| UNITED STATES HOUSE OF REPRESENTATIVES<br>FINANCIAL DISCLOSURE STATEMENT | FORM B<br>For New Members, Candidates, and New Employees |
|---|---|

**Name:** Fern Haywood Shubert **Daytime Telephone:**

(Office Use Only)

**FILER STATUS**

- [X] New Member of or Candidate for U.S. House of Representatives — State: NC; District: 09; Candidates – Date of Election: 5-14-2019
- [ ] Check if Amendment
- [ ] New Officer or Employee — Employing Office: _____ — Staff Filer Type (If Applicable): Shared [ ] Principal Assistant [ ]

Period Covered: January 1, 2018 to March 31, 2019

**A $200 penalty shall be assessed against any individual who files more than 30 days late.**

## PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

| | | | |
|---|---|---|---|
| **A.** Did you, your spouse, or your dependent child:<br>a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or<br>b. Receive more than $200 in unearned income from any reportable asset during the reporting period? | Yes [X] No [ ] | **E.** Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | Yes [X] No [ ] |
| **C.** Did you or your spouse have "earned" income (*e.g.*, salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period? | Yes [X] No [ ] | **F.** Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | Yes [X] No [ ] |
| **D.** Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period? | Yes [ ] No [X] | **J.** Did you receive compensation of more than $5,000 from a single source in the current year and two prior years? | Yes [X] No [ ] |

**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**

**THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE**

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

| | |
|---|---|
| **TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? | Yes [ ] No [X] |
| **EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes [ ] No [X] |

# SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Fern Haywood Shubert | Page 2 of 12

## BLOCK A — Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For **all IRAs** and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, *e.g.*, "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

**Exclude:** Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

## BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

## BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if the asset generated no income during the reporting period.

## BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts.** Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

| SP, DC, JT | | EIF | A None | B $1-$1,000 | C $1,001-$15,000 | D $15,001-$50,000 | E $50,001-$100,000 | F $100,001-$250,000 | G $250,001-$500,000 | H $500,001-$1,000,000 | I $1,000,001-$5,000,000 | J $5,000,001-$25,000,000 | K $25,000,001-$50,000,000 | L Over $50,000,000 | M Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify; e.g., Partnership Income or Farm Income) | Current Year I None | II $1-$200 | III $201-$1,000 | IV $1,001-$2,500 | V $2,501-$5,000 | VI $5,001-$15,000 | VII $15,001-$50,000 | VIII $50,001-$100,000 | IX $100,001-$1,000,000 | X $1,000,001-$5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* | Preceding Year I None | II $1-$200 | III $201-$1,000 | IV $1,001-$2,500 | V $2,501-$5,000 | VI $5,001-$15,000 | VII $15,001-$50,000 | VIII $50,001-$100,000 | IX $100,001-$1,000,000 | X $1,000,001-$5,000,000 | XI Over $5,000,000 | XII Spouse/DC Income over $1,000,000* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Examples: Mega Corp Stock | | | | | | X | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Simon & Schuster | | Indefinite | | | | | | | | | | | | | | | | | | | | Royalties | | | | X | | | | | | | | | | | | | | | | | | |
| | ABC Hedge Fund | X | | | | | | | X | | | | | | | | | | | | | | Partnership Income | | | | | X | | | | | | | | | | | | | | | | | |
| | Beaver Dam Dev. Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Farm Union Cty NC row crops | | | | | | | X | | | | | | | | | | | | | | | Farm Inc. | X | | | | | | | | | | | | | | | | | | | | | | |
| | First Citizens Bk | | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | X | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

***Use additional sheets if more space is required.***

## SCHEDULE A – ASSETS & "UNEARNED INCOME"

**Name:** Fern Haywood Shubert | **Page** 3 **of** 12

| BLOCK A: Assets and/or Income Sources | | | BLOCK B: Value of Asset | | | | | | | | | | | | BLOCK C: Type of Income | | | | | | | BLOCK D: Amount of Income | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | | | | | | | | | | | Current Year | | | | | | | | | | | | Preceding Year | | | | | | | |
| | | | A | B | C | D | E | F | G | H | I | J | K | L | M | | | | | | | | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII |
| | | | None | $1-$1,000 | $1,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$250,000 | $250,001-$500,000 | $500,001-$1,000,000 | $1,000,001-$5,000,000 | $5,000,001-$25,000,000 | $25,000,001-$50,000,000 | Over $50,000,000 | Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify, e.g., Partnership Income or Farm Income) | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC Income over $1,000,000* | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC Income over $1,000,000* |
| SP, DC, JT | ASSET NAME | EIF | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Marshville Cotton Mills Inc | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Farm, Union Cty, NC row crops | | | | | | | | | X | | | | | | | | | | | | Farm Inc | X | | | | | | | | | | | | | | | | X | | | | | |
| | Invland, Union Cty, NC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Acq 12/15/94 $57,175.45 | | | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | X | | | | | | | | |
| | First Citizens Bk | | | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | X | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Nell R Haywood Family Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | All land in Wake Cty, NC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | HC Office/Rental | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Acq 1/17/2001 $145766 | | | | | | | X | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | X | | | | | |
| | HC Res Rental | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Acq 5/4/2011 342,940 | | | | | | | | | X | | | | | | | X | | | | | | | | | | X | | | | | | | | | | | | X | | | | |
| | Duke Energy | | | | | | | | X | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Enbridge | | | | | | | X | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Res Rental 2/27/2019 $201,363 | | | | | | | X | | | | | | | | X | | | | | | | X | | | | | | | | | | | | X | | | | | | | | |

*Use additional sheets if more space is required.*

## SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Fern Haywood Shubert | Page 4 of 12

| BLOCK A: Assets and/or Income Sources | BLOCK B: Value of Asset | BLOCK C: Type of Income | BLOCK D: Amount of Income | |
|---|---|---|---|---|
| | | | Current Year | Preceding Year |
| ASSET NAME | | | | |
| American Funds | E | DIVIDENDS | II | III |
| SunTrust Bk Acct | E | RENT | II | III |
| Vanguard Treasury MM | C | DIVIDENDS | II | III |
| NatH Financial Services | A | DIVIDENDS | II | III |
| Fed Treasury Obligations | B | DIVIDENDS | II | III |
| UnitiGroup Inc Stock | C | DIVIDENDS | II | III |
| UnitiGroup Inc | C | DIVIDENDS | II | III |
| First Citizens Bk Acct | E | DIVIDENDS | II | III |
| Fern H Shubert Family Trust | | OTHER (Nontaxable Dist) | II | III |
| Residential Rental Richmond VA | F | | V | VII |
| Acq 6/29/2007 196,584.26 | | | | |
| Wingate, NC Farm | G | | II | VII |
| Assessed 2018 615382 | | | | |

Use additional sheets if more space is required.

## SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Fern Haywood Shubert



| BLOCK A: Assets and/or Income Sources | | | BLOCK B: Value of Asset | | | | | | | | | | | | BLOCK C: Type of Income | | | | | | | | BLOCK D: Amount of Income | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | | | | | | | | | | | | Current Year | | | | | | | | | | | | Preceding Year | | | | | | | |
| | | | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPT FEDERAL INC TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII |
| ID NO. | ASSET NAME | IDF | None | $1,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$250,000 | $250,001-$500,000 | $500,001-$1,000,000 | $1,000,001-$5,000,000 | $5,000,001-$25,000,000 | $25,000,001-$50,000,000 | Over $50,000,000 | Spouse/DC Asset over $1,000,000* | | | | | | | | | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC income over $1,000,000* | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC income over $1,000,000* |
| | Wingate Commercial Rental Assessed | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assessed 296,000 | | | | | | | X | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | X | | | | | | |
| | Wingate Res Rental | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assessed 71600 | | | | | | X | | | | | | | | | | X | | | | | | X | | | | | | X | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Monroe Com Rental | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assessed 130,100 | | | | | | | X | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | X | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Marshville Rentals | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 110 Com Assessed 48400 | | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | X | | | | | | |
| | 111 Com Assessed 97500 | | | | | | X | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | X | | | | | |
| | 112 Com Assessed 48100 | | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | X | | | | | |
| | 119 Com Assessed 51200 | | | | | | X | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | X | | | | |
| | 123 Com Assessed 44400 | | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | X | | | | | | |
| | 201 Com Assessed 71700 | | | | | | X | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | X | | | | | | |

*Use additional sheets if more space is required.*

## SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Fern Haywood Shubert



| BLOCK A: Assets and/or Income Sources | | BLOCK B: Value of Asset | | | | | | | | | | | | | BLOCK C: Type of Income | | | | | | | | BLOCK D: Amount of Income | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | | | | | | | | | | | | Current Year | | | | | | | | | | | | | | Preceding Year | | | | | | | | | | | | | |
| | | A | B | C | D | E | F | G | H | I | J | K | L | M | | | | | | | | | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | |
| S, J, S | ASSET NAME | Non | $1-$1,000 | $1,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$250,000 | $250,001-$500,000 | $500,001-$1,000,000 | $1,000,001-$5,000,000 | $5,000,001-$25,000,000 | $25,000,001-$50,000,000 | Over $50,000,000 | Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED TRUST | TRADE/BUSINESS | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC Income over $1,000,000* | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC Income over $1,000,000* |
| | 209 Com Assessed 17500 | | | | X | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | | |
| | 213 Com Assessed 32400 | | | | X | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | | |
| | 304 Res Assessed 76200 | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | |
| | 314 Com Assessed 111600 | | | | | | X | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | | |
| | 402 Res Assessed 85400 | | | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | |
| | 515 Res Assessed 94500 | | | | | X | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | 6011 Com Assessed 67600 | | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | | |
| | 6405 Com Assessed 149400 | | | | | | X | | | | | | | | | | X | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | Schwab Bank Sweep Acct | | | | | X | | | | | | | | | | | | X | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | | |
| | First Citizens Bk Acct | | | | | X | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Non-federal Pensions | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brighthouse Life Ins | | | | | | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | NC Legislative Ret System | | | | | | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | NC Municipal Ret System-2 | | | | | | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | Prudential 401K Mid Cap | | | X | | | | | | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | |

*Use additional sheets if more space is required.*

## SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Fern Haywood Shubert



| BLOCK A: Assets and/or Income Sources | | BLOCK B: Value of Asset | | | | | | | | | | | | | BLOCK C: Type of Income | | | | | | | | BLOCK D: Amount of Income | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | | | | | | | | | | | | Current Year | | | | | | | | | | | | | | Preceding Year | | | | | | | | | | | | | |
| | | A | B | C | D | E | F | G | H | I | J | K | L | M | | | | | | | | | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | |
| | | None | $1-$1,000 | $1,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$250,000 | $250,001-$500,000 | $500,001-$1,000,000 | $1,000,001-$5,000,000 | $5,000,001-$25,000,000 | $25,000,001-$50,000,000 | Over $50,000,000 | Spouse/DC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPT FEDERAL UNIT TRUST | TAX-DEFERRED | Other Type of Income (Specify: S-S, Partnership Income or Farm Income) | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC Income over $1,000,000* | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Spouse/DC Income over $1,000,000* | |
| SCHED. A, B, C | ASSET NAME | SIF | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vanguard IRA Windsor II | | | | | | | X | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | | | | | | | | | | X | | | | |
| | American Century Inv Roth | | | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Heritage | | | | X | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Equity Income | | | | X | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Mid Cap Value | | | | | X | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Schwab Roth Bank Sweep | | | | X | | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Aimco Properties LP REIT | | | | X | | | | | | | | | | | | X | X | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | CAC | | | | | | | X | | | | | | | X | | Ø | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | South Elm St Investors Inc | | | | | X | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Adient | | X | | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Vivint | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Pentair | | | X | | | | | | | | | | | X | | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Mallinckrodt | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | | X | | | | | | | | | | | | |
| | Medtronic | | Ø | | | X | | | | | | | | | | | | | | | | | Ø | X | | | | | | | | | | | | | | | | | | | | | | | | |
| | TE Connectivity | | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | |

Use additional sheets if more space is required.

## SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Fern Haywood Shubert



| | BLOCK A | BLOCK B | | | | | | | | | | | | BLOCK C | | | | | | | | BLOCK D | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Assets and/or Income Sources | Value of Asset | | | | | | | | | | | | Type of Income | | | | | | | | Amount of Income | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | Current Year | | | | | | | | | | | | | | | Preceding Year | | | | | | | | | | |
| | | A | B | C | D | E | F | G | H | I | J | K | L | M | | | | | | | | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | XIII | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII |
| | | None | $1-$2,500 | $2,501-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$250,000 | $250,001-$500,000 | $500,001-$1,000,000 | $1,000,001-$5,000,000 | $5,000,001-$25,000,000 | $25,000,001-$50,000,000 | Over $50,000,000 | Specify EIC Asset over $1,000,000* | NONE | DIVIDENDS | RENT | ROYALTY | CAPITAL GAIN | EXCEPTIONAL TRUST | TAX-EXEMPT | Other Type of Income (Specify: e.g., Partnership, Income or Pass-Through) | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Specify EIC Income over $1,000,000* | None | $1-$200 | $201-$1,000 | $1,001-$2,500 | $2,501-$5,000 | $5,001-$15,000 | $15,001-$50,000 | $50,001-$100,000 | $100,001-$1,000,000 | $1,000,001-$5,000,000 | Over $5,000,000 | Specify EIC Income over $1,000,000* |
| | ASSET NAME | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | White City Res Rental | | | | | | | X | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | |
| | Acq 6/9/2004 16273.95 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Union City Res Rentals | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 404 Assessed 54,500 | | | | X | X | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 512 + 2 lots Assessed 133300 | | | | | | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Union City Com Rentals | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 111E Assessed 40,900 | | | | | X | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 132-134 Assessed 33,100 | | | | | X | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Lot 135 Assessed 20,400 | | | | X | | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | |
| | Union City Res Rental | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 615 Assessed 63700 | | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | |
| | 911 Assessed 43700 | | | | X | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | |
| | 915 Assessed 58300 | | | | | X | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | | X | | | | | | | | |
| | Cal Office Assessed 46300 | | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | | | X | | | | | | | | |
| | First Citizens Bk Auto | | | | | | X | | | | | | | | | | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | |

Use additional sheets if more space is required.

## SCHEDULE C – EARNED INCOME

Name: Fern Haywood Shubert | Page 9 of 12

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $27,765. The 2018 limit is $28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

| Source (include date of receipt for honoraria) | | Type | Amount | |
|---|---|---|---|---|
| | | | Current Year to Filing | Preceding Year |
| Examples: | ABC Trade Association, Baltimore, MD (July 15) | Honorarium | $0 | $500 |
| | State of Maryland | Salary | $30,000 | $75,000 |
| | Civil War Roundtable (Oct. 2) | Spouse Speech | $0 | $1,000 |
| | Ontario County Board of Education | Spouse Salary | N/A | N/A |
| Beaver Dam Development INC | | CPA Fee | 0 | 300 |
| Marshville Cotton Mills INC | | CPA Fee | 0 | 5000 |
| Nell R Haywood Family Trust | | CPA Fee | 0 | 5000 |
| Fern H Shubert Family Trust | | CPA Fee | 0 | 15000 Also in prior yr |
| NC Appraisal Board | | Board Member Comp. | 0 | 2000 |
| Union County Board of Elections | | Precinct Judge | 0 | 370 |
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*Use additional sheets if more space is required.*

## SCHEDULE D – LIABILITIES

Name: Fern Haywood Shubert | Page 10 of 12

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a *revolving charge account* (i.e., credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor | Date Liability Incurred MO/YR | Type of Liability | Amount of Liability | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | A $10,001-$15,000 | B $15,001-$50,000 | C $50,001-$100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Over $1,000,000* (Spouse/DC Liability) |
| | *Example* First Bank of Wilmington, DE | 5/98 | Mortgage on Rental Property, Dover, DE | | | | X | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
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## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

| Position | Name of Organization |
|---|---|
| President | Beaver Dam Development, Inc |
| President | Marshville Cotton Mills, Inc |
| Trustee | Nell R Haywood Grandchildren Trust |
| Trustee | Nell R Haywood Family Trust |
| Trustee | Fern H Shubert Family Trust |

*Use additional sheets if more space is required.*

## SCHEDULE D – LIABILITIES

Name: Fern Haywood Shubert | Page 11 of 12

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period. New Members**: Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude**: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor | Date Liability Incurred MO/YR | Type of Liability | Amount of Liability | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | A $10,001-$15,000 | B $15,001-$50,000 | C $50,001-$100,000 | D $100,001-$250,000 | E $250,001-$500,000 | F $500,001-$1,000,000 | G $1,000,001-$5,000,000 | H $5,000,001-$25,000,000 | I $25,000,001-$50,000,000 | J Over $50,000,000 | K Over $1,000,000* (Spouse/DC Liability) |
| | *Example* First Bank of Wilmington, DE | 5/98 | Mortgage on Rental Property, Dover, DE | | | | X | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
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## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

| Position | Name of Organization |
|---|---|
| Board Member | NC Appraisal Board - (Vice Chair during part of period) |
| Precinct Judge | Union County, NC Board of Elections |
| President | South Elm St Investors Inc |
| | |
| | |

***Use additional sheets if more space is required.***

## SCHEDULE F – AGREEMENTS

| Name: Fern Haywood Shubert | Page 12 of 12 |
|---|---|

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|---|---|---|
| Various | Myself + State of NC | Legislative & other pensions administered by NC + health ins. (Other - 2 municipal employers + regular NC employment) |
| | | |
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## SCHEDULE J – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than $5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

| Source (Name and City/State) | | Brief Description of Duties |
|---|---|---|
| Example: | Doe Jones & Smith, Hometown, Homestate | Accounting Services |
| | | |
| | | |
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*Use additional sheets if more space is required.*