM | PG | 11/14/18 | 39 | 102.91 | 4,013.49 | 3,650.26 | 363.23 |
| RIO TINTO ADR SPONSORED | RIO | 11/15/18 | 119 | 57.93 | 6,893.67 | 5,888.81 | 1,004.86 |
| SALESFORCE.COM INC COM | CRM | 1/11/19 | 18 | 151.41 | 2,725.38 | 2,659.19 | 66.19 |
| SCHLUMBERGER LIMITED COM | SLB | 11/15/18 | 129 | 34.69 | 4,475.01 | 5,797.40 | (1,322.39) |
| SIEMENS AG ADR SPONSORED | SIEGY | 11/14/18 | 122 | 56.76 | 6,924.72 | 6,963.24 | (38.52) |
| SOCIETE GENERALE ADR SPONSORED | SCGLY | 11/15/18 | 329 | 5.045 | 1,659.81 | 2,471.93 | (812.12) |
| SOFTBANK GROUP CORP ADR UNSPONSORED | SFTBY | 11/14/18 | 236 | 46.40 | 10,950.40 | 9,717.32 | 1,233.08 |
| TAIWAN SEMICONDUCTOR MANUFACTU ADR SPONSORED | TSM | 11/13/18 | 73 | 38.35 | 2,799.55 | 2,748.67 | 50.88 |
| TOTAL SA ADR SPONSORED | TOT | 11/14/18 | 199 | 51.50 | 10,248.50 | 11,218.25 | (969.75) |
| UNITED TECHNOLOGIES CORP COM | UTX | 11/15/18 | 46 | 126.30 | 5,809.80 | 5,912.13 | (102.33) |

## HOLDINGS DETAIL *(continued)*

**STOCKS**

| Investment Description | Symbol/ CUSIP | Purchase Date | Quantity | Closing Price | Market Value | Cost Basis | Unrealized Gain/(Loss) |
|---|---|---|---|---|---|---|---|
| YASKAWA ELECTRIC CORP ADR UNSPONSORED | YASKY | 11/15/18 | 52 | 57.635 | 2,997.02 | 3,100.87 | (103.85) |
| **TOTAL STOCKS** | | | | | **$183,507.45** | **$183,184.27** | **$323.18** |
| | *TOTAL STOCKS- LONG POSITION* | | | | 183,507.45 | | |
| **TOTAL HOLDINGS** | | | | | **$294,502.53** | **$284,217.50** | **$6,739.04** |
| **TOTAL ACCOUNT VALUE** | | | | | **$294,502.53** | | |

## TRANSACTIONS DETAIL

| Transaction Date | Settlement Date | Activity Type | Description | | Symbol/ CUSIP | Quantity | Price | Transaction Amount |
|---|---|---|---|---|---|---|---|---|
| 05/06 | 05/06 | Dividends and Interest | VANGUARD INT-TERM CORP ETF PAYABLE: 05/06/2019 ORDINARY DIVIDENDS | 226.53 | VCIT | - | $ - | $226.53 |
| 05/07 | 05/07 | Dividends and Interest | ISHARES TRUST MBS ETF PAYABLE: 05/07/2019 ORDINARY DIVIDENDS | 33.81 | MBB | - | - | 33.81 |
| 05/09 | 05/09 | Dividends and Interest | BANCO SANTANDER SA ADR SPONSORED PAYABLE: 05/09/2019 QUALIFIED DIVIDENDS | 92.41 | SAN | - | - | 92.41 |

## TRANSACTIONS DETAIL *(continued)*

| Transaction Date | Settlement Date | Activity Type | Description | | Symbol/ CUSIP | Quantity | Price | Transaction Amount |
|---|---|---|---|---|---|---|---|---|
| 05/09 | 05/09 | Other Income or Expense | BANCO SANTANDER SA<br>ADR SPONSORED<br>CASH DIVIDEND<br>ADR FEE<br>PAYABLE: 05/09/2019 | | SAN | - | - | (8.93) |
| 05/09 | 05/09 | Other Income or Expense | BANCO SANTANDER SA<br>ADR SPONSORED<br>CASH DIVIDEND<br>FOREIGN TAX ADJUSTMENT<br>PAYABLE: 05/09/2019 | | SAN | - | - | (17.56) |
| 05/15 | 05/15 | Dividends and Interest | PROCTER & GAMBLE CO<br>COM<br>PAYABLE: 05/15/2019<br>QUALIFIED DIVIDENDS | 29.09 | PG | - | - | 29.09 |
| 05/20 | 05/20 | Dividends and Interest | BASF SE<br>ADR SPONSORED<br>PAYABLE: 05/20/2019<br>QUALIFIED DIVIDENDS | 128.94 | BASFY | - | - | 128.94 |
| 05/20 | 05/20 | Other Income or Expense | BASF SE<br>ADR SPONSORED<br>CASH DIVIDEND<br>ADR FEE<br>PAYABLE: 05/20/2019 | | BASFY | - | - | (2.88) |
| 05/20 | 05/20 | Other Income or Expense | BASF SE<br>ADR SPONSORED<br>CASH DIVIDEND<br>FOREIGN TAX ADJUSTMENT<br>PAYABLE: 05/20/2019 | | BASFY | - | - | (34.01) |
| 05/24 | 05/24 | Dividends and Interest | COSTCO WHOLESALE CORP<br>COM<br>PAYABLE: 05/24/2019<br>QUALIFIED DIVIDENDS | 16 90 | COST | - | - | 16.90 |

## TRANSACTIONS DETAIL *(continued)*

| Transaction Date | Settlement Date | Activity Type | Description | Symbol/ CUSIP | Quantity | Price | Transaction Amount |
|---|---|---|---|---|---|---|---|
| 05/24 | 05/24 | Dividends and Interest | YASKAWA ELECTRIC CORP<br>ADR UNSPONSORED<br>PAYABLE: 05/24/2019<br>QUALIFIED DIVIDENDS 24.46 | YASKY | - | - | 24.46 |
| 05/24 | 05/24 | Other Income or Expense | YASKAWA ELECTRIC CORP<br>ADR UNSPONSORED<br>CASH DIVIDEND<br>ADR FEE<br>PAYABLE: 05/24/2019 | YASKY | - | - | (2.86) |
| 05/28 | 05/28 | Dividends and Interest | L'OREAL<br>ADR SPONSORED<br>PAYABLE: 05/28/2019<br>QUALIFIED DIVIDENDS 113.80 | LRLCY | - | - | 113.80 |
| 05/28 | 05/28 | Other Income or Expense | L'OREAL<br>ADR SPONSORED<br>CASH DIVIDEND<br>ADR FEE<br>PAYABLE: 05/28/2019 | LRLCY | - | - | (6.60) |
| 05/28 | 05/28 | Other Income or Expense | L'OREAL<br>ADR SPONSORED<br>CASH DIVIDEND<br>FOREIGN TAX ADJUSTMENT<br>PAYABLE: 05/28/2019 | LRLCY | - | - | (34.14) |
| 05/31 | 05/31 | Dividends and Interest | FDIC INSURED DEPOSIT ACCOUNT<br>IDA13 NOT COVERED BY SIPC<br>ON 1.99 SHARES<br>INTEREST: INSURED<br>DEPOSIT ACCOUNT BANK NA<br>PAYABLE: 05/31/2019<br>INSURED DEPOSIT ACCOUNT INTEREST<br>1.99 | MMDA13 | 1.99 | - | 1.99 |

## TRADES PENDING SETTLEMENT

| Transaction Date | Settlement Date | Activity Type | Description | Symbol/ CUSIP | Quantity | Price | Transaction Amount |
|---|---|---|---|---|---|---|---|
| 05/30 | 06/03 | Buy | SOFTBANK GROUP CORP ADR UNSPONSORED | SFTBY | 48 | $47.3197 | $(2,280.74) |

## TD AMERITRADE CASH INTEREST CREDIT/EXPENSE

| Begin Date | Margin Balance | Credit Balance | Number of Days | Interest Rate | Interest Debited | Interest Credited |
|---|---|---|---|---|---|---|
| 05/09 | $- | $65.92 | 1 | 0.0500 | - | $ - |
| 05/15 | - | 29.09 | 1 | 0.0500 | - | - |
| 05/20 | - | 92.05 | 1 | 0.0500 | - | - |
| 05/24 | - | 21.60 | 4 | 0.0500 | - | - |
| 05/28 | - | 73.06 | 1 | 0.0500 | - | - |
| **TOTAL INTEREST INCOME/(EXPENSE)** | | | | | - | - |

## INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE

| Begin Date | Balance | Number of Days | Interest Rate | Interest Accrued | MTD Accrued | MTD Paid |
|---|---|---|---|---|---|---|
| 05/01 | $2,985.04 | 5 | 0.7077 | $0.29 | $0.29 | $ - |
| 05/06 | 3,211.57 | 1 | 0.7077 | 0.06 | 0.35 | - |
| 05/07 | 3,245.38 | 3 | 0.7077 | 0.19 | 0.54 | - |

## INSURED DEPOSIT ACCOUNT TD AMERITRADE INTEREST CREDIT/EXPENSE *(continued)*

| Begin Date | Balance | Number of Days | Interest Rate | Interest Accrued | MTD Accrued | MTD Paid |
|---|---|---|---|---|---|---|
| 05/10 | 3,311.30 | 6 | 0.7077 | 0.39 | 0.93 | - |
| 05/16 | 3,340.39 | 5 | 0.7077 | 0.32 | 1.25 | - |
| 05/21 | 3,432.44 | 3 | 0.7077 | 0.20 | 1.45 | - |
| 05/24 | 3,449.34 | 4 | 0.7077 | 0.27 | 1.72 | - |
| 05/28 | 3,470.94 | 1 | 0.7077 | 0.06 | 1.78 | - |
| 05/29 | 3,544.00 | 3 | 0.7077 | 0.21 | 1.99 | 1.99 |
| **TOTAL INTEREST INCOME** | | | | | | **$1.99** |

## INSURED DEPOSIT ACCOUNT ACTIVITY

| Transaction Date | Settlement Date | Transaction | Description | Amount | Balance |
|---|---|---|---|---|---|
| **Opening Balance** | | | | | **$2,985.04** |
| 05/06 | 05/06 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | $226.53 | 3,211.57 |
| 05/07 | 05/07 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | 33.81 | 3,245.38 |
| 05/10 | 05/10 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | 65.92 | 3,311.30 |
| 05/16 | 05/16 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | 29.09 | 3,340.39 |
| 05/21 | 05/21 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | 92.05 | 3,432.44 |
| 05/24 | 05/24 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | 16.90 | 3,449.34 |
| 05/28 | 05/28 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | 21.60 | 3,470.94 |

## INSURED DEPOSIT ACCOUNT ACTIVITY *(continued)*

| Transaction Date | Settlement Date | Transaction | Description | Amount | Balance |
|---|---|---|---|---|---|
| 05/29 | 05/29 | Received | FDIC INSURED DEPOSIT ACCOUNT IDA13 NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT | 73.06 | 3,544.00 |
| 05/31 | 05/31 | Received | INTEREST: INSURED DEPOSIT ACCOUNT BANK NA | 1.99 | 3,545.99 |
| **Closing Balance** | | | | | **$3,545.99** |
| **TD Bank NA** | | | | **$3,545.99** | |

***FDIC Insured Deposit Account (IDA)*** *balances reflected in your brokerage account are FDIC-insured up to applicable limits and held by one or more banks ("Program Banks"). Two of the Program Banks are TD Bank, N.A. and TD Bank USA, N.A., both affiliates of TD Ameritrade. The IDA balances are not covered by the Securities Investor Protection Corporation (SIPC) protection applicable to your brokerage account.*

## IMPORTANT INFORMATION

**STATEMENT OF FINANCIAL CONDITION**

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at http://www.tdameritrade.com/financialstatement.html or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2019, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of $3.3 billion and $469.4 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the Securities and Exchange Commission in Washington D.C. and the Denver, CO office of the Commission.

****** THANK YOU FOR CHOOSING TD AMERITRADE INSTITUTIONAL - END OF STATEMENT ******

"C"

**TD Ameritrade** Institutional

TD Ameritrade Clearing, Inc.
TD Ameritrade Inc., Member FINRA/SIPC
PO BOX 2209
OMAHA, NE 68103-2209

ROBERT A WIECKOWSKI IRA
TD AMERITRADE CLEARING, CUSTODIAN

FISHER INVESTMENTS

FREMONT, CA 94538-2609

027798 2/2

# 2018 Form 5498
# IRA Contribution Information

| | |
|---|---|
| **Copy B: For Participant**<br>This information is being furnished to the Internal Revenue Service. | Statement date: 05/16/2019<br>Account number: |
| PARTICIPANT'S TIN: 1<br>ROBERT A WIECKOWSKI IRA<br>TD AMERITRADE CLEARING, CUSTODIAN | TRUSTEE'S or ISSUER'S TIN:<br>Customer Service: 800-431-3500<br>TD Ameritrade Clearing, Inc. |

| | | | | |
|---|---|---|---|---|
| **1** | IRA contributions (other than amounts on lines 2-4, 8-10, 13a & 14a) | | | |
| **2** | Rollover contributions | $150,588.30 | | |
| **3** | Roth IRA conversion amount | | | |
| **4** | Recharacterized contributions | | | |
| **5** | Fair market value of account | $272,502.03 | | |
| **6** | Life insurance cost included on line 1 | | | |
| **7** | Plan type | IRA | | |
| **8** | SEP contributions | | | |
| **9** | SIMPLE contributions | | | |
| **10** | Roth IRA contributions | | | |
| **11** | If checked, required minimum distribution for 2019 | ( ) | | |
| **12a** | RMD date | **12b** RMD amount | | |
| **13a** | Postponed/late contribution | | **13b** Year | **13c** Code |
| **14a** | Repayments | | **14b** Code | |
| **15a** | FMV of certain specified assets | | **15b** Code(s) | |