

UNITED STATES HOUSE OF REPRESENTATIVES 2019 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

2020 AUG 12 AM 11:17

DELIVERED

HAND

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Name: **DAVID PHILLIP ROE**

Daytime Telephone: **US**

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

(Office Use Only)

FILER STATUS	☒ Member of the U.S. House of Representatives	State: TN District: 01	☐ Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) Shared ☐ Principal Assistant ☐
	REPORT TYPE	☒ 2019 Annual (Due: May 15, 2020)	☐ Amendment	☐ Termination	Date of Termination:

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes ☒ No ☐	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☐ No ☒
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes ☒ No ☐	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$380 in value from a single source during the reporting period?	Yes ☐ No ☒
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes ☐ No ☒	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$380 in value from a single source during the reporting period?	Yes ☒ No ☐
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes ☐ No ☒	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☒
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☐ No ☒	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐ No ☒
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from the report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☒

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

Page ____ of ____

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	BANK OF TENNESSEE (DR. LIVING TRUST)				X													X						X												
	MERRILL LYNCH CMA					X											X								X											
	ML TRUST - ADVISORY																																			SEE ATTACHED SCHEDULE #1
	ML ALT INVESTMENT					X									X										X											SEE ATTACHED SCHEDULE #2

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: _____

Page _____ of _____

BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EPT	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: _____

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset														BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
SP	UBS - DRJ EX FAM SH TRUST																																			SEE ATTACHED SCHEDULE #9
SP	UBS - DRJ MARITAL SHARE TWO TRUST																																			SEE ATTACHED SCHEDULE #10
SP	UBS - DRJ SWING SHARE ONE TRUST																																			SEE ATTACHED SCHEDULE #11

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Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: DAVID PHILLIP ROE

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check the "partial sale" box as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
Purchase	Sale	Partial Sale	Exchange	A \$1,001- \$15,000	B \$15,001- \$50,000			C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)		
SP, DC, JT	Asset																	
SP	Example Mega Corp. Stock			X		X	3/8/19		X									
	ML TRUST ADVISORY						2019	See attached schedule #1.										
	ML ALT INVESTMENT						2019	See attached schedule #2										
	ML TIRA						2019	See attached schedule #3										
	UBS - DAVID P ROE MD CLARING P ROE JT/ROS						2019	See attached schedule #4										
	UBS - CPJ LIVING TRUST						2019	See attached schedule #5										
	UBS - CPJ LIVING TRUST BLACKROCK						2019	See attached schedule #6										
	UBS - JEANES INVESTMENT LLC						2019	See attached schedule #7										
	UBS - CPJ IRRREV INHERITANCE TRUST						2019	See attached schedule #8										
	UBS - DRJ EXEMPT FAMILY SHARE TRUST						2019	See attached schedule #9										
	UBS - DRJ MARITAL SHARE TWO TRUST						2019	See attached schedule #10										
	UBS - DRJ SWING SHARE ONE TRUST						2019	See attached schedule #11										
	UBS - CLARINDA IRA						2019	See attached schedule #12										

SCHEDULE D - LIABILITIES

Name: DAVID PHILLIP ROE

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/19	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE F – AGREEMENTS

Name: DAVID PHILLIP ROE

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$380 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$158 or less need not be added towards the \$380 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (F.G.D.A., 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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Name: _____	Page _____ of _____

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Name: DAVID PHILLIP ROE	Page _____ of _____

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Use additional sheets if more space is required.

SCHEDULE 1

Online at: www.mymerrill.com

Account Number:

24-Hour Assistance: (800) MERRILL



DR DAVID PHILIP ROE TTEE
U/A DTD 08/08/2007
BY DAVID PHILIP ROE
216 MAGNOLIA RIDGE DR
JONESBOROUGH TN 37659-4791

Your Financial Advisor:
SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Net Portfolio Value: **\$488,298.40**

CRUT - Advisory

This account is enrolled in the Merrill Lynch Investment Advisory Program

November 30, 2019 - December 31, 2019

	This Statement	Year to Date
Opening Value (1/1/30)	\$484,080.80	
Total Credits	4,224.73	14,768.76
Total Debits	(6,052.58)	(28,923.01)
Securities You Transferred In/Out		
Market Gains/(Losses)	6,045.45	65,173.97
Closing Value (12/31)	\$488,298.40	

ASSETS

	December 31	November 29
Cash/Money Accounts	32,835.00	32,485.83
Fixed Income	163,634.68	155,849.18
Equities		
Mutual Funds	295,378.72	294,507.55
Options		
Other		
Subtotal (Long Portfolio)	491,848.40	482,842.56
Estimated Accrued Interest	1,245.90	1,238.24
TOTAL ASSETS	\$493,094.30	\$484,080.80

LIABILITIES

Debt Balance	(4,795.90)	-
Short Market Value	-	-
TOTAL LIABILITIES	(4,795.90)	-
NET PORTFOLIO VALUE	\$488,298.40	\$484,080.80

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BofA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BofA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

CRUT - Advisory

November 30, 2019 - December 31, 2019

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$32,485.83	
CREDITS		
Funds Received	.	.
Electronic Transfers	.	.
Other Credits	.	2,192.47
Subtotal	.	2,192.47
DEBITS		
Electronic Transfers	.	.
Margin Interest Charged	.	.
Other Debits	(5,470.18)	(21,880.72)
Visa Purchases	.	.
ATM/Cash Advances	.	.
Checks Written/Bill Payment	.	.
Advisory and other fees	(582.40)	(7,042.29)
Subtotal	(6,052.58)	(28,923.01)
Net Cash Flow	(\$6,052.58)	(\$26,730.54)

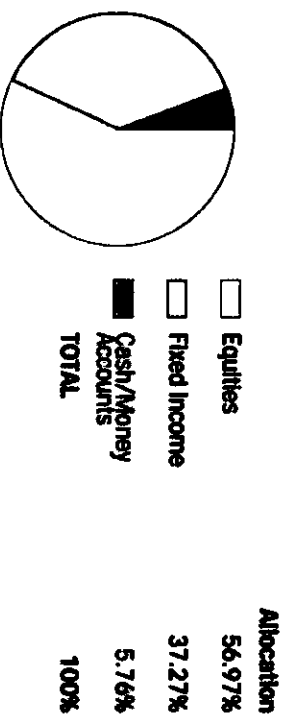
OTHER TRANSACTIONS

Dividends/Interest Income	4,224.73	12,576.29
Security Purchases/Debits	(200,487.42)	(513,140.69)
Security Sales/Credits	197,868.54	528,146.90
Closing Cash/Money Accounts	\$28,039.10	

Fees Included in Transactions Above		
Commissions/Trading Fees	(2.31)	(3.44)

ASSET ALLOCATION*

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%. Includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



CRUT - Advisory

Account Number:

INVESTMENT ADVISORY PROGRAM

November 30, 2019 - December 31, 2019

YOUR INVESTMENT STRATEGY - Custom Managed - Advisor

CIO EQUITY MF/ETF	57.50%	RATE: *	Cast Allocation	5.00%	RATE: *
BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST	2.75%	RATE: *	CIO 1-5 YR CORP LADDER	33.75%	RATE: 0.100%

The "Rate" above is the maximum Style Manager Expense Rate in the Style Manager Expense Rate Schedule for your Style Manager Strategy as of the end of the statement period. The Style Manager Expense Rate Schedules are set forth in the Style Manager Rate List which is available at mymerill.com/ADV/Materials. For certain Style Manager Strategies, the maximum Style Manager Expense Rate is the only rate in the applicable Style Manager Expense Rate Schedule. For certain other Style Manager Strategies, the Style Manager Expense Rate Schedule includes multiple rates based on asset level dollar amount(s) and, for these Strategies, the actual Style Manager Fee Expense Rate charged to an account may be lower than the maximum Style Manager Expense Rate shown in the "Rate" above. If your account is a Retirement Account and you have selected a Related Style Manager, the Style Manager Expense Rate is 0% rather than the Style Manager Expense Rate shown in the "Rate" above for that Related Style Manager. Please note that Bank of America, N.A.'s Chief Investment Office (CIO) is a Related Style Manager as described in the applicable Strategy Profiles. Please see the Merrill Lynch Investment Advisory Program ("Program") Brochure and other disclosure documents provided to you for information on the Related Style Managers.

The Program Fee is calculated as described in the Program Client Agreement and Brochure. For participating Trust Management Accounts (TMA), please refer to your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure in addition to the Program Form ADV Brochure for expense and fee information. Changes in the Style Managers may result in a change in the Style Manager Expense Rate. Upon request, and at no charge, we will provide more detailed information regarding the calculation of the Program Fee, including the Style Manager Expense Rate charged to an Account for the billing period indicated based on the applicable Style Manager Expense Rate Schedule. Please contact your Advisor if you would like to request this detailed fee information. For Funds noted with an asterisk (*) above or for other Funds in your account but not listed above, please see each Fund's prospectus or other disclosure documents for a description of the Fund's fees and expenses. For a "Rate" noted with a double asterisk (**) above, it is the current Overlay Expense Rate for the Overlay Service (as described in the Investment Advisory Program Form ADV Brochure and applicable Profile) as of the end of the statement period. The Overlay Expense Rate will be applied to all assets allocated to the applicable Style Manager Strategy(s) and/or Exchange-Traded Fund(s) within a Custom Managed Strategy for which the Overlay Service has been selected, and which will be identified in the Investment Advisory Program Portfolio Summary rather than this statement; the Overlay Expense Rate will not be applied to the percentage of the assets allocated to the Overlay Service for MAA options strategies. For additional information relating to the Overlay Expense Rate, see the Investment Advisory Program Form ADV Brochure, Investment Advisory Program Client Agreement and the applicable Overlay Service Profile(s). The percentage allocations listed above are based, as applicable, on target allocations for the Strategy selected or the allocations as of a particular point in time. Allocations for any particular account may be different from the allocations indicated above. For additional information, see the Investment Advisory Program Form ADV Brochure, Style Manager Profiles and Style Manager Disclosures as well as your Investment Advisory Program Client Agreement or for participating TMAs your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account Fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

CRUT - Advisory

Account Number:

24-Hour Assistance: (800) MERRILL

INVESTMENT ADVISORY PROGRAM

November 30, 2019 - December 31, 2019

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s).
Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR TAA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	32,252	31,923	.22	6.08	32,835
TOTAL ML Bank Deposit Program	32,252			6.08	32,835

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Est. Annual Yield%		
MAIL BANK DEPOSIT PROGRAM	12,016.00	12,016.00	1.0000	12,016.00		26	.22		
+FDIC INSURED NOT SIPC COVERED									
CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Interest	Estimated Annual Income	
Δ TOYOTA MOTOR CREDIT CORP	06/24/19	6,000	6,000.82	100.0260	6,001.56	.74	39.06	129	
SER MTN 02.150% MAR 12 2020									
MOODY'S: A43 S&P: AA- CUSIP: 89236TCF0									
ORIGINAL UNIT/TOTAL COST: 100.0490/6,002.94									
CURRENT YIELD 2.14%									
Δ TOYOTA MOTOR CREDIT CORP	09/11/19	1,000	1,000.79	100.0260	1,000.26	(0.53)	6.51	22	
ORIGINAL UNIT/TOTAL COST: 100.2000/1,002.00									
Subtotal									
		7,000	7,001.61		7,001.82	.21	45.57	151	
Δ CAPITAL ONE FINANCIAL CO	06/24/19	3,000	3,002.97	100.1320	3,003.96	.99	10.21	75	
GLB 02.500% MAY 12 2020									
MOODY'S: BAA1 S&P: BBB CUSIP: 14040HBP9									

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CRUT - Advisory

Account Number:

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
PAR CALL DATE: 04/12/20 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 100.2378/3,007.13								
CURRENT YIELD 2.49%								
Δ AT&T INC	06/24/19	3,000	3,001.01	100.3400	3,010.20	9.19		74
GLB 02.450% JUN 30 2020								
MOODY'S: BAA2 S&P: BBB CUSIP: 00206RCL4								
PAR CALL DATE: 05/30/20 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 100.0683/3,002.05								
CURRENT YIELD 2.44%								
Δ GOLDMAN SACHS GROUP INC	06/24/19	7,000	7,007.10	100.2100	7,014.70	7.60	2.02	182
GLB 02.600% DEC 27 2020								
MOODY'S: A3 S&P: BBB+ CUSIP: 38141GWS5								
PAR CALL DATE: 12/27/19 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 100.1531/7,010.72								
CURRENT YIELD 2.59%								
Δ ROYAL BANK OF CANADA	06/24/19	7,000	7,027.30	100.7200	7,050.40	23.10	78.75	175
SER GMITN GLB 02.500% JAN 19 2021								
MOODY'S: AA2 S&P: AA CUSIP: 78012KKU0								
ORIGINAL UNIT/TOTAL COST: 100.5780/7,040.46								
CURRENT YIELD 2.48%								
Δ CHEVRON CORP	06/24/19	7,000	7,008.75	100.6320	7,044.24	35.49	18.38	147
GLB 02.100% MAY 16 2021								
MOODY'S: AA2 S&P: AA CUSIP: 166764BG4								
PAR CALL DATE: 04/15/21 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 100.1710/7,011.97								
CURRENT YIELD 2.08%								
WELLS FARGO & COMPANY	06/24/19	7,000	6,973.12	100.1470	7,010.29	37.17	63.29	147
GLB 02.100% JUL 26 2021								
MOODY'S: A2 S&P: A CUSIP: 949746SA0								

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CRUT - Advisory

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Description								
ORIGINAL UNIT/TOTAL COST: 99.6160/6,973.12								
CURRENT YIELD 2.09%								
Δ MORGAN STANLEY	06/24/19	4,000	4,022.10	101.1990	4,047.96	25.86	12.83	106
SER MTN 02.625% NOV 17 2021								
MOODY'S: A3 S&P: BBB+ CUSIP: 61746BED4								
ORIGINAL UNIT/TOTAL COST: 100.7000/4,028.00								
CURRENT YIELD 2.59%								
Δ PFIZER INC	12/23/19	3,000	3,025.77	100.9800	3,029.40	3.63	2.93	66
GLB 02.200% DEC 15 2021								
MOODY'S: A1 S&P: AA- CUSIP: 717081DZ3								
ORIGINAL UNIT/TOTAL COST: 100.8650/3,025.95								
CURRENT YIELD 2.17%								
Δ PEPSCO INC	06/24/19	7,000	7,124.52	102.0910	7,146.37	21.85	62.03	193
GLB 02.750% MAR 05 2022								
MOODY'S: A1 S&P: A+ CUSIP: 713448BY3								
ORIGINAL UNIT/TOTAL COST: 102.1890/7,153.23								
CURRENT YIELD 2.69%								
Δ HOME DEPOT INC	06/24/19	7,000	7,124.27	102.0310	7,142.17	17.90	15.31	184
GLB 02.625% JUN 01 2022								
MOODY'S: A2 S&P: A CUSIP: 437076BG6								
PAR CALL DATE: 05/01/22 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 102.1430/7,150.01								
CURRENT YIELD 2.57%								
Δ REGIONS FINANCIAL CORP	06/24/19	4,000	4,036.22	101.8080	4,072.32	36.10	41.86	110
GLB 02.750% AUG 14 2022								
MOODY'S: BAA2 S&P: BBB+ CUSIP: 7591EPAM2								
PAR CALL DATE: 07/14/22 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 101.0770/4,043.08								
CURRENT YIELD 2.70%								

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CRUT - Advisory

Account Number

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	
Δ KINDER MORGAN ENER PART									
COMPANY GUARNT GLB 03.950% SEP 01 2022	06/25/19	3.000	3,105.20	104.2290	3,126.87	21.67	39.50		119
MOODY'S: BAA2 S&P: BBB CUSIP: 494550BL9									
PAR CALL DATE: 06/01/22 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 104.1540/3.124.62									
CURRENT YIELD 3.78%									
Δ VISA INC									
GLB 02.800% DEC 14 2022	06/28/19	7.000	7,151.59	102.9070	7,203.49	51.90	9.26		196
MOODY'S: AAS S&P: AA- CUSIP: 92826CAC6									
PAR CALL DATE: 10/14/22 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 102.5180/7.176.26									
CURRENT YIELD 2.72%									
Δ CVS HEALTH CORP									
GLB 03.700% MAR 09 2023	06/24/19	3.000	3,091.47	104.2340	3,127.02	35.55	34.53		111
MOODY'S: BAA2 S&P: BBB CUSIP: 126650CVO									
PAR CALL DATE: 02/09/23 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 103.5180/3.105.54									
CURRENT YIELD 3.54%									
Δ EOG RESOURCES INC									
GLB 02.625% MAR 15 2023	06/24/19	4.000	4,049.80	101.8620	4,074.48	24.68	30.92		105
MOODY'S: A3 S&P: A- CUSIP: 26875PAK7									
PAR CALL DATE: 12/15/22 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 101.4370/4.057.48									
CURRENT YIELD 2.57%									
Δ APPLE INC									
GLB 02.400% MAY 03 2023	06/24/19	4.000	4,039.60	101.7060	4,068.24	28.64	15.47		96
MOODY'S: AAT S&P: AA+ CUSIP: 037833AK6									
ORIGINAL UNIT/TOTAL COST: 101.1368/4.045.47									
CURRENT YIELD 2.35%									

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Description	Acquired							
Δ SOUTHERN CO								
GLB 02.950% JUL 01 2023	06/24/19	3,000	3,041.94	102.5810	3,077.43	35.49	44.25	89
MOODY'S: BAA2 S&P: BBB+ CUSIP: 842587CU9								
PAR CALL DATE: 05/01/23 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 101.5930/3,047.79								
CURRENT YIELD 2.87%								
Δ NORTHRUP GRUMMAN CORP								
GLB 03.250% AUG 01 2023	06/24/19	3,000	3,098.09	104.2550	3,127.65	29.56	40.62	98
MOODY'S: BAA2 S&P: BBB CUSIP: 666807BG6								
ORIGINAL UNIT/TOTAL COST: 103.7180/3,111.54								
CURRENT YIELD 3.11%								
Δ NORTHRUP GRUMMAN CORP								
ORIGINAL UNIT/TOTAL COST: 104.1810/1,041.81	12/23/19	1,000	1,041.65	104.2550	1,042.55	.90	13.54	33
Subtotal								
		4,000	4,139.74		4,170.20	30.46	54.16	131
Δ CITIGROUP INC								
GLB 03.875% OCT 25 2023	06/24/19	3,000	3,153.71	106.1900	3,185.70	31.99	21.31	117
MOODY'S: A3 S&P: BBB+ CUSIP: 172967HD6								
ORIGINAL UNIT/TOTAL COST: 105.7798/3,173.39								
CURRENT YIELD 3.64%								
Δ CITIGROUP INC								
ORIGINAL UNIT/TOTAL COST: 106.0000/1,060.00	12/23/19	1,000	1,059.79	106.1900	1,061.90	2.11	7.10	39
Subtotal								
		4,000	4,213.50		4,247.60	34.10	28.41	156
Δ MICROSOFT CORP								
GLB 03.625% DEC 15 2023	06/24/19	7,000	7,417.00	106.4590	7,452.13	35.13	11.28	254
MOODY'S: AAA S&P: AAA CUSIP: 594918AW4								
PAR CALL DATE: 09/15/23 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 106.6970/7,468.79								
CURRENT YIELD 3.40%								

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Δ THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 MOODY'S: BAA1 S&P: BBB+ CUSIP: 883556BF8 PAR CALL DATE: 11/01/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 107.5100/3,225.30 CURRENT YIELD 3.87%	06/24/19	3,000	3,201.29	107.1640	3,214.92	13.63	51.87	125
Δ THERMO FISHER SCIENTIFIC ORIGINAL UNIT/TOTAL COST: 107.0800/1,070.80 Subtotal	12/23/19	1,000	1,070.57	107.1640	1,071.64	1.07	17.29	42
Δ AMERICAN INTL GROUP GLB 04.125% FEB 15 2024 MOODY'S: BAA1 S&P: BBB+ CUSIP: 026874CY1 ORIGINAL UNIT/TOTAL COST: 106.3810/3,191.43 CURRENT YIELD 3.84%	06/24/19	3,000	3,171.30	107.3910	3,221.73	50.43	46.75	124
Δ AMERICAN INTL GROUP ORIGINAL UNIT/TOTAL COST: 107.0250/1,070.25 Subtotal	12/23/19	1,000	1,070.02	107.3910	1,073.91	3.89	15.58	42
Δ COMCAST CORP COMPANY GUARNT GLB 03.700% APR 15 2024 MOODY'S: A3 S&P: A- CUSIP: 20030NCR0 PAR CALL DATE: 03/15/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 106.2354/7,436.48 CURRENT YIELD 3.46%	06/24/19	7,000	7,391.94	106.6590	7,466.13	74.19	54.68	259
Δ US BANCORP SUBORDINATED SER MTN 03.600% SEP 11 2024 MOODY'S: A1 S&P: A- CUSIP: 91159HHK9 PAR CALL DATE: 08/11/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 105.4630/7,382.41 CURRENT YIELD 3.38%	06/24/19	7,000	7,346.72	106.3220	7,442.54	95.82	77.00	252

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Δ BBAT CORPORATION SER MTN 02.850% OCT 26 2024 MOODY'S: A3 S&P: A- CUSIP: 05531FBB8 PAR CALL DATE: 09/26/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102.5870/8,206.96 CURRENT YIELD 2.75%	06/24/19	8,000	8,188.07	103.3210	8,265.68	77.61	41.17	228
Δ MERCK & CO INC GLB 02.750% FEB 10 2025 MOODY'S: A1 S&P: AA CUSIP: 58933YAR6 PAR CALL DATE: 11/10/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 103.1290/4,125.16 CURRENT YIELD 2.65%	06/24/19	4,000	4,114.32	103.7600	4,150.40	36.08	43.08	110
Δ VERIZON COMMUNICATIONS GLB 03.376% FEB 15 2025 MOODY'S: BAA1 S&P: BBB+ CUSIP: 92343VEN0 ORIGINAL UNIT/TOTAL COST: 104.7020/4,188.08 CURRENT YIELD 3.18%	06/24/19	4,000	4,171.96	105.9310	4,237.24	65.28	51.02	136
Δ JPMORGAN CHASE & CO 03.900% JUL 15 2025 MOODY'S: A2 S&P: A- CUSIP: 46625HMAN7 PAR CALL DATE: 04/15/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 108.7970/1,087.97 CURRENT YIELD 3.61%	08/26/19	1,000	1,083.17	108.0080	1,080.08	(3.09)	17.98	39
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 107.9720/5,398.60	11/14/19	5,000	5,390.69	108.0080	5,400.40	9.71	89.92	195
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 107.6800/1,076.80	12/23/19	1,000	1,076.62	108.0080	1,080.08	3.46	17.98	39
Subtotal		7,000	7,550.48		7,560.56	10.08	125.88	273

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Interest	Estimated Annual Income
Δ UNITED TECHNOLOGIES CORP GLB 03.950% AUG 16 2025 MOODY'S: BAA1 S&P: BBB+ CUSIP: 913017DD8 PAR CALL DATE: 06/16/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 109.5650/1.095.65 CURRENT YIELD 3.62%	09/30/19	1,000	1,091.86	109.0580	1,090.58	(1.28)	14.81	40
Δ UNITED TECHNOLOGIES CORP ORIGINAL UNIT/TOTAL COST: 109.0470/2.180.94	10/29/19	2,000	2,176.04	109.0580	2,181.16	5.12	29.63	79
Δ UNITED TECHNOLOGIES CORP ORIGINAL UNIT/TOTAL COST: 108.6770/1.086.77	12/23/19	1,000	1,086.57	109.0580	1,090.58	4.01	14.81	40
Subtotal		4,000	4,354.47		4,362.32	7.85	59.25	159
Δ HP ENTERPRISE CO GLB 04.900% OCT 15 2025 MOODY'S: BAA2 S&P: BBB CUSIP: 42824CAW9 PAR CALL DATE: 07/15/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 111.4630/3.343.89 CURRENT YIELD 4.40%	11/14/19	3,000	3,337.45	111.1580	3,334.74	(2.71)	31.03	147
Δ HP ENTERPRISE CO ORIGINAL UNIT/TOTAL COST: 110.7940/1.107.94	12/23/19	1,000	1,107.70	111.1580	1,111.58	3.88	10.34	49
Subtotal		4,000	4,445.15		4,446.32	1.17	41.37	196
TOTAL		158,000	162,680.87		163,634.68	953.81	1,245.90	4,812

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETPS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST SYMBOL: BFRX Initial Purchase: 10/30/19	1,785,000	17,689.35	10.0300	17,903.55	214.20	17,689	214	896

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
Fixed Income 100%								
BLACKROCK EOTY DIVIDEND FUND INSTL CURRENT YIELD 1.95% SYMBOL: MADYX Initial Purchase: 04/16/18 Equity 100%	1,223.1380	26,052.92	20.8800	25,539.12	(513.80)	26,052	(513)	500
CLEARBRIDGE SMALL CAP FUND CLASS I CURRENT YIELD 0.36% SYMBOL: LMNSX Initial Purchase: 12/18/19 Equity 100%	350.0000	22,468.04	65.3200	22,862.00	393.96	22,468	393	84
CLEARBRIDGE LARGE CAP GROWTH FUND CL I CURRENT YIELD 0.33% SYMBOL: SBLTX Initial Purchase: 12/18/19 Equity 100%	391.0000	21,082.35	54.6100	21,352.51	270.16	21,082	270	73
EDGEWOOD GROWTH FUND CL INST SYMBOL: EGFIK Initial Purchase: 12/18/19 Equity 100%	369.0000	14,044.72	38.5200	14,213.88	169.16	14,044	169	
HARTFORD SCHROEDERS EMERG MKTS EQUITY FD CL I CURRENT YIELD 2.16% SYMBOL: SEMNX Initial Purchase: 12/18/19 Equity 100%	1,668.0000	28,119.26	16.5900	27,672.12	(447.14)	28,119	(447)	601
INVECO OPPENHEIMER INTL GROWTH FUND CL Y CURRENT YIELD 1.07% SYMBOL: OIGYX Initial Purchase: 09/14/12 Equity 100%	464.0610	18,522.19	44.2600	20,539.34	2,017.15	18,522	2,017	221
ISHARES RUSSELL 1000 GROWTH CURRENT YIELD 0.99% SYMBOL: IWF Initial Purchase: 12/18/19 Equity 100%	202.0000	35,087.82	175.9200	35,535.84	448.02	35,087	448	353
ISHARES RUSSELL 1000 VALUE CURRENT YIELD 2.26%	374.0000	47,153.80	136.4800	51,043.52	3,889.72	47,153	3,889	1,159

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS (continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
SYMBOL: INWD Initial Purchase: 04/16/18 Equity 100%								
ISHARES TR CORE MSCI EAF	278.0000	17,221.35	65.2400	18,136.72	915.37	17,221	915	578
ETF CURRENT YIELD 3.18%								
SYMBOL: IEFA Initial Purchase: 09/29/17 Equity 100%								
MFS VALUE FD CL I	564.0980	24,333.12	44.6800	25,203.90	870.78	24,333	870	487
CURRENT YIELD 1.93%								
SYMBOL: MEIX Initial Purchase: 04/16/18 Equity 100%								
OAKMARK INTL FD CL	617.2710	15,687.46	24.9100	15,376.22	(311.24)	15,687	(311)	296
ADV CURRENT YIELD 1.92%								
SYMBOL: OAYIX Initial Purchase: 07/05/17 Equity 100%								
Subtotal (Fixed Income)				17,903.55				
Subtotal (Equities)				277,475.17				
TOTAL YIELD 1.78%		287,462.38		295,378.72	7,916.34		7,914	5,248
TOTAL PRINCIPAL INVESTMENTS		YIELD 2.14%	462,159.25	471,029.40	8,870.15			10,086

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor, and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPF&S and its affiliates.

Notes

^Δ Debt Instruments purchased at a premium show amortization

^Θ Debt Instruments purchased at a discount show accretion

[✦] Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

Principal Debt Balance

5,469.61

YOUR TAA STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Annual Income	Yield%
CASH	673.71	673.71		673.71			
+MIL BANK DEPOSIT PROGRAM	20,819.00	20,819.00	1.0000	20,819.00		46	.22
+FDIC INSURED NOT SIPC COVERED							
TOTAL		21,492.71		21,492.71		46	.22
TOTAL INCOME INVESTMENTS		21,492.71		21,492.71		46	.21
LONG PORTFOLIO							
		Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Estimated
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Annual Income
TOTAL PRINCIPAL/INCOME INVESTMENTS	YIELD 2.06%	483,651.96	492,522.11	8,870.15	1,245.90	10,132	

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	Principal	Income
Date	Description	Transaction Type	Quantity	Cash	Cash	Year To Date
Tax-Exempt Interest						
Subtotal (Tax-Exempt Interest)						(21.57)
Taxable Interest						
12/02	ABBOTT LABORATORIES	Interest		43.50		
	GLB 02.900% NOV 30 2021 INTEREST CREDIT PAY DATE 11/30/2019 CUSIP NUM: 002824BD1					
12/02	HOME DEPOT INC	Interest		91.88		
	GLB 02.625% JUN 01 2022 INTEREST CREDIT PAY DATE 12/01/2019 CUSIP NUM: 437076BG6					
12/16	MICROSOFT CORP	Interest		126.88		
	GLB 03.625% DEC 15 2023 INTEREST CREDIT PAY DATE 12/15/2019 CUSIP NUM: 594918AW4					
12/16	VISA INC	Interest		98.00		
	GLB 02.800% DEC 14 2022 INTEREST CREDIT PAY DATE 12/14/2019 CUSIP NUM: 92826CAC6					
12/19	ABBOTT LABORATORIES CLD	Interest		4.59		
	GLB 02.900% NOV 30 2021 INTEREST CREDIT PAY DATE 12/19/2019 CUSIP NUM: 002824BD1					
12/26	AMERICAN INTL GROUP	Accrued Interest		(15.01)		
	GLB 04.125% FEB 15 2024 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.33% MATURITY DATE 2/15/24. 131 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 107.025000 ML ACTED AS AGENT. CUSIP NUM: 026874CY1					
12/26	HP ENTERPRISE CO	Accrued Interest		(9.66)		
	GLB 04.900% OCT 15 2025 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 71 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 110.794000 ML ACTED AS AGENT. CUSIP NUM: 42824CAW9					
12/26	CITIGROUP INC	Accrued Interest		(6.57)		
	GLB 03.875% OCT 25 2023 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB& YLD TO MATURITY 2.23% MATURITY DATE 10/25/23. 61 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 106.000000 ML ACTED AS AGENT. CUSIP NUM: 172967HD6					
12/26	JPMORGAN CHASE & CO	Accrued Interest		(17.44)		
	03.900% JUL 15 2025 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.35% CALL DATE 4/15/25 CALL PRICE \$100.00. 161 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 107.680000 ML ACTED AS AGENT. CUSIP NUM: 46625HMN7					
12/26	NORTHROP GRUMMAN CORP	Accrued Interest		(13.09)		
	GLB 03.250% AUG 01 2023 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD					

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date

Taxable Interest

TO MATURITY 2.03% MATURITY DATE 8/01/23. 145 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 104.181000 ML ACTED AS AGENT. CUSIP NUM: 666807BG6

12/26 PFIZER INC

Accrued Interest

(2.02)

GLB 02.200% DEC 15 2021 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA-MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.75% MATURITY DATE 12/15/21. 11 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.865000 ML ACTED AS AGENT. CUSIP NUM: 717081DZ3

12/26 THERMO FISHER SCIENTIFIC

Accrued Interest

(16.72)

GLB 04.150% FEB 01 2024 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.22% CALL DATE 11/01/23 CALL PRICE \$100.00. 145 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 107.080000 ML ACTED AS AGENT. CUSIP NUM: 883556BF8

12/26 UNITED TECHNOLOGIES CORP

Accrued Interest

(14.26)

GLB 03.950% AUG 16 2025 BUY ACCRUED INT RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.25% CALL DATE 6/16/25 CALL PRICE \$100.00. 130 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 108.677000 ML ACTED AS AGENT. CUSIP NUM: 913017DD8

12/27 GOLDMAN SACHS GROUP INC

Interest

91.00

GLB 02.600% DEC 27 2020 INTEREST CREDIT PAY DATE 12/27/2019 CUSIP NUM: 38141GWG5

12/30 AT&T INC

Interest

36.75

GLB 02.450% JUN 30 2020 INTEREST CREDIT PAY DATE 12/30/2019 CUSIP NUM: 00206RCL4

12/31 BANK DEPOSIT INTEREST

H Bank Interest

1.08

BANKING INTEREST

ML BANK DEPOSIT PROGRAM

Income Total

5.00

Subtotal (Taxable Interest)

403.91

3,611.48

Taxable Dividends

12/02 BLACKROCK FLOATING RATE

Dividend

63.07

INCOME PORTFOLIO CL INST DIVIDEND PAY DATE 11/29/2019

12/12 WELLS FARGO SPECIAL

S* Long Term Capital Gain

41.32

SMALL CAP VALUE FD INSTL LONG TERM CAPITAL GAIN PAY DATE 12/11/2019

12/12 WELLS FARGO SPECIAL

Short Term Capital Gain

11.70

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MERRILL LYNCH
 A BANK OF AMERICA COMPANY

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends						
	SMALL CAP VALUE FD INSTL SHORT TERM CAPITAL GAIN PAY DATE 12/11/2019					
12/16	DIAMOND HILL LARGE CAP	*Long Term Capital Gain			290.51	
	FD CL I LONG TERM CAPITAL GAIN PAY DATE 12/13/2019					
12/16	WELLS FARGO SMALL	*Long Term Capital Gain			397.83	
	COMPANY GROWTH FD INSTL LONG TERM CAPITAL GAIN PAY DATE 12/13/2019					
12/16	WELLS FARGO SMALL	Short Term Capital Gain			39.50	
	COMPANY GROWTH FD INSTL SHORT TERM CAPITAL GAIN PAY DATE 12/13/2019					
12/16	OAKMARK INTL FD CL	Dividend		135.29		
	ADV DIVIDEND PAY DATE 12/13/2019					
12/17	JOHN HANCOCK DISCIPLINED	Dividend		118.59		
	VALUE FUND CL I DIVIDEND PAY DATE 12/16/2019					
12/17	JOHN HANCOCK DISCIPLINED	*Long Term Capital Gain			369.08	
	VALUE FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/16/2019					
12/17	INVESCO OPPENHEIMER	Dividend		103.82		
	INTL GROWTH FUND CL Y DIVIDEND PAY DATE 12/16/2019					
12/18	HARDING LOEWNER INTL	Dividend		109.93		
	EQUITY PT FD INSTL CL DIVIDEND PAY DATE 12/17/2019					
12/19	VANGUARD CONSUMER	Dividend		27.61		
	STAPLES ETF DIVIDEND HOLDING 26.0000 PAY DATE 12/19/2019					
12/19	VANGUARD MATERIALS ETF	Dividend		8.98		
	DIVIDEND HOLDING 13.0000 PAY DATE 12/19/2019					
12/19	VANGUARD INFORMATION	Dividend		46.89		
	TECH ETF DIVIDEND HOLDING 72.0000 PAY DATE 12/19/2019					
12/19	VANGUARD INDUSTRIAL ETF	Dividend		25.96		
	DIVIDEND HOLDING 38.0000 PAY DATE 12/19/2019					
12/19	T ROWE PRICE EMERGING	Dividend		219.12		
	MARKETS STOCK FD INV CL DIVIDEND PAY DATE 12/18/2019					
12/19	WELLS FARGO SPECIAL	Dividend		71.06		

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CRUT - Advisory

Account Number

24-Hour Assistance: (800) MERRILL

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date

Taxable Dividends

12/20	SMALL CAP VALUE FD INSTL DIVIDEND PAY DATE 12/18/2019					
12/20	ISHARES RUSSELL 1000	Dividend		232.37		
12/20	VALUE DIVIDEND HOLDING 257.0000 PAY DATE 12/20/2019					
12/20	ISHARES RUSSELL 1000	Dividend		64.07		
12/20	VALUE DIVIDEND HOLDING 257.0000 PAY DATE 12/20/2019					
12/20	ISHARES NASDAQ BIOTECH	Dividend		1.91		
12/20	ETF DIVIDEND HOLDING 33.0000 PAY DATE 12/20/2019					
12/20	ISHARES RS 2000 GROWTH	Dividend		6.41		
12/20	DIVIDEND HOLDING 13.0000 PAY DATE 12/20/2019					
12/20	ISHARES RS 2000 VALUE	Dividend		16.75		
12/20	DIVIDEND HOLDING 21.0000 PAY DATE 12/20/2019					
12/20	ISHARES MSCI JAPAN ETF	Dividend		42.96		
12/20	SHS DIVIDEND HOLDING 60.0000 PAY DATE 12/20/2019					
12/20	ISHARES TR CORE MSCI EAF	Dividend		244.72		
12/20	ETF DIVIDEND HOLDING 278.0000 PAY DATE 12/20/2019					
12/20	MFS VALUE FD CL I	Dividend		87.47		
12/20	DIVIDEND PAY DATE 12/19/2019					
12/20	MFS VALUE FD CL I	*Long Term Capital Gain			271.57	
12/20	LONG TERM CAPITAL GAIN PAY DATE 12/19/2019					
12/23	VANGUARD DIVIDEND	Dividend		67.63		
12/23	APPRECIATION ETF DIVIDEND HOLDING 113.0000 PAY DATE 12/23/2019					
12/23	EDGEWOOD GROWTH FUND CL	*Long Term Capital Gain			32.57	
12/23	INST LONG TERM CAPITAL GAIN PAY DATE 12/20/2019					
12/31	FIRST TRUST CLOUD	Dividend		6.13		
12/31	COMPUTING ETF DIVIDEND HOLDING 119.0000 PAY DATE 12/31/2019					
12/31	BLACKROCK FLOATING RATE	Dividend		64.81		
12/31	INCOME PORTFOLIO CL INST DIVIDEND PAY DATE 12/31/2019					
12/31	HARTFORD SCHROEDERS EMERG	Dividend		601.19		

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Account Number: 729-11096

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date

Taxable Dividends

MKT'S EQUITY FD CL I DIVIDEND PAY DATE 12/30/2019

Subtotal (Taxable Dividends)

NET TOTAL

Long Term Capital Gain Distributions

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

SECURITY TRANSACTIONS

TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/Trading Fees	Income Cash	Principal Cash

Purchases

12/12	FIRST TRUST DOW JONES	Purchase	3.0000	(403.59)			(403.59)
12/10	INTERNET INDEX FUND SUBSCRIPTION PER ADVISORY AGREEMENT. CUS NO 33733E302 SEC NO 31KW2 PRINCIPAL 403.59 UNIT PRICE 134.5300						
12/12	REAL ESTATE SELECT	Purchase	2.0000	(76.63)			(76.63)
12/10	SECTOR SPDR FD SHS SUBSCRIPTION PER ADVISORY AGREEMENT. CUS NO 81369Y860 SEC NO 31MVS PRINCIPAL 76.63 UNIT PRICE 38.3150						
12/12	COMM SERVICES SELECT	Purchase	14.0000	(735.84)			(735.84)
12/10	SECTOR SUBSCRIPTION PER ADVISORY AGREEMENT. CUS NO 81369Y852 SEC NO 31OX9 PRINCIPAL 735.84 UNIT PRICE 52.5597						
12/12	FIRST TRUST CLOUD	Purchase	2.0000	(119.54)			(119.54)
12/10	COMPUTING ETF SUBSCRIPTION PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. CUS NO 33734X192 SEC NO 39RY4 PRINCIPAL 119.54 UNIT PRICE 59.7699						
12/19	CLEARBRIDGE SMALL CAP	Purchase	282.0000	(18,087.48)			(18,087.48)
12/18	FUND CLASS I SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 524686466 SEC NO 9K162 PRINCIPAL 18087.48 UNIT PRICE 64.1400						
12/19	MFS VALUE FD CL I	Purchase	295.0000	(13,257.30)			(13,257.30)
12/18	SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 552983694 SEC NO 9K2M9 PRINCIPAL 13257.30 UNIT PRICE 44.9400						

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24-Hour Assistance: (800) MERRILL

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/19	INVESCO OPPENHEIMER	Purchase	197.0000	(8,628.60)			(8,628.60)
12/18	INTL GROWTH FUND CL Y SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 00900W597 SEC NO 9LX99 PRINCIPAL 8628.60 UNIT PRICE 43.8000						
12/19	EDGEWOOD GROWTH FUND CL	Purchase	298.0000	(11,324.00)			(11,324.00)
12/18	INST SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 0075W0759 SEC NO 9L8K9 PRINCIPAL 11324.00 UNIT PRICE 38.0000						
12/19	HARTFORD SCHROEDERS EMERG	Purchase	1,346.0000	(22,693.56)			(22,693.56)
12/18	MKTS EQUITY FD CL I SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 41665H847 SEC NO 9UL01 PRINCIPAL 22693.56 UNIT PRICE 16.8600						
12/19	OAKMARK INTL FD CL	Purchase	270.0000	(6,701.40)			(6,701.40)
12/18	ADV SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 413838731 SEC NO 9U0Y3 PRINCIPAL 6701.40 UNIT PRICE 24.8200						
12/19	CLEARBRIDGE LARGE CAP	Purchase	316.0000	(17,016.60)			(17,016.60)
12/18	GROWTH FUND CL I SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 52469H784 SEC NO 975X3 PRINCIPAL 17016.60 UNIT PRICE 53.8500						
12/19	BLACKROCK EOTY DIVIDEND	Purchase	639.0000	(13,214.52)			(13,214.52)
12/18	FUND INSTL SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 09251M504 SEC NO 974Y9 PRINCIPAL 13214.52 UNIT PRICE 20.6800						
12/20	ISHARES RUSSELL 1000	Purchase	202.0000	(35,087.82)			(35,087.82)
12/18	GROWTH SUBSCRIPTION PER ADVISORY AGREEMENT. CUS NO 464287614 SEC NO 31CK0 PRINCIPAL 35087.82 UNIT PRICE 173.7021						
12/20	ISHARES RUSSELL 1000	Purchase	117.0000	(15,835.52)			(15,835.52)
12/18	VALUE SUBSCRIPTION PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT. CUS NO 464287598 SEC NO 31CK1 PRINCIPAL 15835.52 UNIT PRICE 135.3463						
12/20	CLEARBRIDGE SMALL CAP	Purchase	68.0000	(4,380.56)			(4,380.56)
12/19	FUND CLASS I SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 524686466 SEC NO 9K162 PRINCIPAL 4380.56 UNIT PRICE 64.4200						

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Account Number:

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/20	MFS VALUE FD CL I	Purchase	73.0000	(3,237.55)			(3,237.55)
12/19	SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 552983694 SEC NO 9K2M9 PRINCIPAL 3237.55 UNIT PRICE 44.3500						
12/20	INVESCO OPPENHEIMER	Purchase	49.0000	(2,147.67)			(2,147.67)
12/19	INTL GROWTH FUND CL Y SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 00900W57 SEC NO 9LX99 PRINCIPAL 2147.67 UNIT PRICE 43.8300						
12/20	EDGEWOOD GROWTH FUND CL	Purchase	71.0000	(2,720.72)			(2,720.72)
12/19	INST SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 0075W0759 SEC NO 9L8K9 PRINCIPAL 2720.72 UNIT PRICE 38.3200						
12/20	HARTFORD SCHROEDERS EMERG	Purchase	322.0000	(5,425.70)			(5,425.70)
12/19	MKTS EQUITY FD CL I SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 41665H847 SEC NO 9UL01 PRINCIPAL 5425.70 UNIT PRICE 16.8500						
12/20	OAKMARK INTL FD CL	Purchase	65.0000	(1,612.00)			(1,612.00)
12/19	ADV SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 413838731 SEC NO 9U0Y3 PRINCIPAL 1612.00 UNIT PRICE 24.8000						
12/20	CLEARBRIDGE LARGE CAP	Purchase	75.0000	(4,065.75)			(4,065.75)
12/19	GROWTH FUND CL I SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 52469H784 SEC NO 97SX3 PRINCIPAL 4065.75 UNIT PRICE 54.2100						
12/20	BLACKROCK EOTY DIVIDEND	Purchase	153.0000	(3,174.75)			(3,174.75)
12/19	FUND INSTL SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 09251M504 SEC NO 974Y9 PRINCIPAL 3174.75 UNIT PRICE 20.7500						
12/26	AMERICAN INTL GROUP	Purchase	1,000.0000	(1,085.26)			(1,070.25)
12/23	GLB 04.125% FEB 15 2024 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.33% MATURITY DATE 2/15/24. 131 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 026874CV1 SEC NO A04T8						
12/26	PRINCIPAL 1070.25 UNIT PRICE 107.0250						
12/26	HP ENTERPRISE CO	Purchase	1,000.0000	(1,117.60)			(1,107.94)

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24-Hour Assistance: (800) MERRILL

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/23	GLB 04.900% OCT 15 2025 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 71 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 42824CAW9 SEC NO BA055 PRINCIPAL 1107.94 UNIT PRICE 110.7940						
12/26	CITIGROUP INC	Purchase	1,000,0000	(1,066.57)			(1,066.00)
12/23	GLB 03.875% OCT 25 2023 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB& YLD TO MATURITY 2.23% MATURITY DATE 10/25/23. 61 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 172967HD6 SEC NO B2B55 PRINCIPAL 1060.00 UNIT PRICE 106.0000						
12/26	JPMORGAN CHASE & CO	Purchase	1,000,0000	(1,094.24)			(1,076.80)
12/23	03.900% JUL 15 2025 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.35% CALL DATE 4/15/25 CALL PRICE \$100.00. 161 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 46625HNN7 SEC NO C06R0 PRINCIPAL 1076.80 UNIT PRICE 107.6800						
12/26	NORTHROP GRUMMAN CORP	Purchase	1,000,0000	(1,054.90)			(1,041.87)
12/23	GLB 03.250% AUG 01 2023 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.03% MATURITY DATE 8/01/23. 145 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 666807BG6 SEC NO D2154 PRINCIPAL 1041.81 UNIT PRICE 104.1810						
12/26	PFIZER INC	Purchase	3,000,0000	(3,027.97)			(3,025.95)
12/23	GLB 02.200% DEC 15 2021 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA-MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.75% MATURITY DATE 12/15/21. 11 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 717081D23 SEC NO D8612 PRINCIPAL 3025.95 UNIT PRICE 100.8650						
12/26	THERMO FISHER SCIENTIFIC	Purchase	1,000,0000	(1,087.52)			(1,070.80)
12/23	GLB 04.150% FEB 01 2024 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.22% CALL DATE 11/01/23 CALL PRICE \$100.00. 145 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 883556BF8 SEC NO E6C23 PRINCIPAL 1070.80 UNIT PRICE 107.0800						
12/26	UNITED TECHNOLOGIES CORP	Purchase	1,000,0000	(1,101.03)			(1,086.77)
12/23	GLB 03.950% AUG 16 2025 SUBSCRIPTION RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.25% CALL DATE 6/16/25 CALL PRICE \$100.00. 130 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 913017BD8 SEC NO E6934 PRINCIPAL 1086.77 UNIT PRICE 108.6770						

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases				(200,582.19)			(200,487.42)
Sales							
12/12	■ ISHARES NASDAQ BIOTECH	Sale	-2.0000	240.64	(.01)		240.63
12/10	ETF REDEEMED PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. CUS NO 464287556 SEC NO 31CM7 PRINCIPAL 240.63 TRN FEE 0.01 UNIT PRICE 120.3202						
12/12	■ VANGUARD INFORMATION	Sale	-1.0000	234.39	(.01)		234.38
12/10	TECH ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 92204A702 SEC NO 31FM7 PRINCIPAL 234.38 TRN FEE 0.01 UNIT PRICE 234.3900						
12/12	■ HEALTH CARE SELECT SPDR	Sale	-1.0000	99.89	(.01)		99.88
12/10	REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y209 SEC NO 673F6 PRINCIPAL 99.88 TRN FEE 0.01 UNIT PRICE 99.8900						
12/12	■ CONSUMER DISCRETIONARY	Sale	-8.0000	974.40	(.02)		974.38
12/10	SPDR REDEEMED PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. CUS NO 81369Y407 SEC NO 673G1 PRINCIPAL 974.38 TRN FEE 0.02 UNIT PRICE 121.8000						
12/12	■ SPDR US FINANCIAL SECTOR	Sale	-2.0000	60.60	(.01)		60.59
12/10	ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y605 SEC NO 673G3 PRINCIPAL 60.59 TRN FEE 0.01 UNIT PRICE 30.3000						
12/19	■ JOHN HANCOCK DISCIPLINED	Sale	-400.9040	8,238.58			8,238.58
12/18	VALUE FUND CL I REDEEMED FRAC SHR QUANTITY .904 PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 47803U640 SEC NO 9EHV3 PRINCIPAL 8238.58 UNIT PRICE 20.5500						
12/19	■ DELAWARE EMERGING	Sale	-584.6540	12,090.64			12,090.64
12/18	MARKETS FD CL INSTL REDEEMED FRAC SHR QUANTITY .654 PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 245914817 SEC NO 9KTH9 PRINCIPAL 12090.64 UNIT PRICE 20.6800						
12/19	■ HARDING LOEVNER INTL	Sale	-290.0000	6,858.50			6,858.50
12/18	EQUITY PT FD INSTL CL REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 412295107 SEC NO 9KY55 PRINCIPAL 6858.50 UNIT PRICE 23.6500						
12/19	■ T ROWE PRICE EMERGING	Sale	-377.7890	17,608.75			17,608.75
12/18	MARKETS STOCK FD INV CL REDEEMED FRAC SHR QUANTITY .789 PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 77956H864 SEC NO 9K6D2						

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/19	■ PRINCIPAL 17608.75 UNIT PRICE	46.6100					
12/19	■ WELLS FARGO SPECIAL	Sale	-172.4590	6,175.76			6,175.76
12/18	SMALL CAP VALUE FD INSTL REDEEMED FRAC SHR QUANTITY .459 PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 94975P447 SEC NO 9K907 PRINCIPAL 6175.76 UNIT PRICE 35.8100						
12/19	■ DIAMOND HILL LARGE CAP	Sale	-280.2210	8,196.46			8,196.46
12/18	FD CL I REDEEMED FRAC SHR QUANTITY .221 PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 25264S841 SEC NO 9LRGO PRINCIPAL 8196.46 UNIT PRICE 29.2500						
12/19	■ CARILTON EAGLE SMALL CAP	Sale	-102.0000	5,703.84			5,703.84
12/18	GROWTH FUND CL I REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 14214L486 SEC NO 9L6B4 PRINCIPAL 5703.84 UNIT PRICE 55.9200						
12/19	■ CHARTWELL SMALL CAP	Sale	-253.4590	4,962.73			4,962.73
12/18	VALUE FUND CLASS I REDEEMED FRAC SHR QUANTITY .459 PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 16140T509 SEC NO 9M066 PRINCIPAL 4962.73 UNIT PRICE 19.5800						
12/19	■ WELLS FARGO SMALL	Sale	-97.0000	5,323.36			5,323.36
12/18	COMPANY GROWTH FD INSTL REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 949921571 SEC NO 9PK42 PRINCIPAL 5323.36 UNIT PRICE 54.8800						
12/19	■ CAMBIAR INTERNATIONAL	Sale	-354.4060	9,685.92			9,685.92
12/18	EQUITY FUND INSTL CL REDEEMED FRAC SHR QUANTITY .406 PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 00769G543 SEC NO 97NI2 PRINCIPAL 9685.92 UNIT PRICE 27.3300						
12/20	■ ISHARES NASDAQ BIOTECH	Sale	-33.0000	3,975.84			3,975.76
12/18	ETF REDEEMED PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. CUS NO 464287556 SEC NO 31CM7 PRINCIPAL 3975.76 TRN FEE 0.08 UNIT PRICE 120.4800						
12/20	■ VANGUARD CONSUMER	Sale	-26.0000	4,130.42			4,130.33
12/18	STAPLES ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 92204A207 SEC NO 31FMO PRINCIPAL 4130.33 TRN FEE 0.09 UNIT PRICE 158.8622						
12/20	■ VANGUARD MATERIALS ETF	Sale	-13.0000	1,715.80			1,715.76
12/18	REDEEMED PER ADVISORY AGREEMENT. CUS NO 92204A801 SEC NO 31FM6 PRINCIPAL 1715.76 TRN FEE 0.04 UNIT PRICE 131.9848						

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/20	■ VANGUARD INFORMATION	Sale	-72.0000	17,330.79	(.36)		17,330.43
12/18	TECH ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 92204A702 SEC NO 31FM7 PRINCIPAL 17330.43 TRN FEE 0.36 UNIT PRICE 240.7054						
12/20	■ VANGUARD INDUSTRIAL ETF	Sale	-38.0000	5,813.05	(.12)		5,812.93
12/18	REDEEMED PER ADVISORY AGREEMENT. CUS NO 92204A603 SEC NO 31FX1 PRINCIPAL 5812.93 TRN FEE 0.12 UNIT PRICE 152.9751						
12/20	■ VANGUARD DIVIDEND	Sale	-113.0000	13,922.07	(.29)		13,921.78
12/18	APPRECIATION ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 921908844 SEC NO 31KM9 PRINCIPAL 13921.78 TRN FEE 0.29 UNIT PRICE 123.2042						
12/20	■ FIRST TRUST DOW JONES	Sale	-53.0000	7,316.44	(.15)		7,316.29
12/18	INTERNET INDEX FUND REDEEMED PER ADVISORY AGREEMENT. CUS NO 33733E302 SEC NO 31KW2 PRINCIPAL 7316.29 TRN FEE 0.15 UNIT PRICE 138.0460						
12/20	■ REAL ESTATE SELECT	Sale	-44.0000	1,672.18	(.03)		1,672.15
12/18	SECTOR SPDR FD SHS REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y860 SEC NO 31MN5 PRINCIPAL 1672.15 TRN FEE 0.03 UNIT PRICE 38.0042						
12/20	■ COMM SERVICES SELECT	Sale	-208.0000	11,119.68	(.23)		11,119.45
12/18	SECTOR REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y852 SEC NO 31OX9 PRINCIPAL 11119.45 TRN FEE 0.23 UNIT PRICE 53.4600						
12/20	■ ISHARES RS 2000 GROWTH	Sale	-13.0000	2,766.92	(.06)		2,766.86
12/18	REDEEMED PER ADVISORY AGREEMENT. CUS NO 464287648 SEC NO 385M6 PRINCIPAL 2766.86 TRN FEE 0.06 UNIT PRICE 212.8398						
12/20	■ ISHARES RS 2000 VALUE	Sale	-21.0000	2,697.58	(.06)		2,697.52
12/18	REDEEMED PER ADVISORY AGREEMENT. CUS NO 464287630 SEC NO 385P9 PRINCIPAL 2697.52 TRN FEE 0.06 UNIT PRICE 128.4560						
12/20	■ FIRST TRUST CLOUD	Sale	-119.0000	7,163.09	(.15)		7,162.94
12/18	COMPUTING ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 33734X192 SEC NO 39RY4 PRINCIPAL 7162.94 TRN FEE 0.15 UNIT PRICE 60.1940						
12/20	■ ISHARES MSCI JAPAN ETF	Sale	-60.0000	3,592.24	(.07)		3,592.17
12/18	SHS REDEEMED PER ADVISORY AGREEMENT. CUS NO 46434G822 SEC NO 39SZ0 PRINCIPAL 3592.17 TRN FEE 0.07 UNIT PRICE 59.8707						
12/20	■ HEALTH CARE SELECT SPDR	Sale	-66.0000	6,732.13	(.14)		6,731.99
12/18	REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y209 SEC NO 673F6 PRINCIPAL 6731.99 TRN FEE 0.14 UNIT PRICE 102.0020						

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24-Hour Assistance: (800) MERRILL

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/20	■ CONSUMER DISCRETIONARY	Sale	.117,0000	14,551.09	(.30)		14,550.79
12/18	SPDR REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y407 SEC NO 673G1 PRINCIPAL 14550.79 TRN FEE 0.30 UNIT PRICE 124.3683						
12/20	■ SPDR US FINANCIAL SECTOR	Sale	-125.0000	3,857.91	(.08)		3,857.83
12/18	ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y605 SEC NO 673G3 PRINCIPAL 3857.83 TRN FEE 0.08 UNIT PRICE 30.8633						
Subtotal (Sales)				194,811.69	(2.31)		194,809.38

Other Security Transactions

12/19	■ ABBOTT LABORATORIES CLD	Redemption		-3,000.0000			3,059.16
GLB 02.900% NOV 30 2021 REDEEMED PAY DATE 12/19/2019							
Subtotal (Other Security Transactions)							3,059.16

TOTAL

(6,770.50)

(2.31)

(2,618.86)

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) © Year to Date
Δ FEDERAL NATL MTG ASSOC CML	1000.0000	01/08/15	06/21/19	1,421.28	1,390.15	(31.13)	
Δ FEDERAL NATL MTG ASSOC	1000.0000	01/08/15	06/21/19	1,421.28	1,390.15	31.13	
ISHARES NASDAQ BIOTECH	2.0000	09/25/17	12/10/19	240.63	221.01	19.62	
ISHARES NASDAQ BIOTECH	19.0000	09/25/17	12/18/19	2,289.07	2,099.62	189.45	
ISHARES NASDAQ BIOTECH	3.0000	11/20/17	12/18/19	361.43	308.35	53.08	
ISHARES NASDAQ BIOTECH	4.0000	04/16/18	12/18/19	481.91	423.20	58.71	
VANGUARD CONSUMER	1.0000	09/23/16	12/18/19	158.86	137.38	21.48	
VANGUARD CONSUMER	2.0000	07/21/17	12/18/19	317.72	281.70	36.02	
VANGUARD CONSUMER	4.0000	08/11/17	12/18/19	635.43	564.76	70.67	
VANGUARD CONSUMER	2.0000	11/20/17	12/18/19	317.72	281.27	36.45	
VANGUARD CONSUMER	4.0000	03/06/18	12/18/19	635.44	557.08	78.36	
VANGUARD CONSUMER	5.0000	04/16/18	12/18/19	794.29	690.17	104.12	

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement Gains/(Losses) ©	Year to Date
VANGUARD CONSUMER	5.0000	06/21/18	12/18/19	794.29	673.95	120.34	
VANGUARD MATERIALS ETF	6.0000	02/10/15	12/18/19	791.89	659.73	132.16	
VANGUARD MATERIALS ETF	3.0000	12/01/15	12/18/19	395.94	298.56	97.38	
VANGUARD MATERIALS ETF	1.0000	01/25/16	12/18/19	131.98	82.11	49.87	
VANGUARD MATERIALS ETF	1.0000	04/16/18	12/18/19	131.98	133.59	(1.61)	
VANGUARD MATERIALS ETF	1.8000	06/21/18	12/18/19	131.99	132.09	(.10)	
VANGUARD INFORMATION	1.0000	02/10/15	12/10/19	234.38	104.96	129.42	
VANGUARD INFORMATION	10.0000	02/10/15	12/18/19	2,407.00	1,049.59	1,357.41	
VANGUARD INFORMATION	11.0000	01/25/16	12/18/19	2,647.71	1,102.42	1,545.29	
VANGUARD INFORMATION	3.0000	12/05/17	12/18/19	722.10	485.18	236.92	
VANGUARD INFORMATION	18.0000	12/06/17	12/18/19	4,332.61	2,910.42	1,422.19	
VANGUARD INFORMATION	7.0000	04/16/18	12/18/19	1,684.90	1,222.34	462.56	
VANGUARD INDUSTRIAL ETF	4.0000	01/08/16	12/18/19	611.89	380.55	231.34	
VANGUARD INDUSTRIAL ETF	4.0000	01/25/16	12/18/19	611.89	365.92	245.97	
VANGUARD INDUSTRIAL ETF	2.0000	07/17/17	12/18/19	305.94	261.32	44.62	
VANGUARD INDUSTRIAL ETF	3.0000	07/18/17	12/18/19	458.92	390.45	68.47	
VANGUARD INDUSTRIAL ETF	5.0000	07/19/17	12/18/19	764.86	651.50	113.36	
VANGUARD INDUSTRIAL ETF	3.0000	07/21/17	12/18/19	458.91	387.42	71.49	
VANGUARD INDUSTRIAL ETF	9.0000	04/16/18	12/18/19	1,376.75	1,263.59	113.16	
VANGUARD INDUSTRIAL ETF	6.0000	06/21/18	12/18/19	917.83	832.08	85.75	
VANGUARD INDUSTRIAL ETF	19.0000	03/06/18	12/18/19	2,622.82	2,422.77	200.05	
FIRST TRUST DOW JONES	7.0000	04/16/18	12/18/19	966.30	857.38	108.92	
FIRST TRUST DOW JONES	11.8000	08/29/18	12/18/19	1,518.48	1,606.99	(88.51)	
FIRST TRUST DOW JONES	18.8000	09/23/16	12/18/19	684.06	600.15	83.91	
REAL ESTATE SELECT	5.0000	02/21/17	12/18/19	190.02	156.90	33.12	
REAL ESTATE SELECT	9.0000	03/06/18	12/18/19	342.03	273.33	68.70	
REAL ESTATE SELECT	9.0000	04/16/18	12/18/19	342.03	276.46	65.57	
REAL ESTATE SELECT	1.0000	06/21/18	12/18/19	38.00	31.94	6.06	
COMM SERVICES SELECT	162.0000	10/18/18	12/18/19	8,660.34	7,568.21	1,092.13	
ISHARES RS 2000 GROWTH	5.0000	07/05/17	12/18/19	1,064.18	842.60	221.58	

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation	Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) ②	
		Date	Date						Year to Date	Year to Date
ISHARES RS 2000 GROWTH	1.0000	01/22/18	12/18/19			212.84	195.95	16.89		
ISHARES RS 2000 GROWTH	6.0000	04/16/18	12/18/19			1,277.01	1,168.88	108.13		
ISHARES RS 2000 VALUE	9.0000	07/05/17	12/18/19			1,156.08	1,075.05	81.03		
ISHARES RS 2000 VALUE	11.0000	04/16/18	12/18/19			1,412.99	1,374.44	38.55		
FIRST TRUST CLOUD	53.0000	03/06/18	12/18/19			3,190.22	2,665.90	524.32		
FIRST TRUST CLOUD	14.0000	04/16/18	12/18/19			842.70	696.63	146.07		
FIRST TRUST CLOUD	25.0000	08/29/18	12/18/19			1,504.82	1,421.98	82.84		
ISHARES MSCI JAPAN ETF	24.0000	07/26/16	12/18/19			1,436.87	1,138.56	298.31		
ISHARES MSCI JAPAN ETF	17.0000	04/16/18	12/18/19			1,017.78	1,027.10	(9.32)		
HEALTH CARE SELECT SPDR	1.0000	12/01/15	12/10/19			99.88	71.42	28.46		
HEALTH CARE SELECT SPDR	5.0000	12/01/15	12/18/19			510.00	357.10	152.90		
HEALTH CARE SELECT SPDR	1.0000	07/17/17	12/18/19			102.00	79.85	22.15		
HEALTH CARE SELECT SPDR	4.0000	07/18/17	12/18/19			408.00	319.52	88.48		
HEALTH CARE SELECT SPDR	12.0000	07/19/17	12/18/19			1,224.00	965.51	258.49		
HEALTH CARE SELECT SPDR	16.0000	08/11/17	12/18/19			1,632.00	1,258.39	373.61		
HEALTH CARE SELECT SPDR	11.0000	04/16/18	12/18/19			1,122.00	909.81	212.19		
HEALTH CARE SELECT SPDR	2.0000	06/21/18	12/18/19			204.00	169.28	34.72		
CONSUMER DISCRETIONARY	8.0000	02/10/15	12/10/19			974.38	588.71	385.67		
CONSUMER DISCRETIONARY	3.0000	02/10/15	12/18/19			373.10	220.77	152.33		
CONSUMER DISCRETIONARY	1.0000	05/18/15	12/18/19			124.37	76.92	47.45		
CONSUMER DISCRETIONARY	13.0000	12/01/15	12/18/19			1,616.75	1,057.93	558.82		
CONSUMER DISCRETIONARY	23.0000	02/16/16	12/18/19			2,860.41	1,628.86	1,231.55		
CONSUMER DISCRETIONARY	1.0000	09/23/16	12/18/19			124.37	79.82	44.55		
CONSUMER DISCRETIONARY	13.0000	03/06/18	12/18/19			1,616.75	1,360.19	256.56		
CONSUMER DISCRETIONARY	12.0000	04/16/18	12/18/19			1,492.39	1,225.89	266.50		
CONSUMER DISCRETIONARY	14.0000	08/29/18	12/18/19			1,741.12	1,636.70	104.42		
SPDR US FINANCIAL SECTOR	2.0000	02/21/17	12/10/19			60.59	49.23	11.36		
SPDR US FINANCIAL SECTOR	10.0000	02/21/17	12/18/19			308.63	246.15	62.48		
SPDR US FINANCIAL SECTOR	8.0000	07/17/17	12/18/19			246.90	198.81	48.09		
SPDR US FINANCIAL SECTOR	13.0000	07/18/17	12/18/19			401.21	322.10	79.11		

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation	Date	Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date					This Statement	Year to Date
SPDR US FINANCIAL SECTOR	40.0000	07/19/17	12/18/19			1,234.51	990.75	243.76	
SPDR US FINANCIAL SECTOR	6.0000	07/21/17	12/18/19			185.18	149.04	36.14	
SPDR US FINANCIAL SECTOR	20.0000	04/16/18	12/18/19			617.25	554.00	63.25	
SPDR US FINANCIAL SECTOR	13.0000	06/21/18	12/18/19			401.21	355.02	46.19	
JOHN HANCOCK DISCIPLINED	.9310	04/16/18	12/18/19			19.13	20.36	(1.23)	
JOHN HANCOCK DISCIPLINED	8.0000	04/16/18	12/18/19			164.40	174.24	(9.84)	
JOHN HANCOCK DISCIPLINED	368.9730	04/16/18	12/18/19			7,582.40	8,069.44	(487.04)	
DELAWARE EMERGING	.6380	07/05/17	12/18/19			13.19	11.40	1.79	
DELAWARE EMERGING	164.0160	07/05/17	12/18/19			3,391.85	2,930.97	460.88	
DELAWARE EMERGING	81.0000	04/16/18	12/18/19			1,675.08	1,614.33	60.75	
DELAWARE EMERGING	9.0000	06/22/18	12/18/19			186.12	172.17	13.95	
HARDING LOEVNER INTL	91.0000	07/05/17	12/18/19			2,152.15	1,895.53	256.62	
HARDING LOEVNER INTL	99.0000	07/05/17	12/18/19			2,341.35	2,048.31	293.04	
HARDING LOEVNER INTL	11.0000	01/22/18	12/18/19			260.15	265.87	(5.72)	
HARDING LOEVNER INTL	89.0000	04/16/18	12/18/19			2,104.85	2,065.69	39.16	
HARDING LOEVNER INTL	112.7890	09/29/17	12/18/19			5,257.10	4,752.93	504.17	
T ROWE PRICE EMERGING	56.0000	04/16/18	12/18/19			2,610.16	2,537.92	72.24	
T ROWE PRICE EMERGING	9.0000	06/22/18	12/18/19			419.49	387.27	32.22	
T ROWE PRICE EMERGING	3.0000	01/05/15	12/18/19			167.76	160.92	6.84	
CARILLON EAGLE SMALL CAP	19.0000	08/26/15	12/18/19			1,062.48	1,046.33	16.15	
CARILLON EAGLE SMALL CAP	3.0000	07/05/17	12/18/19			167.76	184.41	(16.65)	
CARILLON EAGLE SMALL CAP	7.0000	01/22/18	12/18/19			391.44	464.17	(72.73)	
CARILLON EAGLE SMALL CAP	42.0000	04/16/18	12/18/19			2,348.64	2,708.58	(359.94)	
CARILLON EAGLE SMALL CAP	64.4590	10/25/16	12/18/19			1,262.11	1,046.17	215.94	
CHARTWELL SMALL CAP	38.0000	07/05/17	12/18/19			744.04	712.88	31.16	
CHARTWELL SMALL CAP	122.0000	04/16/18	12/18/19			2,388.76	2,425.36	(36.60)	
WELLS FARGO SMALL	33.0000	07/05/17	12/18/19			1,811.04	1,596.21	214.83	
WELLS FARGO SMALL	1.0000	11/20/17	12/18/19			54.88	51.36	3.52	
WELLS FARGO SMALL	4.0000	01/22/18	12/18/19			219.52	226.60	(7.08)	
WELLS FARGO SMALL	49.0000	04/16/18	12/18/19			2,689.12	2,767.03	(77.91)	

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
◆ OAKMARK INTL FD CL CXL	.0001	N/A	10/30/19	.00	N/A	N/A	
◆ CAMBIAR INTERNATIONAL	29.0000	07/05/17	12/18/19	792.57	752.09	40.48	
CAMBIAR INTERNATIONAL	169.9340	07/05/17	12/18/19	4,644.30	4,454.66	189.64	
CAMBIAR INTERNATIONAL	8.0000	01/22/18	12/18/19	218.64	239.82	(21.18)	
CAMBIAR INTERNATIONAL	.4720	01/22/18	12/18/19	12.90	14.27	(1.37)	
CAMBIAR INTERNATIONAL	78.0000	04/16/18	12/18/19	2,131.74	2,244.84	(113.10)	
Subtotal (Long-Term)						16,324.52	28,736.34
▲ ABBOTT LABORATORI 2.90% 21 CXL	1000.0000	06/24/19	10/29/19	1,018.54	1,015.03	(3.51)	
▲ ABBOTT LABORATORI 2.90% 21	1000.0000	06/24/19	10/29/19	1,018.54	1,015.03	3.51	
▲ ABBOTT LABORATORI 2.90% 21	3000.0000	06/24/19	12/19/19	3,059.16	3,042.22	16.94	
ISHARES NASDAQ BIOTECH	3.0000	03/20/19	12/18/19	361.44	340.73	20.71	
ISHARES NASDAQ BIOTECH	4.0000	04/23/19	12/18/19	481.91	425.96	55.95	
VANGUARD CONSUMER	3.0000	01/16/19	12/18/19	476.58	401.55	75.03	
VANGUARD MATERIALS ETF	1.0000	01/16/19	12/18/19	131.98	115.08	16.90	
VANGUARD INFORMATION	1.0000	01/16/19	12/18/19	240.70	172.31	68.39	
VANGUARD INFORMATION	2.0000	04/23/19	12/18/19	481.40	425.64	55.76	
VANGUARD INFORMATION	17.0000	08/14/19	12/18/19	4,091.91	3,553.00	538.91	
VANGUARD INFORMATION	3.0000	09/12/19	12/18/19	722.10	662.13	59.97	
VANGUARD INFORMATION	2.0000	06/13/19	12/18/19	305.94	284.12	21.82	
VANGUARD INDUSTRIAL ETF	113.0000	01/11/19	12/18/19	13,921.78	11,311.30	2,610.48	
VANGUARD DIVIDEND	3.0000	01/16/19	12/18/19	414.13	383.22	30.91	
FIRST TRUST DOW JONES	7.0000	08/14/19	12/18/19	966.30	942.62	23.68	
FIRST TRUST DOW JONES	3.0000	09/12/19	12/18/19	414.13	422.04	(7.91)	
FIRST TRUST DOW JONES	3.0000	12/10/19	12/18/19	414.13	403.59	10.54	
REAL ESTATE SELECT	2.0000	12/10/19	12/18/19	76.01	76.63	(.62)	
COMM SERVICES SELECT	16.0000	03/20/19	12/18/19	855.34	749.44	105.90	
COMM SERVICES SELECT	6.0000	06/13/19	12/18/19	320.75	287.64	33.11	
COMM SERVICES SELECT	10.0000	10/16/19	12/18/19	534.59	504.50	30.09	
COMM SERVICES SELECT	14.0000	12/10/19	12/18/19	748.43	735.84	12.59	
ISHARES RS 2000 GROWTH	1.0000	01/11/19	12/18/19	212.83	180.61	32.22	

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YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
ISHARES RS 2000 VALUE	1.0000	01/11/19	12/18/19	128.45	115.02	13.43	
FIRST TRUST CLOUD	7.0000	01/16/19	12/18/19	421.35	363.98	57.37	
FIRST TRUST CLOUD	12.0000	08/14/19	12/18/19	722.31	668.04	54.27	
FIRST TRUST CLOUD	6.0000	09/12/19	12/18/19	361.16	351.42	9.74	
FIRST TRUST CLOUD	2.0000	12/10/19	12/18/19	120.38	119.54	.84	
ISHARES MSCI JAPAN ETF	17.0000	01/11/19	12/18/19	1,017.78	897.22	120.56	
ISHARES MSCI JAPAN ETF	2.0000	06/20/19	12/18/19	119.74	109.74	10.00	
HEALTH CARE SELECT SPDR	6.0000	03/20/19	12/18/19	612.00	552.36	59.64	
HEALTH CARE SELECT SPDR	9.0000	04/23/19	12/18/19	917.99	786.24	131.75	
CONSUMER DISCRETIONARY	4.0000	01/16/19	12/18/19	497.46	419.52	77.94	
CONSUMER DISCRETIONARY	9.0000	03/20/19	12/18/19	1,119.29	1,008.90	110.39	
CONSUMER DISCRETIONARY	3.0000	04/23/19	12/18/19	373.10	360.69	12.41	
CONSUMER DISCRETIONARY	15.0000	06/13/19	12/18/19	1,865.49	1,773.90	91.59	
CONSUMER DISCRETIONARY	2.0000	08/14/19	12/18/19	248.73	232.06	16.67	
CONSUMER DISCRETIONARY	4.0000	10/16/19	12/18/19	497.46	487.44	10.02	
SPDR US FINANCIAL SECTOR	13.0000	01/16/19	12/18/19	401.21	330.92	70.29	
SPDR US FINANCIAL SECTOR	2.0000	03/20/19	12/18/19	61.73	53.16	8.57	
JOHN HANCOCK DISCIPLINED	23.0000	06/20/19	12/18/19	472.65	464.37	8.28	
DELAWARE EMERGING	75.0000	01/11/19	12/18/19	1,551.00	1,309.50	241.50	
DELAWARE EMERGING	254.0000	01/25/19	12/18/19	5,252.72	4,602.48	650.24	
DELAWARE EMERGING	1.0000	06/20/19	12/18/19	20.68	18.26	2.42	
T ROWE PRICE EMERGING	53.0000	01/11/19	12/18/19	2,470.33	2,087.14	383.19	
T ROWE PRICE EMERGING	147.0000	01/24/19	12/18/19	6,851.67	5,922.63	929.04	
WELLS FARGO SPECIAL	172.4590	06/20/19	12/18/19	6,175.76	5,791.17	384.59	
DIAMOND HILL LARGE CAP	280.2210	06/20/19	12/18/19	8,196.46	7,818.17	378.29	
CARILLON EAGLE SMALL CAP	25.0000	01/11/19	12/18/19	1,398.00	1,207.25	190.75	
CARILLON EAGLE SMALL CAP	1.0000	01/24/19	12/18/19	55.92	48.88	7.04	
CARILLON EAGLE SMALL CAP	2.0000	10/30/19	12/18/19	111.84	103.88	7.96	
CHARTWELL SMALL CAP	29.0000	01/11/19	12/18/19	567.82	485.75	82.07	
WELLS FARGO SMALL	8.0000	01/11/19	12/18/19	439.04	409.04	30.00	

CRUT - Advisory

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	This Statement	Gains/(Losses) ②	
		Date		Date					Year to Date	
WELLS FARGO SMALL	1.0000	01/24/19		12/18/19		54.88	51.82	3.06		
WELLS FARGO SMALL	1.0000	10/30/19		12/18/19		54.88	54.94	(.06)		
OAKMARK INTL FD CL	.0001	12/11/18		10/30/19		.00	.01	(.01)		
CAMBIAR INTERNATIONAL	69.0000	01/11/19		12/18/19		1,885.77	1,655.31	230.46		
Subtotal (Short-Term)								8,195.67		8,353.04
TOTAL						200,308.36	175,753.53	24,520.19		37,089.38

② - Excludes transactions for which we have insufficient data

A Debt Instruments purchased at a premium show amortization ③ Debt Instruments purchased at a discount show accretion

N/A - Results which cannot be calculated because of insufficient data are reflected by an N/A entry in the capital gain or (loss) column and are not included in the realized capital gain and loss summary.

CXL - Indicates the cancellation of an error transaction.

⚡ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2019 tax return. These reportable transactions will appear on your January statement.

CASH/OTHER TRANSACTIONS

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
12/31	P601DISBURSEMENT	Disbursement			(5,470.18)
	DISBURSEMENT Only unitrust distr per TA				
	Subtotal (Other Debits/Credits)				(5,470.18)
	NET TOTAL				(5,470.18)

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CRUT - Advisory

Account Number:

YOUR TAA TRANSACTIONS

November 30, 2019 - December 31, 2019

ADVISORY AND OTHER FEES

Date	Fee Type	Quantity	Description	Income Cash	Principal Cash
12/03	Advisory Program Fee		INV. ADVISORY FEE DEC APPLIED TO PRINCIPAL		(207.87)
12/03	Advisory Program Fee		INV. ADVISORY FEE DEC APPLIED TO INCOME	(207.87)	
12/10	TMA Fee		US TRUST FEE-PERIOD OF 10/26/2019 TO 11/29/2019 APPLIED TO PRINCIPAL BASED ON AVG MKT VAL OF- \$480,424.97		(83.33)
12/10	TMA Fee		US TRUST FEE-PERIOD OF 10/26/2019 TO 11/29/2019 APPLIED TO INCOME BASED ON AVG MKT VAL OF- \$480,424.97	(83.33)	
NET TOTAL				(291.20)	(291.20)

YOUR TAA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/02	ML BANK DEPOSIT PROGRAM		233.00	12/19	ML BANK DEPOSIT PROGRAM		110.00
12/03	ML BANK DEPOSIT PROGRAM		198.00	12/19	ML BANK DEPOSIT PROGRAM	14,605.00	
12/03	ML BANK DEPOSIT PROGRAM	416.00		12/20	ML BANK DEPOSIT PROGRAM		404.00
12/04	ML BANK DEPOSIT PROGRAM		208.00	12/23	ML BANK DEPOSIT PROGRAM		23,220.00
12/04	ML BANK DEPOSIT PROGRAM			12/24	ML BANK DEPOSIT PROGRAM		100.00
12/10	ML BANK DEPOSIT PROGRAM	208.00		12/26	ML BANK DEPOSIT PROGRAM	10,635.00	
12/11	ML BANK DEPOSIT PROGRAM	166.00		12/27	ML BANK DEPOSIT PROGRAM		95.00
12/11	ML BANK DEPOSIT PROGRAM		83.00	12/27	ML BANK DEPOSIT PROGRAM		
12/13	ML BANK DEPOSIT PROGRAM	83.00		12/30	ML BANK DEPOSIT PROGRAM	95.00	
			327.00	12/30	ML BANK DEPOSIT PROGRAM		91.00

YOUR TAA MONEY ACCOUNT TRANSACTIONS (continued)

November 30, 2019 - December 31, 20

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/17	ML BANK DEPOSIT PROGRAM		1,088.00	12/31	ML BANK DEPOSIT PROGRAM		37
12/18	ML BANK DEPOSIT PROGRAM		592.00				
NET TOTAL							571

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB8 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.22% CALL DATE 11/01/23 CALL PRICE \$100.00 145 DAYS INTEREST PER ADVISORY	TMO24	160C23	08555681F8	7 000	0			1,000.00	\$ 107.06	\$ (1,087.52)
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase NORTHROP GRUMMAN CORP GLB 03.250% AUG 01 2023 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.03% MATURITY DATE 9/01/23	NOC23	02164	069807B06	5 000	0			1,000.00	\$ 104.18	\$ (1,054.80)
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase PRIZER INC GLB 02.200% DEC 15 2021 RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 1.75% MATURITY DATE 12/15/21 11 DAYS	PFE21A	09612	717061D23	6 000	0			3,000.80	\$ 100.87	\$ (3,027.87)
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase AMERICAN INTL GROUP GLB 04.125% FEB 15 2024 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB8 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.35% MATURITY DATE 2/15/24 131 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AIQ24	140478	028874CY1	1 000	0			1,000.00	\$ 107.03	\$ (1,085.28)
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase CITICORP INC GLB 03.875% OCT 25 2023 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB8 YLD TO MATURITY 2.23% MATURITY DATE 10/25/23 91 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C23B	02855	172967H05	3 000	0			1,000.00	\$ 106.00	\$ (1,066.57)
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase HP ENTERPRISE CO GLB 04.900% OCT 16 2023 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB8 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 71 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HPE26	08A085	42824CAW8	2 000	0			1,000.00	\$ 110.79	\$ (1,117.80)
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase UNITED TECHNOLOGIES CORP GLB 03.860% AUG 16 2025 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB8 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.26% CALL DATE 8/16/25 CALL PRICE \$100.00 130 DAYS INTEREST PER ADVISORY	UTX25	169804	013017D06	8 000	0			1,000.00	\$ 108.68	\$ (1,101.03)
Settled	12/23/2019	12/26/2019	Security Transaction	Purchase JPMORGAN CHASE & CO - 03.900% JUL 15 2025 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.55% CALL DATE 4/15/25 CALL PRICE \$100.00 191 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM25B	006870	469281HMT7	4 000	0			1,000.00	\$ 107.88	\$ (1,094.24)
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase CLEARBRIDGE SMALL CAP FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMNSX	0K16Z	024698406	28 000	0			68	\$ 64.42	\$ (4,390.55)
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase EDGEWOOD GROWTH FUND CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EGFIX	0L80G	0076W0766	31 000	0			71	\$ 38.32	\$ (2,720.72)
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase CLEARBRIDGE LARGE CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	8BLVX	078X3	0246981784	34 000	0			75	\$ 54.21	\$ (4,085.75)
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase HARTFORD SCHROEDERS EMERG MKTS EQUITY FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SEMAX	0ULOT	410851847	32 000	0			322	\$ 18.85	\$ (6,425.70)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAVIX	'9UOV3'	'413828731'	33	000	0		66	\$ 24.80	\$ (1,612.00)
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'874Y8'	'08251M504'	36	000	0		163	\$ 20.75	\$ (3,174.75)
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'8KCM8'	'552833884'	28	000	0		73	\$ 44.35	\$ (3,237.65)
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase INVESCO OPPENHEIMER INTL GROWTH FUND CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGYX	'8LJ98'	'00800W587'	30	000	0		48	\$ 43.83	\$ (2,147.87)
Settled	12/19/2019	12/20/2019	Security Transaction	Sale VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT.	VNG	'31K08'	'921908844'	17		0		-113	\$ 123.20	\$ 13,821.78
Settled	12/19/2019	12/20/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	'873G1'	'81368Y407'	26		0		-117	\$ 124.37	\$ 14,550.79
Settled	12/19/2019	12/20/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLC	'31OX9'	'81368Y852'	20		0		-208	\$ 53.46	\$ 11,119.46
Settled	12/19/2019	12/20/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'873H5'	'81368Y209'	25		0		-86	\$ 102.00	\$ 6,731.88
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase RUSSELL 1000 GROWTH PER ADVISORY AGREEMENT.	IMF	'31CK0'	'464287614'	10	000	0		202	\$ 173.70	\$ (35,087.82)
Settled	12/19/2019	12/20/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FMD'	'922044207'	13		0		-26	\$ 158.86	\$ 4,130.33
Settled	12/19/2019	12/20/2019	Security Transaction	Sale RUSSELL 1000 GROWTH PER ADVISORY AGREEMENT.	IMO	'38BMS'	'464287848'	21		0		-13	\$ 212.84	\$ 2,788.88
Settled	12/19/2019	12/20/2019	Security Transaction	Sale NASDAQ BIOTECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IBB	'31CMT'	'464287556'	12		0		-33	\$ 120.48	\$ 3,975.78
Settled	12/19/2019	12/20/2019	Security Transaction	Sale 2000 VALUE PER ADVISORY AGREEMENT.	IMN	'389F9'	'464287630'	22		0		-21	\$ 128.46	\$ 2,697.82
Settled	12/19/2019	12/20/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31ANV'	'81368Y860'	19		0		-44	\$ 38.00	\$ 1,672.16
Settled	12/19/2019	12/20/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	'31KNZ'	'33733E302'	18		0		-53	\$ 138.05	\$ 7,316.28
Settled	12/19/2019	12/20/2019	Security Transaction	Purchase RUSSELL 1000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IMD	'31CK1'	'464287588'	11	000	0		117	\$ 136.35	\$ (15,885.82)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	12/18/2019	12/20/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'822044803'	16	0	0		-38	\$ 152.98	\$ 5,812.93
Settled	12/18/2019	12/20/2019	Security Transaction	Sale ISHARES MSCI JAPAN ETF 8HS PER ADVISORY AGREEMENT.	EWJ	'398ZD'	'4634Q822'	24	0	0		-80	\$ 59.87	\$ 3,592.17
Settled	12/18/2019	12/20/2019	Security Transaction	Sale VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FWS'	'822044801'	14	0	0		-13	\$ 131.88	\$ 1,716.76
Settled	12/18/2019	12/20/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'873G3'	'8188Y805'	27	0	0		-126	\$ 30.88	\$ 3,887.83
Settled	12/18/2019	12/20/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'3973AX182'	23	0	0		-119	\$ 80.19	\$ 7,162.94
Settled	12/18/2019	12/20/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FMT'	'822044702'	16	0	0		-72	\$ 240.71	\$ 17,330.43
Settled	12/19/2019	12/19/2019	Security Transaction	Redemption ABBOTT LABORATORIES CLD GLB 02.900% NOV 30 2021 PAY DATE	ABT 21	'406K2'	'0028248D1'	30				-3,000.00	\$	\$ 3,059.16
Settled	12/18/2019	12/18/2019	Security Transaction	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'948921571'	19	0	0		-97	\$ 54.88	\$ 5,323.36
Settled	12/18/2019	12/18/2019	Security Transaction	Sale HARDING LOEWNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9K765'	'412298107'	8	0	0		-280	\$ 23.85	\$ 6,658.60
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase CLEARBRIDGE SMALL CAP FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMNSX	'9K182'	'524698465'	10	000	0		282	\$ 64.14	\$ (16,087.48)
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase EDGEWOOD GROWTH FUND CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EGFLX	'9L8K9'	'0075W0759'	17	000	0		298	\$ 38.00	\$ (11,324.00)
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase CLEARBRIDGE LARGE CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SBLVX	'978X3'	'524698784'	28	000	0		316	\$ 53.85	\$ (17,016.60)
Settled	12/18/2019	12/19/2019	Security Transaction	Sale CAMBARI INTERNATIONAL EQUITY FUND INSTL CL FRAC SHR QUANTITY 408 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMTX	'97N12'	'00789C543'	22	0	0		-354	\$ 27.33	\$ 9,885.92
Settled	12/18/2019	12/19/2019	Security Transaction	Sale DELAWARE EMERGING MARKETS FD CL INSTL FRAC SHR QUANTITY 854 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH8'	'245914817'	8	0	0		-694	\$ 20.88	\$ 12,090.64
Settled	12/18/2019	12/18/2019	Security Transaction	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I FRAC SHR QUANTITY 469 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9M089'	'16140T509'	18	0	0		-253	\$ 18.58	\$ 4,682.73
Settled	12/18/2019	12/18/2019	Security Transaction	Sale WELLS FARGO SPECIAL SMALL CAP VALUE FD INSTL FRAC SHR QUANTITY .459 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ESPMX	'9K907'	'94875F447'	13	0	0		-172	\$ 35.81	\$ 6,175.76

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Entered	Quantity	Price	Amount
Settled	12/18/2019	12/18/2019	Security Transaction	Sale T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FRAC SHR. QUANTITY .789 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRHAX	'9K6DZ	775581884	12	0	0		-377.789	\$ 46.61	\$ 17,006.75
Settled	12/18/2019	12/18/2019	Security Transaction	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSBIX	'9LBB4	'14214L488	16	0	0		-102	\$ 55.82	\$ 5,703.84
Settled	12/18/2019	12/18/2019	Security Transaction	Sale DIAMOND HILL LARGE CAP FD CL I FRAC SHR QUANTITY .221 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DHLRX	'9LRG0	'25284S841	14	0	0		-280.221	\$ 29.25	\$ 8,188.46
Settled	12/18/2019	12/18/2019	Security Transaction	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I FRAC SHR QUANTITY .504 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3	'17303UB40	7	0	0		-400.604	\$ 20.55	\$ 8,238.68
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase BLACKROCK EDITY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'874V8	'08251H504	24	000	0		639	\$ 20.69	\$ (13,214.52)
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase INVESTCO OPPENHEIMER INTL GROWTH FUND CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGTX	'9LXB8	'00800H587	15	000	0		187	\$ 43.80	\$ (8,828.60)
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase HARTFORD SCHROEDERS EMERG MKTS EQUITY FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SEMNK	'9ULO1	'11853H847	20	000	0		1,346.00	\$ 16.86	\$ (22,693.58)
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M8	'552883584	11	000	0		286	\$ 44.94	\$ (13,257.30)
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	QAVIX	'9LOY3	'113838731	21	000	0		270	\$ 24.82	\$ (6,701.40)
Settled	12/18/2019	12/18/2019	Security Transaction	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IBB	'31CM7	'164287556	3	0	0		-2	\$ 120.32	\$ 240.63
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES. REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'36RY4	'33734X182	8	000	0		2	\$ 59.77	\$ (119.54)
Settled	12/18/2019	12/18/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FMT	'02204A702	4	0	0		-1	\$ 234.39	\$ 234.38
Settled	12/18/2019	12/18/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F8	'81369V209	8	0	0		-1	\$ 98.89	\$ 98.88
Settled	12/18/2019	12/18/2019	Security Transaction	Purchase COMM SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLG	'31OX9	'81369V882	7	000	0		14	\$ 62.58	\$ (735.94)
Settled	12/18/2019	12/18/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'673C3	'81369V805	11	0	0		-2	\$ 30.30	\$ 60.59
Settled	12/18/2019	12/18/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673C1	'81369V407	10	0	0		-8	\$ 121.80	\$ 974.38

Activity Status	Track/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Client Entered	Quantity	Price	Amount
Settled	12/10/2019	12/12/2019	Security Transaction	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	311NWZ	33733E30Z	6	000	0		3	\$ 134.63	\$ (403.69)
Settled	12/10/2019	12/12/2019	Security Transaction	Purchase REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	311NW5	81369Y860	6	000	0		2	\$ 38.32	\$ (76.63)
Settled	11/14/2019	11/19/2019	Security Transaction	Purchase HP ENTERPRISE CO QLB 04 900% OCT 15 2025 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 8AP BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 33 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HPE25	18A0S6	42824CAYW	3	000	0		3,000.00	\$ 111.48	\$ (3,357.37)
Settled	11/14/2019	11/19/2019	Security Transaction	Purchase JPMORGAN CHASE & CO - 03 900% JUL 15 2025 RATINGS ARE SUBJ. TO CHG MOODY'S A2 8AP A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.32% CALL DATE 4/15/26 CALL PRICE \$100.00 123 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM25B	1C06R0	46625HMM7	4	000	0		5,000.00	\$ 107.97	\$ (5,465.23)
Settled	11/5/2019	11/5/2019	Security Transaction	Redemption TORONTO-DOMINION BANK SER MTN 02 250% NOV 05 2019 PAY DATE	HHNB8	89114QAV0		7				-7,000.00		\$ 7,000.00
Settled	10/30/2019	11/1/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLG	310XV	81369Y86Z	9		0		-4	\$ 50.46	\$ 201.79
Settled	10/30/2019	11/1/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST REQUEST. PER ADVISORY AGREEMENT.	IBB	31CMT7	46428Y566	3		0		-3	\$ 107.85	\$ 322.94
Settled	10/30/2019	11/1/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST REQUEST. PER ADVISORY AGREEMENT.	XLF	873G3	81369Y805	16		0		-8	\$ 28.66	\$ 173.15
Settled	10/30/2019	11/1/2019	Security Transaction	Sale VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT.	VIG	31KQW	921908944	7		0		-4	\$ 118.81	\$ 478.23
Settled	10/30/2019	11/1/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	311NW5	81369Y860	8		0		-3	\$ 38.25	\$ 117.74
Settled	10/30/2019	11/1/2019	Security Transaction	Sale ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST REQUEST. PER ADVISORY AGREEMENT.	IEFA	397E2	46432F94Z	13		0		-5	\$ 63.01	\$ 315.06
Settled	10/30/2019	11/1/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	398Y4	33734X18Z	11		0		-2	\$ 57.68	\$ 116.35
Settled	10/30/2019	11/1/2019	Security Transaction	Sale ISHARES MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	398Z0	46434G82Z	12		0		-4	\$ 58.51	\$ 234.03
Settled	10/30/2019	11/1/2019	Security Transaction	Sale ISHARES 1000 VALUE PER ADVISORY AGREEMENT.	IWO	31CK1	46428Y566	2		0		-4	\$ 130.75	\$ 522.99
Settled	10/30/2019	11/1/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	873G1	81369Y407	15		0		-2	\$ 120.68	\$ 241.35
Settled	10/30/2019	11/1/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	31FX1	92204A803	6		0		-2	\$ 148.43	\$ 286.65

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	10/30/2019	11/1/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FMD'	'922044207'	4	0	0		-1	\$ 155.40	\$ 155.39
Settled	10/30/2019	11/1/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	'31FMT'	'922044702'	5	0	0		-4	\$ 223.04	\$ 882.14
Settled	10/30/2019	11/1/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'973F6'	'913987208'	14	0	0		-5	\$ 94.38	\$ 471.79
Settled	10/30/2019	11/1/2019	Security Transaction	Sale WELLS FARGO SPECIAL SMALL CAP VALUE FD INSTL FRAC SHR	WMV	'36SP9'	'464287830'	10	0	0		-1	\$ 123.85	\$ 123.84
Settled	10/30/2019	10/31/2019	Security Transaction	Purchase WELLS FARGO SPECIAL SMALL CAP VALUE FD INSTL FRAC SHR SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ESPMX	'9K907'	'94976P447'	23	0	0		-4.541	\$ 34.80	\$ 157.12
Settled	10/30/2019	10/31/2019	Security Transaction	Purchase BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BRPIX	'9K427'	'092808747'	21	000	0		1,785.00	\$ 9.81	\$ (17,888.35)
Settled	10/30/2019	10/31/2019	Security Transaction	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WBCGX	'9PK42'	'949821571'	28	000	0		1	\$ 54.94	\$ (54.94)
Settled	10/30/2019	10/31/2019	Security Transaction	Purchase BLACKROCK EQUITY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	31	000	0		24	\$ 20.27	\$ (485.48)
Settled	10/30/2019	10/31/2019	Security Transaction	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIX	'9L8B4'	'14214L485'	28	000	0		2	\$ 51.94	\$ (103.88)
Settled	10/30/2019	10/31/2019	Security Transaction	Sale ONAMARK INTL FD CL ADV FRAC SHR QUANTITY .402 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAVIX	'9UOY3'	'413838731'	29	0	0		-15.402	\$ 24.12	\$ 371.50
Settled	10/30/2019	10/31/2019	Security Transaction	Sale DIAMOND HILL LARGE CAP FD CL I FRAC SHR QUANTITY .779 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DHLRX	'9LRC6'	'252848841'	24	0	0		-11.779	\$ 28.78	\$ 339.00
Settled	10/30/2019	10/31/2019	Security Transaction	Sale MFS VALUE FD CL I FRAC SHR QUANTITY .902 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIUX	'9KCM9'	'55293M594'	20	0	0		-6.802	\$ 42.83	\$ 288.30
Settled	10/30/2019	10/31/2019	Security Transaction	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I FRAC SHR QUANTITY .027 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JALIX	'9EHV3'	'47803U840'	18	0	0		-46.027	\$ 20.88	\$ 394.54
Settled	10/30/2019	10/31/2019	Security Transaction	Sale INVESCO OPPENHEIMER INTL GROWTH FUND CL Y FRAC SHR QUANTITY .239 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGYX	'9LX99'	'00900M597'	25	0	0		-2.239	\$ 41.64	\$ 93.23
Settled	10/30/2019	10/31/2019	Security Transaction	Sale CAMBIA INTERNATIONAL EQUITY FUND INSTL CL FRAC SHR QUANTITY .058 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMYX	'97N12'	'00798Q643'	30	0	0		-7.058	\$ 28.40	\$ 188.54
Settled	10/30/2019	10/31/2019	Security Transaction	Sale T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FRAC SHR QUANTITY .211 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K602'	'77958H854'	22	0	0		-5.211	\$ 43.88	\$ 228.18

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client Entered	Quantity	Price	Amount
Settled	10/30/2019	10/31/2019	Security Transaction	Sale DELAWARE EMERGING MARKETS FD CL INSTL FRAC SHR QUANTITY PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	9KTH8	246914817	19	0		-24.984	\$ 19.08	\$ 478.69
Settled	10/30/2019	10/31/2019	Security Transaction	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I FRAC SHR QUANTITY PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	9M096	161407509	27	0		-12.541	\$ 18.77	\$ 235.39
Settled	10/29/2019	10/31/2019	Security Transaction	Sale PEPICO INC GLB 02.750% MAR 05 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A1 8AP AS MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.77% MATURITY DATE 3/09/22. 99 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DBAYZ	7134488V3		10	0		-1,000.00	\$ 102.24	\$ 1,026.68
Settled	10/29/2019	10/31/2019	Security Transaction	Sale SOUTHERN CO GLB 02.950% JUL 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 8AP BBBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.33% CALL DATE 5/01/23 CALL PRICE \$100.00. 120 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SOZ3	1E39W	942587CU9	12	0		-1,000.00	\$ 102.06	\$ 1,030.38
Settled	10/29/2019	10/31/2019	Security Transaction	Sale CITIGROUP INC GLB 03.875% OCT 25 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A3 8AP BBBB YLD TO MATURITY 2.34% MATURITY DATE 10/28/23. 6 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CZ38	1E285	172987HD6	5	0		-1,000.00	\$ 105.79	\$ 1,058.56
Settled	10/29/2019	10/31/2019	Security Transaction	Sale KINDER MORGAN ENER PART COMPANY GUARNT GLB 03.950% SEP 01 2022 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 8AP BBB MAKE- WHOLE CALL. DETAILS UPON REQUEST YLD TO CURR CALL 2.32% CURR CALL DATE 9/01/22 CURR CALL PRICE \$100.00. 90 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	KMF22A	1C11R	164550BL9	8	0		-1,000.00	\$ 104.08	\$ 1,047.18
Settled	10/29/2019	10/31/2019	Security Transaction	Sale AMERICAN INTL GROUP GLB 04.125% FEB 15 2024 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 8AP BBBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.35% MATURITY DATE 2/15/24. 76 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AG24	1A01T	028874CY1	1	0		-1,000.00	\$ 107.17	\$ 1,080.46
Settled	10/29/2019	10/31/2019	Security Transaction	Sale ROYAL BANK OF CANADA SER GNTN GLB 02.500% JAN 19 2021 YLD TO MATURITY 1.97% MATURITY DATE 1/19/21. 102 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RY21	1H1A3	78012KQJ0	17	0		-1,000.00	\$ 100.62	\$ 1,013.31
Settled	10/29/2019	10/31/2019	Security Transaction	Sale THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 8AP BBBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.24% CALL DATE 11/01/23 CALL PRICE \$100.00. 90 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TM024	1E6C3	9835568F8	13	0		-1,000.00	\$ 107.24	\$ 1,082.73
Settled	10/29/2019	10/31/2019	Security Transaction	Sale GOLDMAN SACHS GROUP INC GLB 02.600% DEC 27 2020 RATINGS ARE SUBJ. TO CHG MOODY'S A3 8AP BBBB MAKE-WHOLE CALL. DETAILS UPON REQUEST 124 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GS20MC	1B7A6	381410WGS	7	0		-1,000.00	\$ 100.10	\$ 1,008.98
Settled	10/29/2019	10/31/2019	Security Transaction	Sale ABBOTT LABORATORIES GLB 02.900% NOV 30 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A3 8AP BBBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.95% CALL DATE 10/30/21 CALL PRICE \$100.00. 150 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ABT21	1A0KZ	002824BD1	2	0		-1,000.00	\$ 101.85	\$ 1,030.62
Settled	10/29/2019	10/31/2019	Security Transaction	Sale WELLS FARGO & COMPANY GLB 02.100% JUL 28 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 8AP A YLD TO MATURITY 2.07% MATURITY DATE 7/28/21. 95 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WFC21D	1E75T	948746SAV	15	0		-1,000.00	\$ 100.04	\$ 1,005.98

Activity Status	Trade/Transacn Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	10/29/2019	10/31/2019	Security Transaction	Sale VISA INC GLB 02.800% DEC 14 2022 RATINGS ARE SUBJ. TO CHG MOODY'S AAS S&P AA-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.87% CALL DATE 10/14/22 CALL PRICE \$100.00. 137 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ2	TEHAG5	92626CAC5	11	0			-1,000.00	\$ 102.65	\$ 1,027.13
Settled	10/29/2019	10/31/2019	Security Transaction	Sale TOYOTA MOTOR CREDIT CORP SER MTN 02.150% MAR 12 2020 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 1.89% MATURITY DATE 3/12/20 49 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TM204	THH9W1	96236TCF7	16	0			-1,000.00	\$ 100.09	\$ 1,003.83
Settled	10/29/2019	10/31/2019	Security Transaction	Sale NORTHROP GRUMMAN CORP GLB 03.250% AUG 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.11% MATURITY DATE 8/01/23.	NO233	U2164	966807BG5	9	0			-1,000.00	\$ 104.08	\$ 1,048.95
Settled	10/29/2019	10/31/2019	Security Transaction	Sale CVS HEALTH CORP GLB 03.700% MAR 09 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.42% CURR CALL DATE 2/09/23 CURR CALL PRICE \$100.00. 52 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVS23A	TE26G5	126650CV7	6	0			-1,000.00	\$ 104.00	\$ 1,046.34
Settled	10/29/2019	10/31/2019	Security Transaction	Purchase UNITED TECHNOLOGIES CORP GLB 03.950% AUG 16 2025 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.28% CALL DATE 6/16/25 CALL PRICE \$100.00. 75 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	UTX25	TE96C4	913017DD8	14	000	0		2,000.00	\$ 109.05	\$ (2,197.40)
Settled	10/29/2019	10/31/2019	Security Transaction	Sale HOME DEPOT INC GLB 02.825% JUN 01 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.84% CALL DATE 5/01/22 CALL PRICE \$100.00. 150 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HD22	BAV40	437076G5	3	0			-1,000.00	\$ 101.91	\$ 1,030.04
Settled	10/29/2019	10/31/2019	Security Transaction	Sale CHEVRON CORP GLB 02.100% MAY 16 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.85% CALL DATE 4/16/21 CALL PRICE \$100.00. 165 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVX21	TE21M1	166764B94	4	0			-1,000.00	\$ 100.35	\$ 1,018.18
Settled	10/29/2019	10/31/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VIS	TE1FX1	92204A503	1	0			-7	\$ 145.48	\$ 1,018.34
Settled	10/16/2019	10/16/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	TE73G3	913691G55	4	0			-16	\$ 28.12	\$ 449.94
Settled	10/16/2019	10/16/2019	Security Transaction	Purchase UNITED TECHNOLOGIES CORP GLB 03.950% AUG 16 2025 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.15% CALL DATE 6/16/25 CALL PRICE \$100.00. 49 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	UTX25	TE96C4	913017DD8	1	000	0		1,000.00	\$ 108.57	\$ (1,100.70)
Settled	8/12/2019	8/12/2019	Security Transaction	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	FDN	TE1RW2	93735E30Z	4	000	0		3	\$ 140.68	\$ (422.04)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	31FMT	92204A702	3 000	0			3	\$ 220.71	\$ (662.13)
Settled	9/12/2019	9/16/2019	Security Transaction	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	31CMT	464287555	2	0			-1	\$ 104.88	\$ 104.87
Settled	9/12/2019	9/16/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	673F6	91369Y209	7	0			-2	\$ 82.09	\$ 164.17
Settled	9/12/2019	9/16/2019	Security Transaction	Sale US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	673F6	91369Y209	7	0			-2	\$ 82.09	\$ 164.17
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	673F6	91369Y209	7	0			-2	\$ 82.09	\$ 164.17
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase CONSUMERS SELECT SECTOR PER ADVISORY AGREEMENT.	XLV	673F6	91369Y209	7	0			-2	\$ 82.09	\$ 164.17
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	39RY4	33734X192	5 000	0			12	\$ 66.67	\$ (668.04)
Settled	9/14/2019	9/16/2019	Security Transaction	Sale SECURITY TRANSACTION HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	673F6	91369Y209	8	0			-2	\$ 80.77	\$ 161.53
Settled	9/14/2019	9/16/2019	Security Transaction	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	673G1	91369Y407	7 000	0			2	\$ 116.03	\$ (222.06)
Settled	9/14/2019	9/16/2019	Security Transaction	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	31FMT	92204A702	2 000	0			17	\$ 208.00	\$ (8,663.00)
Settled	9/14/2019	9/16/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	31FY1	92204A603	3	0			-6	\$ 136.64	\$ 831.82
Settled	9/14/2019	9/16/2019	Security Transaction	Purchase SECURITY TRANSACTION VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	31FMT	92204A702	2 000	0			17	\$ 208.00	\$ (8,663.00)
Settled	9/14/2019	9/16/2019	Security Transaction	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	673G1	91369Y407	7 000	0			2	\$ 116.03	\$ (222.06)
Settled	9/14/2019	9/16/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	673F6	91369Y209	8	0			-2	\$ 80.77	\$ 161.53
Settled	9/14/2019	9/16/2019	Security Transaction	Purchase SECURITY TRANSACTION CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	39RY4	33734X192	5 000	0			12	\$ 66.67	\$ (668.04)
Settled	9/14/2019	9/16/2019	Security Transaction	Sale SECURITY TRANSACTION VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	31FMT	92204A207	1	0			-11	\$ 151.87	\$ 1,698.34
Settled	9/14/2019	9/16/2019	Security Transaction	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KWT	33738E302	4 000	0			7	\$ 134.68	\$ (942.82)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	7/1/2019	7/3/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FMD'	'822044207	3		0		-1	\$ 149.56	\$ 149.55
Settled	7/1/2019	7/3/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'36RY4'	'33734X18Z	9		0		-4	\$ 56.28	\$ 233.12
Settled	7/1/2019	7/3/2019	Security Transaction	Sale ISHARES TR CORE MSCI EAF ETF PER ADVISORY AGREEMENT.	IEFA	'39T5Z'	'46432F94Z	10		0		-2	\$ 61.91	\$ 123.81
Settled	7/1/2019	7/3/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	'31KQWZ	'33733E30Z	7		0		-3	\$ 145.01	\$ 435.01
Settled	7/1/2019	7/3/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FMT'	'82204A70Z	4		0		-4	\$ 214.10	\$ 866.37
Settled	7/1/2019	7/3/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'82204A803	5		0		-4	\$ 146.40	\$ 585.60
Settled	7/1/2019	7/3/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'873G3'	'81369Y605	13		0		-13	\$ 27.81	\$ 362.88
Settled	7/1/2019	7/3/2019	Security Transaction	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	'31CMT'	'46428T558	2		0		-4	\$ 108.78	\$ 439.11
Settled	7/1/2019	7/3/2019	Security Transaction	Sale ISHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IWD	'31CK1'	'46428T598	1		0		-9	\$ 128.22	\$ 1,153.92
Settled	7/1/2019	7/3/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	'873G1'	'81369Y407	12		0		-9	\$ 120.51	\$ 1,084.59
Settled	7/1/2019	7/3/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'873F8'	'81369Y209	11		0		-5	\$ 83.05	\$ 485.25
Settled	7/1/2019	7/3/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLC	'31OX5'	'81369Y85Z	8		0		-17	\$ 48.63	\$ 843.76
Settled	7/1/2019	7/3/2019	Security Transaction	Sale VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT.	VIG	'31KQW'	'821908844	6		0		-11	\$ 115.85	\$ 1,274.37
Settled	7/1/2019	7/3/2019	Security Transaction	Sale BLACKROCK EOTY DIVIDEND FUND INSTL FRAC SHR QUANTITY .862 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'874Y8'	'08251M504'	5		0		-10.862	\$ 21.58	\$ 234.40
Settled	7/1/2019	7/3/2019	Security Transaction	Sale DELAWARE EMERGING MARKETS FD CL INSTL FRAC SHR QUANTITY .382 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH8'	'245814817'	3		0		-4.382	\$ 18.63	\$ 81.26
Settled	7/1/2019	7/3/2019	Security Transaction	Sale INVESTCO OPPENHEIMER INTL GROWTH FUND CL Y FRAC SHR QUANTITY .183 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'8LX86'	'00800M697'	4		0		-2.183	\$ 41.15	\$ 90.24
Settled	7/1/2019	7/3/2019	Security Transaction	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I FRAC SHR QUANTITY .008 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLX	'9ELN8'	'47803U640'	2		0		-8.008	\$ 20.28	\$ 163.64

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	6/28/2018	7/2/2018	Security Transaction	Purchase VISA INC GLB 02 800% DEC 14 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.00% CALL DATE 10/4/22 CALL PRICE \$100.00 18 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ2	'EHAQ5'	'9228CAC08'	1	000	0		8,000.00	\$ 102.52	\$ (8,212.64)
Settled	6/25/2019	6/27/2019	Security Transaction	Purchase KINDER MORGAN ENER PART COMPANY GUARNT GLB 03 850% SEP 01 2022 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.47% CURR CALL DATE 9/01/22 CURR CALL PRICE \$100.00 116 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	KMF22A	'C11R4'	'49450BL9'	3	000	0		4,000.00	\$ 104.16	\$ (4,217.07)
Settled	6/24/2019	6/26/2019	Security Transaction	Sale GENERAL ELEC CAP CORP NOTES 05.875% JAN 14 2038 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB4 YLD TO MATURITY 4.72% MATURITY DATE 1/14/38 162 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE38	'B75M1'	'35962G3P7'	24		0		-2,000.00	\$ 114.10	\$ 2,394.88
Settled	6/24/2019	6/26/2019	Security Transaction	Sale JPMORGAN CHASE & CO GLB VARNDEC 05 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 21 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPMO28	'C0837'	'49947PAX4'	27		0		-3,000.00	\$ 111.46	\$ 3,351.44
Settled	6/24/2019	6/26/2019	Security Transaction	Sale CITIGROUP INC - VARNJAN 10 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBB4 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 166 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C 28	'B2793'	'172867LD1'	17		0		-3,000.00	\$ 105.89	\$ 3,283.47
Settled	6/24/2019	6/26/2019	Security Transaction	Sale SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02 500% SEP 12 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P AA- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.55% MATURITY DATE 9/12/28 104 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RO828	'E38W1'	'822892BX9'	39		0		-3,000.00	\$ 99.61	\$ 3,008.97
Settled	6/24/2019	6/26/2019	Security Transaction	Sale MICROSOFT CORP GLB 03 700% AUG 08 2048 RATINGS ARE SUBJ. TO CHG MOODY'S AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 3.25% CALL DATE 2/08/48 CALL PRICE \$100.00 138 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT48	'C9C38'	'5949188TD'	28		0		-3,000.00	\$ 107.81	\$ 3,278.85
Settled	6/24/2019	6/26/2019	Security Transaction	Sale COMCAST CORP COMPANY GUARNT GLB 04 150% OCT 15 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.85% CALL DATE 7/15/28 CALL PRICE \$100.00 71 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMCS28	'B29E8'	'20030NCT8'	19		0		-2,000.00	\$ 110.22	\$ 2,220.77
Settled	6/24/2019	6/26/2019	Security Transaction	Sale VERIZON COMMUNICATIONS GLB 05 150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB4 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.13% MATURITY DATE 9/15/23 101 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ23	'E73Y3'	'9234918R4'	41		0		-3,000.00	\$ 112.11	\$ 3,406.85
Settled	6/24/2019	6/26/2019	Security Transaction	Purchase ENTERPRISE PRODUCTS OPER COMPANY GUARNT 05 700% FEB 15 2042 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB4 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 4.27% MATURITY DATE 2/15/42 131 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EPD42	'B6631'	'28379VAV5'	23		0		-2,000.00	\$ 120.53	\$ 2,452.04
Settled	6/24/2019	6/26/2019	Security Transaction	Sale CITICORP INC GLB 03 875% OCT 25 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBB4 YLD TO MATURITY 2.45% MATURITY DATE 10/25/23 81 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C238	'B2865'	'172867HID6'	18	000	0		4,000.00	\$ 105.78	\$ (4,257.45)
Settled	6/24/2019	6/26/2019	Security Transaction	Sale MORGAN STANLEY GLB VARNJUL 22 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBB4 MAKE-WHOLE CALL DETAILS UPON REQUEST 154 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ZZZZ28	'C8DH1'	'8174VYAK4'	29		0		-2,000.00	\$ 103.89	\$ 2,110.50

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	6/24/2019	6/28/2019	Security Transaction	WELLS FARGO & COMPANY SER MTN VAR/MAY 22 2028 CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST 34 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	YHNN07		95000U2AC	43	0			-3,000.00	\$ 104.43	\$ 3,143.02
Settled	6/24/2019	6/28/2019	Security Transaction	GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 YLD TO MATURITY 2.69% MATURITY DATE 3/8/24. 113 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GS24	YHND07	98141GVMC	46	0			-4,000.00	\$ 108.16	\$ 4,296.74
Settled	6/24/2019	6/28/2019	Security Transaction	BOG REOURCES INC GLB 02 625% MAR 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.15% CURR CALL DATE 12/16/22 CURR CALL PRICE \$100.00. 101 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EOG23	956177	28678PAC7	22	000	0		4,000.00	\$ 101.44	\$ (4,086.94)
Settled	6/24/2019	6/28/2019	Security Transaction	MICROSOFT CORP GLB 03 625% DEC 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S AAA S&P AAA MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 1.86% CURR CALL DATE 9/16/23 CURR CALL PRICE \$100.00. 11 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT23	09FC2	95481BAW6	31	000	0		7,000.00	\$ 106.70	\$ (7,476.64)
Settled	6/24/2019	6/28/2019	Security Transaction	US BANCORP SUBORDINATED SER MTN 03 800% SEP 11 2024 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.45% CALL DATE 8/1/24 CALL PRICE \$100.00. 105 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	US824A	EB7W2	91158HHS9	40	000	0		7,000.00	\$ 105.46	\$ (7,455.91)
Settled	6/24/2019	6/28/2019	Security Transaction	MORGAN STANLEY SER MTN 02 625% NOV 17 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBB+ YLD TO MATURITY 2.82% MATURITY DATE 11/17/21. 39 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MS21G	09FG1	91748BED4	32	000	0		4,000.00	\$ 100.70	\$ (4,038.38)
Settled	6/24/2019	6/28/2019	Security Transaction	VERIZON COMMUNICATIONS GLB 03 375% FEB 15 2025 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST 131 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ25A	EHAM5	92343VEN0	35	000	0		4,000.00	\$ 104.70	\$ (4,237.22)
Settled	6/24/2019	6/28/2019	Security Transaction	PEPSICO INC GLB 02 750% MAR 05 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P A- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 1.91% MATURITY DATE 3/5/22. 111 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PEP21G	09FG1	713448BY3	34	000	0		8,000.00	\$ 102.19	\$ (8,242.95)
Settled	6/24/2019	6/28/2019	Security Transaction	APPLE INC GLB 02 400% MAY 03 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A+1 S&P A+ MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.08% MATURITY DATE 5/3/23. 53 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	APPL23	YAD30	037633AIG	11	000	0		4,000.00	\$ 101.14	\$ (4,058.60)
Settled	6/24/2019	6/28/2019	Security Transaction	COMCAST CORP COMPANY GUARANT GLB 03 700% APR 15 2024 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.25% CALL DATE 3/15/24 CALL PRICE \$100.00. 71 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMCS24	B28L4	20030NCRC	21	000	0		7,000.00	\$ 108.24	\$ (7,487.55)
Settled	6/24/2019	6/28/2019	Security Transaction	TOYOTA MOTOR CREDIT CORP SER MTN 02 150% MAR 12 2020 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.07% MATURITY DATE 3/12/20. 104 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TMO20A	YH8W1	98236TCF0	45	000	0		7,000.00	\$ 100.05	\$ (7,046.91)
Settled	6/24/2019	6/28/2019	Security Transaction	AT&T INC GLB 02 450% JULY 30 2020 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.37% CALL DATE 6/30/20 CALL PRICE \$100.00. 178 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	T20A	YAD1N5	002069CL4	10	000	0		3,000.00	\$ 100.07	\$ (3,037.86)

Authority Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase SOUTHERN CO GLB 02.850% JUL 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.51% CALL DATE 501/23 CALL PRICE \$100.00 175 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SOQ3	'E36W'	942987CU9	37 000	0			4,000.00	\$ 101.59	\$ (4,121.08)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase GOLDMAN SACHS GROUP INC GLB 02.600% DEC 27 2020 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST 178 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GSQAC	'877A'	'39141GWS'	25 000	0			8,000.00	\$ 100.15	\$ (8,115.67)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase NORTHROP GRUMMAN CORP GLB 03.250% AUG 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.29% MATURITY DATE 801/23.	NOC23	'0215'	988807BG9	33 000	0			4,000.00	\$ 103.72	\$ (4,201.08)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase REGIONS FINANCIAL CORP GLB 02.750% AUG 14 2022 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.38% CALL DATE 714/22 CALL PRICE \$100.00 132 DAYS INTEREST PER ADVISORY	RF22	'E28W'	7591EPANZ	39 000	0			4,000.00	\$ 101.06	\$ (4,083.41)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase CAPITAL ONE FINANCIAL CO GLB 02.500% MAY 12 2020 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.19% CALL DATE 412/20 CALL PRICE \$100.00 44 DAYS INTEREST PER ADVISORY	COF 20	'8214'	'14040HBP'	16 000	0			3,000.00	\$ 100.24	\$ (3,016.30)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase WELLS FARGO & COMPANY GLB 02.100% JUL 29 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A- YLD TO MATURITY 2.28% MATURITY DATE 7/29/21 150 DAYS INTEREST PER ADVISORY	WFC21D	'E757'	'949749SA'	42 000	0			8,000.00	\$ 99.62	\$ (8,038.28)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase HOME DEPOT INC GLB 02.825% JUN 01 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.84% CALL DATE 501/22 CALL PRICE \$100.00 25 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HD22	'8A5W'	'437078G9'	14 000	0			8,000.00	\$ 102.14	\$ (8,186.02)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase ABBOTT LABORATORIES GLB 02.900% NOV 30 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.13% CALL DATE 10/30/21 CALL PRICE \$100.00 28 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ABT21	'A05W'	'002824BD1'	13 000	0			4,000.00	\$ 101.75	\$ (4,078.22)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase TORONTO-DOMINION BANK SER MTN 02.250% NOV 05 2019 YLD TO MATURITY 2.02% MATURITY DATE 11/05/19 51 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TD19A	'HNH8'	'99114QAV'	44 000	0			7,000.00	\$ 100.08	\$ (7,027.70)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase BBAT CORPORATION SER MTN 02.850% OCT 28 2024 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.32% CALL DATE 8/28/24 CALL PRICE \$100.00 60 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BBT24	'HJ8W'	'06531FB88'	48 000	0			8,000.00	\$ 102.59	\$ (8,244.99)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase ROYAL BANK OF CANADA SER GFTN GLB 02.500% JAN 19 2021 YLD TO MATURITY 2.12% MATURITY DATE 1/19/21 187 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RY21	'HN1A'	'78012KLU'	47 000	0			8,000.00	\$ 100.58	\$ (8,133.48)
Settled	6/24/2019	6/28/2019	Security Transaction AS AGENT	Purchase THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.32% CALL DATE 11/01/23 CALL PRICE \$100.00 145 DAYS INTEREST PER ADVISORY	TMF24	'E9C2'	'9835598F'	39 000	0			4,000.00	\$ 107.51	\$ (4,387.28)

Activity Status	Trade/Trans Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Entered	Quantity	Price	Amount
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase CVR HEALTH CORP GLB 03.700% MAR 09 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.67% CURR CALL DATE 208/23 CURR CALL PRICE \$100.00 107 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVR23A	'B2906'	'126650CV0'	20	000'	0		4,000.00	\$ 103.52	\$ (4,184.71)
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A- YLD TO MATURITY 2.19% MATURITY DATE 7/22/20 164 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM20A	'C0384'	'466264H62'	28	000'	0		8,000.00	\$ 102.32	\$ (8,336.10)
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase MERCER & CO INC GLB 02.750% FEB 10 2025 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P AA CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.19% CALL DATE 1/10/24 CALL PRICE \$100.00 136 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MRC25	'C6D19'	'56933VA86'	30	000'	0		4,000.00	\$ 103.13	\$ (4,166.72)
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase CHEVRON CORP GLB 02.100% MAY 16 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P AA CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.00% CALL DATE 4/16/21 CALL PRICE \$100.00 40 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVX21	'B21M1'	'166784B64'	15	000'	0		8,000.00	\$ 100.17	\$ (8,032.36)
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase AMERICAN INTL GROUP GLB 04.125% FEB 15 2024 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.65% MATURITY DATE 2/15/24 131	AIQ24	'A04T8'	'026674CY1'	12	000'	0		4,000.00	\$ 106.39	\$ (4,316.28)
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FIMMA PMA3538 05%2046 AMORTIZED FCR 86666 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS				25	0	0		-3,000.00	\$ 105.31	\$ 2,747.48
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FIMMA PMA3008 04 50%2047 AMORTIZED FCR 63664 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS				42	0	0		-1,000.00	\$ 104.31	\$ 665.81
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FILMCO GO 8786 04 50%2047 AMORTIZED FCR 78440 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS				44	0	0		-1,000.00	\$ 104.41	\$ 800.38
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FIMMA PMA37252 04 50%2046 AMORTIZED FCR 48694 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS				38	0	0		-1,000.00	\$ 104.31	\$ 519.87
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FIMMA PAL8004 04 50%2043 AMORTIZED FCR 23817 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS				31	0	0		-3,000.00	\$ 104.31	\$ 747.49
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FIMMA PMA3073 04 50%2047 AMORTIZED FCR 70340 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS				43	0	0		-1,000.00	\$ 104.31	\$ 735.65

Activity Status	Trade/Transac ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	801	Client Entered	Quantity	Price	Amount
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINMA PAL7767 04 50%2044 AMORTIZED FCR .48861 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"VAXD"	"31386CQT25"		35		0		-1,000.00	\$ 104.31	\$ 488.14
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINMA PAL9107 04 50%2046 AMORTIZED FCR .55190 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y62T3"	"31386BDMA9"		40		0		-1,000.00	\$ 104.31	\$ 577.28
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINMA PAD6529 04 50%2046 AMORTIZED FCR .10838 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"GA2U7"	"31418WPFV9"		23		0		-15,000.00	\$ 104.31	\$ 1,669.34
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINMA PMA3443 04%2046 AMORTIZED FCR .80302 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"GFFH1"	"31418CZ2H7"		24		0		-11,000.00	\$ 105.13	\$ 10,270.17
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINLMC GO 8687 03 50%2046 AMORTIZED FCR .60919 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y6EN3"	"3128MALXJ3"		36		0		-2,000.00	\$ 102.09	\$ 1,263.58
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINLMC GO 8641 03 50%2046 AMORTIZED FCR .55793 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y6E63"	"3128MALXJ6"		34		0		-1,000.00	\$ 102.09	\$ 823.37
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINMA PMA2869 03 50%2047 AMORTIZED FCR .80404 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y6YCS"	"3128MALX62"		32		0		-1,000.00	\$ 102.09	\$ 570.91
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINMA PMA2806 03%2046 AMORTIZED FCR .80759 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y6464"	"31418CJD7"		41		0		-1,000.00	\$ 102.08	\$ 822.51
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINMA PMA2806 03%2046 AMORTIZED FCR .80759 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y7886"	"31418CJL5"		37		0		-1,000.00	\$ 100.69	\$ 814.76
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINLMC GO 8615 03 50%2044 AMORTIZED FCR .43885 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y6M67"	"3128MALX65"		33		0		-4,000.00	\$ 102.09	\$ 3,691.84
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FINLMC GO 8615 03 50%2044 AMORTIZED FCR .43885 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	"Y6XU2"	"3128MALX67"		30		0		-1,000.00	\$ 102.09	\$ 449.08

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	6/24/2019	6/25/2019	Security Transaction	Sale FHLBNC GO 8737 03%2046 AMORTIZED FOR .81289 24 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROSET ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS SEE WWW.SIFMA.ORG/TMFG.	YY5H8	31284AZ89		39	0			-6,000.00	\$ 100.81	\$ 4,104.08
Settled	6/24/2019	6/25/2019	Security Transaction	Sale U.S. TREASURY BOND 3.000% MAY 15 2046 03.000% MAY 15 2046 YLD TO MATURITY 2.62% MATURITY DATE 5/15/46. 41 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS SEE WWW.SIFMA.ORG/TMFG.	Y125W6	912810RMZ		28	0			-6,000.00	\$ 108.91	\$ 5,462.01
Settled	6/24/2019	6/25/2019	Security Transaction	Sale U.S. TREASURY BOND 3.000% FEB 15 2046 03.000% FEB 15 2046 FIRST COUPON 08/15/19 YLD TO MATURITY 2.64% MATURITY DATE 2/15/48. 130 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS SEE WWW.SIFMA.ORG/TMFG.	Y127W0	9128109F5		29	0			-6,000.00	\$ 108.33	\$ 6,532.59
Settled	6/24/2019	6/25/2019	Security Transaction	Sale U.S. TREASURY BOND 2.500% FEB 15 2046 02.500% FEB 15 2046 YLD TO MATURITY 2.54% MATURITY DATE 2/15/48. 130 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS SEE WWW.SIFMA.ORG/TMFG.	Y126W9	912810RQ3		27	0			-4,000.00	\$ 99.06	\$ 3,996.11
Settled	6/24/2019	6/25/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.625% AUG 31 2020 YLD TO MATURITY 1.90% MATURITY DATE 8/31/20. 117 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS SEE WWW.SIFMA.ORG/TMFG.	Y128W7	9128284Y3		26	0			-3,000.00	\$ 100.84	\$ 3,050.21
Settled	6/21/2019	6/24/2019	Security Transaction	Sale WESTERN ASSET SNAAB SERIES M FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LM8MX	912870G759		7	0			-3,448.00	\$ 11.02	\$ 38,007.89
Settled	6/21/2019	6/24/2019	Security Transaction	Sale WESTERN ASSET SNAAB SERIES C FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LM1CX	912870G742		6	0			-1,378.00	\$ 8.56	\$ 13,183.24
Settled	6/21/2019	6/24/2019	Security Transaction	Sale WESTERN ASSET SNAAB SERIES EC FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LM2CX	912870G734		5	0			-3,703.00	\$ 8.30	\$ 34,437.80
Settled	6/21/2019	6/24/2019	Security Transaction	Sale FEDERAL NATL MTG ASSOC BONDS 08.625% NOV 15 2020 YLD TO MATURITY 2.38% MATURITY DATE 11/15/20. 30 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS SEE WWW.SIFMA.ORG/TMFG.	Y142G2	913589GK3		2	0			-1,000.00	\$ 142.13	\$ 1,428.48
Settled	6/20/2019	6/24/2019	Security Transaction	Sale 1000 VALUE PER ADVISORY AGREEMENT.	IMD	9131CK1		3	0			-5	\$ 128.73	\$ 633.64
Settled	6/20/2019	6/24/2019	Security Transaction	Purchase IBSHARES MSCI JAPAN ETF 8HS PER ADVISORY AGREEMENT.	EWJ	989Z07	989Z070822	4	1000	0		2	\$ 64.87	\$ (108.74)
Settled	6/20/2019	6/21/2019	Security Transaction	Sale HARDING LOEWNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMBX	98Y755	9412286107	10	0			-11	\$ 22.51	\$ 247.81
Settled	6/20/2019	6/21/2019	Security Transaction	Sale BLACKROCK EOTY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	9791Y9	088251M504	21	0			-83	\$ 21.38	\$ 1,774.54
Settled	6/20/2019	6/21/2019	Security Transaction	Sale INVESCO OPPENHEIMER INTL GROWTH FUND CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGTX	9LX89	008000M597	15	0			-11	\$ 40.58	\$ 446.38

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Settled	6/20/2019	6/21/2019	Security Transaction	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WBCGX	WPK4C	949821571	16	0	0		-4	\$ 56.21	\$ 224.84
Settled	6/20/2019	6/21/2019	Security Transaction	Sale T ROWE PRICE EMERGING MARKETS STOCK FD INV CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRM8X	WKB0Z	779561894	12	0	0		-23	\$ 43.34	\$ 998.82
Settled	6/20/2019	6/21/2019	Security Transaction	Sale SQUID SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHNX	WPKV4	836083204	19	0	0		-188	\$ 43.02	\$ 8,087.76
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase WFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT.	MEIUX	WKM28	952888894	11	000	0		4	\$ 41.95	\$ (167.80)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase WELLS FARGO SPECIAL SMALL CAP VALUE FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ESPNX	WKB07	94675P447	13	000	0		177	\$ 33.58	\$ (5,943.98)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase DIAMOND HILL LARGE CAP FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DHLRX	WLRGQ	252648941	14	000	0		292	\$ 27.90	\$ (8,146.80)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAVIX	WLOY3	413838731	20	000	0		2	\$ 22.73	\$ (45.46)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JULX	WEHV3	47803U940	7	000	0		31	\$ 20.19	\$ (625.89)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS FD CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	WKT42	246914817	8	000	0		1	\$ 18.28	\$ (18.28)
Settled	6/20/2019	6/21/2019	Security Transaction	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIUX	WLB84	14214L485	16	0	0		-6	\$ 53.81	\$ 322.86
Settled	6/20/2019	6/21/2019	Security Transaction	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	WMO96	16140T508	17	0	0		-3	\$ 18.08	\$ 54.24
Settled	6/20/2019	6/21/2019	Security Transaction	Sale NUVEEN SMALL CAP VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	WKN65	870678200	9	0	0		-259	\$ 22.97	\$ 5,949.23
Settled	6/13/2019	6/17/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	6KTY	398V4	33734X182	24	0	0		-2	\$ 58.36	\$ 116.71
Settled	6/13/2019	6/17/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR FD 8HS PER ADVISORY AGREEMENT.	XLRE	31AH5	81369Y880	22	0	0		-3	\$ 37.48	\$ 112.43
Settled	6/13/2019	6/17/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KNZ	33073E30Z	21	0	0		-1	\$ 142.42	\$ 142.41
Settled	6/13/2019	6/17/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	31FAC	82204A207	19	0	0		-2	\$ 150.70	\$ 301.39

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	6/13/2019	6/17/2019	Security Transaction	HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. Purchase	XLV	'673F8'	'61369Y209'	25	0			-16	\$ 91.33	\$ 1,461.25
Settled	6/13/2019	6/17/2019	Security Transaction	COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. Purchase	XLG	'310X9'	'61369Y862'	23	0007	0		8	\$ 47.94	\$ (287.94)
Settled	6/13/2019	6/17/2019	Security Transaction	CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT. Purchase	XLV	'673G1'	'61369Y407'	26	0007	0		15	\$ 118.26	\$ (1,773.80)
Settled	6/13/2019	6/17/2019	Security Transaction	SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT. Sale	XLF	'673G3'	'61369Y905'	27		0		-16	\$ 27.24	\$ 435.83
Settled	6/13/2019	6/17/2019	Security Transaction	VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT. Purchase	VIS	'31FX1'	'62204A803'	20	0007	0		2	\$ 142.08	\$ (284.12)
Settled	5/9/2019	5/10/2019	Security Transaction	FNMA PMA3449 04%2046 AMORTIZED FCR .86200 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. Purchase	'GFFH'	'31418C2H0'		1	0007	0		11	\$ 100.00	\$ (10,451.98)
Settled	5/9/2019	5/9/2019	Security Transaction	FLMNC GO 8641 03 50%2046 AMORTIZED FCR .56582 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS Sale	'B7C8'	'3128ALMBZ'		3		0		-1,000.00	\$ 101.38	\$ 574.04
Settled	5/9/2019	5/9/2019	Security Transaction	FNMA PMA3210 03 50%2047 AMORTIZED FCR .86891 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS Sale	'GAZD0'	'31418CR89'		2		0		-7,000.00	\$ 101.30	\$ 6,365.63
Settled	5/9/2019	5/9/2019	Security Transaction	FNMA PMA3182 03 50%2047 AMORTIZED FCR .86382 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS Sale	'GALV5'	'31418CR0V'		1		0		-3,000.00	\$ 101.30	\$ 2,717.72
Settled	6/12/2019	6/12/2019	Security Transaction	U.S. TREASURY NOTE 2.750% FEB 15 2029 02.750% FEB 15 2029 YLD TO MATURITY 2.48% MATURITY DATE 2/15/29. 78 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE Sale	'GAZEE'	'9128283VM'		1		0		-2,000.80	\$ 102.11	\$ 2,053.66
Settled	5/12/2019	5/12/2019	Security Transaction	U.S. TREASURY NOTE 2.635% AUG 31 2020 YLD TO MATURITY 2.36% MATURITY DATE 8/31/20. 63 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE Sale	'H2B8U'	'9128284V3'		2		0		-3,000.00	\$ 100.31	\$ 3,022.74
Settled	5/12/2019	5/12/2019	Security Transaction	U.S. TREASURY NOTE 2.125% SEP 30 2021 YLD TO MATURITY 2.29% MATURITY DATE 9/30/21. 32 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE Purchase	'H2B8C'	'9128285F7'		3		0		-3,000.00	\$ 99.60	\$ 2,993.82
Settled	5/12/2019	5/12/2019	Security Transaction	U.S. TREASURY BOND 3.000% FEB 15 2046 03.000% FEB 15 2046 FIRST COUPON 08/15/19 YLD TO MATURITY 2.91% MATURITY DATE 2/15/46. COUPON 08/15/19 INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. Purchase	'H27N0'	'9128108F8'		4	0007	0		6,000.00	\$ 101.85	\$ (8,182.28)

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673F5'	'61369Y209'	32	000	0		9	\$ 87.36	\$ (788.24)
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'38RY4'	'33734X182'	31		0		-1	\$ 60.38	\$ 60.37
Settled	4/23/2019	4/25/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	VGT	'31FMT'	'62204A702'	27	000	0		2	\$ 212.82	\$ (425.64)
Settled	4/23/2019	4/25/2019	Security Transaction	Sale VANGUARD MATERIALS ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31FMZ'	'33733E30Z'	29		0		-1	\$ 146.55	\$ 146.54
Settled	4/23/2019	4/25/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VAV	'31FMB'	'62204A801'	28		0		-1	\$ 127.70	\$ 127.69
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'62204A803'	28		0		-14	\$ 146.89	\$ 2,057.82
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673G1'	'61369Y407'	33	000	0		3	\$ 120.23	\$ (390.69)
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IBB	'31CM7'	'46428Y656'	28	000	0		4	\$ 108.49	\$ (425.96)
Settled	4/23/2019	4/25/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLG	'31OX9'	'61369Y85Z'	30		0		-8	\$ 48.95	\$ 388.60
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase VANGUARD HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F5'	'61369Y209'	9	000	0		8	\$ 82.08	\$ (652.38)
Settled	3/20/2019	3/22/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FMT'	'62204A207'	2		0		-2	\$ 141.85	\$ 283.69
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CM7'	'46428Y656'	1	000	0		3	\$ 113.58	\$ (340.73)
Settled	3/20/2019	3/22/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'62204A803'	4		0		-1	\$ 138.85	\$ 138.84
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'38RY4'	'33734X182'	8		0		-7	\$ 57.57	\$ 402.98
Settled	3/20/2019	3/22/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673G1'	'61369Y407'	11	000	0		2	\$ 28.68	\$ (63.19)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E	ID	SO	Entered	Quantity	Price	Amount
Settled	3/20/2019	3/22/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KHZ	38733E30Z	5	0				-2	\$ 139.58	\$ 279.11
Settled	3/20/2019	3/22/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT. AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	31FM7	92204A70Z	3	0				-4	\$ 199.52	\$ 798.07
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT. AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLC	31OX9	91399Y9E2	7	000	0			16	\$ 46.84	\$ (748.44)
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT. AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLY	973G1	91399Y407	10	000	0			9	\$ 112.10	\$ (1,008.90)
Settled	3/20/2019	3/22/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR FD 848 PER ADVISORY AGREEMENT.	XLRE	31MVS	91399Y9B0	6	0				-24	\$ 35.01	\$ 840.24
Settled	3/19/2019	3/19/2019	Security Transaction	Sale BP CAPITAL MARKETS PLC COMPANY GUARANT GLB 03 508% MAR 17 2025 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.33% MATURITY DATE 3/17/25 178 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BP25	F1H59	06565QDA3	17	0				-2,000.00	\$ 100.94	\$ 2,053.47
Settled	3/19/2019	3/19/2019	Security Transaction	Purchase COMCAST CORP COMPANY GUARANT GLB 04 150% OCT 15 2028 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST FIRST COUPON 04/19/19 YLD TO CALL 3.57% CALL DATE 7/15/28 CALL PRICE \$100.00 190 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMCS28	923E8	20030NCT8	16	000	0			2,000.00	\$ 104.53	\$ (2,127.49)
Settled	3/12/2019	3/13/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.625% AUG 31 2020 YLD TO MATURITY 2.50% MATURITY DATE 8/31/20 13 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE WWW.SIFMA.ORG/OTMPG.	128MT		912828A73	1	0				-1,000.00	\$ 100.18	\$ 1,002.89
Settled	2/19/2019	2/21/2019	Security Transaction	Purchase JP MORGAN CHASE & CO GLB VARDEC 05 2028 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST FIRST COUPON 09/05/19 76 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPMO28	00837	46947PAX4	1	000	0			3,000.00	\$ 104.95	\$ (3,176.79)
Settled	2/14/2019	2/19/2019	Security Transaction	Sale JP MORGAN CHASE & CO GLB 04 400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- YLD TO MATURITY 3.17% MATURITY DATE 7/22/20 27 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM20A	00894	46925HH8Z	4	0				-4,000.00	\$ 101.99	\$ 4,080.98
Settled	2/14/2019	2/15/2019	Security Transaction	Purchase FINMA PMA3638 05%2048 AMORTIZED FCR .99059 14 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT.	GHQV5		31419CA77	18	000	0			3,000.00	\$ 106.34	\$ (3,104.62)
Settled	1/30/2019	2/1/2019	Security Transaction	Sale MICROSOFT CORP GLB 03 700% AUG 08 2046 RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.80% MATURITY DATE 8/08/46 YLD TO CALL 3.80% CALL DATE 2/08/46 CALL PRICE \$100.00 173 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSTF46	06C38	59491887U	1	0				-1,000.00	\$ 98.19	\$ 998.64
Settled	1/30/2019	1/31/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES M FUND PER ADVISORY AGREEMENT. WESTERN ML ACTED AS AGENT.	LM8MX	91MEK9	52470G769	5	0				-286	\$ 10.81	\$ 3,091.86
Settled	1/30/2019	1/31/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES EC FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LM8CX	91MEK9	52470G734	3	0				-360	\$ 8.95	\$ 3,136.00

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E	ID	SOI	Entered	Quantity	Price	Amount
Settled	1/30/2019	1/31/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES C FUND PER ADVISORY AGREEMENT. FUND PAAS182 03 50%20YR AMORTIZED FOR .91864 30 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAIL 8 UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	LMLCX	9MEXR	924705742	4	0				-46	\$ 9.20	\$ 414.00
Settled	1/30/2019	1/31/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.125% SEP 30 2021 YTD TO MATURITY 2.52% MATURITY DATE 9/30/21 123 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FUND PAAS182 03 50%20YR AMORTIZED FOR .91864 30 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAIL 8 UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	9ALV5	31419CR07		1	0				-2,000.00	\$ 100.86	\$ 1,954.51
Settled	1/30/2019	1/31/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	9KTH9	245914817	4	000	0			254	\$ 18.12	\$ (4,602.48)
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase 18SHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	39T52	464325942	3	000	0			60	\$ 57.55	\$ (3,458.22)
Settled	1/24/2019	1/29/2019	Security Transaction	Sale 18SHARES MSCI EAFE ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	31CT9	464287485	1	0	0			-129	\$ 61.41	\$ 7,922.12
Settled	1/24/2019	1/29/2019	Security Transaction	Sale 18SHARES MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	3982Z	464340822	2	0	0			-14	\$ 53.31	\$ 746.33
Settled	1/24/2019	1/29/2019	Security Transaction	Sale HARDING LOEVNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	9K755	4412295107	25	0	0			-119	\$ 20.26	\$ 2,410.94
Settled	1/24/2019	1/29/2019	Security Transaction	Sale OAKMARK INTL FD CL ADV PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAVIX	9U0Y3	4419389731	32	0	0			-58	\$ 21.78	\$ 1,289.24
Settled	1/24/2019	1/29/2019	Security Transaction	Sale OPPENHEIMER INTERNATL GROWTH PD CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIX	9K2M5	552983894	26	0	0			-1	\$ 37.46	\$ 37.46
Settled	1/24/2019	1/29/2019	Security Transaction	Sale ROUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	9LX85	983901407	28	0	0			-13	\$ 36.48	\$ 474.24
Settled	1/24/2019	1/29/2019	Security Transaction	Sale CAMBARI INTERNATIONAL EQUITY FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SRHMX	9PVM4	538063204	31	0	0			-1	\$ 38.80	\$ 38.80
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CANYX	97NZ2	107890543	33	0	0			-29	\$ 24.27	\$ 703.83
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSUX	9LBB4	14214L485	29	000	0			1	\$ 48.88	\$ (48.88)
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	974Y9	1062514504	34	000	0			1	\$ 19.61	\$ (19.61)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E	ID	SOI	Entered	Client	Quantity	Price	Amount
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	9K6DZ	775681864	27	000	0				147	\$ 40.29	\$ (5,822.63)
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase WELLS FARGO SMALL COMPANY GROWTH FUND INVTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WBGCOX	9PK4Z	946821671	30	000	0				1	\$ 51.82	\$ (51.82)
Settled	1/16/2019	1/16/2019	Security Transaction	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KXZ	33739E30Z	6	000	0				3	\$ 127.74	\$ (383.22)
Settled	1/16/2019	1/16/2019	Security Transaction	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	873G1	81389Y407	10	000	0				4	\$ 104.88	\$ (418.52)
Settled	1/16/2019	1/16/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLV	873F8	81389Y209	9	000	0				-3	\$ 88.40	\$ 266.19
Settled	1/16/2019	1/16/2019	Security Transaction	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	31FAU7	82204A70Z	4	000	0				1	\$ 172.31	\$ (172.31)
Settled	1/16/2019	1/16/2019	Security Transaction	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IBB	31CMT	464287558	1	000	0				-1	\$ 108.76	\$ 108.75
Settled	1/16/2019	1/16/2019	Security Transaction	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	31FAG	82204A207	2	000	0				3	\$ 133.85	\$ (401.55)
Settled	1/16/2019	1/16/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	873G3	81389Y805	11	000	0				13	\$ 25.46	\$ (330.82)
Settled	1/16/2019	1/16/2019	Security Transaction	Purchase FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	39RY4	33734K18Z	8	000	0				7	\$ 52.00	\$ (363.98)
Settled	1/16/2019	1/16/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLG	31OX8	81389Y85Z	7	000	0				-10	\$ 44.65	\$ 446.49
Settled	1/16/2019	1/16/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	31FX1	82204A803	5	000	0				-9	\$ 127.04	\$ 1,076.34
Settled	1/16/2019	1/16/2019	Security Transaction	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	31FAG	82204A801	3	000	0				1	\$ 115.08	\$ (116.08)
Settled	1/11/2019	1/16/2019	Security Transaction	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IMN	385P8	464287630	28	000	0				1	\$ 115.02	\$ (115.02)
Settled	1/11/2019	1/16/2019	Security Transaction	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IMO	385M8	464287648	28	000	0				1	\$ 180.81	\$ (180.81)
Settled	1/11/2019	1/16/2019	Security Transaction	Sale SECURITY TRANSCOMM SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLG	31OX8	81389Y85Z	27	000	0				-28	\$ 44.01	\$ 1,232.26

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	1/11/2019	1/15/2019	Security Transaction	Purchase IBSHARES MSCI EAFE PER ADVISORY AGREEMENT.	EFA	31CTB	464287465	19	000	0		28	\$ 80.99	\$ (1,707.72)
Settled	1/11/2019	1/15/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	31FMD	82204A207	20	0	0		-9	\$ 133.38	\$ 1,200.40
Settled	1/11/2019	1/15/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	873F5	81389Y208	33	0	0		-18	\$ 87.57	\$ 1,578.24
Settled	1/11/2019	1/15/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLY	873G1	81389Y407	34	0	0		-17	\$ 104.44	\$ 1,776.54
Settled	1/11/2019	1/15/2019	Security Transaction	Sale VANGUARD MATERIALS ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VAW	31FMB	82204A801	21	0	0		-1	\$ 115.40	\$ 115.39
Settled	1/11/2019	1/15/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	31FXT	82204A603	23	0	0		-7	\$ 128.99	\$ 898.93
Settled	1/11/2019	1/15/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	31FMT	82204A702	22	0	0		-7	\$ 171.63	\$ 1,201.39
Settled	1/11/2019	1/15/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	873G3	81389Y805	35	0	0		-19	\$ 24.48	\$ 464.73
Settled	1/11/2019	1/15/2019	Security Transaction	Purchase VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT.	VIG	31QMB	821908944	24	000	0		128	\$ 100.10	\$ (12,812.80)
Settled	1/11/2019	1/15/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	31KXZ	33739E302	25	0	0		-8	\$ 126.86	\$ 1,005.19
Settled	1/11/2019	1/15/2019	Security Transaction	Purchase MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	388ZD	46434Q822	31	000	0		17	\$ 52.78	\$ (897.22)
Settled	1/11/2019	1/15/2019	Security Transaction	Sale IBSHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	31CM7	464287558	18	0	0		-5	\$ 108.93	\$ 544.83
Settled	1/11/2019	1/15/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XIRE	31MWS	81389Y850	28	0	0		-17	\$ 31.81	\$ 542.48
Settled	1/11/2019	1/15/2019	Security Transaction	Purchase ISHARES TR CORE MSCI EAF ETF PER ADVISORY AGREEMENT.	IEFA	387BZ	46432F842	32	000	0		50	\$ 57.14	\$ (2,857.00)
Settled	1/11/2019	1/15/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	388V4	33734X182	30	0	0		-20	\$ 51.35	\$ 1,027.01
Settled	1/11/2019	1/15/2019	Security Transaction	Sale 1000 VALUE PER ADVISORY AGREEMENT.	IMD	31CK1	464287588	17	0	0		-53	\$ 114.83	\$ 7,240.80
Settled	1/11/2019	1/14/2019	Security Transaction	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMX	8K755	441295107	5	000	0		89	\$ 20.12	\$ (1,891.88)

Activity	Trade/Transac	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	1/1/2019	1/1/2019	Security Transaction	Sale BLACKROCK EDVY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIX	'9C2H8'	'552983894'	6		0		-41	\$ 39.64	\$ 1,502.24
Settled	1/1/2019	1/1/2019	Security Transaction	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974V8'	'06251M504'	15		0		-64	\$ 18.33	\$ 1,043.82
Settled	1/1/2019	1/1/2019	Security Transaction	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JULX	'9E1H3'	'47809U840'	2		0		-40	\$ 18.66	\$ 742.40
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMBX	'96ED2'	'77959H864'	7		0		53	\$ 36.38	\$ (2,087.14)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSGGX	'9P74Z'	'949821571'	11		0		8	\$ 51.13	\$ (400.04)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'246914817'	3		0		75	\$ 17.46	\$ (1,308.50)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase CAMBARI INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMTX	'97N1Z'	'00769G543'	14		0		98	\$ 23.89	\$ (2,351.02)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAVIX	'9L0X3'	'413938731'	13		0		111	\$ 21.52	\$ (2,386.72)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	H8UX	'8L884'	'14214L406'	9		0		25	\$ 48.29	\$ (1,207.25)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGTX	'9LX89'	'88390L407'	8		0		89	\$ 36.17	\$ (2,485.73)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase NINEEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KWS6'	'570578200'	4		0		29	\$ 21.76	\$ (631.04)
Settled	1/1/2019	1/1/2019	Security Transaction	Purchase GHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9M096'	'16140T509'	10		0		29	\$ 16.75	\$ (485.75)
Settled	1/1/2019	1/1/2019	Security Transaction	Sale FIMIA PMA3443 04%2046 AMORTIZED FCR .88918 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/MPG.	'GFTT1'	'31418C2H0'		2		0		-1,000.00	\$ 101.95	\$ 999.08
Settled	1/1/2019	1/1/2019	Security Transaction	Sale FLUAC GO 8899 04%2046 AMORTIZED FCR .50714 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/MPG.	'7878'	'31284UW71'		3		0		-7,000.00	\$ 101.98	\$ 3,585.42

SCHEDULE 2

Online at: www.jmymerrill.com

Account Number:

24-Hour Assistance: (800) MERRILL



MLPF & S CUST FPO
DR DAVID PHILIP ROE IRRA
FBO DR DAVID PHILIP ROE
216 MAGNOLIA RIDGE DR
JONESBOROUGH TN 37659-4791

Net Portfolio Value: **\$103,372.45**

Your Financial Advisor:
SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Alt Investments

November 30, 2019 - December 31, 2019

	This Statement	Year to Date
Opening Value (11/30)	\$102,606.47	
Total Credits	0.64	2,420.23
Total Debits	-	(65,000.00)
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	765.34	348.79
Closing Value (12/31)	\$103,372.45	

ASSETS

	December 31	November 29
Cash/Money Accounts	4,609.80	4,609.16
Fixed Income	-	-
Equities	-	-
Mutual Funds	-	-
Options	-	-
Other/Annuities/Insurance	-	-
Alternative Investments	98,762.65	97,997.31
Subtotal (Long Portfolio)	103,372.45	102,606.47
TOTAL ASSETS	\$103,372.45	\$102,606.47

LIABILITIES

Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$103,372.45	\$102,606.47

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BoFA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoFA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Alt Investments

Account Number:

24-Hour Assistance: (800) MERRILL

Alt Investments

November 30, 2019 - December 31, 2019

CASH FLOW

This Statement

Year to Date

Opening Cash/Money Accounts **\$4,609.16**

CREDITS

Funds Received **64.28**

Electronic Transfers **64.28**

Other Credits **64.28**

Subtotal

DEBITS

Electronic Transfers **(65,000.00)**

Other Debits **(65,000.00)**

Advisory and other fees **(65,000.00)**

Subtotal

Net Cash Flow **(\$64,935.72)**

OTHER TRANSACTIONS

Dividends/Interest Income **0.64** **2,355.95**

Dividend Reinvestments **(2,356.50)**

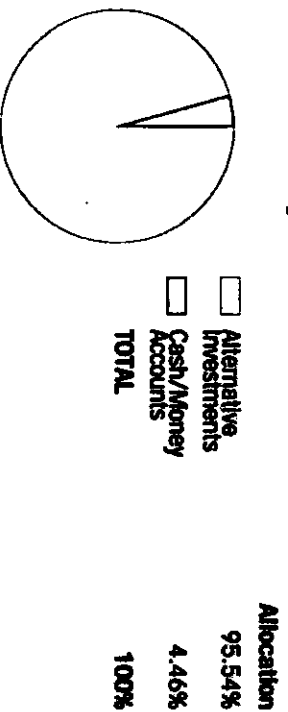
Security Purchases/Debits **4,359.47**

Security Sales/Credits **\$4,609.80**

Closing Cash/Money Accounts **\$4,609.80**

ASSET ALLOCATION*

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings.

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements		X
Performance Reports		X
Trade Confirmations		X
Shareholders Communication		X
Prospectus		X
Service Notices		X
Tax Statements	X	

Alt Investments

Account Number:

ACCOUNT INVESTMENT OBJECTIVE

November 30, 2019 - December 31, 2019

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Annual Income
+BANK OF AMERICA, NA RASP	4,609.80	4,609.80	1.0000	4,609.80	4,609.80	7
+FIDC INSURED NOT SIPC COVERED						.15

ALTERNATIVE INVESTMENTS HELD AT MLPF&S

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
ELEMENTS - ROGERS TR	2,308.0000	25,353.73	5.3900	12,440.12	(12,913.61)	25,353	(12,913)	
Initial Purchase: 09/24/08								
SV=4126.81 - ROGRTT DUE OCTOBER 24, 2022								
PMF TEL FUND, LP	149.9490	14,994.92	110.0939	16,508.47	1,513.55	14,994	1,513	
Initial Purchase: 04/01/14								
(ENDOWMENT - LONG TERM WIND-DOWN)								
EST MKT PRICE AS OF 11/29/19								
SKYBRIDGE MUL TIADVISER	57.2970	68,666.87	1,218.4590	69,814.06	1,147.19	49,493	20,320	
Initial Purchase: 09/01/12								
HEDGE FUND PORTFOLIOS LLC								
EST MKT PRICE AS OF 11/29/19								

TOTAL	109,015.52	98,762.65	(10,252.87)	8,920				

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market/Contract Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
TOTAL	YIELD .01%	113,625.32	103,372.45	(10,252.87)	6

Alt Investments

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

November 30, 2019 - December 31, 2019

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return: is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETFs"). Research Ratings on ETFs are intended to assess the potential for outperformance of ETF peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETFs, and may arrive at different or inconsistent conclusions with respect to one or more ETFs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETFs as an input or factor, and the CIO review process and product team's internal business review will determine an ETF's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLP&S and its affiliates.

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS					
Date	Description	Transaction Type	Quantity	Income	Income Year To Date
Tax-Exempt Interest					
12/31	BANK OF AMERICA, NA RASP	Interest	.6400		
0.64000 DIV/INT REINVEST PAY DATE 12/31/2019 FROM 11:29 THRU 12:31 CUSIP NUM: 55499U915					
	BANK OF AMERICA, NA RASP	Income Total		.64	
Subtotal (Tax-Exempt Interest)				.64	19.45
Tax-Exempt Dividends					
Subtotal (Tax-Exempt Dividends)					2,336.50
NET TOTAL				.64	2,355.95

Alt Investments

Account Number:

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

November 30, 2019 - December 31, 2019

Year-End Plan Value as of December 31, 2019: \$103,372.45

Contributions after December 31, 2018 for 2018: \$.00

Distributions	Tax Year 2019	Tax Year 2018	RMD Calculated Amount 2019
			\$6,958.13

Our records indicate that for 2020 you may be required to take a Required Minimum Distribution (RMD) from this account. You are required to take annual RMDs from your IRA account(s) for the year you turn age 70 1/2 and each subsequent year*. The deadline for taking your 2020 RMD is December 31, 2020 or April 1, 2021 if 2020 is your first RMD year. Contact us after January 15th in order to obtain your RMD calculation (if applicable), otherwise the calculation will be provided to you on your next statement.

* RMD age is determined by the IRS and subject to change.

The IRS requires all IRA custodians, including Merrill Lynch, to notify it through IRS Form 5498 when an RMD is required from the IRA of a living account owner for the calendar year. An RMD that is required for the current year will be reported to the IRS on the applicable IRS Form 5498.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOL Entered	Quantity	Price	Amount
Settled	12/31/2019	12/31/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.64000 DIV/INT REINVEST PAY DATE 12/31/2019 FROM 11-29 THRU 12-31	IXXX	'977G3'	'55489U915'	1	1000'		0.64	\$	-
Settled	11/29/2019	11/29/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.47000 DIV/INT REINVEST PAY DATE 11/27/2019 FROM 10-31 THRU 11-27	IXXX	'977G3'	'55489U915'	1	1000'		0.47	\$	-
Settled	11/12/2019	11/12/2019	Security Transaction	Sale PAIF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 08/30/19 REDEEMPTION FRAC SHR QUANTITY .027 UNSOLICITED ORDER M/L ACTED AS AGENT.	'71CR1'	'71CR19991'		1	U		-10.027	\$ 110.03	\$ 1,103.28
Settled	10/31/2019	10/31/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.78000 DIV/INT REINVEST PAY DATE 10/30/2019 FROM 09-30 THRU 10-30	IXXX	'977G3'	'55489U915'	1	1000'		0.78	\$	-
Settled	9/30/2019	9/30/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.98000 DIV/INT REINVEST PAY DATE 09/27/2019 FROM 08-30 THRU 09-27	IXXX	'977G3'	'55489U915'	1	1000'		0.98	\$	-
Settled	8/30/2019	8/30/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.98000 DIV/INT REINVEST PAY DATE 08/28/2019 FROM 07-31 THRU 08-28	IXXX	'977G3'	'55489U915'	1	1000'		0.98	\$	-
Settled	8/14/2019	8/14/2019	Security Transaction	Sale PAIF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 08/30/19 REDEEMPTION FRAC SHR QUANTITY .888 UNSOLICITED ORDER M/L ACTED AS AGENT.	'71CR1'	'71CR19991'		1	U		-8.888	\$ 109.85	\$ 988.96
Settled	7/31/2019	7/31/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.32000 DIV/INT REINVEST PAY DATE 07/30/2019 FROM 06-28 THRU 07-30	IXXX	'977G3'	'55489U915'	1	1000'		1.32	\$	-
Settled	6/29/2019	6/29/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.12000 DIV/INT REINVEST PAY DATE 06/27/2019 FROM 05-31 THRU 06-27	IXXX	'977G3'	'55489U915'	1	1000'		1.12	\$	-
Settled	5/31/2019	5/31/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.11000 DIV/INT REINVEST PAY DATE 05/30/2019 FROM 04-30 THRU 05-30	IXXX	'977G3'	'55489U915'	1	1000'		1.11	\$	-
Settled	5/30/2019	5/30/2019	Security Transaction	Sale PAIF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 03/31/19 REDEEMPTION FRAC SHR QUANTITY .817 UNSOLICITED ORDER M/L ACTED AS AGENT.	'71CR1'	'71CR19991'		1	U		-8.817	\$ 107.31	\$ 1,031.98
Settled	4/30/2019	4/30/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.74000 DIV/INT REINVEST PAY DATE 04/29/2019 FROM 03-29 THRU 04-29	IXXX	'977G3'	'55489U915'	1	1000'		0.74	\$	-
Settled	3/29/2019	3/29/2019	Security Transaction	Interest BANK OF AMERICA, NA RASBP 0.48000 DIV/INT REINVEST PAY DATE 03/28/2019 FROM 02-28 THRU 03-28	IXXX	'977G3'	'55489U915'	1	1000'		0.48	\$	-

Settled	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client	Quantity	Price	Amount
Settled	3/7/2019	3/7/2019	Security Transaction - LONG TERM WIND-DOWN TRADE AS OF 12/31/18 REDEMPTION FROM SHR QUANTITY .468 UNSOLICITED										
Settled	2/28/2019	2/28/2019	Security Transaction 02/27/2019 FROM 01-31 THRU 02-27 Interest BANK OF AMERICA NA BASP 0.0900 DVMINT REINVEST PAY DATE	71CR1	71CR18991		1	U					
Settled	1/31/2019	1/31/2019	Security Transaction 01/30/2019 FROM 01-02 THRU 01-30 Interest BANK OF AMERICA NA BASP 0.7400 DVMINT REINVEST PAY DATE	14XX	14XX07763	554691816	1	000				-11.495 \$	107.78 \$
Settled	1/28/2019	1/28/2019	Security Transaction 2/03/2019 AS OF 01/31 Reinvestment Shares(s) REINVEST AMOUNT \$238.80 REINVEST PRICE \$1169.8800 QUANTITY 0.203	14XX	14XX07763	554691816	1	000				0.08	\$
			REINVEST AMOUNT \$238.80 REINVEST PRICE \$1169.8800 QUANTITY 0.203	14XX	14XX07763	554691816	1	000				10.74	\$
				14XX	14XX07763	554691816	1	000				2.003	\$

SCHEDULE 3



A BANK OF AMERICA COMPANY

Account Number:

Bank of America, N.A.
TRUSTEE OF THE
DR DAVID PHILLIP ROE TRUSTEED IRA
TIA DATED 8/24/2018
NEW JERSEY TRUST

Phil's Advisory TIRA

This account is enrolled in the Merrill Lynch Investment Advisory Program

November 30, 2019 - December 31, 2019

	This Statement	Year to Date
Opening Value (1/1/20)	\$2,040,681.09	
Total Credits	18,007.12	131,179.04
Total Debits	(69,968.56)	(102,508.14)
Securities You Transferred In/Out		
Market Gains/Losses	26,742.00	274,290.62
Closing Value (12/31)	\$2,015,461.65	

Net Portfolio Value: **\$2,015,461.65**

Your Financial Advisor: SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Trust Officer: BRANDAN MERCHANT
609-274-2915

ASSETS	December 31	November 29
Cash/Money Accounts	71,076.34	71,670.94
Fixed Income	679,752.13	748,230.51
Equities	199,404.85	202,357.82
Mutual Funds	1,060,068.01	1,012,403.08
Options		
Other		
Subtotal (Long Portfolio)	2,010,301.33	2,034,662.35
Estimated Accrued Interest	5,160.32	6,018.74
TOTAL ASSETS	\$2,015,461.65	\$2,040,681.09
LIABILITIES		
Debit Balance		
Short Market Value		
TOTAL LIABILITIES		
NET PORTFOLIO VALUE	\$2,015,461.65	\$2,040,681.09

Your account is serviced by both Bank of America, N.A. ("BANA"), and MLPF&S. Bank of America, N.A. provides trust and fiduciary services. MLPF&S acts as broker-dealer and custodian for investments held in the account reflected on this statement, unless otherwise provided.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BofA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BofA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Phil's Advisory TIRA

Account Number:

Phil's Advisory TIRA

November 30, 2019 - December 31, 2019

CASH FLOW

	This Statement	Year to Date
Operating Cash/Money Accounts	\$71,670.94	
CREDITS		
Funds Received	.	.
Electronic Transfers	.	.
Other Credits	.	78,174.48
Subtotal		78,174.48
DEBITS		
Electronic Transfers	.	.
Other Debits	(68,297.48)	(83,121.48)
Bill Payment	.	.
Trust Services (TMA) Fees	(1,623.04)	(18,393.19)
Style Manager Fees	(48.04)	(993.47)
Subtotal	(69,968.56)	(102,508.14)
Net Cash Flow	(\$69,968.56)	(\$24,333.66)

OTHER TRANSACTIONS

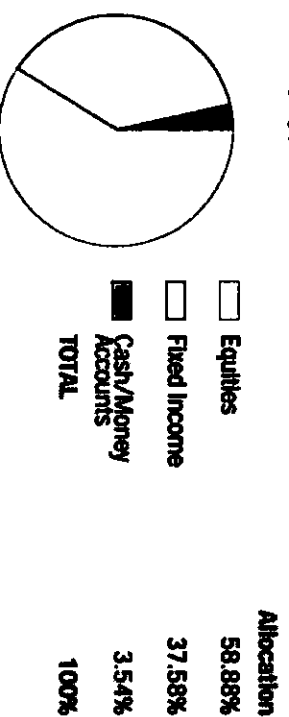
Dividends/Interest Income	18,007.12	53,004.56
Security Purchases/Debits	(81,855.97)	(2,170,563.09)
Security Sales/Credits	133,222.81	2,137,358.02
Closing Cash/Money Accounts	\$71,076.34	

Fees Included in Transactions Above

Commissions/Trading Fees	(0.93)	(13.86)

ASSET ALLOCATION*

* Estimated Accrued interest not included; may not reflect all holdings; does not include asset categories less than 1%. Includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.





Phil's Advisory TIRA

Account Number:

INVESTMENT ADVISORY PROGRAM

November 30, 2019 - December 31, 2019

YOUR INVESTMENT STRATEGY - Custom Managed - Advisor

CIO EQUITY MF/ETF	50.00%	RATE: *	COLUMBIA DIVIDEND INCOME	10.00%	RATE: 0.280%
BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST	3.80%	RATE: *	CIO 1-5 YR CORP LADDER	34.20%	RATE: *
Cash Allocation	2.00%	RATE: *			

The "Rate" above is the maximum Style Manager Expense Rate in the Style Manager Expense Rate Schedule for your Style Manager Strategy as of the end of the statement period. The Style Manager Expense Rate Schedules are set forth in the Style Manager Rate List which is available at mymerill.com/ADV/Materials. For certain Style Manager Strategies, the maximum Style Manager Expense Rate is the only rate in the applicable Style Manager Expense Rate Schedule. For certain other Style Manager Strategies, the Style Manager Expense Rate Schedule includes multiple rates based on asset level dollar amount(s) and, for these Strategies, the actual Style Manager Fee Expense Rate charged to an account may be lower than the maximum Style Manager Expense Rate shown in the "Rate" above. If your account is a Retirement Account and you have selected a Related Style Manager, the Style Manager Expense Rate is 0% rather than the Style Manager Expense Rate shown in the "Rate" above for that Related Style Manager. Please note that Bank of America, N.A.'s Chief Investment Office (CIO) is a Related Style Manager as described in the applicable Strategy Profiles. Please see the Merrill Lynch Investment Advisory Program ("Program") Brochure and other disclosure documents provided to you for information on the Related Style Managers.

The Program Fee is calculated as described in the Program Client Agreement and Brochure. For participating Trust Management Accounts (TMA), please refer to your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure in addition to the Program Form ADV Brochure for expense and fee information. Changes in the Style Managers may result in a change in the Style Manager Expense Rate. Upon request, and at no charge, we will provide more detailed information regarding the calculation of the Program Fee, including the Style Manager Expense Rate charged to an Account for the billing period indicated based on the applicable Style Manager Expense Rate Schedule. Please contact your Advisor if you would like to request this detailed fee information. For Funds noted with an asterisk (*) above or for other Funds in your account but not listed above, please see each Fund's prospectus or other disclosure documents for a description of the Fund's fees and expenses. For a "Rate" noted with a double asterisk (**) above, it is the current Overlay Expense Rate for the Overlay Service (as described in the Investment Advisory Program Form ADV Brochure and applicable Profile) as of the end of the statement period. The Overlay Expense Rate will be applied to all assets allocated to the applicable Style Manager Strategy(s) and/or Exchange-Traded Fund(s) within a Custom Managed Strategy for which the Overlay Service has been selected, and which will be identified in the Investment Advisory Program Portfolio Summary rather than this statement; the Overlay Expense Rate will not be applied to the percentage of the assets allocated to the Overlay Service for MMA options strategies. For additional information relating to the Overlay Expense Rate, see the Investment Advisory Program Form ADV Brochure, Investment Advisory Program Client Agreement and the applicable Overlay Service Profile(s). The percentage allocations listed above are based, as applicable, on target allocations for the Strategy selected or the allocations as of a particular point in time. Allocations for any particular account may be different from the allocations indicated above. For additional information, see the Investment Advisory Program Form ADV Brochure, Style Manager Profiles and Style Manager Disclosures as well as your Investment Advisory Program Client Agreement or for participating TMAs your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

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Phil's Advisory TIRA

Account Number:

INVESTMENT ADVISORY PROGRAM

November 30, 2019 - December 31, 2019

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS		Total		Estimated	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Unrealized	Accrued	Estimated	Yield%
CASH	60,931.65	60,931.65		60,931.65				
CORPORATE BONDS								
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Interest	Estimated Annual Income
0 TOYOTA MOTOR CREDIT CORP SER MTN 02.150% MAR 12 2020 MOODY'S: A-A3 S&P: AA- CUSIP: 89236TCF0 ORIGINAL UNIT/TOTAL COST: 99.6740/8,970.66 CURRENT YIELD 2.14%	03/28/19	9,000	8,970.66	100.0260	9,002.34	31.68	58.59	194
Δ TOYOTA MOTOR CREDIT CORP								
07/18/19	24,000	24,003.78	100.0260	24,006.24	2.46	156.23	516	
ORIGINAL UNIT/TOTAL COST: 100.0510/24,012.24								
Subtotal	33,000	32,974.44		33,008.58	34.14	214.82	710	
Θ CAPITAL ONE FINANCIAL CO GLB 02.500% MAY 12 2020 MOODY'S: BAA1 S&P: BBB CUSIP: 14040HBP9 PAR CALL DATE: 04/12/20 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 99.0960/3,963.84 CURRENT YIELD 2.49%	01/17/19	4,000	3,963.84	100.1320	4,005.28	41.44	13.61	100
Δ CAPITAL ONE FINANCIAL CO								
07/17/19	12,000	12,006.51	100.1320	12,015.84	9.33	40.83	300	
ORIGINAL UNIT/TOTAL COST: 100.1210/12,014.52								
Subtotal	16,000	15,970.35		16,021.12	50.77	54.44	400	

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Phil's Advisory TIRA

Account Number

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
0 AT&T INC	01/17/19	4,000	3,964.59	100.3400	4,013.60	49.01		98
GLB 02.450% JUN 30 2020								
MOODY'S: BAA2 S&P: BBB CUSIP: 00206RCL4								
PAR CALL DATE: 05/30/20 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 99.1147/3,964.59								
CURRENT YIELD 2.44%								
Δ AT&T INC	07/17/19	12,000	12,003.17	100.3400	12,040.80	37.63		294
ORIGINAL UNIT/TOTAL COST: 100.0500/12,006.00								
Subtotal		16,000	15,967.76		16,054.40	86.64		392
Δ USD BANK NOVA SCOTIA	09/13/19	26,000	26,035.04	100.1030	26,026.78	(8.26)	259.31	859
SER BKMT 2.150% JUL 14 2020								
MOODY'S: AA2 S&P: A+ CUSIP: 064159JX5								
ORIGINAL UNIT/TOTAL COST: 100.2070/26,053.82								
CURRENT YIELD 2.14%								
0 GOLDMAN SACHS GROUP INC	01/17/19	7,000	6,924.33	100.2100	7,014.70	90.37	2.02	182
GLB 02.600% DEC 27 2020								
MOODY'S: A3 S&P: BBB+ CUSIP: 38141GWC5								
PAR CALL DATE: 12/27/19 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 98.9190/6,924.33								
CURRENT YIELD 2.59%								
Δ GOLDMAN SACHS GROUP INC	07/17/19	24,000	24,012.40	100.2100	24,050.40	38.00	6.93	624
ORIGINAL UNIT/TOTAL COST: 100.0748/24,017.95								
Subtotal		31,000	30,936.73		31,065.10	128.37	8.95	806
0 ROYAL BANK OF CANADA	01/24/19	9,000	8,919.00	100.7200	9,064.80	145.80	101.25	225
SER GMTN GLB 02.500% JAN 19 2021								
MOODY'S: AA2 S&P: AA- CUSIP: 78012KKU0								
ORIGINAL UNIT/TOTAL COST: 99.1000/8,919.00								
CURRENT YIELD 2.48%								

Phil's Advisory TIRA

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Δ ROYAL BANK OF CANADA ORIGINAL UNIT/TOTAL COST: 100.4950/23,113.85	07/17/19	23,000	23,080.06	100.7200	23,165.60	85.54	258.75	575
Δ ROYAL BANK OF CANADA ORIGINAL UNIT/TOTAL COST: 100.9000/1,009.00	08/27/19	1,000	1,006.82	100.7200	1,007.20	.38	11.25	25
Subtotal		33,000	33,005.88		33,237.60	231.72	371.25	825
Θ CHEVRON CORP GLB 02.100% MAY 16 2021 MOODY'S: A2 S&P: AA CUSIP: 166764BG4 PAR CALL DATE: 04/15/21 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 98.6580/5,919.48 CURRENT YIELD 2.08%	01/17/19	6,000	5,919.48	100.6320	6,037.92	118.44	15.75	126
Δ CHEVRON CORP ORIGINAL UNIT/TOTAL COST: 100.0800/24,019.20	07/17/19	24,000	24,014.52	100.6320	24,151.68	137.16	63.00	504
Subtotal		30,000	29,934.00		30,189.60	255.60	78.75	630
Θ WELLS FARGO & COMPANY GLB 02.100% JUL 26 2021 MOODY'S: A2 S&P: A- CUSIP: 949746SA0 ORIGINAL UNIT/TOTAL COST: 97.3000/5,838.00 CURRENT YIELD 2.09%	01/17/19	6,000	5,838.00	100.1470	6,008.82	170.82	54.25	126
WELLS FARGO & COMPANY ORIGINAL UNIT/TOTAL COST: 99.6177/23,906.81	07/17/19	24,000	23,906.81	100.1470	24,035.28	128.47	217.00	504
Subtotal		30,000	29,744.81		30,044.10	299.29	271.25	630
Θ MORGAN STANLEY SER MTN 02.625% NOV 17 2021 MOODY'S: A3 S&P: BBB+ CUSIP: 617746BED4 ORIGINAL UNIT/TOTAL COST: 97.8780/3,915.12 CURRENT YIELD 2.59%	01/17/19	4,000	3,915.12	101.1990	4,047.96	132.84	12.83	105

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
DESCRIPTION								
Δ MORGAN STANLEY								
ORIGINAL UNIT/TOTAL COST: 100.4530/12,054.36	07/17/19	12,000	12,044.06	101.1990	12,143.88	99.82	38.50	315
Subtotal		16,000	15,959.18		16,191.84	232.66	51.33	420
PEPSICO INC	01/17/19	6,000	5,974.20	102.0910	6,125.46	151.26	53.17	165
GLB 02.750% MAR 05 2022								
MOODY'S: A1 S&P: A+ CUSIP: 713448BY3								
ORIGINAL UNIT/TOTAL COST: 99.5700/5,974.20								
CURRENT YIELD 2.69%								
Δ PEPSICO INC								
ORIGINAL UNIT/TOTAL COST: 101.8650/23,428.95	07/17/19	23,000	23,356.93	102.0910	23,480.93	124.00	203.81	633
Δ PEPSICO INC	09/13/19	1,000	1,016.43	102.0910	1,020.91	4.48	8.86	28
ORIGINAL UNIT/TOTAL COST: 101.8560/1,018.56		30,000	30,347.56		30,627.30	279.74	285.84	826
Subtotal		7,000	6,948.06	102.0310	7,142.17	194.11	15.31	184
HOME DEPOT INC	01/17/19							
GLB 02.625% JUN 01 2022								
MOODY'S: A2 S&P: A CUSIP: 437076BG6								
PAR CALL DATE: 05/01/22 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 99.2580/6,948.06								
CURRENT YIELD 2.57%								
Δ HOME DEPOT INC								
ORIGINAL UNIT/TOTAL COST: 101.6372/23,376.56	07/17/19	23,000	23,318.79	102.0310	23,467.13	148.34	50.31	604
Subtotal		30,000	30,266.85		30,609.30	342.45	65.62	788
0 REGIONS FINANCIAL CORP	01/17/19	3,000	2,916.27	101.8080	3,054.24	137.97	31.40	83
GLB 02.750% AUG 14 2022								
MOODY'S: BAA2 S&P: BB+ CUSIP: 7591EPAM2								
PAR CALL DATE: 07/14/22 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 97.2090/2,916.27								
CURRENT YIELD 2.70%								

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Phil's Advisory TIRA

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

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CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Δ REGIONS FINANCIAL CORP ORIGINAL UNIT/TOTAL COST: 100.8730/11,096.03	07/17/19	11,000	11,082.37	101.8080	11,198.88	116.51	115.12	303
Δ REGIONS FINANCIAL CORP ORIGINAL UNIT/TOTAL COST: 100.8800/1,008.80	09/13/19	1,000	1,007.95	101.8080	1,018.08	10.13	10.47	28
Subtotal		15,000	15,006.59		15,271.20	264.61	156.99	414
Δ KINDER MORGAN ENER PART COMPANY GUARNT GLB 03.950% SEP 01 2022 MOODY'S: BAA2 S&P: BBB CUSIP: 494508L9 PAR CALL DATE: 06/01/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102.9800/4,119.20 CURRENT YIELD 3.78%	04/26/19	4,000	4,096.17	104.2290	4,169.16	72.99	52.67	158
Δ KINDER MORGAN ENER PART ORIGINAL UNIT/TOTAL COST: 104.1100/11,452.10	07/18/19	11,000	11,389.86	104.2290	11,465.19	75.33	144.83	435
Subtotal		15,000	15,486.03		15,634.35	148.32	197.50	593
Δ VISA INC GLB 02.800% DEC 14 2022 MOODY'S: A A3 S&P: AA CUSIP: 92826CAC6 PAR CALL DATE: 10/14/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 100.0700/6,004.20 CURRENT YIELD 2.72%	01/24/19	6,000	6,003.24	102.9070	6,174.42	171.18	7.93	168
Δ VISA INC ORIGINAL UNIT/TOTAL COST: 102.2900/23,526.70	07/17/19	23,000	23,459.09	102.9070	23,668.61	209.52	30.41	644
Δ VISA INC ORIGINAL UNIT/TOTAL COST: 102.8449/1,028.45	11/14/19	1,000	1,027.37	102.9070	1,029.07	1.70	1.32	28
Subtotal		30,000	30,489.70		30,872.10	382.40	39.66	840
CVS HEALTH CORP GLB 03.700% MAR 09 2023 MOODY'S: BAA2 S&P: BBB CUSIP: 126650CVO	01/17/19	4,000	3,996.24	104.2340	4,169.36	173.12	46.04	148

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November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
PAR CALL DATE: 02/09/23 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 99.9060/3,996.24								
CURRENT YIELD 3.54%								
Δ CVS HEALTH CORP	07/17/19	11,000	11,310.22	104.2340	11,465.74	155.52	126.62	407
ORIGINAL UNIT/TOTAL COST: 103.2000/11,352.00								
Subtotal								
		15,000	15,306.46		15,635.10	328.64	172.66	555
Θ EOG RESOURCES INC	01/17/19	3,000	2,928.84	101.8620	3,055.86	127.02	23.19	79
GLB 02.625% MAR 15 2023								
MOODY'S: A3 S&P: A- CUSIP: 26875PAK7								
PAR CALL DATE: 12/15/22 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 97.6280/2,928.84								
CURRENT YIELD 2.57%								
Δ EOG RESOURCES INC	07/17/19	11,000	11,125.20	101.8620	11,204.82	79.62	85.02	269
ORIGINAL UNIT/TOTAL COST: 101.2920/11,142.12								
Δ EOG RESOURCES INC	09/13/19	1,000	1,012.18	101.8620	1,018.62	6.44	7.73	27
ORIGINAL UNIT/TOTAL COST: 101.3240/1,013.24								
Subtotal								
		15,000	15,066.22		15,279.30	213.08	115.94	395
Θ APPLE INC	01/17/19	4,000	3,896.40	101.7060	4,068.24	171.84	15.47	96
GLB 02.400% MAY 03 2023								
MOODY'S: A1 S&P: AA+ CUSIP: 037833AK6								
ORIGINAL UNIT/TOTAL COST: 97.4099/3,896.40								
CURRENT YIELD 2.35%								
Δ APPLE INC	07/17/19	12,000	12,091.35	101.7060	12,204.72	113.37	46.40	288
ORIGINAL UNIT/TOTAL COST: 100.8600/12,103.20								
Subtotal								
		16,000	15,987.75		16,272.96	285.21	61.87	364
Θ SOUTHERN CO	01/18/19	3,000	2,920.32	102.5810	3,077.43	157.11	44.25	89
GLB 02.950% JUL 01 2023								
MOODY'S: BAA2 S&P: BBB+ CUSIP: 842587CU9								

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
PAR CALL DATE: 05/01/23 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 97.3440/2,920.32								
CURRENT YIELD 2.87%								
Δ SOUTHERN CO	07/17/19	12,000	12,200.33	102.5810	12,309.72	109.39	177.00	354
ORIGINAL UNIT/TOTAL COST: 101.8740/12,224.88								
Subtotal		15,000	15,120.65		15,387.15	266.50	221.25	443
NORTROP GRUUMAN CORP	01/17/19	4,000	3,980.40	104.2550	4,170.20	189.80	54.17	130
GLB 03.250% AUG 01 2023								
MOODY'S: BAA2 S&P: BBB CUSIP: 666807BG6								
ORIGINAL UNIT/TOTAL COST: 99.5100/3,980.40								
CURRENT YIELD 3.11%								
Δ NORTROP GRUUMAN CORP	07/17/19	11,000	11,354.64	104.2550	11,468.05	113.41	148.96	358
ORIGINAL UNIT/TOTAL COST: 103.6110/11,397.21								
Subtotal		15,000	15,335.04		15,638.25	303.21	203.13	488
Δ CITIGROUP INC	01/17/19	4,000	4,019.21	106.1900	4,247.60	228.39	28.42	155
GLB 03.875% OCT 25 2023								
MOODY'S: A3 S&P: BBB+ CUSIP: 172967HD6								
ORIGINAL UNIT/TOTAL COST: 100.5890/4,023.56								
CURRENT YIELD 3.64%								
Δ CITIGROUP INC	07/17/19	11,000	11,518.64	106.1900	11,680.90	162.26	78.15	427
ORIGINAL UNIT/TOTAL COST: 105.2430/11,576.73								
Subtotal		15,000	15,537.85		15,928.50	390.65	106.57	582
Δ MICROSOFT CORP	01/24/19	7,000	7,206.01	106.4590	7,452.13	246.12	11.28	254
GLB 03.625% DEC 15 2023								
MOODY'S: AAA S&P: AAA CUSIP: 594918AW4								
PAR CALL DATE: 09/15/23 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 103.5860/7,251.02								
CURRENT YIELD 3.40%								

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

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CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Δ MICROSOFT CORP	07/17/19	22,000	23,248.11	106.4590	23,420.98	172.87	35.44	798
ORIGINAL UNIT/TOTAL COST: 106.2900/23,383.80								
Subtotal		29,000	30,454.12		30,873.11	418.99	46.72	1,052
Δ THERMO FISHER SCIENTIFIC	01/17/19	4,000	4,065.84	107.1640	4,286.56	220.72	69.17	166
GLB 04.150% FEB 01 2024								
MOODY'S: BAA1 S&P: BBB+ CUSIP: 883556BF8								
PAR CALL DATE: 11/01/23 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 101.9920/4,079.68								
CURRENT YIELD 3.87%								
Δ THERMO FISHER SCIENTIFIC	07/17/19	10,000	10,648.80	107.1640	10,716.40	67.60	172.92	415
ORIGINAL UNIT/TOTAL COST: 107.1650/10,716.50								
Δ THERMO FISHER SCIENTIFIC	09/13/19	1,000	1,061.94	107.1640	1,071.64	9.70	17.29	42
ORIGINAL UNIT/TOTAL COST: 106.6100/1,066.10								
Subtotal		15,000	15,776.58		16,074.60	298.02	259.38	623
Δ AMERICAN INTL GROUP	01/17/19	4,000	4,044.91	107.3910	4,295.64	250.73	62.33	165
GLB 04.125% FEB 15 2024								
MOODY'S: BAA1 S&P: BBB+ CUSIP: 026874CV1								
ORIGINAL UNIT/TOTAL COST: 101.3560/4,054.24								
CURRENT YIELD 3.84%								
Δ AMERICAN INTL GROUP	07/17/19	11,000	11,613.48	107.3910	11,813.01	199.53	171.42	454
ORIGINAL UNIT/TOTAL COST: 106.1510/11,676.61								
Subtotal		15,000	15,658.39		16,108.65	450.26	233.75	619
Δ COMCAST CORP	01/17/19	7,000	7,064.65	106.6590	7,466.13	401.48	54.68	269
COMPANY GUARNT GLB 03.700% APR 15 2024								
MOODY'S: A3 S&P: A- CUSIP: 20030NCRO								
PAR CALL DATE: 03/15/24 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 101.1092/7,077.65								
CURRENT YIELD 3.46%								

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CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Δ COMCAST CORP	07/17/19	22,000	23,190.11	106.6590	23,464.98	274.87	171.84	814
ORIGINAL UNIT/TOTAL COST: 105.9480/23,308.56								
Subtotal		29,000	30,254.76		30,931.11	676.35	226.52	1,073
Δ US BANCORP	02/19/19	6,000	6,091.04	106.3220	6,379.32	288.28	66.00	216
SUBORDINATED SER MTN 03.600% SEP 11 2024								
MOODY'S: A1 S&P: A- CUSIP: 91159HHK9								
PAR CALL DATE: 08/11/24 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 101.7720/6,106.32								
CURRENT YIELD 3.38%								
Δ US BANCORP	07/24/19	22,000	23,092.97	106.3220	23,390.84	297.87	242.00	792
ORIGINAL UNIT/TOTAL COST: 105.3970/23,187.34								
Δ US BANCORP	11/14/19	1,000	1,065.83	106.3220	1,063.22	(2.61)	11.00	36
ORIGINAL UNIT/TOTAL COST: 106.7430/1,067.43								
Subtotal		29,000	30,249.84		30,833.38	583.54	319.00	1,044
Θ BB&T CORPORATION	01/17/19	7,000	6,772.15	103.3210	7,232.47	460.32	36.02	200
SER MTN 02.850% OCT 26 2024								
MOODY'S: A3 S&P: A- CUSIP: 05531FB88								
PAR CALL DATE: 09/26/24 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 96.7450/6,772.15								
CURRENT YIELD 2.75%								
Δ BB&T CORPORATION	07/17/19	23,000	23,441.45	103.3210	23,763.83	322.38	118.35	656
ORIGINAL UNIT/TOTAL COST: 102.0880/23,480.24								
Subtotal		30,000	30,213.60		30,996.30	782.70	154.37	866
Δ MERCK & CO INC	07/17/19	14,000	14,315.99	103.7600	14,526.40	210.41	150.79	385
GLB 02.750% FEB 10 2025								
MOODY'S: A1 S&P: AA CUSIP: 58933YAR6								

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Description								
PAR CALL DATE: 11/10/24 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 102.4440/14,342.16								
CURRENT YIELD 2.65%								
Δ MERCK & CO INC	09/13/19	1,000	1,025.82	103.7600	1,037.60	11.78	10.77	28
ORIGINAL UNIT/TOTAL COST: 102.7200/1,027.20								
Subtotal		15,000	15,341.81		15,564.00	222.19	161.56	413
Δ VERIZON COMMUNICATIONS	07/17/19	15,000	15,555.31	105.9310	15,889.65	334.34	191.31	507
GLB 03.376% FEB 15 2025								
MOODY'S: BAA1 S&P: BBB+ CUSIP: 92343VEN0								
ORIGINAL UNIT/TOTAL COST: 104.0052/15,600.78								
CURRENT YIELD 3.18%								
Δ JPMORGAN CHASE & CO	08/26/19	22,000	23,829.67	108.0080	23,761.76	(67.91)	395.63	858
03.900% JUL 15 2025								
MOODY'S: A2 S&P: A- CUSIP: 46625HMH7								
PAR CALL DATE: 04/15/25 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 108.7970/23,935.34								
CURRENT YIELD 3.61%								
Δ JPMORGAN CHASE & CO	11/14/19	9,000	9,703.25	108.0080	9,720.72	17.47	161.85	351
ORIGINAL UNIT/TOTAL COST: 107.9720/9,717.48								
Subtotal		31,000	33,532.92		33,482.48	(50.44)	557.48	1,209
Δ HP ENTERPRISE CO	10/04/19	9,000	10,058.01	111.1580	10,004.22	(53.79)	93.10	441
GLB 04.900% OCT 15 2025								
MOODY'S: BAA2 S&P: BBB CUSIP: 42824CAW9								
PAR CALL DATE: 07/15/25 PAR CALL PRICE: 100.00								
ORIGINAL UNIT/TOTAL COST: 112.1890/10,097.01								
CURRENT YIELD 4.40%								
TOTAL YIELD 2.87%		659,000	671,574.23		679,752.13	8,177.90	5,160.32	19,517

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PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income
ABBOTT LABS	CURRENT YIELD 1.65%	ABT	10/15/19	12.0000	82.4558	989.47	86.8600	1,042.32	52.85	18
ACCENTURE PLC SHS	CURRENT YIELD 1.51%	ACN	09/12/19	11.0000	195.6727	2,152.40	210.5700	2,316.27	163.87	36
ALLSTATE CORP DEL. COM	CURRENT YIELD 1.77%	ALL	09/12/19	9.0000	106.7188	960.47	112.4500	1,012.05	51.58	18
			10/01/19	4.0000	107.5975	430.39	112.4500	449.80	19.41	8
			10/29/19	3.0000	107.8233	323.47	112.4500	337.35	13.88	6
			10/30/19	2.0000	106.3850	212.77	112.4500	224.90	12.13	4
			11/26/19	4.0000	112.1450	448.58	112.4500	449.80	1.22	8
Subtotal				22.0000		2,375.68		2,473.90	98.22	44
AMEREN CORP	CURRENT YIELD 2.57%	AEE	09/12/19	19.0000	76.6368	1,456.10	76.8000	1,459.20	3.10	38
AMN ELEC POWER CO	CURRENT YIELD 2.96%	AEP	09/12/19	20.0000	92.2145	1,844.29	94.5100	1,890.20	45.91	56
APPLE INC	CURRENT YIELD 1.04%	APPL	09/12/19	18.0000	224.6566	4,043.82	293.6500	5,285.70	1,241.88	56
AT&T INC	CURRENT YIELD 5.32%	T	09/12/19	47.0000	38.4200	1,805.74	39.0800	1,836.76	31.02	96
AUTOMATIC DATA PROC	CURRENT YIELD 2.13%	ADP	09/12/19	12.0000	160.8100	1,929.72	170.5000	2,046.00	116.28	44
BAXTER INTERNL INC	CURRENT YIELD 1.05%	BAX	09/12/19	16.0000	88.1631	1,410.61	83.6200	1,337.92	(72.69)	15
BLACKROCK INC	CURRENT YIELD 2.62%	BLK	09/12/19	4.0000	435.6975	1,742.79	502.7000	2,010.80	268.01	53

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Phil's Advisory TIRA

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
BRISTOL-LAYERS SOLIIBB CO CURRENT YIELD 2.80%	BMV	09/12/19 09/17/19	26,0000 19,0000	49.8130 49.7963	1,295.14 946.13	64.1900 64.1900	1,668.94 1,219.61	373.80 273.48	47 35
Subtotal			45,0000		2,241.27		2,888.55	647.28	82
BROADCOM LTD CURRENT YIELD 4.11%	AVGO	09/12/19 10/01/19	8,0000 2,0000	301.4100 275.1600	2,411.28 550.32	316.0200 316.0200	2,528.16 632.04	116.88 81.72	104 26
Subtotal			10,0000		2,961.60		3,160.20	198.60	130
CHEVRON CORP CURRENT YIELD 3.94%	CVX	09/12/19	38,0000	121.4439	4,614.87	120.5100	4,579.38	(35.49)	181
CHUBB LTD CURRENT YIELD 1.92%	CB	09/12/19	22,0000	160.4650	3,530.23	155.6600	3,424.52	(105.71)	66
CISCO SYSTEMS INC COM CURRENT YIELD 2.91%	CSCO	09/12/19	110,0000	50.0347	5,503.82	47.9600	5,275.60	(228.22)	154
CME GROUP INC CURRENT YIELD 1.49%	CME	09/12/19 11/26/19	10,0000 2,0000	205.3100 204.9950	2,053.10 409.99	200.7200 200.7200	2,007.20 401.44	(45.90) (8.55)	30 6
Subtotal			12,0000		2,463.09		2,408.64	(54.45)	36
CMS ENERGY CORP CURRENT YIELD 2.43%	CMS	09/12/19	20,0000	61.7355	1,234.71	62.8400	1,256.80	22.09	31
COMCAST CORP NEW CL A CURRENT YIELD 1.86%	CMCSA	09/12/19	95,0000	47.1000	4,474.50	44.9700	4,272.15	(202.35)	80
CONOCOPHILLIPS CURRENT YIELD 2.58%	COP	09/12/19	39,0000	56.4543	2,201.72	65.0300	2,536.17	334.45	66
CROWN CASTLE REIT INC SHS CURRENT YIELD 3.37%	CCI	09/12/19	8,0000	139.0300	1,112.24	142.1500	1,137.20	24.96	39
CUMMINS INC COM CURRENT YIELD 2.93%	CMI	09/12/19	7,0000	165.2657	1,156.86	178.9600	1,252.72	95.86	37

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November 30, 2019 - December 31, 2019

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
↓ DEERE CO CURRENT YIELD 1.75%	DE	09/12/19 11/26/19	6.0000 1.0000	163.4450 177.0800	980.67 177.08	173.2600 173.2600	1,039.56 173.26	58.89 (3.82)	19 4
Subtotal			7.0000		1,157.75		1,212.82	55.07	23
DIGITAL RLTY TR INC CURRENT YIELD 3.60%	DLR	09/12/19 11/26/19 11/27/19	10.0000 3.0000 3.0000	126.6640 120.5733 120.9133	1,266.64 361.72 362.74	119.7400 119.7400 119.7400	1,197.40 359.22 359.22	(69.24) (2.50) (3.52)	44 13 13
Subtotal			16.0000		1,991.10		1,915.84	(75.26)	70
DISNEY (WALT) CO COM STK CURRENT YIELD 1.21%	DIS	09/12/19	15.0000	137.6753	2,065.13	144.6300	2,169.45	104.32	27
DOMINION ENERGY INC CURRENT YIELD 4.43%	D	09/12/19	12.0000	78.4900	941.88	82.8200	993.84	51.96	45
DOW INC REG SHS CURRENT YIELD 5.11%	DOW	09/12/19	22.0000	46.9254	1,032.36	54.7300	1,204.06	171.70	62
EU LILLY & CO CURRENT YIELD 2.25%	LLY	09/12/19 12/10/19	16.0000 2.0000	111.7768 120.3300	1,788.43 240.66	131.4300 131.4300	2,102.88 262.86	314.45 22.20	48 6
Subtotal			18.0000		2,029.09		2,365.74	336.65	54
EVERSOURCE ENERGY COM CURRENT YIELD 2.51%	ES	09/12/19	17.0000	83.0947	1,412.61	85.0700	1,446.19	33.58	37
EXXON MOBIL CORP COM CURRENT YIELD 4.98%	XOM	09/12/19	35.0000	72.0671	2,522.35	69.7800	2,442.30	(80.05)	122
GILEAD SCIENCES INC COM CURRENT YIELD 3.87%	GILD	09/12/19	15.0000	66.4366	996.55	64.9800	974.70	(21.85)	38
↓ HERSHEY COMPANY CURRENT YIELD 2.10%	HSY	09/12/19	7.0000	151.5000	1,060.50	146.9800	1,028.86	(31.64)	22

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MERRILL LYNCH
A BANK OF AMERICA COMPANY

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November 30, 2019 - December 31, 2019

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
HOME DEPOT INC CURRENT YIELD 2.49%	HD	09/12/19	19,000	234.3584	4,452.81	218.3800	4,149.22	(303.59)	104
HONEYWELL INTL INC DEL CURRENT YIELD 2.03%	HON	09/12/19	21,000	169.0523	3,550.10	177.0000	3,717.00	166.90	76
INGERSOLL RAND PLC CURRENT YIELD 1.59%	IR	09/12/19	17,000	123.7317	2,103.44	132.9200	2,259.64	156.20	37
INTEL CORP CURRENT YIELD 2.10%	INTC	09/12/19	68,000	53.1789	3,616.17	59.8500	4,069.80	453.63	86
INTL BUSINESS MACHINES CORP IBM CURRENT YIELD 4.83%	IBM	09/12/19	25,000	143.9624	3,599.06	134.0400	3,351.00	(248.06)	162
JOHNSON AND JOHNSON COM CURRENT YIELD 2.60%	JNJ	09/12/19	42,000	130.4676	5,479.64	145.8700	6,126.54	646.90	160
JPMORGAN CHASE & CO CURRENT YIELD 2.58%	JPM	09/12/19	60,000	118.2333	7,094.00	139.4000	8,364.00	1,270.00	216
KIMBERLY CLARK CURRENT YIELD 2.99%	KMB	09/12/19	10,000	133.7910	1,337.91	137.5500	1,375.50	37.59	42
Subtotal		10/29/19	4,000	133.2450	532.98	137.5500	550.20	17.22	17
KLA CORP CURRENT YIELD 1.90%	KLAC	09/12/19	14,000	150.0371	2,100.52	178.1700	2,494.38	393.86	48
LAM RESEARCH CORP COM CURRENT YIELD 1.57%	LRCX	09/12/19	10,000	235.4750	2,354.75	292.4000	2,924.00	569.25	46
LOCKHEED MARTIN CORP CURRENT YIELD 2.46%	LMT	09/12/19	11,000	387.8945	4,266.84	389.3800	4,283.18	16.34	106
Subtotal		12/27/19	2,000	393.5650	787.13	389.3800	778.76	(8.37)	20
			13,000		5,053.97		5,061.94	7.97	126

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November 30, 2019 - December 31, 2019

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
M&T BANK CORPORATION CURRENT YIELD 2.59%	MTB	09/12/19	8.0000	157.3612	1,258.89	169.7500	1,358.00	99.11	36
MARSH & MCLENNAN COS INC CURRENT YIELD 1.63%	MMC	09/12/19	28.0000	99.8500	2,795.80	111.4100	3,119.48	323.68	51
MCDONALDS CORP COM CURRENT YIELD 2.53%	MCD	09/12/19	9.0000	213.1900	1,918.71	197.6100	1,778.49	(140.22)	45
MEDTRONIC PLC SHS CURRENT YIELD 1.90%	MDT	09/12/19 12/10/19 12/11/19	19.0000 2.0000 1.0000	119.4221 111.4350 111.5100	2,098.02 222.87 111.51	113.4500 113.4500 113.4500	2,155.55 226.90 113.45	57.53 4.03 1.94	42 5 3
Subtotal			22.0000		2,432.40		2,495.90	63.50	50
MERCK AND CO INC SHS CURRENT YIELD 2.68%	MRK	09/12/19	61.0000	83.5268	5,095.14	90.9500	5,547.95	452.81	149
MICROSOFT CORP CURRENT YIELD 1.29%	MSFT	09/12/19	41.0000	138.1017	5,662.17	157.7000	6,465.70	803.53	84
MONDELEZ INTERNATIONAL INC CURRENT YIELD 2.06%	MDLZ	09/12/19	26.0000	54.8669	1,426.54	55.0800	1,432.08	5.54	30
NEXTERA ENERGY INC SHS CURRENT YIELD 2.06%	NEE	09/12/19	7.0000	220.8542	1,545.98	242.1600	1,695.12	149.14	35
NORTH TRUST CORP CURRENT YIELD 2.63%	NTRS	11/12/19 11/13/19 11/14/19	1.0000 5.0000 4.0000	106.3100 105.4980 105.4350	106.31 527.49 421.74	106.2400 106.2400 106.2400	106.24 531.20 424.96	(0.07) 3.71 3.22	3 14 12
Subtotal			10.0000		1,055.54		1,062.40	6.86	29
NORTHROP GRUMMAN CORP CURRENT YIELD 1.53%	NOC	12/11/19	4.0000	346.4975	1,385.99	343.9700	1,375.88	(10.11)	22

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EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
PACKAGING CORP AMERICA CURRENT YIELD 2.82%	PKG	09/12/19	5,000	107.2360	536.18	111.9900	559.95	23.77	16
† PARKER HANFIFIN CORP CURRENT YIELD 1.71%	PH	09/12/19	8,000	181.9900	1,455.92	205.8200	1,646.56	190.64	29
PEPSICO INC CURRENT YIELD 2.79%	PEP	09/12/19	21,000	137.4195	2,885.81	136.6700	2,870.07	(15.74)	81
PFIZER INC CURRENT YIELD 3.87%	PFE	09/12/19	48,000	37.3677	1,793.65	39.1800	1,880.64	86.99	73
PHILIP MORRIS INTL INC CURRENT YIELD 5.50%	PM	09/12/19	25,000	74.7840	1,869.60	85.0900	2,127.25	257.65	117
PNC FINCL SERVICES GROUP CURRENT YIELD 2.88%	PNC	09/12/19	21,000	137.0961	2,879.02	159.6300	3,352.23	473.21	97
		11/12/19	1,000	152.0400	152.04	159.6300	159.63	7.59	5
	Subtotal		22,000		3,031.06		3,511.86	480.80	102
PRICE T ROWE GROUP INC CURRENT YIELD 2.49%	TROW	09/12/19	10,000	118.0500	1,180.50	121.8400	1,218.40	37.90	31
		10/29/19	2,000	117.4650	234.93	121.8400	243.68	8.75	7
		12/10/19	2,000	122.7950	245.59	121.8400	243.68	(1.91)	7
	Subtotal		14,000		1,661.02		1,705.76	44.74	45
PRINCIPAL FINANCIAL GRP CURRENT YIELD 4.00%	PFJ	09/12/19	20,000	57.4825	1,149.65	55.0000	1,100.00	(49.65)	44
PROCTER & GAMBLE CO CURRENT YIELD 2.38%	PG	09/12/19	31,000	122.8548	3,808.50	124.9000	3,871.90	63.40	93
SONOCO PRODUCTS CO CURRENT YIELD 2.78%	SON	09/12/19	20,000	59.4720	1,189.44	61.7200	1,234.40	44.96	35
SUNCOR ENERGY INC NEW CURRENT YIELD 3.88%	SU	09/12/19	39,000	30.7756	1,200.25	32.8000	1,279.20	78.95	50

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Equities (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income
TARGET CORP	COM	TGT 09/12/19	11.0000	109.1536	1,200.69	128.2100	1,410.31	209.62	30
CURRENT YIELD 2.05%		11/12/19	4.0000	108.1575	432.63	128.2100	512.84	80.21	11
		12/27/19	1.0000	129.0700	129.07	128.2100	128.21	(0.86)	3
Subtotal			16.0000		1,762.39		2,061.36	288.97	44
TEXAS INSTRUMENTS		TXN 09/12/19	28.0000	130.6639	3,658.59	128.2900	3,592.12	(66.47)	101
CURRENT YIELD 2.80%									
TX COS INC NEW		TX 09/12/19	21.0000	56.8771	1,194.42	61.0600	1,282.26	87.84	20
CURRENT YIELD 1.50%									
TRUIST FINL CORP		TFC 09/12/19	36.0000	52.9480	1,906.13	56.3200	2,027.52	121.39	65
CURRENT YIELD 3.19%		11/12/19	9.0000	54.3733	489.36	56.3200	506.88	17.52	17
Subtotal			45.0000		2,395.49		2,534.40	138.91	82
UNION PACIFIC CORP		UNP 09/12/19	26.0000	168.0688	4,369.79	180.7900	4,700.54	330.75	101
CURRENT YIELD 2.14%									
UNITED PARCEL SVC CL B		UPS 09/12/19	12.0000	122.7150	1,472.58	117.0600	1,404.72	(67.86)	47
CURRENT YIELD 3.28%		10/29/19	2.0000	117.4350	234.87	117.0600	234.12	(0.75)	8
Subtotal			14.0000		1,707.45		1,638.84	(68.61)	55
UNITEDHEALTH GROUP INC		UNH 09/12/19	3.0000	230.6333	691.90	293.9800	881.94	190.04	13
CURRENT YIELD 1.46%		09/17/19	1.0000	232.6200	232.62	293.9800	293.98	61.36	5
		11/12/19	1.0000	255.2000	255.20	293.9800	293.98	38.78	5
Subtotal			5.0000		1,179.72		1,469.90	290.18	23
US BANCORP		USB 09/12/19	51.0000	55.8978	2,850.79	59.2900	3,023.79	173.00	86
CURRENT YIELD 2.83%		11/12/19	6.0000	58.4983	350.99	59.2900	355.74	4.75	11
Subtotal			57.0000		3,201.78		3,379.53	177.75	97
VALERO ENERGY CORP NEW		VLO 09/12/19	15.0000	84.1533	1,262.30	93.6500	1,404.75	142.45	54
CURRENT YIELD 3.84%									

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EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
VERIZON COMMUNICATIONS COM		VZ	10/29/19	28.0000	60.2050	1,685.74	61.4000	1,719.20	33.46	69
CURRENT YIELD 4.00%			12/10/19	1.0000	61.1700	61.17	61.4000	61.40	.23	3
			12/11/19	3.0000	61.3733	184.12	61.4000	184.20	.08	8
Subtotal				32.0000		1,931.03		1,964.80	33.77	80
WALMART INC		WMT	09/12/19	19.0000	116.9278	2,221.63	118.8400	2,257.96	36.33	41
CURRENT YIELD 1.78%										
WASTE MANAGEMENT INC NEW		WM	09/12/19	19.0000	113.1157	2,149.20	113.9600	2,165.24	16.04	39
CURRENT YIELD 1.79%										
WEC ENERGY GROUP INC SHS		WEC	09/12/19	20.0000	91.9310	1,838.62	92.2300	1,844.60	5.98	51
CURRENT YIELD 2.74%										
WELLS FARGO & CO NEW DEL		WFC	09/12/19	65.0000	48.7449	3,168.42	53.8000	3,497.00	328.58	133
CURRENT YIELD 3.79%										
XCEL ENERGY INC		XEL	09/12/19	26.0000	63.1469	1,641.82	63.4900	1,650.74	8.92	43
CURRENT YIELD 2.55%										
3M COMPANY		MMM	09/12/19	10.0000	170.7940	1,707.94	176.4200	1,764.20	56.26	58
CURRENT YIELD 3.26%										
TOTAL						188,279.03		199,404.85	11,125.82	5,218

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETPS	Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (%)	Estimated Annual Income
BLACKROCK FLOATING RATE		7.548.0000	75,706.44	10.0300	75,706.44		75,706		3,789
INCOME PORTFOLIO CL INST									
SYMBOL: BFRX	Initial Purchase: 12/26/19								
Fixed Income 100%									
BLACKROCK EOTY DMDEND		4.339.0380	91,253.14	20.8800	90,599.11	(654.03)	91,253	(654)	1,771
FUND INSTL. CURRENT YIELD 1.95%									
SYMBOL: MADVX	Initial Purchase: 01/19/18								

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MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS	(continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
Equity 100%									
CLEARBRIDGE SMALL CAP		1,250.0000	76,612.50	65.3200	81,650.00	5,037.50	76,612	5,037	300
FUND CLASS I CURRENT YIELD 0.36%									
SYMBOL: LMNSX Initial Purchase: 09/12/19									
Equity 100%									
CLEARBRIDGE LARGE CAP		1,370.0000	73,372.94	54.6100	74,815.70	1,442.76	73,372	1,442	254
GROWTH FUND CL I CURRENT YIELD 0.33%									
SYMBOL: SBLVX Initial Purchase: 09/12/19									
Equity 100%									
EDGEWOOD GROWTH FUND CL		1,316.0000	46,586.40	38.5200	50,692.32	4,105.92	46,586	4,105	
INST									
SYMBOL: EGFIX Initial Purchase: 09/12/19									
Equity 100%									
HARTFORD SCHROEDERS EMERG		6,016.0000	93,729.28	16.5900	99,805.44	6,076.16	93,729	6,076	2,165
MKTS EQUITY FD CL I CURRENT YIELD 2.16%									
SYMBOL: SEMNX Initial Purchase: 09/12/19									
Equity 100%									
INVESCO OPPENHEIMER		1,634.6190	65,023.97	44.2600	72,348.24	7,324.27	65,023	7,324	778
INTL GROWTH FUND CL Y CURRENT YIELD 1.07%									
SYMBOL: OIGYX Initial Purchase: 10/26/12									
Equity 100%									
ISHARES RUSSELL 1000		720.0000	117,185.40	175.9200	126,662.40	9,477.00	117,185	9,477	1,257
GROWTH CURRENT YIELD 0.99%									
SYMBOL: IWF Initial Purchase: 09/12/19									
Equity 100%									
ISHARES RUSSELL 1000		1,326.0000	169,688.41	136.4800	180,972.48	11,284.07	169,688	11,284	4,107
VALUE CURRENT YIELD 2.26%									
SYMBOL: IWD Initial Purchase: 01/19/18									
Equity 100%									

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MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETPS	(continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (%)	Estimated Annual Income
ISHARES TR CORE MSCI EAF		972.0000	60,351.19	65.2400	63,413.28	3,062.09	60,351	3,062	2,018
ETF CURRENT YIELD 3.18%									
SYMBOL: IEFA Initial Purchase: 09/29/17									
Equity 100%									
MFS VALUE FD CL 1		1,997.7810	84,882.98	44.6800	89,260.86	4,377.88	84,882	4,377	1,724
CURRENT YIELD 1.93%									
SYMBOL: MEIIX Initial Purchase: 01/19/18									
Equity 100%									
OAKMARK INTL FD CL		2,173.4940	53,615.25	24.9100	54,141.74	526.49	53,615	526	1,041
ADV CURRENT YIELD 1.92%									
SYMBOL: OATYX Initial Purchase: 07/05/17									
Equity 100%									
Subtotal (Fixed Income)					75,706.44				
Subtotal (Equities)					984,361.57				
TOTAL YIELD 1.81%			1,008,007.90		1,060,068.01	52,060.11		52,056	19,204
TOTAL PRINCIPAL INVESTMENTS			1,928,792.81		2,000,156.64	71,363.83			43,939

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2019 - December 31, 2019

Bank of America Merrill Lynch Global Research publishes research reports and ratings ('Research Ratings') regarding a select universe of ETFs and ETNs (collectively, 'ETPs'). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor, and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPG&S and its affiliates.

Notes

A Debt Instruments purchased at a premium show amortization **θ** Debt Instruments purchased at a discount show accretion
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%	
CASH	2,677.69	2,677.69		2,677.69			
BLF FEDFUND CASH RESERVE	7,467.00	7,467.00	1.0000	7,467.00	84	1.13	
TOTAL		10,144.69		10,144.69	84	1.13	
TOTAL INCOME INVESTMENTS		10,144.69		10,144.69	84	.83	
LONG PORTFOLIO							
		Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Estimated
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	
TOTAL PRINCIPAL/INCOME INVESTMENTS	YIELD 2.19%	1,938,937.50	2,010,301.33	71,363.83	5,160.32	44,023	



Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS					Income Cash	Principal Cash	Income Year To Date
Date	Description	Transaction Type	Quantity				
Tax-Exempt Interest							
Subtotal (Tax-Exempt Interest)							(135.72)
Taxable Interest							
12/02	ABBOTT LABORATORIES	Interest			246.50		
	GLB 02.900% NOV 30 2021 INTEREST CREDIT PAY DATE 11/30/2019 CUSIP NUM: 002824BD1						
12/02	HOME DEPOT INC	Interest			420.00		
	GLB 02.625% JUN 01 2022 INTEREST CREDIT PAY DATE 12/01/2019 CUSIP NUM: 437076BG6						
12/16	MICROSOFT CORP	Interest			561.88		
	GLB 03.625% DEC 15 2023 INTEREST CREDIT PAY DATE 12/15/2019 CUSIP NUM: 594918AW4						
12/16	VISA INC	Interest			462.00		
	GLB 02.800% DEC 14 2022 INTEREST CREDIT PAY DATE 12/14/2019 CUSIP NUM: 92826CAC6						
12/19	ABBOTT LABORATORIES CLD	Interest			26.01		
	GLB 02.900% NOV 30 2021 INTEREST CREDIT PAY DATE 12/19/2019 CUSIP NUM: 002824BD1						
12/27	GOLDMAN SACHS GROUP INC	Interest			429.00		
	GLB 02.600% DEC 27 2020 INTEREST CREDIT PAY DATE 12/27/2019 CUSIP NUM: 38141GWG5						
12/30	AT&T INC	Interest			208.25		
	GLB 02.450% JUN 30 2020 INTEREST CREDIT PAY DATE 12/30/2019 CUSIP NUM: 00206RCL4						
12/31	APPLE INC	Accrued Interest			3.87		
	GLB 02.400% MAY 03 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS AAT S&P A&A MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.89% MATURITY DATE 5/03/23. 58 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 101.642700 ML ACTED AS AGENT. CUSIP NUM: 037833AK6						
12/31	AMERICAN INTL GROUP	Accrued Interest			15.58		
	GLB 04.125% FEB 15 2024 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.23% MATURITY DATE 2/15/24. 136 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 107.395000 ML ACTED AS AGENT. CUSIP NUM: 026874CY1						
12/31	HOME DEPOT INC	Accrued Interest			4.38		
	GLB 02.625% JUN 01 2022 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.70% CALL DATE 5/01/22 CALL PRICE \$100.00. 30 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 102.086000 ML ACTED AS AGENT. CUSIP NUM: 437076BG6						

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Interest						
12/31	CHEVRON CORP	Accrued Interest		7.88		
	GLB 02.100% MAY 16 2021 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS AAZ S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST					
	YLD TO CALL 1.67% CALL DATE 4/15/21 CALL PRICE \$100.00. 45 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.546000 ML					
	ACTED AS AGENT. CUSIP NUM: 166764BG4					
12/31	CAPITAL ONE FINANCIAL CO	Accrued Interest		3.40		
	GLB 02.500% MAY 12 2020 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST					
	YLD TO CALL 2.36% CALL DATE 4/12/20 CALL PRICE \$100.00. 49 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.035000 ML					
	ACTED AS AGENT. CUSIP NUM: 14040HBP9					
12/31	CITIGROUP INC	Accrued Interest		7.10		
	GLB 03.875% OCT 25 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ YLD TO MATURITY 2.17% MATURITY DATE					
	10/25/23. 66 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 106.207000 ML ACTED AS AGENT. CUSIP NUM: 172967HD6					
12/31	CVS HEALTH CORP	Accrued Interest		11.51		
	GLB 03.700% MAR 09 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO					
	CURR CALL 2.27% CURR CALL DATE 2/09/23 CURR CALL PRICE \$100.00. 112 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 104.249000 ML					
	ACTED AS AGENT. CUSIP NUM: 126650CV0					
12/31	COMCAST CORP	Accrued Interest		15.62		
	COMPANY GUARNT GLB 03.700% APR 15 2024 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A-CALLABLE-MAY AFFECT YLD					
	DETAILS UPON REQUEST YLD TO CALL 2.04% CALL DATE 3/15/24 CALL PRICE \$100.00. 76 DAYS INTEREST PER ADVISORY					
	AGREEMENT. PRICE 106.636000 ML ACTED AS AGENT. CUSIP NUM: 20030NCRO					
12/31	EDG RESOURCES INC	Accrued Interest		15.46		
	GLB 02.625% MAR 15 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A-MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO					
	CURR CALL 1.87% CURR CALL DATE 12/15/22 CURR CALL PRICE \$100.00. 106 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 102.149000 ML					
	ACTED AS AGENT. CUSIP NUM: 26875PAK7					
12/31	GOLDMAN SACHS GROUP INC	Accrued Interest		.58		
	GLB 02.600% DEC 27 2020 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ MAKE-WHOLE CALL. DETAILS UPON REQUEST 4					
	DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.292000 ML ACTED AS AGENT. CUSIP NUM: 38141GWG5					
12/31	KINDER MORGAN ENER PART	Accrued Interest		13.17		
	COMPANY GUARNT GLB 03.950% SEP 01 2022 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON					
	REQUEST YLD TO CURR CALL 2.04% CURR CALL DATE 6/01/22 CURR CALL PRICE \$100.00. 120 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE					



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Account Number

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Interest						
12/31	104.477000 ML ACTED AS AGENT. CUSIP NUM: 494550BL9					
12/31	MERCK & CO INC	Accrued Interest		21.54		
	GLB 02.750% FEB 10 2025 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST					
	YLD TO CALL 1.98% CALL DATE 11/10/24 CALL PRICE \$100.00. 141 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 103.543000 ML					
	ACTED AS AGENT. CUSIP NUM: 58933VAR6					
12/31	MICROSOFT CORP	Accrued Interest		3.22		
	GLB 03.625% DEC 15 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO					
	CURR CALL 1.82% CURR CALL DATE 9/15/23 CURR CALL PRICE \$100.00. 16 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 106.435600 ML					
	ACTED AS AGENT. CUSIP NUM: 59491BAW4					
12/31	MORGAN STANLEY	Accrued Interest		3.21		
	SER MTN 02.625% NOV 17 2021 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB& YLD TO MATURITY 2.02% MATURITY DATE					
	11/17/21. 44 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 101.095000 ML ACTED AS AGENT. CUSIP NUM: 61746BED4					
12/31	NORTHROP GRUMMAN CORP	Accrued Interest		13.54		
	GLB 03.250% AUG 01 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO					
	MATURITY 1.99% MATURITY DATE 8/01/23. 150 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 104.329000 ML ACTED AS AGENT. CUSIP NUM:					
	666807BG6					
12/31	PEPSICO INC	Accrued Interest		26.58		
	GLB 02.750% MAR 05 2022 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P A& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO					
	MATURITY 1.82% MATURITY DATE 3/05/22. 116 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 101.971000 ML ACTED AS AGENT. CUSIP NUM:					
	713448BY3					
12/31	VISA INC	Accrued Interest		3.97		
	GLB 02.800% DEC 14 2022 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS AA3 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST					
	YLD TO CALL 1.72% CALL DATE 10/14/22 CALL PRICE \$100.00. 17 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 102.922000 ML					
	ACTED AS AGENT. CUSIP NUM: 92826CAC6					
12/31	VERIZON COMMUNICATIONS	Accrued Interest		12.75		
	GLB 03.376% FEB 15 2025 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST 136					
	DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 105.890000 ML ACTED AS AGENT. CUSIP NUM: 92343VENO					
12/31	REGIONS FINANCIAL CORP	Accrued Interest		20.93		
	GLB 02.750% AUG 14 2022 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON					

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				
Date	Description	Transaction Type	Quantity	Income Cash
Taxable Interest				
12/31	REQUEST YLD TO CALL 2.03% CALL DATE 7/14/22 CALL PRICE \$100.00. 137 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 101.748000 ML ACTED AS AGENT. CUSIP NUM: 7591EPAM2			
12/31	SOUTHERN CO GLB 02.950% JUL 01 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.21% CALL DATE 5/01/23 CALL PRICE \$100.00. 180 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 102.361000 ML ACTED AS AGENT. CUSIP NUM: 842587CU9	Accrued Interest		29.50
12/31	THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.11% CALL DATE 11/01/23 CALL PRICE \$100.00. 150 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 107.442000 ML ACTED AS AGENT. CUSIP NUM: 883556BF8	Accrued Interest		17.29
12/31	UNITEDHEALTH GROUP INC GLB 02.700% JUL 15 2020 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.11% MATURITY DATE 7/15/20. 166 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.310000 ML ACTED AS AGENT. CUSIP NUM: 91324PCM2	Accrued Interest		12.45
12/31	US BANCORP SUBORDINATED SER MTN 03.600% SEP 11 2024 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 8/11/24 CALL PRICE \$100.00. 110 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 106.559000 ML ACTED AS AGENT. CUSIP NUM: 91159HKK9	Accrued Interest		33.00
12/31	WELLS FARGO & COMPANY GLB 02.100% JUL 26 2021 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- YLD TO MATURITY 1.98% MATURITY DATE 7/26/21. 155 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.184000 ML ACTED AS AGENT. CUSIP NUM: 949746SAO	Accrued Interest		27.13
12/31	USD BANK NOVA SCOTIA SER BKNT 2.150% JUL 14 2020 INCOME ON SALE YLD TO MATURITY 2.08% MATURITY DATE 7/14/20. 167 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.034000 ML ACTED AS AGENT. CUSIP NUM: 064159JX5	Accrued Interest		59.84
12/31	BB&T CORPORATION SER MTN 02.850% OCT 26 2024 INCOME ON SALE CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 9/26/24 CALL PRICE \$100.00. 65 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 103.332000 ML ACTED AS AGENT. CUSIP NUM: 05531FBB8	Accrued Interest		10.29
Subtotal (Taxable Interest)				2,747.43

15,084.77



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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Taxable Dividends					
12/02	BB&T CORPORATION	Dividend		17.10	
	DIVIDEND HOLDING 38.0000 PAY DATE 12/02/2019				
12/02	CONOCOPHILLIPS	Dividend		17.64	
	DIVIDEND HOLDING 42.0000 PAY DATE 12/02/2019				
12/02	CUMMINS INC COM	Dividend		9.18	
	DIVIDEND HOLDING 7.0000 PAY DATE 12/02/2019				
12/02	INTEL CORP	Dividend		22.68	
	DIVIDEND HOLDING 72.0000 PAY DATE 12/01/2019				
12/02	PFIZER INC	Dividend		19.80	
	DIVIDEND HOLDING 55.0000 PAY DATE 12/02/2019				
12/02	BLF FEDFUND CASH RESERVE	Dividend		70.39	
	DIVIDEND PAY DATE 11/29/2019				
12/02	BLF FEDFUND CASH RESERVE	Reinvestment Share(s)	70.0000		
	DIVID REIN AGENT REINV AMOUNT \$70.00 REINV PRICE \$1.00000 QUANTITY BOT 70.0000 AS OF 12/02				
12/02	WEC ENERGY GROUP INC SHS	Dividend		11.80	
	DIVIDEND HOLDING 20.0000 PAY DATE 12/01/2019				
12/02	WELLS FARGO & CO NEW DEL	Dividend		34.68	
	DIVIDEND HOLDING 68.0000 PAY DATE 12/01/2019				
12/03	KLA CORP	Dividend		14.45	
	DIVIDEND HOLDING 17.0000 PAY DATE 12/03/2019				
12/04	UNITED PARCEL SVC CL B	Dividend		14.40	
	DIVIDEND HOLDING 15.0000 PAY DATE 12/04/2019				
12/05	TX COS INC NEW	Dividend		4.83	
	DIVIDEND HOLDING 21.0000 PAY DATE 12/05/2019				
12/06	HONEYWELL INTL INC DEL	Dividend		19.80	
	DIVIDEND HOLDING 22.0000 PAY DATE 12/06/2019				
12/06	PARKER HANNUFIN CORP	Dividend		7.04	
	DIVIDEND HOLDING 8.0000 PAY DATE 12/06/2019				

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Taxable Dividends					
12/06	CLEARBRIDGE SMALL CAP	*Long Term Capital Gain			1,775.18
	FUND CLASS I LONG TERM CAPITAL GAIN PAY DATE 12/05/2019				
12/06	CLEARBRIDGE SMALL CAP	Short Term Capital Gain			291.46
	FUND CLASS I SHORT TERM CAPITAL GAIN PAY DATE 12/05/2019				
12/10	AMN ELEC POWER CO	Dividend		14.00	
	DIVIDEND HOLDING 20.0000 PAY DATE 12/10/2019				
12/10	CHEVRON CORP	Dividend		47.60	
	DIVIDEND HOLDING 40.0000 PAY DATE 12/10/2019				
12/10	EXXON MOBIL CORP COM	Dividend		33.93	
	DIVIDEND HOLDING 39.0000 PAY DATE 12/10/2019				
12/10	INTL BUSINESS MACHINES	Dividend		43.74	
	CORP IBM DIVIDEND HOLDING 27.0000 PAY DATE 12/10/2019				
12/10	JOHNSON AND JOHNSON COM	Dividend		41.80	
	DIVIDEND HOLDING 44.0000 PAY DATE 12/10/2019				
12/10	ELI LILLY & CO	Dividend		10.97	
	DIVIDEND HOLDING 17.0000 PAY DATE 12/10/2019				
12/10	SONOCO PRODUCTS CO	Dividend		8.60	
	DIVIDEND HOLDING 20.0000 PAY DATE 12/10/2019				
12/10	TARGET CORP COM	Dividend		9.90	
	DIVIDEND HOLDING 15.0000 PAY DATE 12/10/2019				
12/11	VALERO ENERGY CORP NEW	Dividend		13.50	
	DIVIDEND HOLDING 15.0000 PAY DATE 12/11/2019				
12/12	MICROSOFT CORP	Dividend		21.93	
	DIVIDEND HOLDING 43.0000 PAY DATE 12/12/2019				
12/12	3M COMPANY	Dividend		15.84	
	DIVIDEND HOLDING 11.0000 PAY DATE 12/12/2019				
12/13	DOW INC REG	Dividend		15.40	
	SHS DIVIDEND HOLDING 22.0000 PAY DATE 12/13/2019				

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends						
12/16	HERSHEY COMPANY	Dividend		6.18		
	DIVIDEND HOLDING 8.0000 PAY DATE 12/16/2019					
12/16	MCDONALDS CORP COM	Dividend		11.25		
	DIVIDEND HOLDING 9.0000 PAY DATE 12/16/2019					
12/16	NEXTERA ENERGY INC SHS	Dividend		8.75		
	DIVIDEND HOLDING 7.0000 PAY DATE 12/16/2019					
12/16	OAKMARK INTL FD CL	Dividend		1,109.82		
	ADV DIVIDEND PAY DATE 12/13/2019					
12/17	UNITEDHEALTH GROUP INC	Dividend		6.48		
	DIVIDEND HOLDING 6.0000 PAY DATE 12/17/2019					
12/17	INVESCO OPPENHEIMER	Dividend		842.52		
	INTL GROWTH FUND CL Y DIVIDEND PAY DATE 12/16/2019					
12/19	GENERAL MOTORS CO	Dividend		7.98		
	COMMON SHARES DIVIDEND HOLDING 21.0000 PAY DATE 12/19/2019					
12/19	HOME DEPOT INC	Dividend		25.84		
	DIVIDEND HOLDING 19.0000 PAY DATE 12/19/2019					
12/19	CLEARBRIDGE SMALL CAP	Dividend		300.60		
	FUND CLASS I DIVIDEND PAY DATE 12/18/2019					
12/19	CLEARBRIDGE LARGE CAP	Dividend		245.67		
	GROWTH FUND CL I DIVIDEND PAY DATE 12/18/2019					
12/19	CLEARBRIDGE LARGE CAP	*Long Term Capital Gain			3,217.61	
	GROWTH FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/18/2019					
12/20	DOMINION ENERGY INC	Dividend		11.01		
	DIVIDEND HOLDING 12.0000 PAY DATE 12/20/2019					
12/20	ISHARES RUSSELL 1000	Dividend		344.40		
	GROWTH DIVIDEND HOLDING 765.0000 PAY DATE 12/20/2019					
12/20	ISHARES RUSSELL 1000	Dividend		1,246.84		
	VALUE DIVIDEND HOLDING 1379.0000 PAY DATE 12/20/2019					

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends						
12/20	ISHARES RUSSELL 1000	Dividend	343.77			
	VALUE DIVIDEND HOLDING 1379.0000 PAY DATE 12/20/2019					
12/20	ISHARES TR CORE MSCI EAF	Dividend	905.83			
	ETF DIVIDEND HOLDING 1029.0000 PAY DATE 12/20/2019					
12/20	WASTE MANAGEMENT INC NEW	Dividend	10.25			
	DIVIDEND HOLDING 20.0000 PAY DATE 12/20/2019					
12/20	MFS VALUE FD CL I	Dividend	355.84			
	DIVIDEND PAY DATE 12/19/2019					
12/20	MFS VALUE FD CL I	*Long Term Capital Gain	1,104.73			
	LONG TERM CAPITAL GAIN PAY DATE 12/19/2019					
12/23	BLACKROCK INC	Dividend	13.20			
	DIVIDEND HOLDING 4.0000 PAY DATE 12/23/2019					
12/23	EDGEWOOD GROWTH FUND CL	*Long Term Capital Gain	143.84			
	INST LONG TERM CAPITAL GAIN PAY DATE 12/20/2019					
12/24	SUNCOR ENERGY INC NEW	Foreign Dividend	12.44			
	RPT FGN DIV HOLDING 39.0000 PAY DATE 12/24/2019					
12/27	CME GROUP INC	Dividend	9.75			
	DIVIDEND HOLDING 13.0000 PAY DATE 12/27/2019					
12/27	LOCKHEED MARTIN CORP	Dividend	26.40			
	DIVIDEND HOLDING 11.0000 PAY DATE 12/27/2019					
12/27	PRINCIPAL FINANCIAL GRP	Dividend	11.00			
	DIVIDEND HOLDING 20.0000 PAY DATE 12/27/2019					
12/30	GILEAD SCIENCES INC COM	Dividend	9.45			
	DIVIDEND HOLDING 15.0000 PAY DATE 12/30/2019					
12/30	PRICE T ROWE GROUP INC	Dividend	10.64			
	DIVIDEND HOLDING 14.0000 PAY DATE 12/30/2019					
12/30	UNION PACIFIC CORP	Dividend	26.19			
	DIVIDEND HOLDING 27.0000 PAY DATE 12/30/2019					

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Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date

Taxable Dividends

12/31	AMEREN CORP	Dividend		9.41		
	DIVIDEND HOLDING 19.0000 PAY DATE 12/31/2019					
12/31	BROADCOM LTD	Dividend		32.50		
	DIVIDEND HOLDING 10.0000 PAY DATE 12/31/2019					
12/31	CROWN CASTLE REIT INC	Dividend		9.60		
	SHS DIVIDEND HOLDING 8.0000 PAY DATE 12/31/2019					
12/31	EVERSOURCE ENERGY COM	Dividend		9.10		
	DIVIDEND HOLDING 17.0000 PAY DATE 12/31/2019					
12/31	INGERSOLL-RAND PLC	Foreign Dividend		9.54		
	RPT FGN DIV HOLDING 18.0000 PAY DATE 12/31/2019					
12/31	M&T BANK CORPORATION	Dividend		8.80		
	DIVIDEND HOLDING 8.0000 PAY DATE 12/31/2019					
12/31	BLACKROCK FLOATING RATE	Dividend		36.50		
	INCOME PORTFOLIO CL INST DIVIDEND PAY DATE 12/31/2019					
12/31	HARTFORD SCHRÖDERS EMERG	Dividend		2,168.32		
	MKTS EQUITY FD CL I DIVIDEND PAY DATE 12/30/2019					

Subtotal (Taxable Dividends)

8,726.87	6,532.82	37,914.74

Tax-Exempt Dividends

Subtotal (Tax-Exempt Dividends)

140.77

NET TOTAL

11,474.30	6,532.82	53,004.56

» Long Term Capital Gain Distributions

6,241.36	11,343.64

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/02	DIGITAL RLTY TR INC	Purchase	3.0000	(362.74)			(362.74)
11/27	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 253868103 SEC NO 229RO PRINCIPAL						
	362.74 UNIT PRICE 120.9122						
12/12	ELI LILLY & CO	Purchase	2.0000	(240.66)			(240.66)
12/10	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 532457108 SEC NO 44534 PRINCIPAL						
	240.66 UNIT PRICE 120.3293						
12/12	MEDTRONIC PLC SHS	Purchase	2.0000	(222.87)			(222.87)
12/10	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO G5960L103 SEC NO 46WTO PRINCIPAL						
	222.87 UNIT PRICE 111.4333						
12/12	PRICE T ROWE GROUP INC	Purchase	2.0000	(245.59)			(245.59)
12/10	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 741447108 SEC NO 595KO PRINCIPAL						
	245.59 UNIT PRICE 122.7943						
12/12	VERIZON COMMUNICATNS COM	Purchase	1.0000	(61.17)			(61.17)
12/10	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 92343V104 SEC NO 79806 PRINCIPAL						
	UNIT PRICE 61.1738						
12/13	MEDTRONIC PLC SHS	Purchase	1.0000	(111.51)			(111.51)
12/11	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO G5960L103 SEC NO 46WTO PRINCIPAL						
	111.51 UNIT PRICE 111.5107						
12/13	NORTHROP GRUMMAN CORP	Purchase	4.0000	(1,385.99)			(1,385.99)
12/11	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 666807102 SEC NO 56637 PRINCIPAL						

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Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/13	VERIZON COMMUNICATNS COM	Purchase	3.0000	(184.12)			(184.12)
12/11	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 92343V104 SEC NO 79B06 PRINCIPAL						
	184.12 UNIT PRICE 61.3729						
12/27	BLACKROCK FLOATING RATE	Purchase	5,867.0000	(58,846.01)			(58,846.01)
12/26	INCOME PORTFOLIO CL INST SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 09260B747 SEC NO 9K4Z7 PRINCIPAL 58846.01 UNIT PRICE 10.0300						
12/27	CLEARBRIDGE LARGE CAP	Purchase	44.0000	(2,418.68)			(2,418.68)
12/26	GROWTH FUND CL I SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 52469H784 SEC NO 97SX3 PRINCIPAL 2418.68 UNIT PRICE 54.9700						
12/30	BLACKROCK FLOATING RATE	Purchase	1,681.0000	(16,860.43)			(16,860.43)
12/27	INCOME PORTFOLIO CL INST SUBSCRIPTION FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 09260B747 SEC NO 9K4Z7 PRINCIPAL 16860.43 UNIT PRICE 10.0300						
12/31	LOCKHEED MARTIN CORP	Purchase	2.0000	(787.13)			(787.13)
12/27	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 539830109 SEC NO 432G4 PRINCIPAL 787.13 UNIT PRICE 393.5637						
12/31	TARGET CORP COM	Purchase	1.0000	(129.07)			(129.07)
12/27	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 87612E106 SEC NO 74DG3 PRINCIPAL 129.07 UNIT PRICE 129.0682						
	Subtotal (Purchases)			(81,855.97)			(81,855.97)

Sales							
12/02	PUBLIC STORAGE \$0.10	Sale	-3.0000	632.95	(.01)		632.94
11/27	REIT REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN						

Phil's Advisory TIRA

Account Number

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
	WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 74460D109 SEC NO 587W3 PRINCIPAL 632.94 TRN FEE 0.01 UNIT PRICE 210.9836						
12/12	GENL DYNAMICS CORP COM	Sale	-5.0000	903.88	(.02)		903.86
12/10	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 369550108 SEC NO 31564 PRINCIPAL 903.86 TRN FEE 0.02 UNIT PRICE 180.7755						
12/13	GENL DYNAMICS CORP COM	Sale	-8.0000	1,430.36	(.03)		1,430.33
12/11	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 369550108 SEC NO 31564 PRINCIPAL 1430.33 TRN FEE 0.03 UNIT PRICE 178.7952						
12/18	CLEARBRIDGE SMALL CAP	Sale	-47.0000	3,017.87			3,017.87
12/17	FUND CLASS I REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 524686466 SEC NO 9K162 PRINCIPAL 3017.87 UNIT PRICE 64.2100						
12/18	MFS VALUE FD CL I	Sale	-90.0000	4,052.70			4,052.70
12/17	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 552983694 SEC NO 9K2M9 PRINCIPAL 4052.70 UNIT PRICE 45.0300						
12/18	INVESCO OPPENHEIMER	Sale	-135.0000	5,938.65			5,938.65
12/17	INTL GROWTH FUND CL Y REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 00900W597 SEC NO 9LX99 PRINCIPAL 5938.65 UNIT PRICE 43.9900						
12/18	EDGEWOOD GROWTH FUND CL	Sale	-87.0000	3,294.69			3,294.69
12/17	INST REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 0075W0759 SEC NO 9L8K9 PRINCIPAL 3294.69 UNIT PRICE 37.8700						
12/18	HARTFORD SCHROEDERS EMERG	Sale	-363.0000	6,091.14			6,091.14
12/17	MKTS EQUITY FD CL I REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 41665H847 SEC NO 9UL01 PRINCIPAL 6091.14 UNIT PRICE 16.7800						
12/18	OAKMARK INTL FD CL	Sale	-142.0000	3,524.44			3,524.44
12/17	ADV REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 413838731 SEC NO 9U0Y3 PRINCIPAL 3524.44 UNIT PRICE 24.8200						
12/18	CLEARBRIDGE LARGE CAP	Sale	-67.0000	3,782.82			3,782.82

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/17	GROWTH FUND CL I REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 52469H784 SEC NO 975X3 PRINCIPAL 3782.82 UNIT PRICE 56.4600						
				-130.0000	2,692.30		2,692.30
12/18	BLACKROCK EOTY DIVIDEND	Sale					
12/17	FUND INSTL REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 09251M504 SEC NO 974Y9 PRINCIPAL 2692.30 UNIT PRICE 20.7100						
12/19	ISHARES RUSSELL 1000	Sale		-45.0000	7,796.70	(16)	7,796.54
12/17	GROWTH REDEEMED PER ADVISORY AGREEMENT. CUS NO 464287614 SEC NO 31CKO PRINCIPAL 7796.54 TRN FEE 0.16 UNIT PRICE 173.2600						
12/19	ISHARES RUSSELL 1000	Sale		-53.0000	7,176.95	(15)	7,176.80
12/17	VALUE REDEEMED PER ADVISORY AGREEMENT. CUS NO 464287598 SEC NO 31CK1 PRINCIPAL 7176.80 TRN FEE 0.15 UNIT PRICE 135.4142						
12/19	ISHARES TR CORE MSCI EAF	Sale		-57.0000	3,704.72	(08)	3,704.64
12/17	ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 46432F842 SEC NO 39T52 PRINCIPAL 3704.64 TRN FEE 0.08 UNIT PRICE 64.9950						
12/31	AT&T INC	Sale		-1,000.0000	1,001.44		1,001.44
12/27	GLB 02.450% JUN 30 2020 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 5/30/20 CALL PRICE \$100.00. PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 00206RCL4 SEC NO A01N3 PRINCIPAL 1001.44 UNIT PRICE 100.1440						
				-1,000.0000	1,020.30		1,016.43
12/31	APPLE INC	Sale					
12/27	GLB 02.400% MAY 03 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS AA1 S&P A48 MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.89% MATURITY DATE 5/03/23. 58 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 037833AK6 SEC NO A0380 PRINCIPAL 1016.43 UNIT PRICE 101.6427						
				-1,000.0000	1,089.53		1,073.95
12/31	AMERICAN INTL GROUP	Sale					
12/27	GLB 04.125% FEB 15 2024 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB8 MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.23% MATURITY DATE 2/15/24. 136 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 026874CV1 SEC NO A0418 PRINCIPAL 1073.95 UNIT PRICE 107.3950						
				-2,000.0000	2,046.10		2,041.72
12/31	HOMIE DEPOT INC	Sale					
12/27	GLB 02.625% JUN 01 2022 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.70% CALL DATE 5/01/22 CALL PRICE \$100.00. 30 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 437076BG6 SEC NO BAY40 PRINCIPAL 2041.72 UNIT PRICE 102.0860						

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/31	CHEVRON CORP	Sale	-3,000.0000	3,024.26			3,016.38
12/27	GLB 02.100% MAY 16 2021 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS AA2 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.67% CALL DATE 4/15/21 CALL PRICE \$100.00. 45 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 166764BG4 SEC NO B21M1 PRINCIPAL 3016.38 UNIT PRICE 100.5460						
12/31	CAPITAL ONE FINANCIAL CO	Sale	-1,000.0000	1,003.75			1,000.35
12/27	GLB 02.500% MAY 12 2020 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.36% CALL DATE 4/12/20 CALL PRICE \$100.00. 49 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 1404QIBP9 SEC NO B2143 PRINCIPAL 1000.35 UNIT PRICE 100.0350						
12/31	CITIGROUP INC	Sale	-1,000.0000	1,069.17			1,062.07
12/27	GLB 03.875% OCT 25 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ YLD TO MATURITY 2.17% MATURITY DATE 10/25/23. 66 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 172967HD6 SEC NO B2855 PRINCIPAL 1062.07 UNIT PRICE 106.2070						
12/31	CVS HEALTH CORP	Sale	-1,000.0000	1,064.00			1,042.49
12/27	GLB 03.700% MAR 09 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO CURR CALL 2.27% CURR CALL DATE 2/09/23 CURR CALL PRICE \$100.00. 112 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 12665OCVO SEC NO B29G8 PRINCIPAL 1042.49 UNIT PRICE 104.2490						
12/31	COMCAST CORP	Sale	-2,000.0000	2,148.34			2,132.72
12/27	COMPANY GUARNT GLB 03.700% APR 15 2024 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.04% CALL DATE 3/15/24 CALL PRICE \$100.00. 76 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 20030NCRO SEC NO B29U4 PRINCIPAL 2132.72 UNIT PRICE 106.6360						
12/31	EOG RESOURCES INC	Sale	-2,000.0000	2,058.44			2,042.98
12/27	GLB 02.625% MAR 15 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO CURR CALL 1.87% CURR CALL DATE 12/15/22 CURR CALL PRICE \$100.00. 106 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 26875PAK7 SEC NO B56Y7 PRINCIPAL 2042.98 UNIT PRICE 102.1490						
12/31	GOLDMAN SACHS GROUP INC	Sale	-2,000.0000	2,006.42			2,005.84
12/27	GLB 02.600% DEC 27 2020 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ MAKE-WHOLE CALL. DETAILS UPON REQUEST 4 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 38141GWG5 SEC NO B77A6 PRINCIPAL 2005.84 UNIT PRICE 100.2920						



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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/31	KINDER MORGAN ENER PART	Sale	-1,000.0000	1,057.94			1,044.77
12/27	COMPANY GUARNT GLB 03.950% SEP 01 2022 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO CURR CALL 2.04% CURR CALL DATE 6/01/22 CURR CALL PRICE \$100.00. 120 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 494550BL9 SEC NO C11R4 PRINCIPAL 1044.77 UNIT PRICE 104.4770						
12/31	MERCK & CO INC	Sale	-2,000.0000	2,092.40			2,070.86
12/27	GLB 02.750% FEB 10 2025 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.98% CALL DATE 11/10/24 CALL PRICE \$100.00. 141 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 58933VAR6 SEC NO C6DL9 PRINCIPAL 2070.86 UNIT PRICE 103.5430						
12/31	MICROSOFT CORP	Sale	-2,000.0000	2,131.93			2,128.71
12/27	GLB 03.625% DEC 15 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AAA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO CURR CALL 1.82% CURR CALL DATE 9/15/23 CURR CALL PRICE \$100.00. 16 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 594918AW4 SEC NO C6FC2 PRINCIPAL 2128.71 UNIT PRICE 106.4356						
12/31	MORGAN STANLEY	Sale	-1,000.0000	1,014.16			1,010.95
12/27	SER MTN 02.625% NOV 17 2021 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ YLD TO MATURITY 2.02% MATURITY DATE 11/17/21. 44 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 61746BED4 SEC NO C6FG1 PRINCIPAL 1010.95 UNIT PRICE 101.0950						
12/31	NORTHROP GRUMMAN CORP	Sale	-1,000.0000	1,056.83			1,043.29
12/27	GLB 03.250% AUG 01 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.99% MATURITY DATE 8/01/23. 150 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 666807BG6 SEC NO D2154 PRINCIPAL 1043.29 UNIT PRICE 104.3290						
12/31	PEPSICO INC	Sale	-3,000.0000	3,085.71			3,059.13
12/27	GLB 02.750% MAR 05 2022 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.82% MATURITY DATE 3/05/22. 116 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 713448BY3 SEC NO D84Y2 PRINCIPAL 3059.13 UNIT PRICE 101.9710						
12/31	VISA INC	Sale	-3,000.0000	3,091.63			3,087.66
12/27	GLB 02.800% DEC 14 2022 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A43 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.72% CALL DATE 10/14/22 CALL PRICE \$100.00. 17 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO						

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Account Number:

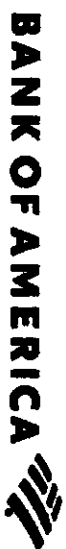
YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/31	92826CAC6 SEC NO EHAG5 PRINCIPAL 3087.66 UNIT PRICE 102.9220	Sale	-1,000.0000	1,071.65			1,058.90
12/27	VERIZON COMMUNICATIONS GLB 03.376% FEB 15 2025 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST 136 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 92343VENO SEC NO EHAMS PRINCIPAL 1058.90 UNIT PRICE 105.8900						
12/31	REGIONS FINANCIAL CORP GLB 02.750% AUG 14 2022 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.03% CALL DATE 7/14/22 CALL PRICE \$100.00. 137 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 7591EPAM2 SEC NO E29N7 PRINCIPAL 2034.96 UNIT PRICE 101.7480	Sale	-2,000.0000	2,055.89			2,034.96
12/31	SOUTHERN CO GLB 02.950% JUL 01 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.21% CALL DATE 5/01/23 CALL PRICE \$100.00. 180 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 842587CU9 SEC NO E36W4 PRINCIPAL 2047.22 UNIT PRICE 102.3610	Sale	-2,000.0000	2,076.72			2,047.22
12/31	THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.11% CALL DATE 11/01/23 CALL PRICE \$100.00. 150 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 88356BF8 SEC NO E6C23 PRINCIPAL 1074.42 UNIT PRICE 107.4420	Sale	-1,000.0000	1,091.71			1,074.42
12/31	UNITEDHEALTH GROUP INC GLB 02.700% JUL 15 2020 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.11% MATURITY DATE 7/15/20. 166 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 91324PCM2 SEC NO E6678 PRINCIPAL 1003.10 UNIT PRICE 100.3100	Sale	-1,000.0000	1,015.55			1,003.10
12/31	US BANCORP SUBORDINATED SER MTN 03.600% SEP 11 2024 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 8/11/24 CALL PRICE \$100.00. 110 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 91159HKK9 SEC NO E67W2 PRINCIPAL 3196.77 UNIT PRICE 106.5590	Sale	-3,000.0000	3,229.77			3,196.77
12/31	WELLS FARGO & COMPANY GLB 02.100% JUL 26 2021 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A-YLD TO MATURITY 1.98% MATURITY DATE 7/26/21. 155 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 949746SA0 SEC NO E7574 PRINCIPAL 3005.52 UNIT PRICE 100.1840	Sale	-3,000.0000	3,032.65			3,005.52

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A BANK OF AMERICA COMPANY

Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/31	USD BANK NOVA SCOTIA	Sale	-6,000.0000	6,061.88			6,002.04
12/27	SER BKNT 2.150% JUL 14 2020 REDEEMED YLD TO MATURITY 2.08% MATURITY DATE 7/14/20. 167 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 064159JX5 SEC NO FDLL4 PRINCIPAL 6002.04 UNIT PRICE 100.0340						
12/31	BB&T CORPORATION	Sale	-2,000.0000	2,076.93			2,066.64
12/27	SER MTN 02.850% OCT 26 2024 REDEEMED CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 9/26/24 CALL PRICE \$100.00. 65 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 05531FB98 SEC NO HJ806 PRINCIPAL 2066.64 UNIT PRICE 103.3320						
12/31	ALLSTATE CORP DEL COM	Sale	-1.0000	111.80	(.01)		111.79
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 02002101 SEC NO 00280 PRINCIPAL 111.79 TRN FEE 0.01 UNIT PRICE 111.8000						
12/31	AT&T INC	Sale	-3.0000	117.89	(.01)		117.88
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 00206R102 SEC NO 02337 PRINCIPAL 117.88 TRN FEE 0.01 UNIT PRICE 39.2950						
12/31	APPLE INC	Sale	-1.0000	289.97	(.01)		289.96
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 037833100 SEC NO 05001 PRINCIPAL 289.96 TRN FEE 0.01 UNIT PRICE 289.9700						
12/31	AUTOMATIC DATA PROC	Sale	-1.0000	170.51	(.01)		170.50
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 053015103 SEC NO 06656 PRINCIPAL 170.50 TRN FEE 0.01 UNIT PRICE 170.5080						
12/31	BAXTER INTERNL INC	Sale	-3.0000	252.30	(.01)		252.29
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 071813109 SEC NO 08470 PRINCIPAL 252.29 TRN FEE 0.01 UNIT PRICE 84.1000						
12/31	BRISTOL-MYERS SQUIBB CO	Sale	-3.0000	192.07	(.01)		192.06
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 110122108 SEC NO 11011 PRINCIPAL 192.06 TRN FEE 0.01 UNIT PRICE 64.0243						
12/31	COMCAST CORP NEW CL A	Sale	-5.0000	225.22	(.01)		225.21
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 20030N101 SEC NO 12740 PRINCIPAL 225.21 TRN FEE 0.01 UNIT PRICE 45.0438						
12/31	CHEVRON CORP	Sale	-2.0000	241.78	(.01)		241.77
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 166764100 SEC NO 144X5 PRINCIPAL 241.77 TRN FEE 0.01 UNIT PRICE 120.8886						
12/31	CONOCOPHILLIPS	Sale	-3.0000	195.16	(.01)		195.15
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 20825C104 SEC NO 145W7 PRINCIPAL 195.15 TRN FEE 0.01 UNIT PRICE 65.0543						

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

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SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/31	CME GROUP INC	Sale	-1.0000	202.48	(.01)		202.47
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 125720105 SEC NO 15360 PRINCIPAL 202.47 TRN FEE 0.01 UNIT PRICE 202.4750						
12/31	CHUBB LTD	Sale	-1.0000	155.73	(.01)		155.72
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO H14671104 SEC NO 167A8 PRINCIPAL 155.72 TRN FEE 0.01 UNIT PRICE 155.7250						
12/31	CISCO SYSTEMS INC COM	Sale	-6.0000	286.40	(.01)		286.39
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 17275R102 SEC NO 17179 PRINCIPAL 286.39 TRN FEE 0.01 UNIT PRICE 47.7338						
12/31	DIGITAL RLTY TR INC	Sale	-1.0000	117.76	(.01)		117.75
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 253868103 SEC NO 229R0 PRINCIPAL 117.75 TRN FEE 0.01 UNIT PRICE 117.7620						
12/31	DISNEY (WALT) CO COM STK	Sale	-1.0000	145.98	(.01)		145.97
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 254687106 SEC NO 23892 PRINCIPAL 145.97 TRN FEE 0.01 UNIT PRICE 145.9824						
12/31	EXXON MOBIL CORP COM	Sale	-2.0000	140.37	(.01)		140.36
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 30231G102 SEC NO 257D4 PRINCIPAL 140.36 TRN FEE 0.01 UNIT PRICE 70.1831						
12/31	GENERAL MOTORS CO	Sale	-21.0000	766.53	(.02)		766.51
12/27	COMMON SHARES REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 37045V100 SEC NO 32DY9 PRINCIPAL 766.51 TRN FEE 0.02 UNIT PRICE 36.5013						
12/31	HONEYWELL INTL INC DEL	Sale	-1.0000	176.84	(.01)		176.83
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 438516106 SEC NO 349M1 PRINCIPAL 176.83 TRN FEE 0.01 UNIT PRICE 176.8360						
12/31	HERSHEY COMPANY	Sale	-1.0000	147.65	(.01)		147.64
12/27	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 427866108 SEC NO 36158 PRINCIPAL 147.64 TRN FEE 0.01 UNIT PRICE 147.6500						
12/31	INTEL CORP	Sale	-4.0000	240.90	(.01)		240.89
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 458140100 SEC NO 38886 PRINCIPAL 240.89 TRN FEE 0.01 UNIT PRICE 60.2250						
12/31	INTL BUSINESS MACHINES	Sale	-2.0000	270.74	(.01)		270.73
12/27	CORP IBM REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE						

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Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash

Sales

CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 459200101 SEC NO 39050
PRINCIPAL 270.73 TRN FEE 0.01 UNIT PRICE 135.3700

12/31	INGERSOLL-RAND PLC	Sale	-1.0000	134.01	(.01)		134.00
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 647791101 SEC NO 395M7 PRINCIPAL 134.00 TRN FEE 0.01 UNIT PRICE 134.0140						
12/31	JPMORGAN CHASE & CO	Sale	-3.0000	417.20	(.01)		417.19
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 46625H100 SEC NO 405S5 PRINCIPAL 417.19 TRN FEE 0.01 UNIT PRICE 139.0653						
12/31	JOHNSON AND JOHNSON COM	Sale	-2.0000	291.56	(.01)		291.55
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 478160104 SEC NO 40853 PRINCIPAL 291.55 TRN FEE 0.01 UNIT PRICE 145.7786						
12/31	KLA CORP	Sale	-1.0000	178.16	(.01)		178.15
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 482480100 SEC NO 41483 PRINCIPAL 178.15 TRN FEE 0.01 UNIT PRICE 178.1612						
12/31	KIMBERLY CLARK	Sale	-1.0000	137.90	(.01)		137.89
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 494368103 SEC NO 42451 PRINCIPAL 137.89 TRN FEE 0.01 UNIT PRICE 137.9004						
12/31	LAM RESEARCH CORP COM	Sale	-1.0000	293.47	(.01)		293.46
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 512807108 SEC NO 43435 PRINCIPAL 293.46 TRN FEE 0.01 UNIT PRICE 293.4687						
12/31	ELI LILLY & CO	Sale	-1.0000	131.29	(.01)		131.28
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 532457108 SEC NO 44534 PRINCIPAL 131.28 TRN FEE 0.01 UNIT PRICE 131.2929						
12/31	MERCK AND CO INC SHS	Sale	-6.0000	548.11	(.01)		548.10
12/27	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 58933V105 SEC NO 46R78 PRINCIPAL 548.10 TRN FEE 0.01 UNIT PRICE 91.3516						
12/31	MEDTRONIC PLC SHS	Sale	-1.0000	113.32	(.01)		113.31
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 65960L103 SEC NO 46W70 PRINCIPAL 113.31 TRN FEE 0.01 UNIT PRICE 113.3235						
12/31	MARSH & MCLENNAN COS INC	Sale	-1.0000	112.00	(.01)		111.99
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 571748102 SEC NO 47102 PRINCIPAL 111.99 TRN FEE 0.01 UNIT PRICE 112.0000						
12/31	MICROSOFT CORP	Sale	-2.0000	317.63	(.01)		317.62
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 594918104 SEC NO 49522 PRINCIPAL 317.62 TRN FEE 0.01 UNIT PRICE 158.8138						

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash

Sales

12/31	NORTHAN TRUST CORP	Sale	-1.0000	107.01	(.01)		107.00
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 665859104 SEC NO 56567 PRINCIPAL 107.00 TRN FEE 0.01 UNIT PRICE 107.0074						
12/31	PNC FINCL SERVICES GROUP	Sale	-1.0000	160.75	(.01)		160.74
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 693475105 SEC NO 59788 PRINCIPAL 160.74 TRN FEE 0.01 UNIT PRICE 160.7528						
12/31	PHILIP MORRIS INTL INC	Sale	-2.0000	172.55	(.01)		172.54
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 718172109 SEC NO 60155 PRINCIPAL 172.54 TRN FEE 0.01 UNIT PRICE 86.2743						
12/31	PEPSICO INC	Sale	-1.0000	137.36	(.01)		137.35
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 713448108 SEC NO 60739 PRINCIPAL 137.35 TRN FEE 0.01 UNIT PRICE 137.3638						
12/31	PFIZER INC	Sale	-3.0000	117.99	(.01)		117.98
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 717081103 SEC NO 61001 PRINCIPAL 117.98 TRN FEE 0.01 UNIT PRICE 39.3310						
12/31	PROCTER & GAMBLE CO	Sale	-1.0000	126.22	(.01)		126.21
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 742718109 SEC NO 62726 PRINCIPAL 126.21 TRN FEE 0.01 UNIT PRICE 126.2243						
12/31	TRUIST FINL CORP	Sale	-2.0000	112.93	(.01)		112.92
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 898320109 SEC NO 734M4 PRINCIPAL 112.92 TRN FEE 0.01 UNIT PRICE 56.4644						
12/31	TEXAS INSTRUMENTS	Sale	-1.0000	128.42	(.01)		128.41
12/27	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 882508104 SEC NO 73841 PRINCIPAL 128.41 TRN FEE 0.01 UNIT PRICE 128.4216						
12/31	3M COMPANY	Sale	-1.0000	177.20	(.01)		177.19
12/27	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 88579Y101 SEC NO 74E15 PRINCIPAL 177.19 TRN FEE 0.01 UNIT PRICE 177.2000						
12/31	UNITED PARCEL SVC CL B	Sale	-1.0000	118.95	(.01)		118.94
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 911312106 SEC NO 768N1 PRINCIPAL 118.94 TRN FEE 0.01 UNIT PRICE 118.9500						
12/31	UNITEDHEALTH GROUP INC	Sale	-1.0000	295.96	(.01)		295.95
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 91324P102 SEC NO 768T5 PRINCIPAL 295.95 TRN FEE 0.01 UNIT PRICE 295.9630						

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Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/31	US BANCORP	Sale	-2.0000	119.47	(.01)		119.46
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 902973304 SEC NO 76835 PRINCIPAL 119.46 TRN FEE 0.01 UNIT PRICE 59.7350						
12/31	UNION PACIFIC CORP	Sale	-1.0000	181.14	(.01)		181.13
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 907818108 SEC NO 76323 PRINCIPAL 181.13 TRN FEE 0.01 UNIT PRICE 181.1380						
12/31	VERIZON COMMUNICATIONS COM	Sale	-2.0000	122.83	(.01)		122.82
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 92343V104 SEC NO 79806 PRINCIPAL 122.82 TRN FEE 0.01 UNIT PRICE 61.4143						
12/31	WASTE MANAGEMENT INC NEW	Sale	-1.0000	113.74	(.01)		113.73
12/27	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 94106L109 SEC NO 908C4 PRINCIPAL 113.73 TRN FEE 0.01 UNIT PRICE 113.7393						
12/31	WELLS FARGO & CO NEW DEL	Sale	-3.0000	161.77	(.01)		161.76
12/27	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 949746101 SEC NO 908G5 PRINCIPAL 161.76 TRN FEE 0.01 UNIT PRICE 53.9248						
	Subtotal (Sales)			116,282.29	(.93)		115,887.57

Other Security Transactions

12/09	BB&T CORPORATION	Exchange		-47.0000			
	EXCHANGE PAY DATE 12/09/2019						
12/09	TRUIST FINL CORP	Exchange		47.0000			
	EXCHANGE PAY DATE 12/09/2019						
12/19	■ ABBOTT LABORATORIES CLD	Redemption		-17,000.0000			17,335.24
	GLB 02.900% NOV 30 2021 REDEEMED PAY DATE 12/19/2019						
	Subtotal (Other Security Transactions)						17,335.24
	TOTAL			34,426.32	(.93)		51,366.84

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Account Number

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
Δ FEDERAL NATL MTG ASSOC CXL	1000.0000	01/08/15	01/16/19	1,334.26	1,502.05	167.79	
Δ FEDERAL NATL MTG ASSOC CXL	1000.0000	01/08/15	01/16/19	1,334.26	1,502.05	(167.79)	
Δ FEDERAL NATL MTG ASSOC CXL	2000.0000	01/08/15	07/15/19	2,833.80	2,966.20	132.40	
Δ FEDERAL NATL MTG ASSOC CXL	2000.0000	01/08/15	07/15/19	2,833.80	2,966.20	(132.40)	
Δ FEDERAL NATL MTG ASSOC CXL	1000.0000	06/01/17	07/15/19	1,416.90	1,411.47	(5.43)	
Δ FEDERAL NATL MTG ASSOC CXL	1000.0000	06/01/17	07/15/19	1,416.90	1,411.47	5.43	
Δ FEDERAL NATL MTG ASSOC CXL	1000.0000	01/31/18	07/15/19	1,416.90	1,352.21	(64.69)	
Δ FEDERAL NATL MTG ASSOC CXL	1000.0000	01/31/18	07/15/19	1,416.90	1,352.21	64.69	
Δ FEDERAL NATL MTG ASSOC CXL	53.0000	01/19/18	12/17/19	7,176.80	6,826.93	349.87	
IShares TR CORE MSCI EAF	57.0000	09/29/17	12/17/19	3,704.64	3,647.96	56.68	
MFS VALUE FD CL I	90.0000	01/19/18	12/17/19	4,052.70	3,834.90	217.80	
INVESCO OPPENHEIMER	135.0000	10/26/12	12/17/19	5,938.65	3,985.20	1,953.45	
OAKMARK INTL FD CL	141.9996	07/05/17	12/17/19	3,524.43	3,786.54	(262.11)	
OAKMARK INTL FD CL	.0004	N/A	12/17/19	.01	N/A	N/A	
BLACKROCK EOTY DIVIDEND	130.0800	01/19/18	12/17/19	2,692.30	3,091.40	(399.10)	
Subtotal (Long-Term)						1,916.59	25,949.46
Δ AT&T INC 2.45%JUN30 20	1000.0000	01/17/19	12/27/19	1,001.44	996.88	4.56	
Δ APPLE INC 2.40%MAY03 23	1000.0000	01/17/19	12/27/19	1,016.43	979.52	36.91	
Δ AMN INTL GROUP 4.12% 2024	1000.0000	01/17/19	12/27/19	1,073.95	1,011.23	62.72	
Δ ABBOTT LABORATORI 2.90% 21	5000.0000	01/17/19	12/19/19	5,098.60	4,970.48	128.12	
Δ ABBOTT LABORATORI 2.90% 21	11000.0000	07/17/19	12/19/19	11,216.92	11,144.49	72.43	
Δ ABBOTT LABORATORI 2.90% 21	1000.0000	09/13/19	12/19/19	1,019.72	1,013.20	6.52	
Δ HOME DEPOT INC 2.62% 2022	2000.0000	01/17/19	12/27/19	2,041.72	1,985.16	56.56	
Δ CHEVRON CORP 2.10%MAY16 21	3000.0000	01/17/19	12/27/19	3,016.38	2,975.82	40.56	
Δ CAP ONE FINCL CO 2.50% 20	1000.0000	01/17/19	12/27/19	1,000.35	997.45	2.90	
Δ CITIGROUP INC 3.87% 2023	1000.0000	01/17/19	12/27/19	1,062.07	1,004.80	57.27	
Δ CVS HEALTH CORP 3.70% 2023	1000.0000	01/17/19	12/27/19	1,042.49	999.06	43.43	
Δ COMCAST CORP 3.70%APR15 24	2000.0000	01/17/19	12/27/19	2,132.72	2,018.47	114.25	
Δ EOG RESOURCES INC 2.62% 23	2000.0000	01/17/19	12/27/19	2,042.98	1,962.80	80.18	
Δ GOLDMAN SACHS GRO 2.60% 20	2000.0000	01/17/19	12/27/19	2,005.84	1,988.76	17.08	

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A BANK OF AMERICA COMPANY

Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Liquidation Date			This Statement	Year to Date
Δ KINDER MORGAN ENE 3.95% 22	1000.0000	04/26/19	12/27/19	1,044.77	1,024.04	20.73	
MEDTRONIC INC 2.50% 2020 CXL	9000.0000	01/24/19	03/12/19	8,730.00	8,979.30	249.30	
MEDTRONIC INC 2.50% 2020	9000.0000	01/24/19	03/12/19	8,730.00	8,979.30	(249.30)	
Δ MERCK & CO INC 2.75% 2025	2000.0000	07/17/19	12/27/19	2,070.86	2,045.14	25.72	
Δ MICROSOFT CORP 3.62% 2023	2000.0000	01/24/19	12/27/19	2,128.71	2,058.86	69.85	
Δ MORGAN STANLEY 2.62% 2021	1000.0000	01/17/19	12/27/19	1,010.95	985.65	25.30	
NORTHRUP GRUMMAN 3.25% 23	1000.0000	01/17/19	12/27/19	1,043.29	995.10	48.19	
PEPSICO INC 2.75% MAR05 22	3000.0000	01/17/19	12/27/19	3,059.13	2,987.10	72.03	
VISA INC 2.80% DEC14 22	3000.0000	01/24/19	12/27/19	3,087.66	3,001.62	86.04	
Δ VERIZON COMMUN 3.37% 2025	1000.0000	07/17/19	12/27/19	1,058.90	1,037.02	21.88	
Δ REGIONS FINCL COR 2.75% 22	2000.0000	01/17/19	12/27/19	2,034.96	1,958.28	76.68	
Δ SOUTHERN CO 2.95% JUL01 23	2000.0000	01/18/19	12/27/19	2,047.22	1,957.43	89.79	
Δ THERMO FISHER SCI 4.15% 24	1000.0000	01/17/19	12/27/19	1,074.42	1,016.46	57.96	
Δ UNITEDHEALTH GROU 2.70% 20	1000.0000	08/29/19	12/27/19	1,003.10	1,004.43	(1.33)	
Δ US BANCORP 3.60% SEP11 24	3000.0000	02/19/19	12/27/19	3,196.77	3,045.52	151.25	
Δ WELLS FARGO & CO 2.10% 21	3000.0000	01/17/19	12/27/19	3,005.52	2,948.63	56.89	
Δ USD BK NOVA SCOTT 2.15% 20	6000.0000	09/13/19	12/27/19	6,002.04	6,008.09	(6.05)	
Δ BB&T CORPORATION	2000.0000	01/17/19	12/27/19	2,066.64	1,944.70	121.94	
Δ ALLSTATE CORP DEL COM	1.0000	09/12/19	12/27/19	111.79	106.72	5.07	
AT&T INC	3.0000	09/12/19	12/27/19	117.88	115.26	2.62	
APPLE INC	1.0000	09/12/19	12/27/19	289.96	224.66	65.30	
AUTOMATIC DATA PROC	1.0000	09/12/19	12/27/19	170.50	160.81	9.69	
BAXTER INTERNL INC	3.0000	09/12/19	12/27/19	252.29	264.49	(12.20)	
BRISTOL MYERS SQUIBB CO	3.0000	09/12/19	12/27/19	192.06	149.44	42.62	
COMCAST CORP NEW CL A	5.0000	09/12/19	12/27/19	225.21	235.50	(10.29)	
CHEVRON CORP	2.0000	09/12/19	12/27/19	241.77	242.89	(1.12)	
CONOCOPHILLIPS	3.0000	09/12/19	12/27/19	195.15	169.36	25.79	
CME GROUP INC	1.0000	09/12/19	12/27/19	202.47	205.31	(2.84)	
CHUBB LTD	1.0000	09/12/19	12/27/19	155.72	160.47	(4.75)	
CISCO SYSTEMS INC COM	6.0000	09/12/19	12/27/19	286.39	300.21	(13.82)	

Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	Gains/(Losses)	
		Date	Date				This Statement	Year to Date
DIGITAL RLY TR INC	1.0000	09/12/19	12/27/19		117.75	126.66	(8.91)	
DISNEY (WALT) CO COM STK	1.0000	09/12/19	12/27/19		145.97	137.68	8.29	
EXXON MOBIL CORP COM	2.0000	09/12/19	12/27/19		140.36	144.13	(3.77)	
ISHARES RUSSELL 1000	45.0000	09/12/19	12/17/19		7,796.54	7,324.09	472.45	
GENL DYNAMICS CORP COM	5.0000	09/12/19	12/10/19		903.86	945.46	(41.60)	
GENL DYNAMICS CORP COM	8.0000	09/12/19	12/11/19		1,430.33	1,512.74	(82.41)	
GENERAL MOTORS CO	21.0000	09/12/19	12/27/19		766.51	823.09	(56.58)	
HONEYWELL INTL INC DEL	1.0000	09/12/19	12/27/19		176.83	169.05	7.78	
HERSHEY COMPANY	1.0000	09/12/19	12/27/19		147.64	151.50	(3.86)	
INTEL CORP	4.0000	09/12/19	12/27/19		240.89	212.72	28.17	
INTL BUSINESS MACHINES	2.0000	09/12/19	12/27/19		270.73	287.93	(17.20)	
INGERSOLL-RAND PLC	1.0000	09/12/19	12/27/19		134.00	123.73	10.27	
JPMORGAN CHASE & CO	3.0000	09/12/19	12/27/19		417.19	354.70	62.49	
JOHNSON AND JOHNSON COM	2.0000	09/12/19	12/27/19		291.55	260.93	30.62	
KLA CORP	1.0000	09/12/19	12/27/19		178.15	150.04	28.11	
KIMBERLY CLARK	1.0000	09/12/19	12/27/19		137.89	133.79	4.10	
LAM RESEARCH CORP COM	1.0000	09/12/19	12/27/19		293.46	235.47	57.99	
ELI LILLY & CO	1.0000	09/12/19	12/27/19		131.28	111.78	19.50	
MERCK AND CO INC SHS	6.0000	09/12/19	12/27/19		548.10	501.16	46.94	
MEDTRONIC PLC SHS	1.0000	09/12/19	12/27/19		113.31	110.42	2.89	
MARSH & MCLENNAN COS INC	1.0000	09/12/19	12/27/19		111.99	99.85	12.14	
MICROSOFT CORP	2.0000	09/12/19	12/27/19		317.62	276.20	41.42	
NORTH TRUST CORP	1.0000	11/12/19	12/27/19		107.00	106.31	.69	
PUBLIC STORAGE \$0.10	3.0000	09/12/19	11/27/19		632.94	750.45	(117.51)	
PNC FINCL SERVICES GROUP	1.0000	09/12/19	12/27/19		160.74	137.10	23.64	
PHILIP MORRIS INTL INC	2.0000	09/12/19	12/27/19		172.54	149.57	22.97	
PEPSICO INC	1.0000	09/12/19	12/27/19		137.35	137.42	(.07)	
PFIZER INC	3.0000	09/12/19	12/27/19		117.98	112.10	5.88	
PROCTER & GAMBLE CO	1.0000	09/12/19	12/27/19		126.21	122.85	3.36	
TRUIST FINL CORP	2.0000	09/12/19	12/27/19		112.92	105.90	7.02	

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A BANK OF AMERICA COMPANY

Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation	Sale Amount	Cost Basis	This Statement	Gains/(Losses) ⑥	Year to Date
		Date	Date	Date					
TEXAS INSTRUMENTS	1.0000	09/12/19	12/27/19		128.41	130.66	(2.25)		
3M COMPANY	1.0000	09/12/19	12/27/19		177.19	170.79	6.40		
UNITED PARCEL SVC CL B	1.0000	09/12/19	12/27/19		118.94	122.71	(3.77)		
UNITEDHEALTH GROUP INC	1.0000	09/12/19	12/27/19		295.95	230.63	65.32		
US BANCORP	2.0000	09/12/19	12/27/19		119.46	111.80	7.66		
UNION PACIFIC CORP	1.0000	09/12/19	12/27/19		181.13	168.07	13.06		
VERIZON COMMUNICATIONS COM	2.0000	10/29/19	12/27/19		122.82	120.41	2.41		
WASTE MANAGEMENT INC NEW	1.0000	09/12/19	12/27/19		113.73	113.12	.61		
WELLS FARGO & CO NEW DEL	3.0600	09/12/19	12/27/19		161.76	146.24	15.52		
CLEARBRIDGE SMALL CAP	47.0000	09/12/19	12/17/19		3,017.87	2,880.63	137.24		
EDGEWOOD GROWTH FUND CL	87.0000	09/12/19	12/17/19		3,294.69	3,079.80	214.89		
HARTFORD SCHROEDERS EMERG	363.0000	09/12/19	12/17/19		6,091.14	5,655.54	435.60		
CLEARBRIDGE LARGE CAP	67.0000	09/12/19	12/17/19		3,782.82	3,585.17	197.65		
Subtotal (Short-Term)							3,401.58		26,096.12
TOTAL					148,954.67	144,115.86	5,318.17		52,045.58

⑥ - Excludes transactions for which we have insufficient data

Δ Debt instruments purchased at a premium show amortization θ Debt instruments purchased at a discount show accretion

N/A - Results which cannot be calculated because of insufficient data are reflected by an N/A entry in the capital gain or (loss) column and are not included in the realized capital gain and loss summary.

CXL - Indicates the cancellation of an error transaction.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2019 tax return. These reportable transactions will appear on your January statement.

STANDING INSTRUCTIONS

Transaction	Frequency	Description	Income Cash	Principal Cash
CHECK		Mursey Memorial UMC		500.00

Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

CASH/OTHER TRANSACTIONS				
Date	Description	Transaction Type	Quantity	Income Cash Principal Cash
Other Debits/Credits				
12/03	P755RMD ANNUAL DIST, CAS RMD ANNUAL DIST, CASH TAXABLE MTHLY IRA DIST NO TAX Munsey Memorial UMC	Required Distribution		(500.00)
12/16	P751FEDL IRA TAX WITHHOL FEDL IRA TAX WITHHOLDING FEDERAL TAX	Federal Tax Withholding		(16,161.87)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE UNITED WAY OF WASHINGTON NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(1,000.00)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE THE HOPE CENTER NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(500.00)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE AMERICAN CANCER SOCIETY NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(250.00)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE THE CRUMLEY HOUSE NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(500.00)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE CHILDRENS ADVOCACY NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(250.00)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE GOOD SAMARITAN NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(300.00)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE HOLSTON HOME FOR NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(250.00)
12/16	P755CHECK WITHDRAWAL RMD ANNUAL DIST, CASH TAXABLE GUIDING EYES FOR THE NO TAX 2019 IRA DISTRIBUTION CHARITABLE DONATION	Required Distribution		(100.00)
12/16	P755DISTRIBUTE PRINCIPAL RMD ANNUAL DIST, CASH TAXABLE CASH TO XXX:8849 IRA DISTRIBUTION 64,647.48 LESS 25% FED TR TO 72918849 N/D DR DAVID P ROE VS 72918849 UNIT 50A BATCH # = 00037007883	Required Distribution		(48,485.61)
12/16	I640 TRANSFER OF FUNDS ADJ NON RPT FROM INCOME TO COVER NEGATIVE CASH BALANCE	Portfolio Adjustment		(1,748.81)
12/16	P619 TRANSFER OF FUNDS ADJ NON RPT TO PRINCIPAL TO COVER NEGATIVE CASH BALANCE	Portfolio Adjustment		1,748.81

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Phil's Advisory TIRA

Account Number

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

CASH/OTHER TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Other Debits/Credits					
12/30	1640 TRANSFER OF FUNDS	Portfolio Adjustment		(5,251.11)	
	ADJ NON RPT FROM INCOME TO COVER NEGATIVE CASH BALANCE				
12/30	P619 TRANSFER OF FUNDS	Portfolio Adjustment			5,251.11
	ADJ NON RPT TO PRINCIPAL TO COVER NEGATIVE CASH BALANCE				
	Subtotal (Other Debits/Credits)			(6,999.92)	(61,297.56)
	NET TOTAL			(6,999.92)	(61,297.56)

TRUST SERVICES (TMA) FEES

Date	Fee Type	Quantity	Description	Income Cash	Principal Cash
12/03	TMA Fee		US TRUST FEE DEC APPLIED TO PRINCIPAL		(1,695.55)
12/09	TMA Fee Rebate		MUTUAL FUND REBATE APPLIED TO PRINCIPAL		72.51
	NET TOTAL				(1,623.04)

STYLE MANAGER FEES

Date	Fee Type	Quantity	Description	Income Cash	Principal Cash
12/03	Style Manager Fee		STYLE MGR. FEE DEC APPLIED TO PRINCIPAL		(48.04)
	NET TOTAL				(48.04)

Phil's Advisory TIRA

Account Number:

YOUR TRUST MANAGEMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

November 30, 2019 - December 31, 2019

Year-End Plan Value as of December 31, 2019: \$0.00

Contributions after December 31, 2018 for 2018: \$0.00

Distributions	Tax Year 2019	Tax Year 2018	RMD Calculated Amount 2019
Normal	\$78,797.48	\$0.00	\$71,839.35

Our records indicate that for 2020 you may be required to take a Required Minimum Distribution (RMD) from this account. You are required to take annual RMD's from your IRA account(s) for the year you turn age 70 1/2 and each subsequent year. The deadline for taking your 2020 RMD is December 31, 2020 or April 1, 2021 if 2020 is your first Required Minimum Distribution year. Contact us after January 15th in order to obtain your RMD calculation (if applicable), otherwise the calculation will be provided to you on your next statement. If you have any questions about your RMD calculation, contributions or distributions, please contact your Financial Advisor or Trust Officer.

The IRS requires all IRA trustees, including Bank of America, N.A. to notify it through IRA Form 5498 when an RMD is required from the IRA of a living account owner for the calendar year. An RMD that is required for the current year will be reported on the applicable IRS Form 5498.

For Trusteed IRA and Trusteed Roth IRA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRA Form 5498 reporting requirements.

YOUR TRUST MANAGEMENT ACCOUNT MONEY FUND TRANSACTIONS

Date	Description	Income	Principal	Date	Description	Income	Principal
12/02	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(429.00)		12/17	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(411.00)	
12/02	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(3,071.00)	12/17	BLF FEDFUND CASH RESERVE REDEEMED		66,048.00
12/03	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(800.00)		12/18	REDEEMPT AS OF 12/16/2019 BLF FEDFUND CASH RESERVE	(849.00)	
12/03	BLF FEDFUND CASH RESERVE REDEEMED		1,473.00	12/19	SUBSCRIPTION		(32,394.00)
12/04	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(14.00)		12/20	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(606.00)	
12/04	BLF FEDFUND CASH RESERVE REDEEMED		500.00	12/20	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(39,231.00)
12/05	REDEEMPT AS OF 12/03/2019 BLF FEDFUND CASH RESERVE	(14.00)		12/23	BLF FEDFUND CASH RESERVE	(3,218.00)	

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Phil's Advisory TIRA

Account Number

YOUR TRUST MANAGEMENT ACCOUNT MONEY FUND TRANSACTIONS (continued) November 30, 2019 - December 31, 2019

Date	Description	Income	Principal	Date	Description	Income	Principal
12/06	SUBSCRIPTION BLF FEDFUND CASH RESERVE	(5.00)		12/23	SUBSCRIPTION BLF FEDFUND CASH RESERVE		(1,105.00)
12/09	SUBSCRIPTION BLF FEDFUND CASH RESERVE	(27.00)		12/24	SUBSCRIPTION BLF FEDFUND CASH RESERVE	(14.00)	
12/09	SUBSCRIPTION BLF FEDFUND CASH RESERVE		(2,066.00)	12/24	SUBSCRIPTION BLF FEDFUND CASH RESERVE		(144.00)
12/10	SUBSCRIPTION BLF FEDFUND CASH RESERVE		(73.00)	12/26	SUBSCRIPTION BLF FEDFUND CASH RESERVE	(12.00)	
12/11	SUBSCRIPTION BLF FEDFUND CASH RESERVE	(211.00)		12/27	SUBSCRIPTION BLF FEDFUND CASH RESERVE		61,265.00
12/12	SUBSCRIPTION BLF FEDFUND CASH RESERVE	(13.00)		12/30	REDEEMED BLF FEDFUND CASH RESERVE	(476.00)	
12/13	SUBSCRIPTION BLF FEDFUND CASH RESERVE	(38.00)		12/30	SUBSCRIPTION BLF FEDFUND CASH RESERVE		11,609.00
12/13	SUBSCRIPTION BLF FEDFUND CASH RESERVE		118.00	12/31	REDEEMED BLF FEDFUND CASH RESERVE	4,996.00	
12/16	REDEEMED BLF FEDFUND CASH RESERVE	(15.00)			REDEEMED BLF FEDFUND CASH RESERVE		
	SUBSCRIPTION				REDEEMPT AS OF 12/30/2019		
NET TOTAL			(2,156.00)				62,929.00

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	12/31/2019	12/31/2019	Security Transaction	Redemption S&P 1000 02.825% JUN 01 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.70% CALL DATE 8/01/22 CALL PRICE \$100.00 30 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFDDX	77003	092480457	83	YAMP			-4,998.00		\$ 4,998.00
Settled	12/27/2019	12/31/2019	Security Transaction	Sale BBAT CORPORATION SER MTN 02.850% OCT 26 2024 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 8/28/24 CALL PRICE \$100.00 65 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HD22	9A440	437076906	10		0		-2,000.00	\$ 102.09	\$ 2,046.10
Settled	12/27/2019	12/31/2019	Security Transaction	Sale UNITEDHEALTH GROUP INC GLB 02.700% JUL 15 2020 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.11% MATURITY DATE 7/15/20. 168 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TFQ24	94808	056317988	33		0		-2,000.00	\$ 103.33	\$ 2,078.83
Settled	12/27/2019	12/31/2019	Security Transaction	Sale VISA INC GLB 02.800% DEC 14 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.72% CALL DATE 10/14/22 CALL PRICE \$100.00 17 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ2	9EHA5	92826CAC8	24		0		-3,000.00	\$ 102.92	\$ 3,091.83
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CITIGROUP INC GLB 03.875% OCT 25 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBB+ YLD TO MATURITY 2.17% MATURITY DATE 10/25/23 66 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C238	92865	172967HD6	13		0		-1,000.00	\$ 108.21	\$ 1,098.17
Settled	12/27/2019	12/31/2019	Security Transaction	Sale MICROSOFT CORP GLB 03.825% DEC 16 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 1.82% CURR CALL DATE 8/15/23 CURR CALL PRICE \$100.00 16 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT23	09FC2	994916A94	20		0		-2,000.00	\$ 108.44	\$ 2,191.93
Settled	12/27/2019	12/31/2019	Security Transaction	Sale USD BANK NOVA SCOTIA SER BKOT 2.160% JUL 14 2020 YLD TO MATURITY 2.08% MATURITY DATE 7/14/20 167 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FDLL4	094186JX6		32		0		-6,000.00	\$ 100.03	\$ 6,081.88
Settled	12/27/2019	12/31/2019	Security Transaction	Sale COMCAST CORP COMPANY GUARNT GLB 03.700% APR 15 2024 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.04% CALL DATE 3/15/24 CALL PRICE \$100.00 76 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMCS24	928J4	20030NCR7	15		0		-2,000.00	\$ 108.64	\$ 2,148.34
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CHEVRON CORP GLB 02.100% MAY 16 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.87% CALL DATE 4/16/21 CALL PRICE \$100.00 46 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVX21	921M1	168764BG4	11		0		-3,000.00	\$ 100.55	\$ 3,024.28
Settled	12/27/2019	12/31/2019	Security Transaction	Sale APPLE INC GLB 02.400% MAY 03 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P A MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 1.88% MATURITY DATE 5/03/23 58 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AAPL23	9A080	037833W65	8		0		-1,000.00	\$ 101.64	\$ 1,020.30
Settled	12/27/2019	12/31/2019	Security Transaction	Sale US BANCORP SUBORDINATED SER MTN 03.800% SEP 11 2024 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 8/11/24 CALL PRICE \$100.00 110 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	USB24A	987W2	91694HKG9	30		0		-3,000.00	\$ 108.56	\$ 3,228.77

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	12/27/2019	12/31/2019	Security Transaction	Sale REGIONS FINANCIAL CORP GLB 02.750% AUG 14 2022 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.03% CALL DATE 7/14/22 CALL PRICE \$100.00 137 DAYS INTEREST PER ADVISORY ML ACTED AS AGENT.	RF22	82387	7591EPAMZ	26	0			-2,000.00	\$ 101.75	\$ 2,035.89
Settled	12/27/2019	12/31/2019	Security Transaction	Sale MORGAN STANLEY SER MTN 02.625% NOV 17 2021 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ YLD TO MATURITY 2.02% MATURITY DATE 11/17/21 44 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MS21G	08FG1	91748BD4	21	0			-1,000.00	\$ 101.10	\$ 1,014.16
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CVS HEALTH CORP GLB 03.700% MAR 09 2023 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.27% CURR CALL DATE 209/23 CURR CALL PRICE \$100.00 112 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVS23A	82368	128650CVV	14	0			-1,000.00	\$ 104.25	\$ 1,054.00
Settled	12/27/2019	12/31/2019	Security Transaction	Sale AT&T INC GLB 02.450% JUN 30 2020 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.10% CALL DATE 5/30/20 CALL PRICE REQUEST YLD TO CALL 2.10% CALL DATE 5/30/20 CALL PRICE REQUEST YLD TO CALL 2.10% CALL DATE 5/30/20 CALL PRICE	TT20A	40N13	002086CL4	7	0			-1,000.00	\$ 100.14	\$ 1,001.44
Settled	12/27/2019	12/31/2019	Security Transaction	Sale KINDER MORGAN ENER PART COMPANY QUART GLB 03.960% SEP 01 2022 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB MAKE- WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.04% CURR CALL DATE 8/01/22 CURR CALL PRICE \$100.00 120 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	KM22B	01184	464550BL8	18	0			-1,000.00	\$ 104.48	\$ 1,057.94
Settled	12/27/2019	12/31/2019	Security Transaction	Sale THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.11% CALL DATE 11/01/23 CALL PRICE \$100.00 150 DAYS INTEREST PER ADVISORY ML ACTED AS AGENT.	TM24A	86G23	863668B78	28	0			-1,000.00	\$ 107.44	\$ 1,081.71
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CAPITAL ONE FINANCIAL CO GLB 02.500% MAY 12 2020 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.36% CALL DATE 4/12/20 CALL PRICE \$100.00 49/DAYS INTEREST PER ADVISORY ML ACTED AS AGENT.	COF20	82143	14040HBPV	12	0			-1,000.00	\$ 100.04	\$ 1,003.75
Settled	12/27/2019	12/31/2019	Security Transaction	Sale AMERICAN INTL GROUP GLB 04.125% FEB 15 2024 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.23% MATURITY DATE 2/15/24 136 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AIQ24	40478	02867ACV1	9	0			-1,000.00	\$ 107.40	\$ 1,086.53
Settled	12/27/2019	12/31/2019	Security Transaction	Sale MERCK & CO INC GLB 02.750% FEB 10 2025 RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 1.98% CALL DATE 11/10/24 CALL PRICE \$100.00 141 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MRK25	08DL8	586337AR6	19	0			-2,000.00	\$ 103.54	\$ 2,082.40
Settled	12/27/2019	12/31/2019	Security Transaction	Sale WELLS FARGO & COMPANY GLB 02.100% JUL 28 2021 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- YLD TO MATURITY 1.98% MATURITY DATE 7/28/21 156 DAYS INTEREST PER ADVISORY ML ACTED AS AGENT.	WFC21D	07574	946746S4V	31	0			-3,000.00	\$ 100.18	\$ 3,032.65
Settled	12/27/2019	12/31/2019	Security Transaction	Sale GOLDMAN SACHS GROUP INC GLB 02.600% DEC 27 2020 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST 4 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GS20AC	877A6	36141GWS5	17	0			-2,000.00	\$ 100.29	\$ 2,006.42

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	80L	Client Entered	Quantity	Price	Amount
Settled	12/27/2019	12/31/2019	Security Transaction	Sale NORTHROP GRUMMAN CORP GLB 03.260% AUG 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 1.89% MATURITY DATE 8/01/23.	NOCC23	021544	066907806	22	0			-1,000.00	\$ 104.33	\$ 1,056.83
Settled	12/27/2019	12/31/2019	Security Transaction	Sale EOG RESOURCES INC GLB 02.826% MAR 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 1.87% CURR CALL DATE 12/15/22 CURR CALL PRICE \$100.00. 106 DAYS INTEREST PER ADVISORY AGREEMENT.	EOG23	056177	06675FA67	16	0			-2,000.00	\$ 102.15	\$ 2,059.44
Settled	12/27/2019	12/31/2019	Security Transaction	Sale PERIGO INC GLB 02.760% MAR 05 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P A- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 1.82% MATURITY DATE 3/05/22. 116 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	06A1VZ	713448B3	23	0				-3,000.00	\$ 101.97	\$ 3,065.71
Settled	12/27/2019	12/31/2019	Security Transaction	Sale SOUTHERN CO GLB 02.950% JUL 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB8 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.21% CALL DATE 8/01/23 CALL PRICE \$100.00. 180 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	80G23	039W44	042587C09	27	0			-2,000.00	\$ 102.36	\$ 2,076.72
Settled	12/27/2019	12/31/2019	Security Transaction	Sale VERIZON COMMUNICATIONS GLB 03.373% FEB 15 2025 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB8 MAKE-WHOLE CALL DETAILS UPON REQUEST 1.96 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ25A	04H4M5	02243VEN0	25	0			-1,000.00	\$ 105.89	\$ 1,071.65
Settled	12/27/2019	12/31/2019	Security Transaction	Sale DIGITAL RLTY TR INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DLR	229807	253988103	46	0			-1	\$ 117.76	\$ 117.76
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CONOCO PHILLIPS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	COP	145W7	20825C104	42	0			-3	\$ 65.05	\$ 195.15
Settled	12/27/2019	12/31/2019	Security Transaction	Sale INGERSOLL-RAND PLC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IR	065M47	047781101	54	0			-1	\$ 134.01	\$ 134.00
Settled	12/27/2019	12/31/2019	Security Transaction	Sale EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	25TD4	302316102	48	0			-2	\$ 70.18	\$ 140.36
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CRICO SYSTEMS INC COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CSCO	17179	17275R102	45	0			-6	\$ 47.73	\$ 286.39
Settled	12/27/2019	12/31/2019	Security Transaction	Sale APPLE INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	APPL	050001	037833100	36	0			-1	\$ 288.97	\$ 288.99
Settled	12/27/2019	12/31/2019	Security Transaction	Sale BRISTOL-MYERS SQUIBB CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BNY	110111	110122108	38	0			-3	\$ 64.02	\$ 192.08

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	12/27/2019	12/31/2019	Security Transaction	Sale COMCAST CORP NEW CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CMCSA	'127J0'	20030N101'	40	0	0		-5	\$ 45.04	\$ 225.21
Settled	12/27/2019	12/31/2019	Security Transaction	Sale PROCTER & GAMBLE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PG	'82728'	742718108'	71	0	0		-1	\$ 128.22	\$ 128.21
Settled	12/27/2019	12/31/2019	Security Transaction	Sale JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JPM	'40585'	'48828H100'	55	0	0		-3	\$ 139.07	\$ 417.19
Settled	12/27/2019	12/31/2019	Security Transaction	Sale UNITED PARCEL SVC CL B PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UPG	'788H1'	'911312108'	76	0	0		-1	\$ 118.85	\$ 118.84
Settled	12/27/2019	12/31/2019	Security Transaction	Sale JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNJ	'40853'	'478180104'	58	0	0		-2	\$ 145.78	\$ 281.56
Settled	12/27/2019	12/31/2019	Security Transaction	Sale KLA CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KLAC	'41483'	'482480100'	57	0	0		-1	\$ 178.16	\$ 178.15
Settled	12/27/2019	12/31/2019	Security Transaction	Sale MARSH & MCLENNAN COS INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MMC	'47102'	'571748102'	64	0	0		-1	\$ 112.00	\$ 111.89
Settled	12/27/2019	12/31/2019	Security Transaction	Sale HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HON	'348M1'	'438518105'	50	0	0		-1	\$ 178.84	\$ 178.83
Settled	12/27/2019	12/31/2019	Security Transaction	Sale INTEL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	INTC	'38885'	'468140100'	62	0	0		-4	\$ 60.23	\$ 240.89
Settled	12/27/2019	12/31/2019	Security Transaction	Sale UNION PACIFIC CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNP	'78323'	'907818108'	79	0	0		-1	\$ 181.14	\$ 181.13
Settled	12/27/2019	12/31/2019	Security Transaction	Sale PNC FINCL SERVICES GROUP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PNC	'59788'	'893475105'	67	0	0		-1	\$ 160.75	\$ 160.74
Settled	12/27/2019	12/31/2019	Security Transaction	Sale UNITEDHEALTH GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	'78818'	'91324P102'	77	0	0		-1	\$ 286.86	\$ 286.85
Settled	12/27/2019	12/31/2019	Security Transaction	Sale PHILIP MORRIS INTL INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PM	'80185'	'718172108'	68	0	0		-2	\$ 88.27	\$ 172.54

Activity	Trade/Transac	Settle Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	12/27/2019	12/31/2019	Security Transaction	MICROSOFT CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	465522	394616104	65	0				-2	\$ 156.81	\$ 317.62
Settled	12/27/2019	12/31/2019	Security Transaction	ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44634	532457108	61	0				-1	\$ 131.29	\$ 131.28
Settled	12/27/2019	12/31/2019	Security Transaction	PFIZER INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PFE	61001	717081103	70	0				-3	\$ 39.33	\$ 117.99
Settled	12/27/2019	12/31/2019	Security Transaction	FINL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TFC	73444	989320109	72	0				-2	\$ 56.46	\$ 112.92
Settled	12/27/2019	12/31/2019	Security Transaction	AS AGENT 3M COMPANY EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	MMM	74E16	98979V101	75	0				-1	\$ 177.20	\$ 177.19
Settled	12/27/2019	12/31/2019	Security Transaction	AS AGENT NORTHN TRUST CORP PER ADVISORY AGREEMENT. ML ACTED AS	NTRS	58567	965859104	66	0				-1	\$ 107.01	\$ 107.00
Settled	12/27/2019	12/31/2019	Security Transaction	AS AGENT LAM RESEARCH CORP COM PER ADVISORY AGREEMENT. ML ACTED	LRCH	43435	512607105	60	0				-1	\$ 283.47	\$ 283.46
Settled	12/27/2019	12/31/2019	Security Transaction	AS AGENT MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. ML ACTED AS	MDT	46WTV	93590L103	63	0				-1	\$ 113.32	\$ 113.31
Settled	12/27/2019	12/31/2019	Security Transaction	AT&T INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	T	02337	002068102	35	0				-3	\$ 36.30	\$ 117.86
Settled	12/27/2019	12/31/2019	Security Transaction	CHEVRON CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CVX	144X5	106764107	41	0				-2	\$ 120.89	\$ 241.77
Settled	12/27/2019	12/31/2019	Security Transaction	AS AGENT WELLS FARGO & CO NEWDEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	WFC	9D8G5	948746101	82	0				-3	\$ 63.92	\$ 181.78
Settled	12/27/2019	12/31/2019	Security Transaction	AS AGENT HERSHEY COMPANY EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	HBY	36156	427966105	51	0				-1	\$ 147.55	\$ 147.54
Settled	12/27/2019	12/31/2019	Security Transaction	PEPSICO INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PEP	90738	713448105	69	0				-1	\$ 137.36	\$ 137.35

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Client	Quantity	Price	Amount
Settled	12/27/2019	12/31/2019	Security Transaction	Sale VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	78806	92245V104	80	0	0			-2	\$ 61.41	\$ 122.82
Settled	12/27/2019	12/31/2019	Security Transaction	Sale KIMBERLY CLARK PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMB	42451	469398103	58	0	0			-1	\$ 137.90	\$ 137.89
Settled	12/27/2019	12/31/2019	Security Transaction	Sale AUTOMATIC DATA PROG PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ADP	06656	063016103	37	0	0			-1	\$ 170.51	\$ 170.50
Settled	12/27/2019	12/31/2019	Security Transaction	Sale DISNEY (WALT) CO COM STK PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DIS	23882	254867105	47	0	0			-1	\$ 145.98	\$ 145.97
Settled	12/27/2019	12/31/2019	Security Transaction	Sale GENERAL MOTORS CO COMMON SHARES EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	USB	76835	902873304	78	0	0			-2	\$ 59.74	\$ 119.46
Settled	12/27/2019	12/31/2019	Security Transaction	Purchase LOCKHEED MARTIN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GM	32019	37045V100	49	0	0			-21	\$ 36.50	\$ 768.51
Settled	12/27/2019	12/31/2019	Security Transaction	Sale ALLSTATE CORP DEL COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LMT	43204	638630108	59	000	0			2	\$ 382.58	\$ (787.13)
Settled	12/27/2019	12/31/2019	Security Transaction	Purchase TARGET CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ALL	00280	020002101	34	0	0			-1	\$ 111.80	\$ 111.79
Settled	12/27/2019	12/31/2019	Security Transaction	Sale INTL BUSINESS MACHINES CORP IBM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	TGT	74003	87812E105	74	000	0			1	\$ 128.07	\$ (128.07)
Settled	12/27/2019	12/31/2019	Security Transaction	Sale IBM BUSINESS MACHINES CORP IBM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBM	39050	469200101	53	0	0			-2	\$ 135.37	\$ 270.73
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CHUBB LTD PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CB	187A8	1114671104	44	0	0			-1	\$ 155.73	\$ 155.72
Settled	12/27/2019	12/31/2019	Security Transaction	Sale BAXTER INTERNTL INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BAX	06470	071813108	36	0	0			-3	\$ 84.10	\$ 282.29
Settled	12/27/2019	12/31/2019	Security Transaction	Sale MERCK AND CO INC 8H8 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MRK	46818	09933V105	82	0	0			-6	\$ 91.35	\$ 548.10

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	12/27/2019	12/31/2019	Security Transaction	Sale WASTE MANAGEMENT INC NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WM	'009C4'	'94106L109'	81	0			-1	\$ 113.74	\$ 113.73
Settled	12/27/2019	12/31/2019	Security Transaction	Sale CME GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CME	'153G0'	'12672Q105'	43	0			-1	\$ 202.48	\$ 202.47
Settled	12/27/2019	12/31/2019	Security Transaction	Sale TEXAS INSTRUMENTS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TXN	'73641'	'982508104'	73	0			-1	\$ 128.42	\$ 128.41
Settled	12/30/2019	12/30/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFFDX	'7GIX3'	'08248U437'	7	YANF			-11,608.00		\$ 11,608.00
Settled	12/30/2019	12/30/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFFDX	'7GIX3'	'08248U437'	6	YANF			478		\$ (478.00)
Settled	12/27/2019	12/30/2019	Security Transaction	Purchase BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFFIX	'8K427'	'092805747'	5	000	0		1,881.00	\$ 10.03	\$ (18,890.43)
Settled	12/27/2019	12/27/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFFDX	'7GIX3'	'08248U437'	7	YANF			-81,286.00		\$ 81,286.00
Settled	12/29/2019	12/27/2019	Security Transaction	Purchase BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFFIX	'8K427'	'092805747'	5	000	0		5,887.00	\$ 10.03	\$ (58,846.01)
Settled	12/29/2019	12/27/2019	Security Transaction	Purchase CLEARBRIDGE LARGE CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SBLTX	'979X3'	'52488H784'	6	000	0		44	\$ 54.87	\$ (2,418.88)
Settled	12/29/2019	12/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFFDX	'7GIX3'	'08248U437'	1	YANF			12		\$ (12.00)
Settled	12/24/2019	12/24/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFFDX	'7GIX3'	'08248U437'	2	YANF			144		\$ (144.00)
Settled	12/24/2019	12/24/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFFDX	'7GIX3'	'08248U437'	1	YANF			14		\$ (14.00)
Settled	12/23/2019	12/23/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFFDX	'7GIX3'	'08248U437'	4	YANF			1,105.00		\$ (1,105.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	12/23/2019	12/23/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	082481437	3	YAMF			3,216.00	\$	(3,216.00)
Settled	12/20/2019	12/20/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	082481437	10	YAMF			39,231.00	\$	(39,231.00)
Settled	12/20/2019	12/20/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	082481437	9	YAMF			606	\$	(606.00)
Settled	12/19/2019	12/19/2019	Security Transaction	Redemption ABBOTT LABORATORIES CLD GLB 02 900% NOV 30 2021 PAY DATE	ABT 21	7A5KZ	002824BD1	11				-17,000.00	\$	17,335.24
Settled	12/19/2019	12/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	082481437	9	YAMF			32,394.00	\$	(32,394.00)
Settled	12/17/2019	12/19/2019	Security Transaction	Sale ISHARES TR CORE MSCI EAF ETF PER ADVISORY AGREEMENT.	IEFA	39T5Z	162425B4Z	8				-57	\$ 65.00	\$ 2,704.64
Settled	12/17/2019	12/19/2019	Security Transaction	Sale ISHARES RUSSELL 1000 GROWTH PER ADVISORY AGREEMENT.	IMF	31CND	162426T64	6				-45	\$ 173.26	\$ 7,796.54
Settled	12/17/2019	12/19/2019	Security Transaction	Sale ISHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IMD	31CND	162426T58	7				-53	\$ 136.41	\$ 7,176.80
Settled	12/19/2019	12/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	082481437	9	YAMF			649	\$	(649.00)
Settled	12/17/2019	12/19/2019	Security Transaction	Sale EDGEWOOD GROWTH FUND CL INST PER ADVISORY AGREEMENT. ML	EGFIX	16L8K	10761W0758	4				-87	\$ 37.87	\$ 3,294.69
Settled	12/17/2019	12/19/2019	Security Transaction	Sale OAKMARK INTL FD CL ADV PER ADVISORY AGREEMENT. ML ACTED AS	OAYIX	16UDY3	1413839731	6				-142	\$ 24.82	\$ 3,524.44
Settled	12/17/2019	12/19/2019	Security Transaction	Sale CLEARBRIDGE LARGE CAP GROWTH FUND CL I PER ADVISORY	SBLVX	1719X3	1524891764	7				-57	\$ 56.46	\$ 3,782.82
Settled	12/17/2019	12/19/2019	Security Transaction	Sale MFS VALUE FD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIX	16CNS	1552939394	2				-80	\$ 45.03	\$ 4,052.70

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	12/17/2019	12/18/2019	Security Transaction	Sale CLEARBRIDGE SMALL CAP FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMNSX	9K16Z	024699466	1	0	0		-47	\$ 64.21	\$ 3,017.87
Settled	12/17/2019	12/18/2019	Security Transaction	Sale BLACKROCK EDITY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MAVIX	974V9	09261M604	8	0	0		-130	\$ 20.71	\$ 2,692.30
Settled	12/17/2019	12/18/2019	Security Transaction	Sale HARTFORD SCHROEDERS EMERG MKTS EQUITY / PD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SEANX	9UL01	416664947	5	0	0		-363	\$ 16.76	\$ 6,091.14
Settled	12/17/2019	12/18/2019	Security Transaction	Sale INVESTCO OPPENHEIMER INTL GROWTH FUND CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGTX	9LX99	00900M697	3	0	0		-135	\$ 43.99	\$ 5,936.65
Settled	12/17/2019	12/17/2019	Security Transaction	Redemption NORTHROP GRUMMAN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BFROX	7GX3	09248U437	4	YANF			-69,046.00		\$ 69,046.00
Settled	12/17/2019	12/17/2019	Security Transaction	Subscription NORTHROP GRUMMAN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BFROX	7GX3	09248U437	3	YANF			411		\$ (411.00)
Settled	12/16/2019	12/16/2019	Security Transaction	Subscription NORTHROP GRUMMAN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BFROX	7GX3	09248U437	7	YANF			15		\$ (15.00)
Settled	12/16/2019	12/16/2019	Security Transaction	Redemption NORTHROP GRUMMAN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BFROX	7GX3	09248U437	7	YANF			-118		\$ 118.00
Settled	12/13/2019	12/13/2019	Security Transaction	Subscription NORTHROP GRUMMAN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BFROX	7GX3	09248U437	6	YANF			38		\$ (38.00)
Settled	12/11/2019	12/13/2019	Security Transaction	Sale GENL DYNAMICS CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	NOC	96637	99980710Z	4	000	0		4	\$ 346.50	\$ (1,385.99)
Settled	12/11/2019	12/13/2019	Security Transaction	Sale MEDTRONIC PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	GD	31564	386650106	2	0	0		-8	\$ 179.80	\$ 1,430.33
Settled	12/11/2019	12/13/2019	Security Transaction	Purchase VERIZON COMMUNICATNS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	MDT	46MTT	06680L103	3	000	0		1	\$ 111.51	\$ (111.51)
Settled	12/11/2019	12/13/2019	Security Transaction	Purchase VERIZON COMMUNICATNS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	VZ	79806	92343V104	5	000	0		3	\$ 61.37	\$ (194.12)

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	12/12/2019	12/12/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE Sole	BFRDX	7GXIX	092481437	8	YANF			13		\$ (13.00)
Settled	12/10/2019	12/12/2019	Security Transaction	GEN. DYNAMICS CORP. COM. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED PURCHASE AS AGENT	GD	31664	399650108	3		0		-5	\$ 180.78	\$ 803.88
Settled	12/10/2019	12/12/2019	Security Transaction	ELI LILLY & CO. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED PURCHASE AS AGENT	LLY	Y4634	532457108	4	000	0		2	\$ 120.33	\$ (240.66)
Settled	12/10/2019	12/12/2019	Security Transaction	VERIZON COMMUNICATIONS COM. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED PURCHASE AS AGENT	VZ	79806	92343V104	7	000	0		1	\$ 61.17	\$ (61.17)
Settled	12/10/2019	12/12/2019	Security Transaction	PRICE T RONE GROUP INC. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED PURCHASE AS AGENT	TROW	99650	74144T108	6	000	0		2	\$ 122.78	\$ (245.56)
Settled	12/10/2019	12/12/2019	Security Transaction	MEDTRONIC PLC 8H6 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED PURCHASE AS AGENT	MDT	469WT	95980L103	5	000	0		2	\$ 111.43	\$ (222.87)
Settled	12/11/2019	12/11/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GXIX	092481437	2	YANF			211		\$ (211.00)
Settled	12/10/2019	12/10/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GXIX	092481437	9	YANF			73		\$ (73.00)
Settled	12/9/2019	12/9/2019	Security Transaction	Exchange TRUIST FINL CORP PAY DATE 12/09/2019	TFC	734M4	998320109	6	000			47		\$ -
Settled	12/9/2019	12/9/2019	Security Transaction	Exchange BBAT CORPORATION PAY DATE 12/09/2019	078M6	064637107		4	000			-47		\$ -
Settled	12/9/2019	12/9/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GXIX	092481437	3	YANF			2,098.00		\$ (2,098.00)
Settled	12/9/2019	12/9/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GXIX	092481437	2	YANF			27		\$ (27.00)
Settled	12/9/2019	12/9/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GXIX	092481437	5	YANF			5		\$ (5.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Client Entered	Quantity	Price	Amount
Settled	12/25/2019	12/25/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	08248U437	2	YANF			14		\$ (14.00)
Settled	12/24/2019	12/24/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMP AS OF 12/03/2019	BFRXX	7GIX3	08248U437	3	YANF			-500		\$ 500.00
Settled	12/24/2019	12/24/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	08248U437	2	YANF			14		\$ (14.00)
Settled	12/23/2019	12/23/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	08248U437	5	YANF			-1,473.00		\$ 1,473.00
Settled	12/23/2019	12/23/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	08248U437	4	YANF			500		\$ (500.00)
Settled	12/22/2019	12/22/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	08248U437	16	YANF			3,071.00		\$ (3,071.00)
Settled	12/22/2019	12/22/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	08248U437	16	YANF			429		\$ (429.00)
Settled	12/22/2019	12/22/2019	Security Transaction	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$70.00 REINV PURCHASE PRICE \$1,000.00 QUANTITY BOT 70.0000 AS OF 12/02	BFRXX	7GIX3	08248U437	10	994			70		\$ -
Settled	11/27/2019	12/22/2019	Security Transaction	DIGITAL RLTY TR INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DLR	229K0	253668103	13	000			3	\$ 120.91	\$ (392.74)
Settled	11/27/2019	12/22/2019	Security Transaction	Sale PUBLIC STORAGE \$0.10 REIT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PBA	587W8	744903109	14				-3	\$ 210.88	\$ 632.84
Settled	11/29/2019	11/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	08248U437	16	YANF			9		\$ (9.00)
Settled	11/28/2019	11/28/2019	Security Transaction	Sale COMCAST CORP NEW CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CMCSA	127J9	20030N101	6				-3	\$ 43.28	\$ 129.83
Settled	11/28/2019	11/28/2019	Security Transaction	Sale KLA CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KLAC	41483	482480100	12				-2	\$ 161.56	\$ 323.11

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E ID	SOI	Client Entered	Quantity	Price	Amount
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Purchase PFIZER INC EXECUTED 100% AGENCY ACTUAL PRICES, REIMBURSEMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	PFE	'01001'	'717081105'	15		0		-4	\$ 38.35	\$ 153.37
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Purchase DEERE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	'22028'	'244109105'	10	'000'	0		1	\$ 177.08	\$ (177.08)
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Purchase CAE GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REIMBURSEMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	CME	'16360'	'125720105'	8	'000'	0		2	\$ 206.00	\$ (408.89)
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Sale AMEREN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REIMBURSEMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	AEE	'01213'	'023608102'	5		0		-2	\$ 73.87	\$ 147.93
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Sale MARSH & MCLENNAN COS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	MMC	'47102'	'571748102'	13		0		-3	\$ 108.04	\$ 324.10
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Sale EVERSOURCE ENERGY COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	ES	'26H65'	'30040W108'	11		0		-4	\$ 82.45	\$ 328.78
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Sale CMS ENERGY CORP EXECUTED 100% AGENCY ACTUAL PRICES, REIMBURSEMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	CMS	'12915'	'126989105'	7		0		-4	\$ 61.42	\$ 245.87
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Purchase ALLSTATE CORP DEL COM EXECUTED 100% AGENCY ACTUAL PRICES, REIMBURSEMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	ALL	'00280'	'020002101'	4	'000'	0		4	\$ 112.14	\$ (448.59)
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Purchase DIGITAL RPLY TR INC EXECUTED 100% AGENCY ACTUAL PRICES, REIMBURSEMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	DLR	'22980'	'263988105'	8	'000'	0		3	\$ 120.57	\$ (361.72)
Settled	11/29/2019	11/29/2019	Security Transaction AS AGENT	Sale PUBLIC STORAGE \$0.10 REIT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	PSA	'587H8'	'744600109'	14		0		-4	\$ 211.42	\$ 845.65
Settled	11/19/2019	11/19/2019	Subscription Security Transaction BLF FEDFUND CASH RESERVE		BFRDX	'7GX3'	'062481487'	2	'MMF'			138		\$ (138.00)
Settled	11/19/2019	11/19/2019	Subscription Security Transaction BLF FEDFUND CASH RESERVE		BFRDX	'7GX3'	'062481437'	1	'MMF'			458		\$ (458.00)
Settled	11/19/2019	11/19/2019	Redemption Security Transaction BLF FEDFUND CASH RESERVE		BFRDX	'7GX3'	'062481487'	9	'MMF'			-12	\$ 374.00	\$ 12,374.00

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E ID	Client SOL Entered	Quantity	Price	Amount
Settled	11/1/8/2019	11/1/8/2019	Security Transaction	Subscription Purchase NORTHIN TRUST CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BFR0X	7GX3	09248U437	8	YAMF		60	\$	(60.00)
Settled	11/1/4/2019	11/1/9/2019	Security Transaction	Subscription Purchase VISA INC GLB 02.800% DEC 14 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P AA- CALLABLE-MAY AFFECT YTD DETAILS UPON REQUEST YTD TO CALL 1.79% CALL DATE 10/14/22 CALL PRICE \$100.00 154 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	NTR8	96967	969699104	7	000	0	4	\$ 105.43	\$ (421.74)
Settled	11/1/4/2019	11/1/9/2019	Security Transaction	Subscription Purchase JPMORGAN CHASE & CO - 03.800% JUL 15 2025 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A- CALLABLE-MAY AFFECT YTD DETAILS UPON REQUEST YTD TO CALL 2.32% CALL DATE 4/15/23 CALL PRICE \$100.00 123 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ2	EHAG5	92826CAC5	5	000	0	1,000.00	\$ 102.84	\$ (1,040.43)
Settled	11/1/4/2019	11/1/8/2019	Security Transaction	Subscription Purchase US BANKCORP SUBORDINATED SER MTN 03.800% SEP 11 2024 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P A- CALLABLE-MAY AFFECT YTD DETAILS UPON REQUEST YTD TO CALL 2.09% CALL DATE 8/11/24 CALL PRICE \$100.00 67 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM258	C08R0	46625HMT	4	000	0	9,000.00	\$ 107.97	\$ (9,637.41)
Settled	11/1/4/2019	11/1/8/2019	Security Transaction	Redemption Purchase US824A "E87WZ" '91159HHK9	US824A	E87WZ	91159HHK9	6	000	0	1,000.00	\$ 105.74	\$ (1,074.18)
Settled	11/1/5/2019	11/1/5/2019	Security Transaction	Redemption Purchase BFR0X 7GX3	BFR0X	7GX3	09248U437	6	YAMF		-528	\$	\$ 528.00
Settled	11/1/5/2019	11/1/5/2019	Security Transaction	Subscription Purchase NORTHIN TRUST CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BFR0X	7GX3	09248U437	5	YAMF		15	\$	\$ (15.00)
Settled	11/1/3/2019	11/1/5/2019	Security Transaction	Subscription Purchase NTR8 '56567' '969699104'	NTR8	56567	969699104	4	000	0	5	\$ 105.50	\$ (527.49)
Settled	11/1/4/2019	11/1/4/2019	Security Transaction	Redemption Purchase BFR0X 7GX3	BFR0X	7GX3	09248U437	15	YAMF		-17	\$	\$ 17.00
Settled	11/1/2/2019	11/1/4/2019	Security Transaction	Redemption Purchase MSFT '4652Z' '594918104'	MSFT	4652Z	594918104	6	000	0	-2	\$ 146.74	\$ 293.42
Settled	11/1/2/2019	11/1/4/2019	Security Transaction	Subscription Purchase AAPL '05001' '03783100'	AAPL	05001	03783100	2	000	0	-1	\$ 261.69	\$ 261.65
Settled	11/1/2/2019	11/1/4/2019	Security Transaction	Subscription Purchase USB 76835	USB	76835	902873304	13	000	0	6	\$ 58.50	\$ (350.89)
Settled	11/1/2/2019	11/1/4/2019	Security Transaction	Subscription Purchase PM '80185' '716172109'	PM	80185	716172109	9	000	0	-4	\$ 84.15	\$ 336.60

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Client	Quantity	Price	Amount
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Purchase TARGET CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	TGT	74D03	87612E109	10	000	0			4	\$ 108.16	\$ (432.63)
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Sale DOW INC REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	DOW	224E2	280567103	4		0			-5	\$ 54.75	\$ 273.73
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Sale EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	XOM	257D4	30231G102	5		0			-2	\$ 68.16	\$ 138.31
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Sale VALERO ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	VLO	79A05	91913V100	14		0			-4	\$ 100.21	\$ 400.84
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	UNH	798T5	91324P102	12	000	0			1	\$ 256.20	\$ (256.20)
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Purchase PNC FINCL SERVICES GROUP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	PNC	59788	993475105	8	000	0			1	\$ 152.04	\$ (152.04)
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Sale 3M COMPANY EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	MMM	74E15	98579V101	11		0			-1	\$ 170.47	\$ 170.46
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Purchase BBAT CORPORATION EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BBT	078A5	064837107	3	000	0			8	\$ 54.37	\$ (466.36)
Settled	11/1/2019	11/14/2019	Security Transaction AS AGENT	Purchase NORTHIN TRUST CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	NTRS	66567	985589104	7	000	0			2	\$ 108.31	\$ (212.82)
Settled	11/1/2019	11/13/2019	Security Transaction BLF FEDFUND CASH RESERVE	Subscription	BFRXX	7GIX3	08248U437	1	NAHF				213		\$ (213.00)
Settled	11/1/2019	11/11/2019	Security Transaction BLF FEDFUND CASH RESERVE	Subscription	BFRXX	7GIX3	08248U437	1	NAHF				5		\$ (5.00)
Settled	11/8/2019	11/8/2019	Security Transaction BLF FEDFUND CASH RESERVE	Subscription	BFRXX	7GIX3	08248U437	2	NAHF				9,000.00		\$ (9,000.00)
Settled	11/8/2019	11/8/2019	Security Transaction BLF FEDFUND CASH RESERVE	Subscription	BFRXX	7GIX3	08248U437	1	NAHF				128		\$ (128.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	11/5/2019	11/5/2019	Security Transaction	Redemption TORONTO-DOMINION BANK SER MTN 02.250% NOV 05 2019 PAY DATE 11/05/2019	HHN68		99114QAVY	5				-9,000.00		\$ 9,000.00
Settled	11/5/2019	11/5/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	3	YAMF			69		\$ (99.00)
Settled	11/5/2019	11/5/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	2	YAMF			204		\$ (204.00)
Settled	11/4/2019	11/4/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 11/01/2019	BFRDX	7GX3	09248U437	7	YAMF			-600		\$ 600.00
Settled	11/4/2019	11/4/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	6	YAMF			-1,716.00		\$ 1,716.00
Settled	11/4/2019	11/4/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	5	YAMF			46		\$ (46.00)
Settled	11/1/2019	11/1/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	8	YAMF			-213		\$ 213.00
Settled	11/1/2019	11/1/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	7	YAMF			57		\$ (57.00)
Settled	11/1/2019	11/1/2019	Security Transaction	Redemption Share(s) BLF FEDFUND CASH RESERVE AGENT REINV/AMOUNT \$99.00 REINV PURCHASE PRICE \$1.00000 QUANTITY BOT 99.0000 AS OF 11/01	BFRDX	7GX3	09248U437	6	994			86		\$ -
Settled	10/30/2019	11/1/2019	Security Transaction	ALL STATE CORP DEL COM EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT ML ACTED PURCHASE	ALL	00280	002002101	6	000	0		2	\$ 108.39	\$ (212.77)
Settled	10/31/2019	10/31/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE PURCHASE	BFRDX	7GX3	09248U437	15	YAMF			-127		\$ 127.00
Settled	10/29/2019	10/31/2019	Security Transaction	ALL STATE CORP DEL COM EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT ML ACTED PURCHASE	ALL	00280	002002101	2	000	0		3	\$ 107.82	\$ (323.47)
Settled	10/29/2019	10/31/2019	Security Transaction	KIMBERLY CLARK EXECUTED 100% AGENCY ACTUAL PRICES, RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT ML ACTED PURCHASE	KIMB	42451	993399103	7	000	0		4	\$ 133.25	\$ (532.99)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Client	Quantity	Price	Amount
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale INGERSOLL-RAND PLC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	IR	'362MKT	'047791101'	5		0			-3	\$ 127.80	\$ 383.36
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale WEC ENERGY GROUP INC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	WEC	'7018ZC	'92338U105'	12		0			-2	\$ 92.37	\$ 184.72
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale NEXTERA ENERGY INC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	NEE	'53EYB'	'96339F101'	9		0			-1	\$ 232.14	\$ 232.13
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Purchase UNITED PARCEL SVC CL B EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	UPS	'788M1'	'911312106'	13		0			2	\$ 117.43	\$ (234.87)
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale DISNEY (WMLT) CO COM STK EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	DIS	'2399Z'	'264687106'	3		0			-2	\$ 130.11	\$ 260.22
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale PEPSICO INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	PEP	'60739'	'713448108'	11		0			-3	\$ 136.29	\$ 408.86
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Purchase VERIZON COMMUNICATIONS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	VZ	'78806'	'92343V104'	14		0			30	\$ 60.20	\$ (1,808.16)
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Purchase PRICE T ROWE GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	TROW	'998KQ'	'74144T108'	10		0			2	\$ 117.47	\$ (234.93)
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale LOCKHEED MARTIN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	LMT	'432G4'	'539630109'	8		0			-1	\$ 371.40	\$ 371.39
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale HONEYWELL INTL INC DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	HON	'240M1'	'439616108'	4		0			-3	\$ 172.89	\$ 518.47
Settled	10/29/2019	10/31/2019	Security Transaction AS AGENT	Sale JOHNSON AND JOHNSON COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	JNJ	'406S3'	'476160104'	6		0			-5	\$ 129.20	\$ 645.98
Settled	10/29/2019	10/29/2019	Security Transaction BLF FEDFUND CASH RESERVE	Subscription	BFRXX	'7GXJ3'	'08248U437'	1		MMF			456		\$ (456.00)
Settled	10/29/2019	10/29/2019	Security Transaction BLF FEDFUND CASH RESERVE	Subscription	BFRXX	'7GXJ3'	'08248U437'	2		MMF			310		\$ (310.00)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOL Entered	Quantity	Price	Amount
Settled	10/24/2019	10/24/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	1	YAMF		62	\$	(62.00)
Settled	10/21/2019	10/21/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	2	YAMF		199	\$	(199.00)
Settled	10/21/2019	10/21/2019	Security Transaction	Subscription Sale BLF FEDFUND CASH RESERVE DUPONT DE NEMOURS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BFRDX	7GIX3	09248U437	1	YAMF		11	\$	(11.00)
Settled	10/19/2019	10/19/2019	Security Transaction	AS AGENT Redemption BLF FEDFUND CASH RESERVE DUPONT DE NEMOURS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	DD	224L2	26914N10Z	1		0	-3	\$ 66.01	\$ 198.01
Settled	10/17/2019	10/17/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	4	YAMF		-599	\$	599.00
Settled	10/17/2019	10/17/2019	Security Transaction	Subscription Purchase BLF FEDFUND CASH RESERVE ABBOTT LABS EXECUTED 100% AGENCY ACTUAL PRICES, REMINERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BFRDX	7GIX3	09248U437	3	YAMF		12	\$	(12.00)
Settled	10/15/2019	10/17/2019	Security Transaction	AS AGENT Sale DUPONT DE NEMOURS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	ABT	09123	002824100	1	0007	0	12	\$ 82.46	\$ (989.47)
Settled	10/15/2019	10/17/2019	Security Transaction	AS AGENT Redemption BLF FEDFUND CASH RESERVE	DD	224L2	26914N10Z	2		0	-9	\$ 66.84	\$ 363.81
Settled	10/19/2019	10/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	2	YAMF		817	\$	(817.00)
Settled	10/15/2019	10/15/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	4	YAMF		399	\$	(399.00)
Settled	10/14/2019	10/14/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	3	YAMF		53	\$	(53.00)
Settled	10/9/2019	10/9/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	2	YAMF		212	\$	(212.00)
Settled	10/9/2019	10/9/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	1	YAMF		-212	\$	212.00

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E ID	SOI	Entered	Quantity	Price	Amount
Settled	10/6/2019	10/6/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	3	YAMF			-10,234.00		\$ 10,234.00
Settled	10/6/2019	10/6/2019	Security Transaction	Subscription Purchase HP ENTERPRISE CO QLB 04.900% OCT 15 2025 RATINGS ARE SUBJ. TO CHS MOODY'S BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 173 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFR0X	7GX3	08248U437	2	YAMF			37		\$ (37.00)
Settled	10/4/2019	10/6/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	HPEC5	7A095	08248U437	1	YAMF	0		9,000.00	\$ 112.19	\$ (10,308.89)
Settled	10/4/2019	10/4/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	1	YAMF			-500		\$ 500.00
Settled	10/3/2019	10/3/2019	Security Transaction	Redemption Purchase ALLSTATE CORP DEL COM EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFR0X	7GX3	08248U437	4	YAMF			-623		\$ 623.00
Settled	10/1/2019	10/3/2019	Security Transaction	Redemption Purchase BROADCOM LTD EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ALL	00280	020002101	1	YAMF	0		4	\$ 107.80	\$ (430.39)
Settled	10/1/2019	10/3/2019	Security Transaction	Redemption Purchase GENL DYNAMICS CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AVGO	070U8	91135F101	2	YAMF	0		2	\$ 276.16	\$ (550.32)
Settled	10/1/2019	10/3/2019	Security Transaction	Redemption Purchase GD 31564	GD	31564	08550108	3	YAMF	0		-2	\$ 178.24	\$ 356.48
Settled	10/2/2019	10/2/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	4	YAMF			-1,663.00		\$ 1,663.00
Settled	10/2/2019	10/2/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	3	YAMF			22		\$ (22.00)
Settled	10/1/2019	10/1/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	5	YAMF			1,476.00		\$ (1,476.00)
Settled	10/1/2019	10/1/2019	Security Transaction	Redemption Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$49.00 REINV PRICE \$1.00000 QUANTITY BOT 49,000 AS OF 10/01	BFR0X	7GX3	08248U437	4	YAMF			49		\$ -
Settled	9/30/2019	9/30/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	4	YAMF			396		\$ (396.00)

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	9/25/2019	9/25/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	1	YAMF			5	\$	(6.00)
Settled	9/23/2019	9/23/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	1	YAMF			282	\$	(282.00)
Settled	9/20/2019	9/20/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	2	YAMF			466	\$	(466.00)
Settled	9/19/2019	9/20/2019	Security Transaction	Sale BBAT CORPORATION EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BRT	076MB	064837107	1		0		-5	\$ 82.46	\$ 282.29
Settled	9/17/2019	9/19/2019	Security Transaction	Purchase GENERAL MOTORS CO COMMON SHARES EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GM	320Y9	37045V100	3		0		-5	\$ 38.09	\$ 180.43
Settled	9/17/2019	9/19/2019	Security Transaction	Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	788TS	91324P10Z	5		0		1	\$ 232.62	\$ (232.62)
Settled	9/17/2019	9/19/2019	Security Transaction	Sale PFIZER INC EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PFE	91001	717081103	4		0		-28	\$ 38.43	\$ 947.07
Settled	9/17/2019	9/19/2019	Security Transaction	Sale BBAT CORPORATION EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PFE	91001	717081103	4		0		-28	\$ 38.43	\$ 947.07
Settled	9/17/2019	9/19/2019	Security Transaction	Purchase BRISTOL MYERS SQUIBB CO EXECUTED 100% AGENCY ACTUAL PRICES, REMNUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMY	11011	110122108	2		0		19	\$ 48.80	\$ (946.13)
Settled	9/19/2019	9/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	2	YAMF			141	\$	(141.00)
Settled	9/19/2019	9/19/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	1	YAMF			-31	\$	\$ 81.00
Settled	9/17/2019	9/17/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	10	YAMF			81,542	\$	(81,542.00)
Settled	9/17/2019	9/17/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	9	YAMF			210	\$	(210.00)

Activity Status	Trade/Transac ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	9/13/2019	9/17/2019	Security Transaction	Purchase REGIONAL FINANCIAL CORP GLB 02.750% AUG 14 2022 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB+ CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.42% CALL DATE 7/14/22 CALL PRICE \$100.00. 33 DAYS INTEREST PER ADVISORY ML ACTED AS AGENT.	RF22	122817	75912PAMZ	6	000	0		1,000.00	\$ 100.88	\$ (1,011.32)
Settled	9/13/2019	9/17/2019	Security Transaction	Purchase USD BANK NOVA SCOTIA SER BKMT 2.150% JUL 14 2020 YLD TO MATURITY 1.89% MATURITY DATE 7/14/20. 63 DAYS INTEREST PER ADVISORY ML ACTED AS AGENT.	FDLL4	064159JX5	8	000	0			32,000.00	\$ 100.21	\$ (32,188.64)
Settled	9/13/2019	9/17/2019	Security Transaction	Purchase THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB+ CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.49% CALL DATE 11/01/23 CALL PRICE \$100.00. 48 DAYS INTEREST PER ADVISORY ML ACTED AS AGENT.	TMO24	156C23	063556BFT	7	000	0		1,000.00	\$ 108.61	\$ (1,071.40)
Settled	9/13/2019	9/17/2019	Security Transaction	Purchase EOG RESOURCES INC GLB 02.625% MAR 15 2023 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A- WAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO CURR CALL 2.20% CURR CALL DATE 12/15/22 CURR CALL PRICE \$100.00. 2 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EOG23	1559Y7	28878PAKT	3	000	0		1,000.00	\$ 101.32	\$ (1,013.39)
Settled	9/13/2019	9/17/2019	Security Transaction	Purchase PEPSICO INC GLB 02.750% MAR 05 2022 RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA- WAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 1.97% MATURITY DATE 3/05/22. 12 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DBA12	713448BY3	5	000	0			1,000.00	\$ 101.85	\$ (1,019.48)
Settled	9/13/2019	9/17/2019	Security Transaction	Purchase MERCK & CO INC GLB 02.750% FEB 10 2025 RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P AA CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.18% CALL DATE 11/10/24 CALL PRICE \$100.00. 37 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MRK25	108DL9	068335VAB6	4	000	0		1,000.00	\$ 102.72	\$ (1,030.09)
Settled	9/13/2019	9/17/2019	Security Transaction	Purchase ABBOTT LABORATORIES GLB 02.900% NOV 30 2021 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.17% CALL DATE 10/30/21 CALL PRICE \$100.00. 107 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ABT21	140SKZ	002824BD1	2	000	0		1,000.00	\$ 101.49	\$ (1,023.52)
Settled	9/16/2019	9/16/2019	Security Transaction	Transfer / Adjustment TEMP. ADVANCE DUE BANK TEMP. ADVANCE DUE BANK P&47 DELIVERY TEMP. ADVANCE DUE BANK TEMP. ADVANCE VS 00001101 UNIT 50A	61MR3			102	150A			-4,324.00	\$	\$ -
Settled	9/16/2019	9/16/2019	Security Transaction	Redemption SECURITY TRANSACTION BLF FED FUND CASH RESERVE REDEMPT AS OF 09/13/2019	BFBDX	7G1X3	05248U467	100	1AAM			-38,647.00	\$	\$ 38,647.00
Settled	9/12/2019	9/16/2019	Security Transaction	Sale ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	197BSZ	14843ZF94Z	63	0	0		-209	\$ 61.44	\$ 12,840.69
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase INTEL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	INTC	38886	146140100	60	000	0		72	\$ 63.18	\$ (3,828.89)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase MONDELEZ INTERNATIONAL INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MDLZ	146TT4	05020T105	65	000	0		26	\$ 64.87	\$ (1,626.54)

Activity Status	Trade/Trans ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase MICROSOFT CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	MSFT	46622	264818104	69	000	0		45	\$ 138.10	\$ (6,214.57)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase COMCAST CORP NEWCL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	CMCSA	127J0	200320N101	14	000	0		103	\$ 47.10	\$ (4,651.30)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase DISCO SYSTEMS INC COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	CSGO	17778	172758102	21	000	0		116	\$ 60.03	\$ (5,604.09)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase BROADCOM LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	AVGO	070UB	11135F101	9	000	0		8	\$ 301.41	\$ (2,411.28)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase GILEAD SCIENCES INC COM PER ADVISORY AGREEMENT. ML ACTED AS	GLD	32422	375658103	44	000	0		15	\$ 68.44	\$ (986.65)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase LAM RESEARCH CORP COM PER ADVISORY AGREEMENT. ML ACTED	LRGX	43435	512807108	61	000	0		11	\$ 235.47	\$ (2,580.22)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	36RY4	33734X182	51	0	0		-475	\$ 58.35	\$ 27,716.01
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Sale REAL ESTATE SELECT SECTOR SPDR FD SH8 PER ADVISORY AGREEMENT.	XLRE	31NMF	813897860	40	0	0		-182	\$ 38.10	\$ 7,507.04
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase PRICE T ROWE GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS	TROW	566K0	741447108	74	000	0		10	\$ 118.05	\$ (1,180.50)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Purchase JP MORGAN CHASE & CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	JPM	40565	46825H100	66	000	0		63	\$ 118.23	\$ (7,446.70)
Settled	9/12/2019	9/18/2019	Security Transaction AS AGENT	Sale SECURITY TRANSACTION ISHARES RS 2000 VALUE PER ADVISORY AGREEMENT.	IVNV	365F8	464267890	48	0	0		-95	\$ 123.20	\$ 11,703.90

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase MCDONALDS CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MCD	'47648'	'580135101'	68 '000'	0			9 \$	213.19 \$	(1,918.71)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase GENL DYNAMICS CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GD	'31664'	'389550108'	42 '000'	0			15 \$	169.09 \$	(2,836.38)
Settled	9/12/2019	9/16/2019	Security Transaction	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	'310M7'	'464281556'	33	0			-163	104.46 \$	17,027.07
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase ISHARES RUSSELL 1000 GROWTH ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IWF	'310K0'	'464281614'	31 '000'	0			765 \$	162.76 \$	(124,508.48)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase INTL BUSINESS MACHINES CORP IBM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IBM	'39050'	'469200101'	54 '000'	0			27 \$	143.86 \$	(3,886.89)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase INGERSOLL-RAND PLC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IR	'395M7'	'547781101'	55 '000'	0			21 \$	128.73 \$	(2,598.37)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	'44634'	'532457108'	62 '000'	0			17 \$	111.78 \$	(1,900.21)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase PARKER HANINFIN CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PH	'59478'	'701094104'	73 '000'	0			8 \$	181.89 \$	(1,455.92)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase PUBLIC STORAGE \$0.10 REIT PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PSA	'587M5'	'744600109'	71 '000'	0			7 \$	250.15 \$	(1,751.05)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase MEDTRONIC PLC \$H8 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MDT	'48M70'	'059900103'	66 '000'	0			20 \$	110.42 \$	(2,208.44)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase ISHARES RUSSELL 1000 VALUE PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IWO	'310K1'	'464281598'	32 '000'	0			237 \$	130.39 \$	(30,802.29)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase MERCK AND CO INC \$H8 PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MRK	'46RT8'	'589331105'	64 '000'	0			67 \$	83.53 \$	(5,560.30)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNJ	'40853'	'478160104'	57 '000'	0			49 \$	130.47 \$	(6,392.91)

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase PRINCIPAL FINANCIAL GRP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PFG	'9966Z	74251V10Z	75	000	0		20	\$ 57.46	\$ (1,149.65)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase PROCTER & GAMBLE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PG	'8272S	74271610S	60	000	0		32	\$ 122.65	\$ (3,931.35)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase SECURITY BANK COMPANY PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MMH	74E1S	'86579Y101	60	000	0		12	\$ 170.79	\$ (2,049.52)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase M&T BANK CORPORATION PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MTB	'46E8D	'55281F104	63	000	0		8	\$ 157.36	\$ (1,258.89)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase KIMBERLY CLARK PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMB	'42451	'49488H103	59	000	0		11	\$ 133.79	\$ (1,471.70)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase DOW INC REG SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DOW	'224EZ	280557103	23	000	0		27	\$ 46.93	\$ (1,266.99)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase DOMINION ENERGY INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	D	'2294S	'25748U10S	25	000	0		12	\$ 78.49	\$ (941.88)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase DISNEY (WALT) CO GOM 87K EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DHS	'2388Z	'25489T10S	28	000	0		18	\$ 137.66	\$ (2,478.16)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase PACKAGING CORP AMERICA PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PKG	'6606S	'66516610S	72	000	0		5	\$ 107.24	\$ (536.19)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase EVERSOURCE ENERGY COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ES	'28HKZ	'30040W10S	30	000	0		21	\$ 83.09	\$ (1,744.99)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase CME GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CME	'153G0	'12672010S	19	000	0		11	\$ 206.31	\$ (2,269.41)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase TEXAS INSTRUMENTS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TXN	'73941	'862508104	66	000	0		29	\$ 130.66	\$ (3,789.26)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase PEPSICO INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PEP	'6073S	'71344810S	76	000	0		25	\$ 137.42	\$ (3,435.49)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E	ID	SOL	Client Entered	Quantity	Price	Amount
Settled	6/12/2019	6/16/2019	Sale	Sale VANQUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VANV	31FMA6	022044801	35	0				-57	\$ 127.87	\$ 7,294.14
Settled	6/12/2019	6/16/2019	Sale	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLY	073G1	01369Y407	82	0				-820	\$ 123.94	\$ 64,447.47
Settled	6/12/2019	6/16/2019	Sale	Sale COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLC	310X9	01369Y852	41	0				-852	\$ 51.52	\$ 43,891.57
Settled	6/12/2019	6/16/2019	Sale	Sale HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	073F6	01369Y208	81	0				-312	\$ 91.72	\$ 28,614.68
Settled	6/12/2019	6/16/2019	Sale	Sale VANQUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	31FX1	022044803	37	0				-199	\$ 148.91	\$ 29,631.49
Settled	6/12/2019	6/16/2019	Purchase	Purchase AT&T INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	T	02337	00208R102	5	000	0			50	\$ 38.42	\$ (1,921.00)
Settled	6/12/2019	6/16/2019	Sale	Sale VANQUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	31FAP	022044807	34	0				-116	\$ 167.32	\$ 19,243.87
Settled	6/12/2019	6/16/2019	Purchase	Purchase PNC FINCL SERVICES GROUP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PNC	09788	089475105	76	000	0			22	\$ 137.10	\$ (3,016.12)
Settled	6/12/2019	6/16/2019	Sale	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	073G3	01369Y805	83	0				-775	\$ 28.35	\$ 21,969.83
Settled	6/12/2019	6/16/2019	Purchase	Purchase EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30281G102	28	000	0			39	\$ 72.07	\$ (2,816.81)
Settled	6/12/2019	6/16/2019	Purchase	Purchase TARGET CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TGT	740G3	07612E105	89	000	0			11	\$ 108.15	\$ (1,200.89)
Settled	6/12/2019	6/16/2019	Purchase	Purchase UNITED PARCEL SVC CL B EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UPS	768N1	0131312105	91	000	0			13	\$ 122.71	\$ (1,565.29)
Settled	6/12/2019	6/16/2019	Purchase	Purchase PHILIP MORRIS INTL INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PM	00185	716172108	77	000	0			31	\$ 74.78	\$ (2,318.31)

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase WEC ENERGY GROUP INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WEC	70U8Z	92989U105	86 000	0			22	\$ 91.83	\$ (2,022.48)
Settled	9/12/2019	9/16/2019	Security Transaction	Sale ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EWJ	36S2Z	46494Q82Z	52	0			-279	\$ 56.24	\$ 15,680.64
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase BBAT CORPORATION EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BBT	0784S	054837107	10 000	0			53	\$ 52.85	\$ (2,806.25)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase DEERE GO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	23Q29	244189105	27 000	0			6	\$ 163.45	\$ (980.67)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase HONEYWELL INTL INC DEL PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HON	346M1	438516109	46 000	0			25	\$ 169.05	\$ (4,226.31)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase DUPONT DE NEMOURS INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DD	224L2	25914M10Z	24 000	0			9	\$ 71.23	\$ (941.11)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase HERSHEY COMPANY PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HSY	381S8	427866108	46 000	0			8	\$ 161.50	\$ (1,212.00)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase DIGITAL RLTYY TR INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DLR	228R0	253989105	26 000	0			11	\$ 126.66	\$ (1,383.30)
Settled	9/12/2019	9/16/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KWZ	93733E30Z	39	0			-187	\$ 140.57	\$ 27,682.33
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase CHUBB LTD PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CB	467A8	414607104	20 000	0			23	\$ 160.47	\$ (3,680.70)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase CMS ENERGY CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	CMS	12916	425899100	15 000	0			24	\$ 61.74	\$ (1,481.85)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase CONOCOPHILLIPS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	COP	145W7	20065C104	17 000	0			42	\$ 56.45	\$ (2,371.06)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase BAKTER INTERNTL INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	BAX	08470	071813109	12 000	0			19	\$ 88.18	\$ (1,675.10)

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CLSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	9/12/2019	9/16/2019	Security Transaction Agent	Purchase NEXTERA ENERGY INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NEE	'53EY8'	'65339F101'	70 '000'	0			8	\$ 220.85	\$ (1,766.89)
Settled	9/12/2019	9/16/2019	Security Transaction	Purchase HOME DEPOT INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HD	'36780'	'43707610Z'	47 '000'	0			19	\$ 234.36	\$ (4,452.81)
Settled	9/12/2019	9/16/2019	Security Transaction Agent	Purchase LOCKHEED MARTIN CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LMT	'43ZG4'	'538630109'	60 '000'	0			12	\$ 367.89	\$ (4,654.73)
Settled	9/12/2019	9/16/2019	Security Transaction ML	Purchase GENERAL MOTORS CO COMMON SHARES EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	GM	'32D19'	'37045V100'	43 '000'	0			26	\$ 39.20	\$ (1,016.07)
Settled	9/12/2019	9/16/2019	Security Transaction	Sale 187485 RS 2000 GROWTH ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IMO	'365M6'	'464267648'	48	0			-57	\$ 201.99	\$ 11,513.19
Settled	9/12/2019	9/16/2019	Security Transaction AS AGENT	Purchase MARSH & MCLENNAN CO8 INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	MMC	'4710Z'	'57174910Z'	67 '000'	0			32	\$ 99.85	\$ (3,196.20)
Settled	9/12/2019	9/16/2019	Security Transaction AS AGENT	Purchase AMN ELECO POWER CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	AEP	'02516'	'025537101'	6 '000'	0			20	\$ 92.21	\$ (1,844.29)
Settled	9/12/2019	9/16/2019	Security Transaction Agent	Purchase ALL STATE CORP DEL. GOM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ALL	'00280'	'020002101'	2 '000'	0			10	\$ 108.72	\$ (1,067.19)
Settled	9/12/2019	9/16/2019	Security Transaction US	Purchase PFIZER INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED	US8	'78B85'	'902973304'	83 '000'	0			63	\$ 56.90	\$ (2,982.59)
Settled	9/12/2019	9/16/2019	Security Transaction AS AGENT	Purchase PFE	PFE	'61001'	'717081103'	79 '000'	0			81	\$ 37.37	\$ (3,028.78)
Settled	9/12/2019	9/16/2019	Security Transaction AS AGENT	Purchase VALERO ENERGY CORP NEW PER ADVISORY AGREEMENT. ML ACTED	VLO	'79A05'	'91813V100'	96 '000'	0			19	\$ 84.15	\$ (1,598.91)
Settled	9/12/2019	9/16/2019	Security Transaction Agent	Purchase UNITEDHEALTH GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	'79878'	'91324P10Z'	92 '000'	0			4	\$ 230.63	\$ (922.53)
Settled	9/12/2019	9/16/2019	Security Transaction AS AGENT	Purchase WELLS FARGO & CO NEW DEL PER ADVISORY AGREEMENT. ML ACTED	WFC	'908G5'	'946749101'	99 '000'	0			68	\$ 48.75	\$ (3,314.85)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	9/12/2019	9/16/2019	Security Transaction AS AGENT	Purchase WASTE MANAGEMENT INC NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WM	'908C4'	'94106L106'	98 000'	0			20	\$ 118.12	\$ (2,282.32)
Settled	9/12/2019	9/16/2019	Security Transaction XCEL ENERGY INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase XCEL ENERGY INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XEL	'800X6'	'983898100'	98 000'	0			26	\$ 63.15	\$ (1,641.82)
Settled	9/12/2019	9/16/2019	Security Transaction SONOCO PRODUCTS CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase SONOCO PRODUCTS CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SON	'99551'	'935495102'	86 000'	0			20	\$ 59.47	\$ (1,189.44)
Settled	9/12/2019	9/16/2019	Security Transaction SUNCOR ENERGY INC NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase SUNCOR ENERGY INC NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SU	'987T4'	'987224107'	64 000'	0			39	\$ 30.76	\$ (1,200.25)
Settled	9/12/2019	9/16/2019	Security Transaction VANQUARD DIVIDEND APPRECIATION ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	Sale VANQUARD DIVIDEND APPRECIATION ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VNG	'310A8'	'921808944'	38	0			-508	\$ 121.08	\$ 61,264.60
Settled	9/12/2019	9/16/2019	Security Transaction TLX COS INC NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase TLX COS INC NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TLX	'733FZ'	'972540109'	87 000'	0			21	\$ 56.88	\$ (1,194.42)
Settled	9/12/2019	9/16/2019	Security Transaction WALMART INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase WALMART INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WMT	'90012'	'931142103'	97 000'	0			19	\$ 116.83	\$ (2,221.63)
Settled	9/12/2019	9/16/2019	Security Transaction UNION PACIFIC CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase UNION PACIFIC CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNP	'76323'	'907818108'	94 000'	0			27	\$ 188.07	\$ (4,537.86)
Settled	9/12/2019	9/16/2019	Security Transaction VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	'31FMT'	'922044102'	36	0			-313	\$ 218.94	\$ 68,636.48
Settled	9/12/2019	9/16/2019	Security Transaction CHEVRON CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase CHEVRON CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CVX	'144X5'	'166764100'	16 000'	0			40	\$ 121.44	\$ (4,857.76)
Settled	9/12/2019	9/16/2019	Security Transaction CUMMINS INC COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase CUMMINS INC COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CMI	'22185'	'231021108'	22 000'	0			7	\$ 166.27	\$ (1,166.86)
Settled	9/12/2019	9/16/2019	Security Transaction AMEREN CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase AMEREN CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AEE	'012T3'	'023808102'	3 000'	0			21	\$ 76.64	\$ (1,606.37)
Settled	9/12/2019	9/16/2019	Security Transaction BRISTOL-MYERS SQUIBB CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	Purchase BRISTOL-MYERS SQUIBB CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMV	'11011'	'110122106'	13 000'	0			29	\$ 48.81	\$ (1,444.59)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOI Entered	Quantity	Price	Amount
Settled	8/12/2018	8/16/2019	Security Transaction	Purchase ACCENTURE PLC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ACN	'02A86'	'03161C101'	4	'000'	0	11	\$ 185.67	\$ (2,152.40)
Settled	8/12/2019	8/16/2019	Security Transaction	Purchase CROWN CASTLE REIT INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CCI	'16681'	'22822V101'	19	'000'	0	6	\$ 130.03	\$ (1,112.24)
Settled	8/12/2019	8/16/2019	Security Transaction	Purchase BLACKROCK INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BLK	'090L2'	'09247X101'	11	'000'	0	4	\$ 435.70	\$ (1,742.79)
Settled	8/12/2019	8/16/2019	Security Transaction	Purchase AUTOMATIC DATA PROC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ADP	'06666'	'05301S103'	8	'000'	0	13	\$ 160.61	\$ (2,080.53)
Settled	8/13/2019	8/13/2019	Security Transaction	Transfer / Adjustment TEMP ADVANCE DUE BANK TEMP. ADVANCE DUE BANK P144 RECEIPT TEMP. ADVANCE VS 00001101 UNIT SOA	'11KR3'			24	'00A'		4,324.00	\$	\$
Settled	8/13/2019	8/13/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFFDX	'7GJIX'	'09248L487'	20	'00A'		-87,074.00	\$	\$ 87,074.00
Settled	8/13/2019	8/13/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFFDX	'7GJIX'	'09248L487'	18	'00A'		354	\$	\$ (354.00)
Settled	8/12/2019	8/13/2019	Security Transaction	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'8PK4Z'	'948821571'	13	'000'	0	-412	\$ 55.57	\$ 22,894.84
Settled	8/12/2019	8/13/2019	Security Transaction	Sale HARDING LOEWNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'8KY65'	'412285107'	3	'000'	0	-1,256.00	\$ 22.15	\$ 27,820.40
Settled	8/12/2019	8/13/2019	Security Transaction	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSLIX	'8LBB4'	'14214L485'	10	'000'	0	-431	\$ 52.79	\$ 22,748.19
Settled	8/12/2019	8/13/2019	Security Transaction	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'8MK06'	'16140T508'	12	'000'	0	-1,162.00	\$ 18.44	\$ 21,427.28
Settled	8/12/2019	8/13/2019	Security Transaction	Sale CAMBIA INTERNATIONAL EQUITY FUND INSTL CL FRAC SHR QUANTITY PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMXX	'87N1Z'	'00789G545'	16	'000'	0	-1,560.01	\$ 25.80	\$ 40,248.26
Settled	8/12/2019	8/13/2019	Security Transaction	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I FRAC SHR QUANTITY PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JALIX	'8EHN3'	'47803J540'	1	'000'	0	-1,799.81	\$ 20.64	\$ 37,147.95

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E	ID	SOL	Entered	Quantity	Price	Amount
Settled	8/12/2019	8/13/2019	Security Transaction	Sale WELLS FARGO SPECIAL SMALL CAP VALUE FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ESPRX	'8K007	'84678P447	7	0		0		-779	\$ 24.39	\$ 28,789.81
Settled	8/12/2019	8/13/2019	Security Transaction	Sale DELAWARE EMERGING MARKETS FD CL INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'8KTH9	'245914817	2	0		0		-2,981.00	\$ 18.45	\$ 48,066.45
Settled	8/12/2019	8/13/2019	Security Transaction	Sale DIAMOND HILL LARGE CAP FD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DHLRX	'8LRG0	'262848941	8	0		0		-1,281.00	\$ 28.52	\$ 36,583.72
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMRX	'8K002	'778591894	6	0		0		-1,666.00	\$ 43.12	\$ 71,794.80
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAVIX	'8U0Y3	'419398731	16	000		0		1,013.00	\$ 23.40	\$ (23,704.20)
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MAOIX	'874V9	'08261M504	18	000		0		2,704.00	\$ 20.02	\$ (54,134.08)
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase CLEARBRIDGE LARGE CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GBLIX	'873X3	'524681784	17	000		0		1,383.00	\$ 53.51	\$ (74,538.43)
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'8C2M8	'552838994	5	000		0		1,222.00	\$ 42.81	\$ (52,438.02)
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase CLEARBRIDGE SMALL CAP FUND CLASS I FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMNSX	'8K182	'524689466	4	000		0		1,297.00	\$ 61.29	\$ (79,489.13)
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase EDGEWOOD GROWTH FUND CL INST FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EGFIX	'8L8N3	'0073M0789	11	000		0		1,403.00	\$ 35.40	\$ (49,866.20)
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase INVESTCO OPPENHEIMER INTL GROWTH FUND CL Y FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	QIGYX	'8LX89	'00800V687	8	000		0		803	\$ 40.82	\$ (32,617.86)
Settled	8/12/2019	8/13/2019	Security Transaction	Purchase HARTFORD SCHROEDERS EMERG MKTS EQUITY FD CL I FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SEMDX	'8ULO1	'418681847	14	000		0		6,578.00	\$ 15.58	\$ (98,384.82)
Settled	8/12/2019	8/12/2019	Security Transaction	Subscription Security Transaction BLF FED FUND CASH RESERVE	BFRDX	'7GX03	'08248U437	2	YAMF				558	\$	\$ (558.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	9/10/2019	9/10/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	2	YAMF			77		\$ (77.00)
Settled	9/10/2019	9/10/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	1	YAMF			286		\$ (286.00)
Settled	9/10/2019	9/10/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	1	YAMF			449		\$ (449.00)
Settled	9/5/2019	9/5/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	2	YAMF			1		\$ (1.00)
Settled	9/4/2019	9/4/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 09/03/2019	BFRDX	7GIX3	08248U437	4	YAMF			-487		\$ 487.00
Settled	9/4/2019	9/4/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	3	YAMF			-1,625.00		\$ 1,625.00
Settled	9/4/2019	9/4/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	2	YAMF			313		\$ (313.00)
Settled	9/3/2019	9/3/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	6	YAMF			-1,010.00		\$ 1,010.00
Settled	9/3/2019	9/3/2019	Security Transaction	Redemption Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$147.00 REINV BLF FEDFUND CASH RESERVE AGENT PRICE \$1,000.00 QUANTITY BOT 147.0000 AS OF 09/03	BFRDX	7GIX3	08248U437	4	BB4			147		\$.
Settled	9/3/2019	9/3/2019	Security Transaction	Purchase UNITEDHEALTH GROUP INC GIB 02.700% JUL 15 2020 RATINGS ARE SUBJ TO CHG MOODYS AS S&P AA MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 1.98% MATURITY DATE 7/15/20. 9/3/2019 Security Transaction 46 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	UNIT20A	EE9678	91324FCMZ	5	000	0		1,000.00	\$ 100.71	\$ (1,010.71)
Settled	9/30/2019	9/30/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	2	YAMF			2		\$ (2.00)
Settled	9/30/2019	9/30/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 09/28/2019	BFRDX	7GIX3	08248U437	1	YAMF			-3		\$ 3.00
Settled	9/29/2019	9/29/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	08248U437	3	YAMF			-409		\$ 908.00

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E ID	SOL	Client Entered	Quantity	Price	Amount
Settled	8/29/2019	8/29/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 08/28/2019	BFBXX	7GX3	08248U437	2	YAMF			-102		\$ 102.00
Settled	8/27/2019	8/29/2019	Security Transaction	Purchase ROYAL BANK OF CANADA SER GNTN GLB 02.500% JAN 19,2021 YLD TO MATURITY 1.84% MATURITY DATE 1/19/21 40 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RVT1	YH1A5	78012KDL0	1	000	0		1,000.00	\$ 100.90	\$ (1,011.78)
Settled	8/29/2019	8/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFBXX	7GX3	08248U437	3	YAMF			7,850.00		\$ (7,850.00)
Settled	8/29/2019	8/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFBXX	7GX3	08248U437	2	YAMF			432		\$ (132.00)
Settled	8/29/2019	8/29/2019	Security Transaction	Purchase JPMORGAN CHASE & CO - 03.800% JUL 15 2025 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.22% CALL DATE 4/15/25 CALL PRICE \$100.00 43 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM258	00080	46825HMAN7	1	000	0		22,000.00	\$ 108.80	\$ (24,037.82)
Settled	8/27/2019	8/27/2019	Security Transaction	Tender JPMORGAN CHASE & CO GLB 04.400% JUL 22 2028 CASH TENDER PAY	JPM20A	00394	46825HHSZ	2				-31,000.00		\$ 31,698.20
Settled	8/16/2019	8/16/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFBXX	7GX3	08248U437	10	YAMF			-3,022.00		\$ 3,022.00
Settled	8/16/2019	8/16/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFBXX	7GX3	08248U437	9	YAMF			601		\$ (601.00)
Settled	8/14/2019	8/16/2019	Security Transaction	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	31CM7	464287565	1	0	0		-8	\$ 104.07	\$ 836.82
Settled	8/14/2019	8/16/2019	Security Transaction	Purchase FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	36RY4	33734X182	6	000	0		40	\$ 55.87	\$ (2,228.78)
Settled	8/14/2019	8/16/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	673F6	61369V208	7	0	0		-18	\$ 80.77	\$ 1,533.83
Settled	8/14/2019	8/16/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	673G1	61369V407	8	0	0		-11	\$ 115.71	\$ 1,272.78
Settled	8/14/2019	8/16/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIG	31FK1	62204A603	4	0	0		-32	\$ 136.64	\$ 4,438.39

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	8/14/2019	8/16/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	31FMC7	082044207	2		0		-47	\$ 151.67	\$ 7,128.36
Settled	8/14/2019	8/16/2019	Security Transaction	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	31FMC7	082044702	3	000	0		64	\$ 209.00	\$ (13,376.00)
Settled	8/14/2019	8/16/2019	Security Transaction	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KXZ7	087393302	5	000	0		21	\$ 134.86	\$ (2,827.86)
Settled	8/15/2019	8/15/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 08/14/2019	BFRXX	7GXK3	08248U437	4	YAMF			-5,000.00	\$	\$ 5,000.00
Settled	8/15/2019	8/15/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	3	YAMF			220	\$	\$ (220.00)
Settled	8/15/2019	8/15/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 08/12/2019	BFRXX	7GXK3	08248U437	2	YAMF			-4,000.00	\$	\$ 4,000.00
Settled	8/15/2019	8/15/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	1	YAMF			220	\$	\$ (220.00)
Settled	8/16/2019	8/16/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	1	YAMF			134	\$	\$ (134.00)
Settled	8/16/2019	8/16/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	3	YAMF			-1,561.00	\$	\$ 1,561.00
Settled	8/16/2019	8/16/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	2	YAMF			671	\$	\$ (671.00)
Settled	8/16/2019	8/16/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	5	084			429	\$	\$ -
Settled	7/29/2019	7/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	2	YAMF			287	\$	\$ (287.00)
Settled	7/29/2019	7/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GXK3	08248U437	1	YAMF			49	\$	\$ (49.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	7/28/2019	7/28/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	09248U437	4	YAMF			-22,280.00		\$ 22,280.00
Settled	7/28/2019	7/28/2019	Security Transaction	Subscription Purchase US BANCORP SUBORDINATED SER MTN 03.600% SEP 11 2024 RATINGS ARE SUBJ TO CHG MOODYS A1 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.45% CALL DATE 6/1/24 CALL PRICE \$100.00 135 DAYS INTEREST PER ADVISORY	BFR0X	7GX3	09248U437	3	YAMF			199	\$	(199.00)
Settled	7/24/2019	7/28/2019	Security Transaction	Subscription Purchase JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 RATINGS ARE SUBJ TO CHG MOODYS A2 S&P A- YLD TO MATURITY 2.21% MATURITY DATE 7/22/20 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	USB24	EB7WZ	91159HHK9	2	000	0		22,000.00	\$ 105.40	\$ (23,484.34)
Settled	7/28/2019	7/23/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	09248U437	2	YAMF			8,702.00		\$ (3,702.00)
Settled	7/23/2019	7/23/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	09248U437	1	YAMF			52	\$	(62.00)
Settled	7/22/2019	7/22/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	09248U437	8	YAMF			-55,404.00		\$ 55,404.00
Settled	7/22/2019	7/22/2019	Security Transaction	Redemption Purchase TOYOTA MOTOR CREDIT CORP SER MTN 02.150% MAR 12 2020 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.08% MATURITY DATE 3/1/20 130 DAYS INTEREST PER ADVISORY	BFR0X	7GX3	09248U437	7	YAMF			-2,845.00		\$ 2,845.00
Settled	7/18/2019	7/22/2019	Security Transaction	Purchase JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 RATINGS ARE SUBJ TO CHG MOODYS A2 S&P A- YLD TO MATURITY 2.21% MATURITY DATE 7/22/20 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TM20A	HHBWT	99295TCF7	6	000	0		24,000.00	\$ 100.05	\$ (24,188.57)
Settled	7/18/2019	7/22/2019	Security Transaction	Purchase KINDER MORGAN ENER PART COMPANY GUARNT GLB 03.950% SEP 01 2022 RATINGS ARE SUBJ TO CHG MOODYS BAA2 S&P BB8 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.45% CURR CALL DATE 6/1/22 CURR CALL PRICE \$100.00 141 DAYS	JPM20A	CO3H4	46925HH82	4	000	0		22,000.00	\$ 102.15	\$ (22,473.00)
Settled	7/18/2019	7/22/2019	Security Transaction	Subscription Purchase KINDER MORGAN ENER PART COMPANY GUARNT GLB 03.950% SEP 01 2022 RATINGS ARE SUBJ TO CHG MOODYS BAA2 S&P BB8 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.45% CURR CALL DATE 6/1/22 CURR CALL PRICE \$100.00 141 DAYS	KNM22A	C11R4	484550BL9	5	000	0		11,000.00	\$ 104.11	\$ (11,522.29)
Settled	7/18/2019	7/18/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	09248U437	27	YAMF			-422,019.00		\$ 422,019.00
Settled	7/18/2019	7/18/2019	Security Transaction	Subscription Purchase BBAT CORPORATION SER MTN 02.850% OCT 26 2024 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.41% CALL DATE 9/28/24 CALL PRICE \$100.00 83 DAYS INTEREST PER	BFR0X	7GX3	09248U437	28	YAMF			68	\$	(68.00)
Settled	7/17/2019	7/18/2019	Security Transaction	Subscription Purchase BBAT CORPORATION SER MTN 02.850% OCT 26 2024 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.41% CALL DATE 9/28/24 CALL PRICE \$100.00 83 DAYS INTEREST PER	B8124	Y4806	05631FB88	25	000	0		23,000.00	\$ 102.09	\$ (23,631.37)

Activity Status	Trade/Transacn Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase MORGAN STANLEY SER MTN 02 825% NOV 17 2021 RATINGS ARE SUBJ TO CHG MOODY'S A3 S&P BBB+ YLD TO MATURITY 2.42% MATURITY DATE 11/17/21. 62 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MS21G	'08FG1'	'617488ED4'	15	000	0		12,000.00	\$ 100.45	\$ (12,108.61)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase NORTHROP GRUMMAN CORP GLB 03 250% AUG 01 2023 RATINGS ARE SUBJ TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.30% MATURITY DATE 8/1/23. 168 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	NOC23	'D2154'	'869807808'	16	000	0		11,000.00	\$ 103.81	\$ (11,564.04)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase EOG REBOURCES INC GLB 02 825% MAR 15 2023 RATINGS ARE SUBJ TO CHG MOODY'S A3 S&P A- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.22% CURR CALL DATE 12/16/22 CURR CALL PRICE \$100.00. 124 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EOG23	'86817'	'26875PAK7'	11	000	0		11,000.00	\$ 101.29	\$ (11,241.58)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase ABBOTT LABORATORIES GLB 02 900% NOV 30 2021 RATINGS ARE SUBJ TO CHG MOODY'S A3 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.18% CALL DATE 10/30/21 CALL PRICE \$100.00. 49 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ABT21	'A08K2'	'002824BD1'	4	000	0		11,000.00	\$ 101.89	\$ (11,218.10)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase WELLS FARGO & COMPANY GLB 02 100% JUL 28 2021 RATINGS ARE SUBJ TO CHG MOODY'S A2 S&P A- YLD TO MATURITY 2.28% MATURITY DATE 7/28/21. 173 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WFC21D	'E7874'	'9487685AC'	23	000	0		24,800.00	\$ 99.81	\$ (24,748.01)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase VERIZON COMMUNICATIONS GLB 03 376% FEB 15 2025 RATINGS ARE SUBJ TO CHG MOODY'S BAA1 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST 184 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ25A	'EHWAF'	'82345VEN0'	19	000	0		16,000.00	\$ 104.01	\$ (16,671.90)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase HOME DEPOT INC GLB 02 825% JUN 01 2022 RATINGS ARE SUBJ TO CHG MOODY'S A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.01% CALL DATE 5/31/22 CALL PRICE \$100.00. 48 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HD22	'BAYAC'	'437078908'	5	000	0		23,000.00	\$ 101.84	\$ (23,427.06)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase GOLDMAN SACHS GROUP INC GLB 02 800% DEC 27 2020 RATINGS ARE SUBJ TO CHG MOODY'S A3 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST 22 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GS20AC	'B77AF'	'38141GWSB'	12	000	0		24,000.00	\$ 100.07	\$ (24,056.09)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase ROYAL BANK OF CANADA SER GMTN GLB 02 800% JAN 19 2021 YLD TO MATURITY 2.16% MATURITY DATE 1/19/21. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RY21	'H11AS'	'78012KQUD'	24	000	0		23,000.00	\$ 100.50	\$ (23,113.85)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase VISA INC GLB 02 800% DEC 14 2022 RATINGS ARE SUBJ TO CHG MOODY'S AAS S&P AA- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.08% CALL DATE 10/14/22 CALL PRICE \$100.00. 35 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	V22	'EHWAS'	'82828CAC9'	18	000	0		23,000.00	\$ 102.29	\$ (23,528.31)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase REGIONS FINANCIAL CORP GLB 02 750% AUG 14 2022 RATINGS ARE SUBJ TO CHG MOODY'S BAA2 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.44% CALL DATE 7/14/22 CALL PRICE \$100.00. 166 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RF22	'E28MT'	'7591EPAN2'	20	000	0		11,000.00	\$ 100.87	\$ (11,228.27)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase CVS HEALTH CORP GLB 03 700% MAR 09 2023 RATINGS ARE SUBJ TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.74% CURR CALL DATE 2/9/23 CURR CALL PRICE \$100.00. 130 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVS23A	'B2898'	'128650CV0'	9	000	0		11,000.00	\$ 103.20	\$ (11,488.97)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount	
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase PERIGO INC GLB 02.750% MAR 05 2022 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P AA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.01% MATURITY DATE 3/05/22 134 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DBA172		713448B73	17 000	0			23,000.00	\$ 101.87	\$	(23,664.38)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase MERCK & CO INC GLB 02.750% FEB 10 2025 RATINGS ARE SUBJ. TO CHG MOODY'S A1 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.28% CALL DATE 11/10/24 CALL PRICE \$100.00 189 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MRC25	CB0L9	58833VAR6	13 000	0			18,000.00	\$ 102.44	\$	(18,636.37)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase MICROSOFT CORP GLB 03.825% DEC 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S AAA S&P AA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO CURR CALL 2.03% CURR CALL DATE 8/15/23 CURR CALL PRICE \$100.00 34 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT23	08FC2	594918A1W4	14 000	0			22,000.00	\$ 108.29	\$	(23,858.12)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase CITIGROUP INC GLB 03.875% OCT 25 2023 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBB4 YLD TO MATURITY 2.59% MATURITY DATE 10/25/23 84 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C238	B2865	172867HD6	8 000	0			11,000.00	\$ 105.34	\$	(11,676.19)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase CHEVRON CORP GLB 02.100% MAY 16 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.08% CALL DATE 4/16/21 CALL PRICE \$100.00 63 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVX21	B21M1	168764B04	6 000	0			24,000.00	\$ 100.08	\$	(24,107.40)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase AT&T INC GLB 02.460% JUN 30 2020 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.39% CALL DATE 5/30/20 CALL PRICE \$100.00 18 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TT0A	7A01N3	00208RCL4	1 900	0			12,000.00	\$ 100.05	\$	(12,021.62)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase CAPITAL ONE FINANCIAL CO GLB 02.500% MAY 12 2020 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.33% CALL DATE 4/12/20 CALL PRICE \$100.00 87 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	COF 20	B2143	140401BP9	7 000	0			12,000.00	\$ 100.12	\$	(12,070.35)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBB4 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.38% CALL DATE 11/01/23 CALL PRICE \$100.00 189 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TM024	B6C23	983556B78	22 000	0			10,000.00	\$ 107.17	\$	(10,910.17)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase APPLE INC GLB 02.400% MAY 03 2023 RATINGS ARE SUBJ. TO CHG MOODY'S AAI S&P AA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.16% MATURITY DATE 5/03/23 78 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AAPL23	7A03B0	037833AA6	2 000	0			12,000.00	\$ 100.86	\$	(12,164.00)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase SOUTHERN CO GLB 02.950% JUL 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB4 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.42% CALL DATE 5/01/23 CALL PRICE \$100.00 18 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SO23	B36W4	842687CU9	21 000	0			12,000.00	\$ 101.87	\$	(12,242.59)
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase COMCAST CORP COMPANY GUARANT GLB 03.700% APR 15 2024 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.34% CALL DATE 3/15/24 CALL PRICE \$100.00 94 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMCS24	B28U4	20030NCR0	10 000	0			22,000.00	\$ 105.86	\$	(23,521.10)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	7/17/2019	7/19/2019	Security Transaction	Purchase AMERICAN INTL GROUP GLB 04.125% FEB 15 2024 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBBA MAKE-WHOLE CALL. DETAIL 8 UPON REQUEST YLD TO MATURITY 2.98% MATURITY DATE 2/15/24. 154	AGS24	1404178	028674C71	3	000	0		11,000.00	\$ 106.15	\$ (11,670.71)
Settled	7/18/2019	7/18/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	08248U437	2	MMF			102,258.00	\$	(102,258.00)
Settled	7/18/2019	7/18/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	08248U437	1	MMF			994	\$	(994.00)
Settled	7/17/2019	7/17/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	08248U437	14	MMF			161,522.00	\$	(161,522.00)
Settled	7/17/2019	7/17/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	08248U437	13	MMF			789	\$	(789.00)
Settled	7/15/2019	7/17/2019	Security Transaction	Sale MICROSOFT CORP GLB 03.700% AUG 08 2048 RATINGS ARE SUBJ. TO CHG MOODY'S AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 3.39% CALL DATE 2/08/48 CALL PRICE \$100.00. 159 DAYS INTEREST PER ADVISORY AGREEMENT. ML	MSFT48	08C387	0949188T0	9		0		-10,000.00	\$ 105.99	\$ 10,721.42
Settled	7/15/2019	7/17/2019	Security Transaction	Sale COMCAST CORP COMPANY GUARNT GLB 04.150% OCT 15 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 2.95% CALL DATE 7/16/28 CALL PRICE \$100.00. 92 DAYS INTEREST PER ADVISORY AGREEMENT. ML	CMCS28	029C87	20030NCT8	2		0		-7,000.00	\$ 109.35	\$ 7,728.74
Settled	7/15/2019	7/17/2019	Security Transaction	Sale MORGAN STANLEY GLB VARK JUL 22 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBBA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 175 DAYS INTEREST PER ADVISORY AGREEMENT. ML	ZZZ28	08DHT	91744YAK4	7		0		-5,000.00	\$ 103.03	\$ 5,238.63
Settled	7/15/2019	7/17/2019	Security Transaction	Sale ENTERPRISE PRODUCTS OPER COMPANY GUARNT 05.700% FEB 15 2042 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBBA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 4.30% MATURITY DATE 2/16/42. 152 DAYS INTEREST PER ADVISORY AGREEMENT. ML	EPD42	05631	28978VAV5	3		0		-4,000.00	\$ 120.00	\$ 4,896.07
Settled	7/15/2019	7/17/2019	Security Transaction	Sale SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2028 RATINGS ARE SUBJ. TO CHG MOODY'S AA2 S&P AA-MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 2.60% MATURITY DATE 9/12/28. 125 DAYS INTEREST PER ADVISORY AGREEMENT. ML	RDS26	038WT	822582BXY9	8		0		-9,000.00	\$ 99.33	\$ 8,016.06
Settled	7/15/2019	7/17/2019	Security Transaction	Sale GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 YLD TO MATURITY 2.75% MATURITY DATE 3/03/24. 134 DAYS INTEREST PER ADVISORY AGREEMENT. ML	GS24	140D0	08141GVAK3	12		0		-12,000.00	\$ 105.37	\$ 12,823.31
Settled	7/15/2019	7/17/2019	Security Transaction	Sale BANK OF MONTREAL SER MTN 01.500% JUL 18 2019 YLD TO MATURITY 2.52% MATURITY DATE 7/18/19. 179 DAYS INTEREST PER ADVISORY AGREEMENT. ML	BM019A	14H3P4	06367TH087	11		0		-9,000.00	\$ 100.00	\$ 9,098.86

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	7/15/2019	7/17/2019	Security Transaction	Sale WELLS FARGO & COMPANY SER WITH VARX/MAY 22 2028 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 56 DAYS INTEREST PER AGENCY ADVISORY AGREEMENT. ML ACTED AS AGENT.		'HNN01'	'95000U2A0'	10		0		-8,000.00	\$ 103.42	\$ 8,317.16
Settled	7/15/2019	7/17/2019	Security Transaction	Sale CITIGROUP INC - VARX/JAN 10 2028 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB+ CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 7 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C 28	'B2793'	'172967LD1'	1		0		-8,000.00	\$ 106.13	\$ 8,416.37
Settled	7/15/2019	7/17/2019	Security Transaction	Sale JPMORGAN CHASE & CO GLB VARX/DEC 05 2029 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 42 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM029 'C0637'	'46647PAX4'	5		0			-8,000.00	\$ 110.84	\$ 10,003.80
Settled	7/15/2019	7/17/2019	Security Transaction	Sale VERIZON COMMUNICATIONS GLB 05 150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.28% MATURITY DATE 9/15/23.	VZ23	'E73YU'	'92343VBR4'	9		0		-8,000.00	\$ 111.25	\$ 10,166.88
Settled	7/15/2019	7/17/2019	Security Transaction	Sale GENERAL ELEC CAP CORP NOTES 05 875% JAN 14 2036 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB+ YLD TO MATURITY 4.87% MATURITY DATE 1/14/36. 3 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE36	'875M1'	'36932G3P7'	4		0		-7,000.00	\$ 112.15	\$ 7,853.65
Settled	7/16/2019	7/16/2019	Security Transaction	Subscription BLF FED/FUND CASH RESERVE	BFR0X	'7GIX3'	'0924BL4B7'	28	YANF			571	\$	\$ (511.00)
Settled	7/16/2019	7/16/2019	Security Transaction	Sale Subscription FUND FED/FUND CASH RESERVE	BFR0X	'7GIX3'	'0924BL4B7'	27	YANF			315	\$	\$ (315.00)
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FIMA PMA3164 04 50%2047 AMORTIZED FCR .73861 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS		'G4QHS'	'31418CRES'	1		0		-3,000.00	\$ 105.22	\$ 2,339.45
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FILMAG A9 1536 04 50%2040 AMORTIZED FCR .09618 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS		'G4JH0'	'312836W73'	6		0		-7,000.00	\$ 105.25	\$ 709.67
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FIMA PMA3038 04 50%2047 AMORTIZED FCR .62823 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS		'Y7FH4'	'31418CLLB'	25		0		-1,000.00	\$ 105.22	\$ 683.25
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FILMAG G0 8776 04 50%2047 AMORTIZED FCR .70590 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS		'Y79B2'	'3128M42JB'	28		0		-1,000.00	\$ 105.25	\$ 744.28
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FIMA PMA3616 04%2046 AMORTIZED FCR .94856 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROBT ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS		'G0B01'	'31418DAR3'	5		0		-1,000.00	\$ 103.63	\$ 584.53

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA PAL8004 04 50%2043 AMORTIZED FCR .23435 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA PAL8004 04 50%2043 AMORTIZED FCR .23435 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'HQC1'		3128ENL684	12		0		-5,000.00	\$ 106.22	\$ 1,236.11
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA PAD8629 04 50%2040 AMORTIZED FCR .10470 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA PAD8629 04 50%2040 AMORTIZED FCR .10470 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'QA2U7'		31418WFP97	2		0		-52,000.00	\$ 106.22	\$ 5,738.84
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA GO 8759 04 50%2047 AMORTIZED FCR .83253 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA GO 8759 04 50%2047 AMORTIZED FCR .83253 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'Y50X5'		3128NALZ28	22		0		-2,000.00	\$ 106.25	\$ 1,333.67
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA PMA3638 04 50%2040 AMORTIZED FCR .08768 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA PMA3638 04 50%2040 AMORTIZED FCR .08768 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'GFPI1'		31418CZ407	3		0		-32,000.00	\$ 103.83	\$ 29,350.78
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA PAC8688 04 50%2040 AMORTIZED FCR .08768 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA PAC8688 04 50%2040 AMORTIZED FCR .08768 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'H5840'		31417VQW67	14		0		-14,000.00	\$ 106.22	\$ 1,283.86
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA PMA3008 04 50%2047 AMORTIZED FCR .82275 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA PMA3008 04 50%2047 AMORTIZED FCR .82275 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'Y61F8'		31418CKW67	24		0		-1,000.00	\$ 106.22	\$ 888.43
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA GO 0856 04 50%2046 AMORTIZED FCR .58838 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA GO 0856 04 50%2046 AMORTIZED FCR .58838 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'Y57J5'		31335A5027	23		0		-2,000.00	\$ 105.25	\$ 1,240.77
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA PMA3638 05%2046 AMORTIZED FCR .83866 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA PMA3638 05%2046 AMORTIZED FCR .83866 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'GHQV8'		31418C4V77	4		0		-12,000.00	\$ 105.91	\$ 10,679.37
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA PAL8307 04 50%2046 AMORTIZED FCR .28412 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA PAL8307 04 50%2046 AMORTIZED FCR .28412 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'H6A54'		3138EPAH77	16		0		-10,000.00	\$ 106.22	\$ 3,100.28
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA GO 8841 03 50%2046 AMORTIZED FCR .55027 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA GO 8841 03 50%2046 AMORTIZED FCR .55027 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'H7VC8'		3128NALWBZ7	17		0		-8,000.00	\$ 102.28	\$ 4,509.06
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FNMMA GO 8838 03%2046 AMORTIZED FCR .84839 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FNMMA GO 8838 03%2046 AMORTIZED FCR .84839 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	'H8HPT'		3128NALV597	15		0		-2,000.00	\$ 100.69	\$ 1,301.25

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Settled	7/15/2019	7/16/2019	Security Transaction	Sale FILMFC GO 8620 03 50%/2044 AMORTIZED FCR .45486 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS TREASURY FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'H653'		'3128MLVNT'	19		0		-27,000.00	\$ 102.28	\$ 12,574.03
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FILMFC GO 8702 03 50%/2046 AMORTIZED FCR .64160 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS TREASURY FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'YP3C7'		'3128MLX88'	20		0		-2,000.00	\$ 102.28	\$ 1,314.35
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FILMFC GO 8737 03%/2046 AMORTIZED FCR .80325 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS TREASURY FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'Y76H8'		'3128MLZ59'	21		0		-15,000.00	\$ 100.69	\$ 12,145.73
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FILMFC GO 8687 03 50%/2046 AMORTIZED FCR .60085 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS TREASURY FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'H68E3'		'3128MLX86'	19		0		-2,000.00	\$ 102.28	\$ 1,280.87
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FILMFC GO 8683 03 50%/2046 AMORTIZED FCR .60430 15 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS TREASURY FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'YBENS'		'3128MLX37'	19		0		-3,000.00	\$ 102.28	\$ 1,856.93
Settled	7/15/2019	7/16/2019	Security Transaction	Sale FEDERAL NATL MTG ASSOC BONDS 08.625% NOV 15 2030 YLD TO MATURITY 2.40% MATURITY DATE 11/15/20 81 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'H4M2G2'		'313568M3X3'	7		0		-4,000.00	\$ 141.89	\$ 5,712.50
Settled	7/15/2019	7/16/2019	Security Transaction	Sale U.S. TREASURY BOND 3.00% FEB 15 2049 03.000% FEB 15 2049 FIRST COUPON 08/15/19 YLD TO MATURITY 2.60% MATURITY DATE 2/15/48 151 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'H4Z7NC'		'912810SFB'	11		0		-25,000.00	\$ 108.03	\$ 27,320.90
Settled	7/15/2019	7/16/2019	Security Transaction	Sale U.S. TREASURY BOND 3.00% MAY 15 2045 03.000% MAY 15 2045 YLD TO MATURITY 2.55% MATURITY DATE 5/15/45 82 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'H2BNH5'		'912810RMZ'	- 10		0		-15,000.00	\$ 107.79	\$ 16,244.82
Settled	7/15/2019	7/16/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.625% AUG 31 2028 YLD TO MATURITY 2.00% MATURITY DATE 08/31/20 138 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'H2BN7'		'912828A1Y3'	8		0		-7,000.00	\$ 100.68	\$ 7,116.72
Settled	7/15/2019	7/16/2019	Security Transaction	Sale U.S. TREASURY BOND 2.500% FEB 15 2048 02.500% FEB 15 2048 YLD TO MATURITY 2.60% MATURITY DATE 2/15/48 161 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.	'H2BNH'		'912810RQ5'	9		0		-11,000.00	\$ 97.97	\$ 10,891.19
Settled	7/11/2019	7/11/2019	Subscription Security Transaction BLF FEDFUND CASH RESERVE		BFDCX	'7GXJ3'	'09248U457'	1	'NMF'			188	\$	(155.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Entered	Quantity	Price	Amount
Settled	7/9/2019	7/9/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	1	YAMF			85	\$	(85.00)
Settled	7/2/2019	7/2/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	3	YAMF			-1,650.00	\$	1,650.00
Settled	7/2/2019	7/2/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	2	YAMF			138	\$	(138.00)
Settled	7/1/2019	7/1/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	6	YAMF			50	\$	(50.00)
Settled	7/1/2019	7/1/2019	Security Transaction	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$172.00 REINV BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$172.00 AS OF 07/01	BFRXX	7GX3	08248U437	5	W64			172	\$	-
Settled	6/29/2019	6/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	2	YAMF			411	\$	(411.00)
Settled	6/27/2019	6/27/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	4	YAMF			20,205.00	\$	(20,205.00)
Settled	6/27/2019	6/27/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	3	YAMF			1,144.00	\$	(1,144.00)
Settled	6/28/2019	6/28/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	26	YAMF			248,746.00	\$	(248,746.00)
Settled	6/29/2019	6/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	24	YAMF			200	\$	(200.00)
Settled	6/24/2019	6/28/2019	Security Transaction	Sale VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT.	VIG	310K5	921908844	15		0		-34	\$ 115.81	\$ 3,937.33
Settled	6/24/2019	6/29/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	973F8	913697209	21		0		-14	\$ 83.24	\$ 1,305.36
Settled	6/24/2019	6/29/2019	Security Transaction	Sale RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IMD	31CK1	464287586	10		0		-21	\$ 128.87	\$ 2,694.28

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOL Entered	Quantity	Price	Amount
Settled	6/24/2019	6/28/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'873G3'	'81388Y805	23	0		-22	\$ 27.17	\$ 597.80
Settled	6/24/2019	6/28/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLC	'310X8'	'81388Y882	17	0		-57	\$ 48.50	\$ 2,821.50
Settled	6/24/2019	6/28/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	'873G1'	'81388Y407	22	0		-22	\$ 118.82	\$ 2,618.27
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	'388ZD'	'48434G822	19	000	0	8	\$ 54.43	\$ (328.55)
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KXZ'	'33738E302	16	0		-8	\$ 144.42	\$ 1,155.30
Settled	6/24/2019	6/28/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'82284A803	14	0		-10	\$ 144.38	\$ 1,443.72
Settled	6/24/2019	6/28/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FMC'	'82284A207	12	0		-4	\$ 150.52	\$ 602.08
Settled	6/24/2019	6/28/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FMT'	'82284A702	13	0		-12	\$ 211.54	\$ 2,538.44
Settled	6/24/2019	6/28/2019	Security Transaction	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CM7'	'48438T558	11	0		-10	\$ 107.11	\$ 1,071.08
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'38T82'	'48432F842	20	000	0	16	\$ 61.24	\$ (978.77)
Settled	6/24/2019	6/28/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'38RY4'	'33734X182	18	0		-13	\$ 58.30	\$ 757.98
Settled	6/25/2019	6/25/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRRX	'7GIX3'	'08248U457	33	0AUF		183	\$	\$ (183.00)
Settled	6/24/2019	6/28/2019	Security Transaction	Purchase WELLS FARGO SPECIAL SMALL CAP VALUE FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ESPMX	'8K907'	'94878P447	24	000	0	14	\$ 32.88	\$ (481.88)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOL Entered	Quantity	Price	Amount
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMX	'9KYG5'	'412286107'	21	'000'	0	11	\$ 22.44	\$ (246.84)
Settled	6/24/2019	6/25/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES M FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LSMX	'9AMEX'	'524703759'	29	0		-4,884.00	\$ 11.04	\$ 110,022.55
Settled	6/24/2019	6/25/2019	Security Transaction	Sale BLACKROCK EQTY DIVIDEND FUND INSTL FRAC SHR QUANTITY .862 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MDVX	'97ATY'	'08251M504'	22	0		-38,882	\$ 21.34	\$ 724.75
Settled	6/24/2019	6/25/2019	Security Transaction	Sale MFS VALUE FD CL I FRAC SHR QUANTITY .219 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIX	'9K2M9'	'522983394'	22	0		-15,219	\$ 41.89	\$ 637.52
Settled	6/24/2019	6/25/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES EC FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMCX	'9AMEX'	'524703734'	28	0		-10,884.00	\$ 8.32	\$ 101,252.48
Settled	6/24/2019	6/25/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES C FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMCX	'9AMEX'	'524703742'	27	0		-4,016.00	\$ 8.67	\$ 39,452.28
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FRAC SHR QUANTITY .195 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JULX	'9EHV3'	'47803U940'	19	0		-33,195	\$ 20.15	\$ 668.88
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS PD CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	20	'000'	0	21	\$ 18.22	\$ (362.62)
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK PD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMIX	'9KBD2'	'77959H854'	23	'000'	0	22	\$ 43.10	\$ (946.20)
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase CHARTWELL SMALL CAP VALUE FUND CL A8 I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MDO6'	'16140T509'	29	'000'	0	19	\$ 17.68	\$ (335.82)
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase INVECO OPPENHEIMER INTL GROWTH FUND CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGIX	'9LX89'	'00800H697'	25	'000'	0	12	\$ 40.38	\$ (484.56)
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAYIX	'9LJOY3'	'413838731'	30	'000'	0	19	\$ 22.89	\$ (431.11)
Settled	6/24/2019	6/25/2019	Security Transaction	Purchase CAMBAX INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMIX	'97NIZ	'00789G543'	31	'000'	0	10	\$ 25.84	\$ (258.40)

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Settled	6/24/2019	6/24/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	092481437	6	YAMF		2,987.00	\$	(2,987.00)
Settled	6/24/2019	6/24/2018	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	092481437	5	YAMF		2,477.00	\$	(2,477.00)
Settled	6/20/2019	6/24/2019	Security Transaction	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY ISHARES AGREEMENT.	IMN	38879	464287830	2	000	0	3	\$ 120.13	\$ (360.39)
Settled	6/20/2019	6/24/2019	Security Transaction	Purchase ISHARES MSCI JAPAN ETF SH8 PER ADVISORY AGREEMENT.	EWJ	38820	464340822	3	000	0	11	\$ 64.87	\$ (603.67)
Settled	6/20/2019	6/24/2019	Security Transaction	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	39752	464325942	4	000	0	14	\$ 61.34	\$ (658.78)
Settled	6/21/2019	6/21/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	092481437	24	YAMF		266	\$	(266.00)
Settled	6/20/2019	6/21/2019	Security Transaction	Sale HARROING LOEYNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	9K165	412285107	11	0	0	-30	\$ 22.51	\$ 675.30
Settled	6/20/2019	6/21/2019	Security Transaction	Sale BLACKROCK EQTY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	97479	092511604	23	0	0	-328	\$ 21.38	\$ 7,034.02
Settled	6/20/2019	6/21/2019	Security Transaction	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SHHXX	9P194	938083204	20	0	0	-794	\$ 43.02	\$ 34,167.88
Settled	6/20/2019	6/21/2019	Security Transaction	Sale T ROWE PRICE EMERGING MARKETS STOCK FD INV CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRM8X	9K602	779681864	13	0	0	-77	\$ 43.34	\$ 3,337.18
Settled	6/20/2019	6/21/2019	Security Transaction	Sale INVENSCO OPENHEIMER INTL GROWTH FUND CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGTX	9LX89	008004697	16	0	0	-32	\$ 40.59	\$ 1,289.86
Settled	6/20/2019	6/21/2019	Security Transaction	Sale NINEEN SMALL CAP VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	9K165	670678200	10	0	0	-1,098.00	\$ 22.97	\$ 25,109.21
Settled	6/20/2019	6/21/2019	Security Transaction	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	W8CCK	9P1K2	949921571	19	0	0	-14	\$ 56.21	\$ 788.94

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Entered	Quantity	Price	Amount
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIX	9K2M87	552983894	12	0007	0		39	\$ 41.95	\$ (1,538.05)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAYX	9U0Y73	413638731	21	0007	0		38	\$ 22.73	\$ (818.28)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase DIAMOND HILL LARGE CAP FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DHLRX	9LRG57	252945941	15	0007	0		1,281	\$ 27.90	\$ (35,181.90)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase WELLS FARGO SPECIAL SMALL CAP VALUE FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ESPNX	9K9C07	946758447	14	0007	0		765	\$ 33.58	\$ (25,688.70)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	9M0657	18140T509	18	0007	0		4	\$ 18.08	\$ (72.32)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS FD CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	9KTH87	245914817	9	0007	0		40	\$ 18.26	\$ (730.40)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMTX	97N1Z7	007890543	22	0007	0		20	\$ 28.80	\$ (516.00)
Settled	6/20/2019	6/21/2019	Security Transaction	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLX	9EHW37	47803U8407	8	0007	0		168	\$ 20.19	\$ (3,397.82)
Settled	6/20/2019	6/21/2019	Security Transaction	Sale CARLTON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIX	9L8B47	14214L485	17		0		-21	\$ 53.81	\$ 1,130.01
Settled	6/18/2019	6/18/2019	Security Transaction	Purchase FIMA PMA3616 04%/2048 AMORTIZED FCR .96839 20 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GID61'		31418DAR37	7	0007	0		1,000	\$ 103.58	\$ (1,003.13)
Settled	6/18/2019	6/18/2019	Security Transaction	Subscription Security Transaction BLF FEDFUND CASH RESERVE	BFRDX	7GDX37	08248U437	2	1AMF			2,211	\$	\$ (2,211.00)
Settled	6/18/2019	6/18/2019	Security Transaction	Subscription Security Transaction BLF FEDFUND CASH RESERVE	BFRDX	7GDX37	08248U437	1	1AMF			1,102	\$	\$ (1,102.00)
Settled	6/17/2019	6/17/2019	Security Transaction	Subscription Security Transaction BLF FEDFUND CASH RESERVE	BFRDX	7GDX37	08248U437	38	1AMF			128	\$	\$ (128.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Entered	Quantity	Price	Amount
Settled	6/13/2019	6/17/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	8KYV	'38RY4'	'33734X182	34	0	0		-7	\$ 58.38	\$ 408.53
Settled	6/13/2019	6/17/2019	Security Transaction	Purchase HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'873G1'	'81369Y407	36	000	0		64	\$ 118.28	\$ (7,588.64)
Settled	6/13/2019	6/17/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MW5'	'81369Y980	32		0		-15	\$ 37.46	\$ 562.19
Settled	6/13/2019	6/17/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLIF	'873G3'	'81369Y605	37		0		-89	\$ 27.24	\$ 1,879.52
Settled	6/13/2019	6/17/2019	Security Transaction	Purchase VANGLARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLIC	'31OX8'	'81369Y852	33	000	0		26	\$ 47.84	\$ (1,246.43)
Settled	6/13/2019	6/17/2019	Security Transaction	Purchase VANGLARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VLGT	'31FMT'	'82204A702	29	000	0		3	\$ 207.40	\$ (622.20)
Settled	6/13/2019	6/17/2019	Security Transaction	Sale VANGLARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'82204A603	30	000	0		5	\$ 142.06	\$ (710.30)
Settled	6/13/2019	6/17/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	VDC	'31FMT'	'82204A207	28		0		-6	\$ 150.70	\$ 804.18
Settled	6/13/2019	6/17/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	'31KXZ'	'33735E30Z	31		0		-5	\$ 142.42	\$ 712.09
Settled	6/11/2019	6/11/2019	Security Transaction	Subscription SECURITY TRANSACTION BLF FEDFUND CASH RESERVE	BFRDX	'7GXIX'	'08248U437	1	YAMF			67		\$ (67.00)
Settled	6/6/2019	6/6/2019	Security Transaction	Subscription SECURITY TRANSACTION BLF FEDFUND CASH RESERVE	BFRDX	'7GXIX'	'08248U437	1	YAMF			200		\$ (200.00)
Settled	6/4/2019	6/4/2019	Security Transaction	Redemption SECURITY TRANSACTION BLF FEDFUND CASH RESERVE	BFRDX	'7GXIX'	'08248U437	4	YAMF			-1,715.00		\$ 1,715.00

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	6/4/2019	6/4/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	3	YAMF			119		\$ (119.00)
Settled	6/2/2019	6/3/2019	Security Transaction	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$101.00 REINV PRICE \$1.00000 QUANTITY BOT 101.0000 AS OF 06/03	BFRDX	7GUX3	08248U437	4	964			101		\$ -
Settled	6/3/2019	6/31/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	1	YAMF			72		\$ (72.00)
Settled	6/29/2019	6/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	2	YAMF			876		\$ (876.00)
Settled	6/29/2019	6/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	1	YAMF			173		\$ (173.00)
Settled	6/29/2019	6/23/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	1	YAMF			143		\$ (143.00)
Settled	6/20/2019	6/20/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	1	YAMF			86		\$ (86.00)
Settled	6/17/2019	6/17/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	2	YAMF			669		\$ (669.00)
Settled	6/19/2019	6/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	6	YAMF			604		\$ (604.00)
Settled	6/19/2019	6/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	5	YAMF			488		\$ (488.00)
Settled	6/14/2019	6/14/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	1	YAMF			62		\$ (62.00)
Settled	6/13/2019	6/13/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	3	YAMF			30		\$ (30.00)
Settled	6/13/2019	6/13/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 06/10/2019	BFRDX	7GUX3	08248U437	2	YAMF			-29		\$ 29.00

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	5/10/2019	5/10/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFF0X	7GX3	09248U437	3	YAMF			-718	\$	718.00
Settled	5/10/2019	5/10/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFF0X	7GX3	09248U437	2	YAMF			23	\$	(23.00)
Settled	5/9/2019	5/10/2019	Security Transaction	Purchase FNMA PMA3443 04%2046 AMORTIZED FCR .82300 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	YEFFH	31419C247		1	Y007	0		32,000.00	\$ 102.84	\$ (30,405.72)
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FILMC GO 8722 03 50%2046 AMORTIZED FCR .71085 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	YX1F1	3128MU708		6		0		-2,000.00	\$ 101.38	\$ 1,442.28
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FILMC GO 8706 03 50%2046 AMORTIZED FCR .69951 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y60H1	3128MU237		8		0		-3,000.00	\$ 101.38	\$ 2,485.81
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FILMC GO 8702 03 50%2046 AMORTIZED FCR .69211 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	YWH37	3128MU9C8		5		0		-1,000.00	\$ 101.38	\$ 676.20
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FILMC GO 8702 03 50%2046 AMORTIZED FCR .69211 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	YF3C7	3128MU088		4		0		-2,000.00	\$ 101.38	\$ 1,343.47
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FNMA PAS9485 03 50%2047 AMORTIZED FCR .83578 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y6AM4	3138MNF4		9		0		-1,000.00	\$ 101.30	\$ 847.28
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FNMA PMA8937 03 50%2046 AMORTIZED FCR .89280 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y6ML7	31418D858		3		0		-3,000.00	\$ 101.30	\$ 3,018.77
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FNMA PMA3210 03 50%2047 AMORTIZED FCR .89581 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y6AZ00	31418C888		2		0		-18,000.00	\$ 101.30	\$ 18,342.77
Settled	5/9/2019	5/9/2019	Security Transaction	Sale FNMA PMA3182 03 50%2047 AMORTIZED FCR .88982 8 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y6ALV5	31418C8C0		1		0		-3,000.00	\$ 101.30	\$ 2,717.72

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	80L	Entered	Quantity	Price	Amount
Settled	6/8/2019	6/8/2019	Security Transaction	Sale FINMA PMA2689 03.50%20A7 AMORTIZED FCR .81466 8 DAYS INTEREST PER ADVISORY AGREEMENT NOT RATED BASED ON IND OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE WWW.SIFMA.ORG/OTMPC.	'Y5464'	'31418CJD7'		7	0			-1,000.00	\$ 101.30	\$ 826.16
Settled	6/7/2019	6/7/2019	Security Transaction	Subscription BLF FEDFUNL CASH RESERVE	BFRDX	'7GXJ3'	'08248U437'	2	YAMF			68	\$	(68.00)
Settled	6/7/2019	6/7/2019	Security Transaction	Subscription BLF FEDFUNL CASH RESERVE	BFRDX	'7GXJ3'	'08248U437'	1	YAMF			101	\$	(101.00)
Settled	6/6/2019	6/6/2019	Security Transaction	Subscription BLF FEDFUNL CASH RESERVE	BFRDX	'7GXJ3'	'08248U437'	2	YAMF			60	\$	(60.00)
Settled	6/3/2019	6/3/2019	Security Transaction	Redemption BLF FEDFUNL CASH RESERVE REDEMPT AS OF 06/02/2019	BFRDX	'7GXJ3'	'08248U437'	3	YAMF			-316	\$	316.00
Settled	6/3/2019	6/3/2019	Security Transaction	Redemption BLF FEDFUNL CASH RESERVE REDEMPT AS OF 06/02/2019	BFRDX	'7GXJ3'	'08248U437'	2	YAMF			-65	\$	65.00
Settled	6/2/2019	6/2/2019	Security Transaction	Redemption BLF FEDFUNL CASH RESERVE	BFRDX	'7GXJ3'	'08248U437'	7	YAMF			-1,774.00	\$	1,774.00
Settled	6/1/2019	6/2/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 YLD TO MATURITY 2.48% MATURITY DATE 2/15/28. 76 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE WWW.SIFMA.ORG/OTMPC.	'H26E8'	'9126283W6'		1	0			-5,000.00	\$ 102.11	\$ 5,134.14
Settled	6/1/2019	6/2/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.626% AUG 31 2020 YLD TO MATURITY 2.36% MATURITY DATE 8/31/20. 63 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE WWW.SIFMA.ORG/OTMPC.	'H26N7'	'9126284Y3'		2	0			-10,000.00	\$ 100.31	\$ 10,075.80
Settled	6/1/2019	6/2/2019	Security Transaction	Purchase U.S. TREASURY BOND 3.000% FEB 15 2049 03.000% FEB 15 2049 FIRST COUPON 08/15/19 YLD TO MATURITY 2.91% MATURITY DATE 2/15/49. 76 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE WWW.SIFMA.ORG/OTMPC.	'H266C'	'912628F21'		3	0			-10,000.00	\$ 99.60	\$ 9,978.74
Settled	6/1/2019	6/2/2019	Security Transaction	Subscription BLF FEDFUNL CASH RESERVE	BFRDX	'7GXJ3'	'08248U437'	5	YAMF			33	\$	(33.00)

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	BOL	Entered	Quantity	Price	Amount
Settled	5/1/2019	5/1/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 04/30/2019	BFR0X	7GX3	08248U437	4	YAMF			-32	\$	32.00
Settled	5/1/2019	5/1/2019	Security Transaction	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$103.00 REINV PRICE \$1.00000 QUANTITY BOT 103.0000 AS OF 05/01	BFR0X	7GX3	08248U437	3	084			103	\$	-
Settled	4/30/2019	4/30/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	3	YAMF			-147	\$	147.00
Settled	4/30/2019	4/30/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	2	YAMF			33	\$	(33.00)
Settled	4/29/2019	4/30/2019	Security Transaction	Purchase KINDER MORGAN ENER PART COMPANY QUANT GLB 03.500% SEP 01 2022 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE- WHOLE CALL DETAILS UPON REQUEST YTD TO CURR CALL 2.03% CURR CALL DATE 9/01/22 CURR CALL PRICE \$100.00 58 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	KMP22A	C11R4	464550BL9	1	000	0		5,000.00	\$ 102.98	\$ (5,181.37)
Settled	4/29/2019	4/29/2019	Security Transaction	Sale CELGENE CORP GLB 03.250% AUG 15 2022 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YTD TO MATURITY 3.02% MATURITY DATE 8/15/22 74 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CELG22	B2BL0	151020AH7	1		0		-5,000.00	\$ 100.70	\$ 5,088.40
Settled	4/29/2019	4/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	2	YAMF			129	\$	(129.00)
Settled	4/29/2019	4/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	3	YAMF			508	\$	(508.00)
Settled	4/29/2019	4/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	2	YAMF			254	\$	(254.00)
Settled	4/25/2019	4/25/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFR0X	7GX3	08248U437	34	YAMF			-820	\$	820.00
Settled	4/23/2019	4/23/2019	Security Transaction	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REINVESTMENT AND THE CAPACITY IN WHICH ML ACTED ARE REINVESTMENT AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	8KTY	38KX4	23734X18Z	30		0		-3	\$ 80.38	\$ 181.13
Settled	4/23/2019	4/23/2019	Security Transaction	Purchase HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	673F8	81360Y209	31	000	0		40	\$ 87.36	\$ (3,494.40)
Settled	4/23/2019	4/23/2019	Security Transaction	Purchase REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	31MAN5	81360Y860	28	000	0		6	\$ 36.58	\$ (213.48)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLFF	979G3	81389Y805	33	000	0		6	\$ 27.35	\$ (164.10)
Settled	4/23/2019	4/25/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDNI	316W2	33733E30Z	27		0		-1	\$ 146.55	\$ 146.54
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLTY	979G1	81389Y407	32	000	0		17	\$ 120.23	\$ (2,043.91)
Settled	4/23/2019	4/25/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIB	31FX1	92204A503	26		0		-55	\$ 146.89	\$ 8,094.30
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	31FM7	92204A70Z	25	000	0		11	\$ 212.82	\$ (2,341.02)
Settled	4/23/2019	4/25/2019	Security Transaction	Sale COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLCC	31OX9	81389Y85Z	29		0		-25	\$ 49.85	\$ 1,246.74
Settled	4/23/2019	4/25/2019	Security Transaction	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IBB	31CM7	462875558	24	000	0		19	\$ 106.49	\$ (2,023.31)
Settled	4/19/2019	4/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	1	YAMF			840		\$ (840.00)
Settled	4/19/2019	4/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	2	YAMF			451		\$ (461.00)
Settled	4/19/2019	4/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	1	YAMF			456		\$ (466.00)
Settled	4/15/2019	4/15/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	31	YAMF			-1,319.00		\$ 1,319.00
Settled	4/15/2019	4/15/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	09248U437	30	YAMF			247		\$ (247.00)
Settled	4/12/2019	4/15/2019	Security Transaction	Purchase FHLINC GO 8835 03%2045 AMORTIZED FOR .98672 14 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPON WRITTEN REQUEST ML ACTED AS SELECTED SOURCES DETAILS UPON WRITTEN REQUEST ML ACTED AS AGENT.	184IP9	3128AAV55	29	000	0			2,000.00	\$ 99.35	\$ (1,326.38)

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E ID	SQL	Entered	Client	Quantity	Price	Amount
Settled	4/12/2019	4/12/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE Purchase	BFRDX	7GIX3	'08248U437	4	YAMF				-5,522.00		\$ 5,522.00
Settled	4/11/2019	4/12/2019	Security Transaction	Redemption FIMA PMA3184 04 50%2047 AMORTIZED FCR .78854 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPN WRITTEN ROBT ML ACTED AS AGENT. Purchase	'0A0H3'	'31418CRE5'		2	000'	0			3,000.00	\$ 105.02	\$ (2,487.53)
Settled	4/11/2019	4/12/2019	Security Transaction	Redemption FIMA PMA3687 03 50%2049 AMORTIZED FCR .98781 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPN WRITTEN ROBT ML ACTED AS AGENT. Purchase	'09ML7	'31418DBF8'		3	000'	0			3,000.00	\$ 101.23	\$ (3,033.59)
Settled	4/8/2019	4/8/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	'08248U437	1	YAMF				72		\$ (72.00)
Settled	4/5/2019	4/5/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE Purchase	BFRDX	7GIX3	'08248U437	2	YAMF				-1,083.00		\$ 1,083.00
Settled	4/4/2019	4/5/2019	Security Transaction	Redemption WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER AGENT. Purchase	LMECX	'0AMEK5'	'52470G734'	1	000'	0			122	\$ 8.96	\$ (1,083.12)
Settled	4/3/2019	4/3/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	'08248U437	1	YAMF				275		\$ (275.00)
Settled	4/2/2019	4/2/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	'08248U437	5	YAMF				-1,728.00		\$ 1,728.00
Settled	4/2/2019	4/2/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	'08248U437	4	YAMF				97		\$ (97.00)
Settled	4/1/2019	4/1/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	'08248U437	7	YAMF				-8,981.00		\$ 8,981.00
Settled	4/1/2019	4/1/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GIX3	'08248U437	6	YAMF				53		\$ (53.00)
Settled	4/1/2019	4/1/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE Purchase	BFRDX	7GIX3	'08248U437	4	084'				125		\$ -
Settled	3/29/2019	4/1/2019	Security Transaction	Redemption Share(s) BLF FEDFUND CASH RESERVE AGENT REINV/AMOUNT \$125.00 REINV PRICE \$1.00000 QUANTITY BOT 125.0000 AS OF 04/01 Purchase	TM20A	'YH8M1'	'86286TC50'	5	000'	0			9,000.00	\$ 98.67	\$ (8,980.87)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SQL Entered	Quantity	Price	Amount	
Settled	3/29/2019	3/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFDXX	7GX3	'08248U437	2	YAMF		131	\$	(131.00)	
Settled	3/27/2019	3/27/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFDXX	7GX3	'08248U437	1	YAMF		1,348.00	\$	(1,348.00)	
Settled	3/26/2019	3/26/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFDXX	7GX3	'08248U437	9	YAMF		387	\$	(387.00)	
Settled	3/26/2019	3/26/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFDXX	7GX3	'08248U437	8	YAMF		159	\$	(159.00)	
Settled	3/22/2019	3/22/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFDXX	7GX3	'08248U437	12	YAMF		-4,584.00	\$	4,584.00	
Settled	3/22/2019	3/22/2019	Security Transaction	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	'91KWZ	'33733E30Z	5	000	0	5 \$	139.57	\$	(697.85)
Settled	3/22/2019	3/22/2019	Security Transaction	Purchase COMIN SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH HL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLC	'31OX9	'81398Y85Z	7	000	0	31 \$	46.84	\$	(1,452.04)
Settled	3/22/2019	3/22/2019	Security Transaction	Purchase FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'36RY4	'33734X18Z	8	000	0	3 \$	57.58	\$	(172.89)
Settled	3/22/2019	3/22/2019	Security Transaction	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FMO	'82204A207	2	000	0	3 \$	142.37	\$	(427.11)
Settled	3/22/2019	3/22/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH HL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	'31FMT	'82204A70Z	3	0	0	-17 \$	199.52	\$	3,391.82
Settled	3/22/2019	3/22/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIB	'31FV1	'82204A60Z	4	0	0	-5 \$	138.85	\$	694.24
Settled	3/22/2019	3/22/2019	Security Transaction	Purchase HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'872RF	'81398Y20Z	9	000	0	13 \$	92.06	\$	(1,198.77)
Settled	3/22/2019	3/22/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31AN5	'81398Y80Z	6	0	0	-101 \$	35.01	\$	3,536.01

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLY	W73G1	01389Y407	10	000	0		61	\$ 112.10	\$ (5,717.10)
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLF	W73G3	01389Y605	11	000	0		70	\$ 26.58	\$ (1,860.53)
Settled	3/20/2019	3/22/2019	Security Transaction	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	31CM7	464287556	1	000	0		6	\$ 113.58	\$ (681.47)
Settled	3/21/2019	3/21/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	1	YAMF			521		\$ (521.00)
Settled	3/20/2019	3/20/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 03/19/2019	BFR0X	7GX03	08248U437	6	YAMF			-112		\$ 112.00
Settled	3/19/2019	3/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	1	YAMF			728		\$ (728.00)
Settled	3/19/2019	3/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	6	YAMF			366		\$ (366.00)
Settled	3/19/2019	3/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	4	YAMF			487		\$ (487.00)
Settled	3/19/2019	3/19/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE Sale	BFR0X	7GX03	08248U437	32	YAMF			-2,313.00		\$ 2,313.00
Settled	3/19/2019	3/19/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	31		0		-5,000.00	\$ 100.94	\$ 5,133.68
Settled	3/19/2019	3/19/2019	Security Transaction	Purchase COMCAST CORP COMPANY GUARNT GLB 04.150% OCT 15 2028 RATINGS ARE SUBJ TO CHG MOODYS A3 S&P A- CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST FIRST COUPON 04/15/19 YLD TO CALL 3.57% CALL DATE 7/15/28 CALL PRICE \$100.00. 160 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMC828	B29E8	20030NC78	39	000	0		7,000.00	\$ 104.53	\$ (7,446.21)
Settled	3/14/2019	3/14/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	2	YAMF			2,004.00		\$ (2,004.00)
Settled	3/14/2019	3/14/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFR0X	7GX03	08248U437	1	YAMF			1		\$ (1.00)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	3/13/2019	3/13/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	3	YAMF			9,000.00	\$	(9,000.00)
Settled	3/19/2019	3/19/2019	Security Transaction	Subscription Sale U.S. TREASURY NOTE 2.625% AUG 31 2020 YLD TO MATURITY 2.50% MATURITY DATE 8/31/20 13 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIPMA.ORG/TMFP.G.	BFRXX	7GX3	08248U437	2	YAMF			210	\$	(210.00)
Settled	3/12/2019	3/13/2019	Security Transaction	Tender MEDTRONIC INC COMPANY GUARNT GUB 02.500% MAR 15 2020 CASH TENDER TENDER PAY DATE 03/12/2019	MDT20	08CF1	0850558907	4				-9,000.00	\$	8,730.00
Settled	3/12/2019	3/12/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	1	YAMF			255	\$	(255.00)
Settled	3/6/2019	3/6/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	1	YAMF			124	\$	(124.00)
Settled	3/5/2019	3/5/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 03/04/2019	BFRXX	7GX3	08248U437	3	YAMF			-1,646.00	\$	1,646.00
Settled	3/5/2019	3/5/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	2	YAMF			240	\$	(240.00)
Settled	3/1/2019	3/1/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	4	YAMF			249	\$	(249.00)
Settled	3/1/2019	3/1/2019	Security Transaction	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT: \$123.00 REINV BLF FEDFUND CASH RESERVE PRICE \$1.00008 QUANTITY BOT 123.0000 AS OF 03/01	BFRXX	7GX3	08248U437	3	Y84			123	\$	-
Settled	2/29/2019	2/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	2	YAMF			262	\$	(262.00)
Settled	2/29/2019	2/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	1	YAMF			111	\$	(111.00)
Settled	2/22/2019	2/22/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	2	YAMF			229	\$	(229.00)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Entered	Quantity	Price	Amount
Settled	2/22/2019	2/22/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 02/21/2019	BFRDX	7GUX3	08248U437	1	YAMF			-229	\$	229.00
Settled	2/21/2019	2/21/2019	Security Transaction	Redemption Purchase JP Morgan Chase & Co GLB VAR%DEC 06 2029 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST FIRST COUPON 08/09/19 78 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFRDX	7GUX3	08248U437	3	YAMF			-18,834.00	\$	18,834.00
Settled	2/19/2019	2/21/2019	Security Transaction	Purchase US Bancorp Subordinated Ser MTN 03/09/09 SEP 11 2024 RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P A- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 3.24% CALL DATE 8/1/24 CALL PRICE \$100.00 180 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPMD28	00837	46847PAX4	1	000	0		9,000.00	\$ 104.95	\$ (9,530.26)
Settled	2/19/2019	2/21/2019	Security Transaction	Subscription Purchase JP Morgan Chase & Co GLB 04/400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- YLD TO MATURITY 3.17% MATURITY DATE 7/22/20 27 DAYS INTEREST PER ADVISORY AGREEMENT. ML	USB24A	1587VZ	91158HHX3	2	000	0		9,000.00	\$ 101.77	\$ (9,303.48)
Settled	2/20/2019	2/20/2019	Security Transaction	Subscription Purchase BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	2	YAMF			9,152.00	\$	(9,152.00)
Settled	2/19/2019	2/19/2019	Security Transaction	Subscription Purchase BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	6	YAMF			375	\$	(375.00)
Settled	2/19/2019	2/19/2019	Security Transaction	Subscription Purchase BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	5	YAMF			613	\$	(613.00)
Settled	2/14/2019	2/19/2019	Security Transaction	Subscription Purchase JP Morgan Chase & Co GLB 04/400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- YLD TO MATURITY 3.17% MATURITY DATE 7/22/20 27 DAYS INTEREST PER ADVISORY AGREEMENT. ML	JPMD28	00837	46847PAX4	4	000	0		-9,000.00	\$ 101.69	\$ 9,181.53
Settled	2/15/2019	2/15/2019	Security Transaction	Redemption Purchase BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	34	YAMF			-12,419.00	\$	12,419.00
Settled	2/15/2019	2/15/2019	Security Transaction	Subscription Purchase BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	33	YAMF			69	\$	(69.00)
Settled	2/14/2019	2/15/2019	Security Transaction	Subscription Purchase FIMA PMA358 06%2048 AMORTIZED FOR 36099 14 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS	GHQV5	31418C477		32	000	0		12,000.00	\$ 105.34	\$ (12,418.49)
Settled	2/11/2019	2/11/2019	Security Transaction	Subscription Purchase BLF FEDFUND CASH RESERVE	BFRDX	7GUX3	08248U437	1	YAMF			185	\$	(185.00)

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	2/5/2019	2/5/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 02/04/2019	BFRDX	7GX3	09248U437	1	YAMF			-1,614.00	\$	1,614.00
Settled	2/4/2019	2/4/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	4	YAMF			188	\$	(188.00)
Settled	2/1/2019	2/1/2019	Security Transaction	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$188.00 REINV PRICE \$1,000.00 QUANTITY BOT 188.0000 AS OF 02/01	BFRDX	7GX3	09248U437	5	984			188	\$	-
Settled	1/29/2019	1/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	2	YAMF			159	\$	(159.00)
Settled	1/29/2019	1/29/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 01/29/2019	BFRDX	7GX3	09248U437	1	YAMF			-84	\$	84.00
Settled	1/29/2019	1/29/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	11	YAMF			-39,304.00	\$	39,304.00
Settled	1/29/2019	1/29/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFRDX	7GX3	09248U437	10	YAMF			237	\$	(237.00)
Settled	1/25/2019	1/29/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	9KTH9	245914817	9	000	0		1,058.00	\$ 18.12	\$ (19,170.89)
Settled	1/24/2019	1/29/2019	Security Transaction	Sale 184958 MSCI EAFE ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	31CT9	464287485	6		0		-455	\$ 61.41	\$ 27,942.36
Settled	1/24/2019	1/29/2019	Security Transaction	Sale 184958 MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	398Z9	46434G822	7		0		-58	\$ 53.31	\$ 3,091.94
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase 184958 TR CORE MSCI EAFE ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	39T9Z	46432F94Z	8	000	0		250	\$ 57.55	\$ (14,388.41)
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase ROYAL BANK OF CANADA BERR GNTN GLB 02.500% JAN 19 2021 YLD TO MATURITY 2.87% MATURITY DATE 1/19/21. 9 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RZ21	9H1A3	78012PKU0	5	000	0		9,000.00	\$ 98.10	\$ (8,824.63)
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase MEDTRONIC INC COMPANY GUARNT GLB 02.500% MAR 15 2020 RATINGS ARE SUBJ TO CHG MOODYS AS S&P A WAVE-WHOLE CALL DETAILS UPON REQUEST 133 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MDT20	08CF1	5650559G0	2	000	0		9,000.00	\$ 98.77	\$ (8,082.42)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase VISA INC GLOB 02.800% DEC 14 2022 RATINGS ARE SUBJ TO CHG MOODYS A1 S&P AA- CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 2.78% MATURITY DATE 12/14/22 YLD TO CALL 2.78% CALL DATE 10/14/22 CALL PRICE \$100.00 44 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ2	ENHACS	92282CAC05	4	000	0		9,000.00	\$ 100.07	\$ (8,037.10)
Settled	1/24/2019	1/29/2019	Security Transaction	Purchase MICROSOFT CORP GLOB 03.625% DEC 15 2023 RATINGS ARE SUBJ TO CHG MOODYS AAA S&P AAA MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO CURR CALL 2.78% CURR CALL DATE 9/15/23 CURR CALL PRICE \$100.00 43 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT23	09FCZ2	994918ANW4	3	000	0		9,000.00	\$ 103.59	\$ (9,391.71)
Settled	1/25/2019	1/25/2019	Security Transaction	Redemption HANDING LOEWEN INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFR0X	79JUX3	08248U437	48	WAF			-1,044.00	\$	\$ 1,044.00
Settled	1/24/2019	1/25/2019	Security Transaction	Sale HANDING LOEWEN INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIK	9KYS5	9412285107	39		0		-526	\$ 20.26	\$ 10,666.76
Settled	1/24/2019	1/25/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	9KGDZ	77858H864	41	000	0		612	\$ 40.29	\$ (24,657.48)
Settled	1/24/2019	1/29/2019	Security Transaction	Sale CAYMARK INTL FD CL ADV PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAVIX	9UDY3	941388731	47		0		-254	\$ 21.79	\$ 5,532.12
Settled	1/24/2019	1/25/2019	Security Transaction	Sale SECURITY TRANSAC FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	9K2AB8	952838394	40		0		-5	\$ 37.48	\$ 187.30
Settled	1/24/2019	1/25/2019	Security Transaction	Sale OPENHEIMER INTERNATL GROWTH FD CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGYX	9LX86	98390L407	42		0		-72	\$ 36.49	\$ 2,628.66
Settled	1/24/2019	1/25/2019	Security Transaction	Sale CHARMELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMSIX	9MOC6	941610T508	44		0		-11	\$ 18.89	\$ 186.78
Settled	1/24/2019	1/25/2019	Security Transaction	Sale CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CANYX	97NIZ	90789G549	46		0		-137	\$ 24.27	\$ 3,324.89
Settled	1/24/2019	1/25/2019	Security Transaction	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WBCGX	9PK4Z	948921671	45		0		-2	\$ 51.82	\$ 103.64
Settled	1/24/2019	1/25/2019	Security Transaction	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SRHNX	9PVS4	936033204	46		0		-10	\$ 39.80	\$ 398.00
Settled	1/24/2019	1/25/2019	Security Transaction	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	9LGB4	94214L486	43		0		-2	\$ 48.88	\$ 97.78

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	1/24/2019	1/25/2019	Security Transaction	Sale NUVEEN SMALL CAP VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FRBCDX	79QW85	970678200	38		0		-14	\$ 22.19	\$ 310.66
Settled	1/24/2019	1/25/2019	Security Transaction	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JMLX	WEHV3	1478031640	37		0		-10	\$ 18.90	\$ 188.00
Settled	1/24/2019	1/24/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFBCDX	79GX3	082481437	2	YAMF			80		\$ (80.00)
Settled	1/24/2019	1/24/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 01/23/2019	BFBCDX	79GX3	082481437	1	YAMF			-80		\$ 80.00
Settled	1/23/2019	1/23/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFBCDX	79GX3	082481437	4	YAMF			-8,859.00		\$ 8,859.00
Settled	1/23/2019	1/23/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 01/22/2019	BFBCDX	79GX3	082481437	3	YAMF			-691		\$ 691.00
Settled	1/19/2019	1/23/2019	Security Transaction	Purchase CELGENE CORP GLB 03.250% AUG 16 2022 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBBA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.39% MATURITY DATE 8/15/22 158	CELQ22	828LC	151020AH7	1	000	0		5,000.00	\$ 89.86	\$ (5,049.22)
Settled	1/19/2019	1/23/2019	Security Transaction	Purchase SOUTHERN CO GLB 02.950% JUL 01 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA2 S&P BBBA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.60% MATURITY DATE 7/01/23 YLD TO CALL 3.62% CALL DATE 5/01/23 CALL PRICE \$100.00 22 DAYS	S023	ES9V4	842587CU9	2	000	0		5,000.00	\$ 97.34	\$ (4,876.21)
Settled	1/22/2019	1/22/2019	Security Transaction	Redemption BLF FEDFUND CASH RESERVE	BFBCDX	79GX3	082481437	27	YAMF			-109,325.00		\$ 109,325.00
Settled	1/22/2019	1/22/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFBCDX	79GX3	082481437	28	YAMF			387		\$ (387.00)
Settled	1/17/2019	1/22/2019	Security Transaction	Sale WELLS FARGO & COMPANY GLB 02.100% JUL 26 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A- YLD TO MATURITY 3.22% MATURITY DATE 7/26/21 178 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VIS	31FX1	082044603	25		0		-38	\$ 127.16	\$ 4,832.02
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase WELLS FARGO & COMPANY GLB 02.100% JUL 26 2021 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A- YLD TO MATURITY 3.22% MATURITY DATE 7/26/21 178 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WFC21D	ET574	948746SA0	21	000	0		9,000.00	\$ 97.30	\$ (8,849.40)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Client Entered	Quantity	Price	Amount
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase COMCAST CORP COMPANY GUARNT GLB 03.700% APR 15 2024 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A-CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST FIRST COUPON 04/15/19 YLD TO CALL 3.46% CALL DATE 3/15/24 CALL PRICE \$100.00 107 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMCS24 '8289L4		20030NCR0	12 000	0			8,000.00	\$ 101.11	\$ (8,188.80)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase CAPITAL ONE FINANCIAL CO GLB 02.500% MAY 12 2020 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.21% MATURITY DATE 5/7/20 YLD TO CALL 3.29% CALL DATE 4/12/20 CALL PRICE \$100.00 70 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	COF 20 '8214S		14040HBP9	8 000	0			5,000.00	\$ 98.10	\$ (4,978.11)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase MORGAN STANLEY SER MTN 02.625% NOV 17 2021 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBBB YLD TO MATURITY 3.42% MATURITY DATE 11/17/21 65 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MS21G '08FG1		91748BED4	19 000	0			5,000.00	\$ 97.88	\$ (4,917.80)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase AT&T INC GLB 02.450% JUN 30 2020 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.08% MATURITY DATE 6/30/20 YLD TO CALL 3.12% CALL DATE 6/30/20 CALL PRICE \$100.00 22 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	T20A 'A01N3		00205RCL4	3 000	0			5,000.00	\$ 98.11	\$ (4,953.23)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- YLD TO MATURITY 3.04% MATURITY DATE 7/22/20 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM20A '0038W		16825HHS2	15 000	0			5,000.00	\$ 101.98	\$ (5,098.85)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase TORONTO-DOMINION BANK SER MTN 02.250% NOV 05 2019 YLD TO MATURITY 2.47% MATURITY DATE 11/05/19 77 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TD19A '14N488		99114QAV7	22 000	0			8,000.00	\$ 99.83	\$ (9,027.74)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase REGIONS FINANCIAL CORP GLB 02.750% AUG 14 2022 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.59% MATURITY DATE 8/14/22 YLD TO CALL 3.61% CALL DATE 7/14/22 CALL PRICE \$100.00 168 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RF22 'E28N7		7591EPANZ	19 000	0			5,000.00	\$ 97.21	\$ (4,920.80)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase PEPSICO INC GLB 02.750% MAR 05 2022 RATINGS ARE SUBJ. TO CHG MOODYS A1 S&P A-MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 2.69% MATURITY DATE 3/05/22 137 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'084VZ		713448B73	18 000	0			9,000.00	\$ 99.57	\$ (9,055.49)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase GOLDMAN SACHS GROUP INC GLB 02.800% DEC 27 2020 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBBB MAKE-WHOLE CALL DETAILS UPON REQUEST 26 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GS20MC '877A5		38141GWG5	14 000	0			9,000.00	\$ 98.92	\$ (9,918.89)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase THERMO FISHER SCIENTIFIC GLB 04.150% FEB 01 2024 RATINGS ARE SUBJ. TO CHG MOODYS BAA2 S&P BBBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 3.69% CALL DATE 1/01/23 CALL PRICE \$100.00 171 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	TM24 'E6G23		1835658FE9	20 000	0			5,000.00	\$ 101.99	\$ (5,198.16)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase BBBT CORPORATION SER MTN 02.850% OCT 28 2024 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.47% MATURITY DATE 10/28/24 YLD TO CALL 3.48% CALL DATE 9/28/24 CALL PRICE \$100.00 86 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BB1724 '14J805		06531FB8F	24 000	0			9,000.00	\$ 98.75	\$ (8,788.32)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase HOME DEPOT INC GLB 02 625% JUN 01 2022 RATINGS ARE SUBJ TO CHG MOODY'S A2 S&P A CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 2.85% MATURITY DATE 6/01/22 YLD TO CALL 2.68% CALL DATE 5/01/22 CALL PRICE \$100.00 51 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HD22	'BAY4C'	'463708BGS'	7	'000'	0		9,000.00	\$ 98.28	\$ (8,986.69)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase APPLE INC GLB 02 400% MAY 03 2023 RATINGS ARE SUBJ TO CHG MOODY'S A1 S&P AA+ MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.04% MATURITY DATE 6/03/23 79 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AAPL23	'A0680'	'067893AKV'	4	'000'	0		5,000.00	\$ 97.41	\$ (4,896.89)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase BANK OF MONTREAL SER MTN 01 500% JUL 18 2019 YLD TO MATURITY 2.39% MATURITY DATE 7/18/19 4 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BMO19A	'H48P4'	'06367THOG'	23	'000'	0		9,000.00	\$ 99.57	\$ (8,962.44)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase CITIGROUP INC GLB 03 875% OCT 25 2023 RATINGS ARE SUBJ TO CHG MOODY'S BAA1 S&P BBB+ YLD TO MATURITY 3.73% MATURITY DATE 10/25/23 87 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C238	'82865'	'172967HD6'	10	'000'	0		5,000.00	\$ 100.59	\$ (5,076.27)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase CVS HEALTH CORP GLB 03 700% MAR 09 2023 RATINGS ARE SUBJ TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.72% MATURITY DATE 3/09/23 YLD TO CURR CALL 3.72% CURR CALL DATE 2/09/23 CURR CALL PRICE \$100.00 133 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVE23A	'B29C8'	'128650CV0'	11	'000'	0		5,000.00	\$ 99.91	\$ (5,053.65)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase AMERICAN INTL GROUP GLB 04 126% FEB 16 2024 RATINGS ARE SUBJ TO CHG MOODY'S BAA1 S&P BBB+ MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.82% MATURITY DATE 2/16/24 167 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AG24	'A04TB'	'026874CY1'	5	'000'	0		5,000.00	\$ 101.36	\$ (5,157.75)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase ABBOTT LABORATORIES GLB 02 900% NOV 30 2021 RATINGS ARE SUBJ TO CHG MOODY'S BAA1 S&P BBB CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.21% MATURITY DATE 11/30/21 YLD TO CALL 3.22% CALL DATE 10/30/21 CALL PRICE \$100.00 113 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	AB124	'A05KZ'	'002824BD1'	6	'000'	0		5,000.00	\$ 98.15	\$ (4,876.24)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase CHEVRON CORP GLB 02 100% MAY 16 2021 RATINGS ARE SUBJ TO CHG MOODY'S A2 S&P AA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 2.70% MATURITY DATE 5/16/21 YLD TO CALL 2.72% CALL DATE 4/16/21 CALL PRICE \$100.00 66 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CVX21	'B21MT'	'166764BG4'	8	'000'	0		9,000.00	\$ 98.66	\$ (8,973.87)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase EOG RESOURCES INC GLB 02 625% MAR 15 2023 RATINGS ARE SUBJ TO CHG MOODY'S BAA1 S&P A- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.24% MATURITY DATE 3/15/23 YLD TO CURR CALL 3.27% CURR CALL DATE 12/15/22 CURR CALL PRICE \$100.00 127 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EOG23	'B68V7'	'26675PAK7'	13	'000'	0		5,000.00	\$ 97.83	\$ (4,887.70)
Settled	1/17/2019	1/22/2019	Security Transaction	Purchase NORTHROP GRUMMAN CORP GLB 03 250% AUG 01 2023 RATINGS ARE SUBJ TO CHG MOODY'S BAA2 S&P BBB MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.35% MATURITY DATE 8/01/23 171 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	NOC23	'D2154'	'666807BG6'	17	'000'	0		5,000.00	\$ 98.51	\$ (5,052.69)
Settled	1/18/2019	1/18/2019	Security Transaction	Subscription BLF FEDFUND CASH RESERVE	BFDDX	'7GJX3'	'09348U437'	12	'MMF'			174,789.00	\$	\$ (174,789.00)

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOL Entered	Quantity	Price	Amount
Settled	1/18/2019	1/18/2019	Security Transaction	Subscription BILF FEDFUND CASH RESERVE	BFRDX	7GX3	082481487	11	YAMF		279	\$	(279.00)
Settled	1/18/2019	1/18/2019	Security Transaction	Sale GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 YLD TO MATURITY 3.82% MATURITY DATE 3/03/24, 136 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	G824	WMOOY	081410VMS	10	0		-5,000.00	\$ 100.33	\$ 6,091.50
Settled	1/18/2019	1/18/2019	Security Transaction	Sale VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBBA MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.48% MATURITY DATE 9/15/23, 123 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	E73YU	WMOOY	0824818R4	7	0		-4,000.00	\$ 107.05	\$ 4,332.38
Settled	1/18/2019	1/18/2019	Security Transaction	Sale WELLS FARGO & COMPANY SER MTN VARX MAY 22 2028 CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST 56 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	H1HNO	WMOOY	0850002AC	9	0		-3,000.00	\$ 98.55	\$ 2,973.23
Settled	1/18/2019	1/18/2019	Security Transaction	Sale GENERAL ELECTRIC CAP CORP NOTES 05.875% JAN 14 2038 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBBA YLD TO MATURITY 6.04% MATURITY DATE 1/14/38, 4 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE38	W75M1	0888233P7	3	0		-4,000.00	\$ 98.05	\$ 3,924.61
Settled	1/18/2019	1/18/2019	Security Transaction	Sale ENTERPRISE PRODUCTS OPER COMPANY GUARNT 05.700% FEB 15 2042 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBBA MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 5.09% MATURITY DATE 2/15/42, 153 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	EPD42	W6631	08878VAV5	2	0		-2,000.00	\$ 108.16	\$ 2,211.53
Settled	1/18/2019	1/18/2019	Security Transaction	Sale MORGAN STANLEY GLB VARX JUL 22 2028 RATINGS ARE SUBJ. TO CHG MOODY'S A3 S&P BBBA MAKE-WHOLE CALL DETAILS UPON REQUEST 175 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ZZZ28	W08H1	081744VAK4	5	0		-2,000.00	\$ 94.62	\$ 1,827.29
Settled	1/18/2019	1/18/2019	Security Transaction	Sale MICROSOFT CORP GLB 03.700% AUG 08 2046 RATINGS ARE SUBJ. TO CHG MOODY'S AAA S&P AAA CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.89% MATURITY DATE 8/08/46, YLD TO CALL 3.89% CALL DATE 2/08/46 CALL PRICE \$100.00.	MSFT46	W08C38	08481881U	4	0		-4,000.00	\$ 98.70	\$ 3,838.70
Settled	1/18/2019	1/18/2019	Security Transaction	Sale SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2028 RATINGS ARE SUBJ. TO CHG MOODY'S AA2 S&P AA- MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.47% MATURITY DATE 9/12/28, 128 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RDS28	W038W1	0825828X9	6	0		-3,000.00	\$ 83.49	\$ 2,503.95
Settled	1/18/2019	1/18/2019	Security Transaction	Sale CITIGROUP INC - VARX JAN 19 2028 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBBA CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST 8 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C 28	W02783	072867LD1	1	0		-3,000.00	\$ 98.63	\$ 2,907.40
Settled	1/18/2019	1/18/2019	Security Transaction	Sale BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03.500% MAR 17 2025 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.84% MATURITY DATE 3/17/25, 121 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BP25	W11H59	06565QDA3	8	0		-2,000.00	\$ 99.27	\$ 2,008.87
Settled	1/17/2019	1/17/2019	Security Transaction	Subscription BILF FEDFUND CASH RESERVE	BFRDX	7GX3	082481487	39	YAMF		280	\$	(280.00)

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CLSP	Entry #	J/E ID	SOL	Entered	Quantity	Price	Amount
Settled	1/16/2019	1/17/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES M FUND PER ADVISORY AGREEMENT. WESTERN ML ACTED AS AGENT.	LMSMX	9MEXG	52470G759	36	0	0		-4,076.00	\$ 10.74	\$ 43,779.24
Settled	1/16/2019	1/17/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES C FUND PER ADVISORY AGREEMENT. WESTERN ML ACTED AS AGENT.	LMLCX	9MEXG	52470G74Z	37	0	0		-1,546.00	\$ 9.09	\$ 14,071.32
Settled	1/16/2019	1/17/2019	Security Transaction	Sale WESTERN ASSET SMASH SERIES EC FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	9MEXG	52470G734	36	0	0		-4,994.00	\$ 8.85	\$ 44,199.90
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FIMA PMA344 04 50%2046 AMORTIZED FCR .96907 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	CF115	31418CZ16		5	0	0		-1,000.00	\$ 103.47	\$ 991.15
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FILMAC GO 8776 04 50%2047 AMORTIZED FCR .79057 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y968Z	3128ML218		19	0	0		-5,000.00	\$ 103.53	\$ 4,046.50
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FIMA PAL604 04 50%2043 AMORTIZED FCR .25945 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	W40C1	3128ENL64		12	0	0		-1,000.00	\$ 103.47	\$ 287.94
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FIMA PMA336 04 50%2046 AMORTIZED FCR .86039 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Q30BV7	31418CXP4		4	0	0		-1,000.00	\$ 103.47	\$ 994.72
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FIMA PMA308 04 50%2047 AMORTIZED FCR .70582 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y81F8	31418CKV3		17	0	0		-1,000.00	\$ 103.47	\$ 731.51
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FIMA PMA3073 04 50%2047 AMORTIZED FCR .75940 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y74U0	31418CAX6		18	0	0		-1,000.00	\$ 103.47	\$ 794.15
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FIMA PMA2705 03%2046 AMORTIZED FCR .80304 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y7X68	31418CAF1		14	0	0		-1,000.00	\$ 97.03	\$ 786.11
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FILMAC GO 8708 03 50%2046 AMORTIZED FCR .69602 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS	Y7W437	3128MLYC8		13	0	0		-1,000.00	\$ 99.39	\$ 691.87

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Entered	Quantity	Price	Amount
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FILMFC GO 8741 03%2047 AMORTIZED FCR .86242 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'Y36E5'	'3128MLZFT'	16	0				-3,000.00	\$ 97.33	\$ 2,921.80
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FNMMA PMA3238 03 50%2046 AMORTIZED FCR .93188 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'G8PV3'	'31418C947'	3	0				-8,000.00	\$ 99.75	\$ 7,949.24
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FILMFC GO 8737 03%2046 AMORTIZED FCR .84781 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'Y75H5'	'3128MLZB5'	16	0				-3,000.00	\$ 97.33	\$ 2,978.30
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FNMMA PMA3182 03 50%2047 AMORTIZED FCR .91854 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'GALV5'	'31418CR0T'	1	0				-10,000.00	\$ 98.75	\$ 9,178.80
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FNMMA PMA3210 03 50%2047 AMORTIZED FCR .82331 16 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'GAZD0'	'31418CR88'	2	0				-4,000.00	\$ 98.75	\$ 3,989.76
Settled	1/16/2019	1/17/2019	Security Transaction	Sale FEDERAL NATL MTG ASSOC BONDS 08.625% NOV 15 2030 YLD TO MATURITY 3.20% MATURITY DATE 11/15/30. 82 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'HMKC2'	'31359MKX3'	6	0				-1,000.00	\$ 133.43	\$ 1,345.67
Settled	1/16/2019	1/17/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.625% AUG 31 2020 FIRST COUPON 02/28/19 YLD TO MATURITY 2.59% MATURITY DATE .83120 139 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'H28N7'	'9128284Y3'	8	0				-8,000.00	\$ 100.04	\$ 8,094.59
Settled	1/16/2019	1/17/2019	Security Transaction	Sale U.S. TREASURY BOND 3.000% MAY 15 2046 03.000% MAY 15 2046 YLD TO MATURITY 3.08% MATURITY DATE .61546 63 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'H28H5'	'912810RMZ'	10	0				-8,000.00	\$ 98.80	\$ 8,059.57
Settled	1/16/2019	1/17/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 YLD TO MATURITY 2.71% MATURITY DATE .21528 166 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'H28E5'	'9128263W5'	7	0				-2,000.00	\$ 100.25	\$ 2,028.09
Settled	1/16/2019	1/17/2019	Security Transaction	Sale U.S. TREASURY BOND 2.500% FEB 15 2046 02.500% FEB 15 2046 YLD TO MATURITY 3.08% MATURITY DATE .21546 166 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'H28F5'	'912810RQ3'	9	0				-4,000.00	\$ 88.31	\$ 3,614.80
Settled	1/16/2019	1/17/2019	Security Transaction	Sale U.S. TREASURY NOTE 2.125% SEP 30 2021 YLD TO MATURITY 2.54% MATURITY DATE .93021 109 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS WWW.SIFMA.ORG/TMPG.	'H2882'	'912828F21'	11	0				-4,000.00	\$ 98.82	\$ 3,982.13

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOL Entered	Quantity	Price	Amount
Settled	1/15/2019	1/17/2019	Security Transaction	Purchase SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	VIG	'31K06'	'921908944'	28	000	0	540	\$ 100.44	\$ (54,256.30)
Settled	1/15/2019	1/17/2019	Security Transaction	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'672G3'	'913691905'	35	0	0	-112	\$ 24.88	\$ 2,788.52
Settled	1/15/2019	1/17/2019	Security Transaction	Purchase IBSHARES MSCI JAPAN ETF SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EWJ	'3682Z'	'46394392Z'	31	000	0	53	\$ 53.29	\$ (2,824.37)
Settled	1/15/2019	1/17/2019	Security Transaction	Sale IBSHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IMD	'31CK1'	'464267598'	20	0	0	-288	\$ 115.46	\$ 33,257.91
Settled	1/15/2019	1/17/2019	Security Transaction	Sale IBSHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464267555'	21	0	0	-22	\$ 108.99	\$ 2,397.85
Settled	1/15/2019	1/17/2019	Security Transaction	Sale VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAV	'31FM6'	'922044901'	23	0	0	-7	\$ 113.94	\$ 797.59
Settled	1/15/2019	1/17/2019	Security Transaction	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KNZ'	'93739E30Z'	27	0	0	-28	\$ 127.77	\$ 3,577.52
Settled	1/15/2019	1/17/2019	Security Transaction	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204470Z'	24	0	0	-37	\$ 192.57	\$ 8,398.71
Settled	1/15/2019	1/17/2019	Security Transaction	Sale VANGUARD CONSUMER SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLC	'31OX9'	'91369185Z'	29	0	0	-125	\$ 44.57	\$ 5,571.53
Settled	1/15/2019	1/17/2019	Security Transaction	Sale VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FM0'	'922044207'	22	0	0	-23	\$ 134.32	\$ 3,089.25
Settled	1/15/2019	1/17/2019	Security Transaction	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	'673G1'	'913691407'	34	0	0	-55	\$ 104.84	\$ 5,785.14
Settled	1/15/2019	1/17/2019	Security Transaction	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F5'	'913691208'	33	0	0	-52	\$ 88.34	\$ 4,593.63
Settled	1/15/2019	1/17/2019	Security Transaction	Sale VANGUARD INDUSTRIAL ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FM1'	'922044603'	25	0	0	-45	\$ 128.65	\$ 5,699.83

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Client Entered	Quantity	Price	Amount
Settled	1/15/2019	1/17/2019	Security Transaction	Purchase 18-SHARES TR CORE MSCI LEAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	3875Z	46432F84Z	32	000	0		165	\$ 57.12	\$ (9,425.03)
Settled	1/15/2019	1/17/2019	Security Transaction	Sale FIRST TRUBST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	8KTY	388V4	03734X18Z	30	000	0		-68	\$ 52.03	\$ 3,494.23
Settled	1/15/2019	1/17/2019	Security Transaction	Sale REAL ESTATE SELECT SECTOR SPDR PD 8H8 PER ADVISORY AGREEMENT.	XLRE	31NV5	81389F880	28	000	0		-48	\$ 32.21	\$ 1,548.21
Settled	1/16/2019	1/16/2019	Security Transaction	Redemption BANK OF AMERICA BILF FED FUND CASH RESERVE	BFBOX	7GIX3	08248U487	18	YAMP			-4,861.00	\$	\$ 4,861.00
Settled	1/16/2019	1/16/2019	Security Transaction	Subscription HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	BFBOX	7GIX3	08248U487	17	YAMP			170	\$	\$ (770.00)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	HLMIK	9K765	412286107	6	000	0		312	\$ 20.18	\$ (5,286.16)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase OAKMARK INTL PD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	DEMIX	9KTH9	245914817	5	000	0		289	\$ 17.49	\$ (4,704.81)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase CARILLON ENGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	OAVIX	9UDV3	413838731	14	000	0		252	\$ 21.58	\$ (5,354.16)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	H8IUX	9L694	14214L486	10	000	0		4	\$ 48.20	\$ (192.80)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	CWSIX	9M085	16140T509	11	000	0		2	\$ 16.73	\$ (33.46)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	PRM8X	9W6DZ	77566H884	8	000	0		183	\$ 39.65	\$ (7,255.85)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase OPENHEIMER INTERNATL GROWTH PD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	W8CGX	9PK4Z	948921571	12	000	0		2	\$ 51.04	\$ (102.08)
Settled	1/15/2019	1/16/2019	Security Transaction	Purchase FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER	ONGYX	9LX98	98380L407	9	000	0		180	\$ 38.05	\$ (6,849.50)

Activity Status	Trade/Trans- action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SQL Entered	Quantity	Price	Amount
Settled	1/15/2019	1/16/2019	Purchase	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER SECURITIES ADVISORY AGREEMENT. ML ACTED AS AGENT.	CMNYX	97NIZ	007693543	15	0007	0	269	\$ 23.88	\$ (6,830.22)
Settled	1/15/2019	1/16/2019	Sale	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHNX	9PVM4	093063204	13	0	0	-190	\$ 36.86	\$ 7,387.20
Settled	1/15/2019	1/16/2019	Sale	Sale BLACKROCK EQTY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MAOVX	97ANY	09251JHS04	18	0	0	-508	\$ 18.39	\$ 9,850.12
Settled	1/15/2019	1/16/2019	Sale	Sale VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIUX	9K2M6	050963894	7	0	0	-207	\$ 36.84	\$ 7,625.88
Settled	1/15/2019	1/16/2019	Sale	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JMLX	9EHV3	4780CU840	4	0	0	-403	\$ 18.65	\$ 7,515.95
Settled	1/15/2019	1/15/2019	Subscription	Subscription BLF FEDFUND CASH RESERVE	BRFOX	7GXJ3	09248U437	33	YAMF		294	\$	\$ (294.00)
Settled	1/14/2019	1/14/2019	Redemption	Redemption BLF FEDFUND CASH RESERVE	BRFOX	7GXJ3	09248U437	23	YAMF		-35	\$ 124.00	\$ 35,124.00
Settled	1/14/2019	1/14/2019	Redemption	Redemption SECURITY TRAMACKA BLF FEDFUND CASH RESERVE AS OF 01/11/2019	BRFOX	7GXJ3	09248U437	22	YAMF		-10	\$	\$ 10.00
Settled	1/10/2019	1/14/2019	Purchase	Purchase ISHARES RUSSELL 1000 VALUE PRODUCT DESCRIPTION ENCL PER SECURITIES ADVISORY AGREEMENT.	IMD	31CK1	464267598	4	0007	0	73	\$ 114.53	\$ (8,360.78)
Settled	1/10/2019	1/14/2019	Purchase	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KWZ	33739E30Z	11	0007	0	5	\$ 125.50	\$ (827.48)
Settled	1/10/2019	1/14/2019	Purchase	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL PER SECURITIES ADVISORY AGREEMENT.	IMD	385M6	464267646	14	0007	0	4	\$ 180.43	\$ (721.73)
Settled	1/10/2019	1/14/2019	Purchase	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	31FX1	02204A803	10	0007	0	32	\$ 126.81	\$ (4,057.82)
Settled	1/10/2019	1/14/2019	Purchase	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	31FMD	02204A207	7	0007	0	14	\$ 133.37	\$ (1,867.13)

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	Client SOL Entered	Quantity	Price	Amount
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAV	'31FME	'822044801	8 '000	0		2	\$ 115.84	\$ (231.68)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'873F8	'813697209	19 '000	0		24	\$ 87.28	\$ (2,094.65)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MW5	'813697863	12 '000	0		32	\$ 31.88	\$ (1,020.01)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'38RY4	'33734X182	16 '000	0		15	\$ 51.26	\$ (788.89)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	IBHARES MSCI EAFE PER ADVISORY AGREEMENT.	EFA	'31CT9	'464287465	6 '000	0		14	\$ 61.33	\$ (858.57)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	MM	'38SP8	'464287630	15 '000	0		7	\$ 115.02	\$ (805.17)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	IBHARES MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EMU	'38GZD	'464340822	17 '000	0		14	\$ 53.32	\$ (748.43)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER IBHARES ADVISORY AGREEMENT.	IBB	'31CM7	'464287558	5 '000	0		3	\$ 108.52	\$ (325.56)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	IBHARES TR CORE MSCI EAFE PER ADVISORY AGREEMENT.	IEFA	'38T52	'46432F842	18 '000	0		22	\$ 57.46	\$ (1,284.12)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLFI	'873G3	'813697605	21 '000	0		60	\$ 24.42	\$ (1,465.01)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'873G1	'813697407	20 '000	0		16	\$ 104.42	\$ (1,686.25)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGIT	'31FM7	'822044702	9 '000	0		25	\$ 171.35	\$ (4,283.81)
Settled	1/10/2019	1/14/2019	Purchase Security Transaction	COMMODITY SERVICES SELECT SECTOR PER ADVISORY AGREEMENT.	XLCC	'31OX9	'813697852	13 '000	0		48	\$ 44.22	\$ (2,122.41)

Activity Status	Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Entered	Quantity	Price	Amount
Settled	1/10/2019	1/14/2019	Security Transaction	Purchase CITICORP INC - VAR% JAN 10 2028 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BB+ CALLABLE MAY AFFECT YLD DETAILS UPON REQUEST 4 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C 28	'B2783'	'172887LD1'	2 000'	0			1,000.00	\$ 98.82	\$ (98.63)
Settled	1/10/2019	1/14/2019	Security Transaction	Purchase GENERAL ELEC CAP CORP NOTES 05 875% JAN 14 2038 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BB+ YLD TO MATURITY 8.04% MATURITY DATE 1/14/38. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE38	'B75M1'	'36882G3P7'	3 000'	0			1,000.00	\$ 98.05	\$ (980.50)
Settled	1/11/2019	1/11/2019	Security Transaction	Redemption REDFUND BLF FEDFUND CASH RESERVE	BFROX	'79UX3'	'08248U437'	17 YAMF'				-24,599.00	\$	\$ 24,599.00
Settled	1/11/2019	1/11/2019	Security Transaction	Subscription HARDING LOEWNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BFROX	'79UX3'	'08248U437'	16 YAMF'				212	\$	\$ (212.00)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIK	'9K7S5'	'412285107'	5 000'	0			70	\$ 20.21	\$ (1,414.70)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9AKO69'	'16140T509'	10 000'	0			153	\$ 16.70	\$ (2,555.10)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'8KTH9'	'245914817'	3 000'	0			21	\$ 17.51	\$ (367.71)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase SOUND SHORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CANYX	'97NIZ'	'00788G543'	14 000'	0			75	\$ 24.08	\$ (1,808.00)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SHHAX	'8PVB4'	'838083204'	12 000'	0			131	\$ 38.67	\$ (5,086.77)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M8'	'552983384'	6 000'	0			78	\$ 36.60	\$ (2,854.80)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGTX	'9LX98'	'88360L407'	8 000'	0			22	\$ 36.32	\$ (799.04)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9LJ84'	'14214L485'	9 000'	0			109	\$ 48.29	\$ (5,283.61)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9RDBZ'	'77868H884'	7 000'	0			4	\$ 38.37	\$ (187.48)

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOI	Client Entered	Quantity	Price	Amount
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase OAKMARK INTL FD CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAYX	9U0Y3	413838731	13	000	0		131	\$ 21.49	\$ (2,815.19)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase NUVEEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	9KWS6	970878200	4	000	0		143	\$ 21.67	\$ (3,098.81)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLX	9EHV3	478030840	2	000	0		296	\$ 18.53	\$ (5,484.88)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCCX	9PK42	948921671	11	000	0		51	\$ 51.15	\$ (2,808.65)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MAVXX	97A19	09251M504	15	000	0		369	\$ 19.31	\$ (7,125.39)
Settled	1/10/2019	1/11/2019	Security Transaction	Purchase U.S. TREASURY NOTE 2.625% AUG 31 2020 FIRST COUPON 02/29/19 YLD TO MATURITY 2.59% MATURITY DATE 8/31/20 133 DAYS INTEREST	428N7	9128284Y3		1	000	0		1,000.00	\$ 100.05	\$ (1,010.11)
Settled	1/10/2019	1/10/2019	Subscription Security Transaction	BLF FEDFUND CASH RESERVE	BRFX	7GX13	08248U437	7	NAF			65,000.00		\$ (65,000.00)
Settled	1/10/2019	1/10/2019	Sale Security Transaction	FNMA PMA3239 04%2046 AMORTIZED FCR .50682 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON IND OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS	08QR2	31416C554		2		0		-4,000.00	\$ 101.85	\$ 3,709.12
Settled	1/10/2019	1/10/2019	Sale Security Transaction	FILMC GO 8877 04%2046 AMORTIZED FCR .53659 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON IND OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS	16M85	3128MUJF2		6		0		-3,000.00	\$ 101.99	\$ 1,952.53
Settled	1/10/2019	1/10/2019	Sale Security Transaction	FNMA PAS3907 04%2044 AMORTIZED FCR .38189 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON IND OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS	14AG5	3138MDKV2		5		0		-27,000.00	\$ 101.95	\$ 10,525.54
Settled	1/10/2019	1/10/2019	Sale Security Transaction	FILMC GO 8817 04%2046 AMORTIZED FCR .98111 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON IND OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS	0EDQ4	3128MUJF2		3		0		-1,000.00	\$ 101.98	\$ 981.15
Settled	1/10/2019	1/10/2019	Sale Security Transaction	FNMA PMA3394 04%2046 AMORTIZED FCR .95790 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON IND OF SELECTED SOURCES DETAILS UPON WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS	0E1C3	31416C2N6		4		0		-1,000.00	\$ 101.95	\$ 977.57

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E ID	SOI	Client Entered	Quantity	Price	Amount
Settled	1/8/2019	1/8/2019	Subscription	Security Transaction BLF FEDFUND CASH RESERVE	BFRXX	7GUX3	08248U437	1	YAMF			63		\$ (63.00)
Settled	1/7/2019	1/7/2019	Subscription	Security Transaction BLF FEDFUND CASH RESERVE	BFRXX	7GUX3	08248U437	2	YAMF			141		\$ (141.00)
Settled	1/4/2019	1/4/2019	Redemption	Security Transaction BLF FEDFUND CASH RESERVE REDEMT AS OF 01/03/2019	BFRXX	7GUX3	08248U437	2	YAMF			-1,609.00		\$ 1,609.00
Settled	1/3/2019	1/3/2019	Subscription	Security Transaction BLF FEDFUND CASH RESERVE	BFRXX	7GUX3	08248U437	3	YAMF			1		\$ (1.00)
Settled	1/2/2019	1/2/2019	Subscription	Security Transaction BLF FEDFUND CASH RESERVE	BFRXX	7GUX3	08248U437	5	YAMF			3,446.00		\$ (3,446.00)
Settled	1/2/2019	1/2/2019	Subscription	Security Transaction BLF FEDFUND CASH RESERVE	BFRXX	7GUX3	08248U437	4	YAMF			797		\$ (797.00)
Settled	1/2/2019	1/2/2019	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$101.00 REINV BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$101.0000 AS OF 01/02	Security Transaction PRICE \$1.00000 QUANTITY BOT 101.0000 AS OF 01/02	BFRXX	7GUX3	08248U437	3	YAMF			101		\$ -



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

CFZ2002883245 1219 X13 JV 0

Schedule # 4

Resource Management Account December 2019

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353011505.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following investment objectives for this account. If you have questions about these objectives, discuss with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in important information about your statement at the end of this document.

Your return objective:
Current income & capital appreciation

Your risk profile:
Primary - Moderate

Investment eligibility consideration - None selected

Account name: DAVID P ROE MD
CLARINDA PHILLIPS ROE JTWROS
Friendly account name: Joint Account
Account number:

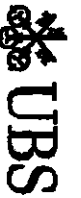
Value of your account

	on November 29 (\$)	on December 31 (\$)
Your assets	164,163.75	161,999.85
Your liabilities	0.00	0.00
Value of your account	\$164,163.75	\$161,999.85

Your account instructions
• Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2019 (\$)	Year to date (\$)
Opening account value	\$164,163.75	\$207,506.42
Deposits, including investments transferred in	0.00	331,011.61
Withdrawals and fees, including investments transferred out	-2,200.00	-378,095.12
Dividend and interest income	36.10	1,576.94
Closing account value	\$161,999.85	\$161,999.85



Resource Management Account
December 2019

Account name:
Friendly account number: Joint Account
Account number:

DAVID P ROE MD

Your Financial Advisor:
W&P FINANCIAL GROUP
423-928-7144/800-725-4848

Cash activity summary

See Account activity (this month) for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. Production applies to many market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$164,163.75	\$207,506.42
Additions		
Deposits and other funds credited	0.00	331,011.61
Dividend and interest income	36.10	1,576.94
Total additions	\$36.10	\$332,588.55
Subtractions		
Checks and bill payments	0.00	-61,032.00
Fees	0.00	-25.00
Other funds debited	-2,200.00	-317,038.12
Total subtractions	-\$2,200.00	-\$378,095.12
Net cash flow	-\$2,163.90	-\$45,506.57
Closing balances	\$161,999.85	\$161,999.85

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 498, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable interest	36.10	1,447.43
Total current year	\$36.10	\$1,447.43
Prior year adjustment	0.00	129.51
Total dividend & interest	\$36.10	\$1,576.94

UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Deposit balance Nov 7	\$217,465.50
Closing UBS Bank USA Deposit balance Dec 5	\$164,199.85
Number of days in interest period	29
Average daily balance	\$200,923.58
Interest earned	\$36.10
Annual percentage yield earned	0.23%



Resource Management Account

December 2019

Account name: DAVID P ROE MD
 Friendly account name: Joint Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the Important Information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC, and are not protected by SIPC. Money market sweep balances are protected by SIPC, but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	164,163.75	161,999.85					500,000.00

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gains or loss (\$)
		161,999.85	100.00%	161,999.85		
Total		\$161,999.85	100.00%	\$161,999.85		

Account activity this month

You have qualified for a \$175.00 Annual account fee waiver.

Date	Activity	Description	Amount (\$)
Dividend and Interest Income			
Taxable Interest			
Dec 6	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/05/19	36.10
Total Taxable Interest			
Total dividend and interest income			
			\$36.10
Date	Activity	Description	Amount (\$)
Dec 18	Withdrawal	CHECK # 0001816111 TO The Lodges at Eagles Nest MEMO: Roe 1047-00003611	-2,200.00
Other funds debited			
		Total other funds debited	-\$2,200.00



Resource Management Account
December 2019

Account name: DAVID P ROE MD
Friendly account name: Joint Account
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Money balance activities			
Nov 29	Balance forward		\$164,163.75
Dec 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/05/19	36.10
Dec 19	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/18/19	-2,200.00
Dec 31	Closing UBS Bank USA Deposit Account		\$161,999.85

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include all payments. Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Disbursement Amount (\$)	method	Type/Event	Frequency	Start date	End date	Last used date
Avery County Tax Collections	varied	Check	Payee on file	Upon request	n/a	n/a	Aug 14, 19
Steven Cuff Construction	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Oct 31, 19
FIFTH THIRD BANK							

CP220005002883248 P22000113793 08005 1219 084985787 JY11505P40 101000

End of statement for account number JY 11 505 PW



UBS Financial Services Inc.
214 E Monticello Drive
Suite 1-A
Johnson City TN 37601-2509

EPZ200288433 1219 X13 JV*0

Schedule #5

Resource Management Account

December 2019

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4948

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353001954.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following investment objectives for this account. If you have questions about these objectives, discuss them with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important Information about your statement* at the end of this document.

Your return objective:
Current income & capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - None selected

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Value of your account

	on November 29 (S)	on December 31 (S)
Your assets	1,782,099.48	1,827,478.48
Your liabilities	0.00	0.00
Value of your account	\$1,782,099.48	\$1,827,478.48

Change in the value of your account

	December 2019 (S)	Year to date (S)
Opening account value	\$1,782,099.48	\$1,455,121.62
Deposits, including investments transferred in	95,078.41	887,382.16
Withdrawals and fees, including investments transferred out	-67,353.58	-701,012.98
Dividend and interest income	69.64	1,894.61
Change in value of outside assets	17,849.34	184,487.65
Change in market value	-264.81	-194.58
Closing account value	\$1,827,478.48	\$1,827,478.48

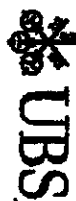
As a service to you, your portfolio value of \$1,827,478.48 includes the following assets that are not held by UBS:

Insurance Products \$1,394,807.70

Information about these assets not held by UBS, including their value, was provided by an external source for which UBS is not responsible. These assets are not covered by SIPC. See the section *Your assets for details*.

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



Resource Management Account
December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4846

Cash activity summary

See Account activity this month for details. Balances in your Savings Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SIPC. Protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$405,141.12	\$244,801.57
Additions		
Deposits and other funds credited	44,083.57	434,119.93
Dividend and interest income	69.64	1,594.61
Total additions	\$44,153.21	\$435,814.54
Subtractions		
Checks and bill payments	0.00	-33,490.66
Card payments	-1,623.55	-24,059.67
Other funds debited	-15,000.00	-190,395.00
Total subtractions	-\$16,623.55	-\$247,945.33
Net cash flow	\$27,529.86	\$187,869.21
Closing balances	\$432,670.78	\$432,670.78

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest, as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable interest:	69.64	1,594.61
Total current year	\$69.64	\$1,594.61
Prior year adjustment	0.00	108.41
Total dividend & interest	\$69.64	\$1,694.61

UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Business balance Nov 7	\$250,070.35
Closing UBS Bank USA Business balance Dec 5	\$250,044.50
Number of days in interest period	29
Average daily balance	\$250,002.43
Interest earned	\$44.50
Annual percentage yield earned	0.22%



Resource Management Account
December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS AG Deposit Account APY

Interest period Nov 7 - Dec 5

Opening UBS AG Deposit balance Nov 7	\$141,361.86
Closing UBS AG Deposit balance Dec 5	\$155,166.26
Number of days in interest period	29
Average daily balance	\$141,684.19
Interest earned	\$25.14
Annual percentage yield earned	0.22%

My Choice Rewards Points from UBS

Rewards points are reflected as of the 26th of the month or the prior business day if the 26th falls on a weekend/holiday. Rewards point activity details can be found on www.ubs.com/financeservices

	December 2019	Year to date
Opening balance	163,565	142,672
Points earned	751	20,949
Bonus points	0	695
Closing balance	164,316	164,316



Resource Management Account
December 2019

Account name: CLARINDA P JEANES LIVING TRUST /
Friendly account name: Clarinda Acct /
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-8848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

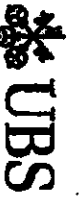
Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the Important Information about your statement at the end of this document for details about these balances.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/interest period	Days in period	Cap amount (S)
Cash	17,877.02	31,083.57					
UBS BANK USA BUS ACCT	250,000.00	250,000.00					250,000.00
UBS AG DEPOSIT ACCOUNT	137,464.10	151,587.21					
Total	\$485,341.12	\$432,670.78					



Resource Management Account

Account name: CLARINDA P JEANES LIVING TRUST
 Friendly account name: Clarinda Acci
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets (continued)

Other

Assets held outside UBS Financial Services Inc.

These assets are held outside UBS Financial Services Inc. and are included in your statement as a service to you. Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc. does not guarantee the accuracy of the information and is not responsible for it. The value shown is not necessarily the value you would receive from the issuer if you sold the assets. These assets are not covered by SIPC.

Annuities

Holding	Death benefit (\$)	Living benefit (\$)	Surrender value (\$)	Surrender charge	Total premium (\$)	Total withdrawal (\$)	Value (\$)
PRULCO LIFE INSURANCE COMPANY	790,990.29	858,865.40	757,109.52	Jul 3 2018	550,000.00	0.00	758,135.00
PREMIER RET VAR ANNUITY L SHARE							
AS OF 12/30/2019							
CONTRACT NBR: E1448354							
RATE - VARIABLE							
ISSUE DATE: 05/14/2012							
PORTFOLIOS: ACT BALANCED ASSET ALLOCATION PORTFOLIO - 100.00%							
TRANSAMERICA LIFE INS CO	89,391.69		52,328.92	Aug 2 2006	33,000.00	0.00	52,328.92
LANDMARK							
AS OF 12/30/2019							
CONTRACT NBR: 109284E98							
RATE - VARIABLE							
ISSUE DATE: 08/02/1999							
PORTFOLIOS: 7A VMAC US GROWTH - 100.00%							
UNICOLN NATIONAL	292,249.37		292,249.37	Jun 30 2015	250,000.00	92,899.66	292,249.37
CHOICE PLUS ASSUR L SHARE							
AS OF 12/30/2019							
CONTRACT NBR: 922102177							
RATE - VARIABLE							
ISSUE DATE: 06/30/2011							
PORTFOLIOS: LVP VG INT EQ - 25.00% / LVP AM GROWTH - 25.00% / DEL DIVERSD INC - 20.00% / LVP AM GR INC - 20.00% / LVP PIMCO LDB - 10.00%							
UNICOLN NATIONAL	292,094.41		292,094.41	Jun 30 2015	250,000.00	92,978.52	292,094.41
CHOICE PLUS ASSUR L SHARE							
AS OF 12/30/2019							
CONTRACT NBR: 922102178							
RATE - VARIABLE							
ISSUE DATE: 06/30/2011							
PORTFOLIOS: LVP AM GROWTH - 25.00% / LVP VG INT EQ - 25.00% / DEL DIVERSD INC - 20.00% / LVP AM GR INC - 20.00% / LVP PIMCO LDB - 10.00%							
Total					\$1,083,000.00		\$1,384,807.70



Resource Management Account
December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets (continued)

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	432,670.78	23.48%	432,670.78		
Cash and money balances	432,670.78	23.48%	432,670.78		
Other	1,394,807.70	76.52%			
Annuitties					
Total	\$1,827,478.48	100.00%	\$432,670.78		

Account activity this month

You have qualified for a \$175.00 Annual account fee waiver.

Date	Activity	Description	Amount (\$)
Dec 26	Transfer	JOURNAL FROM DONALD R JEANES	13,000.00
Dec 31	Transfer	EARNINGS DISBURSEMENT FROM CLARINDA P JEANES LIVING TRUST	2,127.08
Dec 31	Transfer	JOURNAL FROM CLARINDA P JEANES IRREVOCABLE	12,853.50
Dec 31	Transfer	EARNINGS DISBURSEMENT FROM DONALD R JEANES MARITAL	16,102.95
Total deposits and other funds credited			\$44,083.57

Date	Activity	Description	Amount (\$)
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19	44.50
Dec 6	Interest	UBS AG DEPOSIT ACCOUNT AS OF 12/05/19	25.14
Total taxable interest			\$69.64
Total dividend and interest income			\$69.64
Card payments			
Dec 13	CREDIT CARD PAYMENT		-1,623.55
Total card payments			-\$1,623.55



Resource Management Account

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-626-7144/800-729-4848

UBS Visa credit card(s) payment information for January 23, 2020

Minimum Payment Due	Payment Due Date	Credit line	Available Credit Line	Outstanding balance
\$35.00	Jan 23, 2020	\$30,000.00	\$29,248.15	\$751.85

This credit card is enrolled in UBS Pay Credit Card feature and will automatically be paid from your account. Your payment is scheduled for January 15, 2020

We provide this information on your UBS Visa credit card solely as a courtesy to you. The UBS Visa credit card and account are issued by UBS Bank USA. UBS Bank USA sends you the official statement for this account and you should make your payment according to their instructions. We do not include your outstanding credit card balance in the value of your account with UBS Financial Services Inc.

Other funds debited

Date	Activity	Description	Amount (\$)
Dec 24	Withdrawal	BANK OF TENNESSEE ELECTRONIC FUNDS TRANSFER	-15,000.00
Total other funds debited			-615,000.00

Investment transactions

For more information about the price/value shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Procceds from Investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued Interest (\$)
Dec 2	Transfer	VANGUARD GROWTH ETF FM JY 05947 1100 SYMBOL: VJG	291.00Q	50,994.84				
Dec 3	Deliver Dkt	VANGUARD GROWTH ETF Austin Penny State Unlenn FFC SYMBOL: VJG	-291.00Q	-50,730.03				

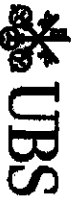
Total

Securities transferred in \$50,994.84
Securities transferred out -\$50,730.03

Money balance activities

Date	Activity	Description	Amount (\$)
Nov 29	Balance forward		\$250,000.00
Dec 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19	44.50
Dec 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-44.50
Dec 31	Closing UBS Bank USA Business Account		\$137,464.10
Nov 29	Balance forward		17,677.02
Dec 2	Deposit	UBS AG DEPOSIT ACCOUNT	25.14
Dec 6	Deposit	UBS AG DEPOSIT ACCOUNT AS OF 12/05/19	44.50
Dec 9	Deposit	UBS AG DEPOSIT ACCOUNT	

continued next page



Resource Management Account
December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/13/19	-1,623.55
Dec 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/24/19	-15,000.00
Dec 27	Deposit	UBS AG DEPOSIT ACCOUNT	13,000.00
Dec 31	Closing UBS AG Deposit Account		\$151,587.21

Summary of approved payees and recurring payments

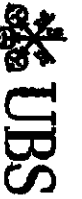
Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer requesting individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	method	Debit/credit	Type	Payee on file	Frequency	Start date	End date	Last used date
CAK		varied	Check	Payee on file		Upon request	n/a	n/a	Feb 28, 19
Life Insurance		varied	Check	Payee on file		Upon request	n/a	n/a	Mar 28, 19

SCHEDULE 6



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

EPZ0002894201 1219 X13 NY 0

Managed Accounts Consulting December 2019

Your financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Questions about your statement?
Call your Financial Advisor or the
RMA Resource line at 800-RMA-1000,
account 353005242.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following
investment objectives for this account.
Contact your Financial Advisor if you have
any questions, if your financial situation,
individual needs, risk tolerance or
investment objectives for your advisory
account(s) have changed; or if you would
like to impose or change investment
restrictions on your discretionary advisory
accounts. You can find a full description
of the alternative investment objectives in
Important Information about your
statement at the end of this document.

Your return objective:
Current Income
Your risk profile:
Primary - Conservative
Investment eligibility consideration -
Aggressive

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Value of your account

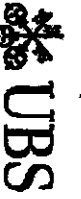
	on November 29 (\$)	on December 31 (\$)
Your assets	580,242.24	579,596.62
Your liabilities	0.00	0.00
Value of your account	\$580,242.24	\$579,596.62
Accrued interest in value above	\$5,345.10	\$5,420.78

As a service to you, your portfolio value of
\$579,596.62 includes accrued interest.

- Your account instructions
- Your account is managed by BlackRock.
- Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2019 (\$)	Year to date (\$)
Opening account value	\$580,242.24	\$577,990.51
Withdrawals and fees, including investments transferred out	-2,127.08	-28,733.83
Dividend and interest income	1,990.33	25,039.97
Change in value of accrued interest	75.68	-734.73
Change in market value	-584.55	6,034.70
Closing account value	\$579,596.62	\$579,596.62



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Adviser:
WLP FINANCIAL GROUP
423-928-7144/800-729-4948

Cash activity summary

See Account activity file month for details. Balances in your Sweep Options are included in the opening and closing balances table. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. Protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$62,060.89	\$960.55
Additions		
Dividend and interest income	1,990.33	25,039.97
Proceeds from investment transactions	0.00	83,068.00
Total additions	\$1,990.33	\$108,107.97
Subtractions		
Professional management fees and related services	0.00	-3,770.64
Other funds debited	-2,127.08	-24,963.19
Funds withdrawn for investments bought	-58,052.05	-76,462.60
Total subtractions	-\$60,179.13	-\$105,196.43
Net cash flow	-\$58,188.80	\$2,911.54
Closing balances	\$3,872.09	\$3,872.09

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable interest	9.08	41.53
Tax-exempt interest	2,127.08	24,963.19
Tax-exempt accrued interest paid	-145.83	-222.91
Tax-exempt accrued interest received	0.00	256.94
Total current year	\$1,990.33	\$25,039.75
Prior year adjustment	0.00	1.22
Total dividend & interest	\$1,990.33	\$25,039.97

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	December 2019 (\$)	Year to date (\$)	Unrealized gains and losses (\$)
Short term	0.00	0.00	916.51
Long term	0.00	1,697.02	16,243.33
Total	\$0.00	\$1,697.02	\$17,159.84



Managed Accounts Consulting

December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the Important Information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC, but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Pays In period	Cap amount (\$)
Cash	-3,125.00	-2,127.08					
UBS BANK USA BUS ACCT	65,185.89	5,999.17					250,000.00
Total	\$62,060.89	\$3,872.09					

Fixed Income

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TEENESSEE ST								
SR A	NV							
RATE 05.000% MATURIES 08/01/20								
ACCRUED INTEREST \$1,041.86								
CUSIP 880941SMQ								
Moody's Aaa S&P: AAA								
BAI: \$2,500 Current yield: 4.89%								
Original cost basis: \$80,047.50								
	Apr 15, 14	50,000.000	101.948	50,974.32	102.274	51,137.00	162.68	LT

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Managed Accounts Consulting

December 2019

Account name: CLARINDA P JEANES LIVING TRUST
 Friendly account name: BlackRock Muni
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 429-928-7144/800-729-4848

Your assets • Fixed Income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(s)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SHELBY CNTY TN								
SR A BEV/								
RATE 04.000% MATURES 03/01/21								
ACCRUED INTEREST \$686.66								
CUSIP 821686J80								
Moody: Aa1 S&P: Aa+								
EAI: \$2,000 Current yield: 3.87%								
Original cost basis: \$59,041.50								
WEST KNOX UTIL DIST KNOX								
RV BEV/								
RATE 05.000% MATURES 06/01/22								
ACCRUED INTEREST \$83.33								
CUSIP 953564G65								
Moody: Not rated S&P: Aa+								
EAI: \$1,000 Current yield: 4.58%								
Original cost basis: \$22,890.00								
TENNESSEE ST SCH BD AUTH								
SR B BEV/								
RATE 05.000% MATURES 11/01/22								
ACCRUED INTEREST \$208.33								
CUSIP 880558HF1								
Moody: Aa1 S&P: Aa+								
EAI: \$1,250 Current yield: 4.51%								
Original cost basis: \$28,929.50								
JACKSON TN ENERGY AUTH E								
RV BEV/								
RATE 05.000% MATURES 06/01/23								
ACCRUED INTEREST \$416.66								
CUSIP 468730CA5								
Moody: Aa2 S&P: Not rated								
EAI: \$2,500 Current yield: 4.44%								
Original cost basis: \$60,375.50								
	Dec 20, 16	25,000,000	107.836	26,959.21	110.882	27,720.50	761.29	LT
	Nov 20, 14	50,000,000	108.701	54,350.87	112.529	56,264.50	1,913.63	LT

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Managed Accounts Consulting

December 2019

Account name: CLAIRBDA P JEANES LIVING TRUST
 Friendly account name: BlackRock Muni
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets • Fixed income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FRANKLIN CNTY OH HOSP FA								
RV	BEV							
RATE 05.080% MATURES 05/15/23								
ACCRUED INTEREST \$319.44								
CUSIP 3531878B6								
Moody: Aa2 S&P: Aa+								
EAI: \$2,500 Current yield: 4.45%								
Original cost basis: \$61,285.50								
Mar 27, 13		50,000.00	108.203	54,101.89	112.422	56,211.00	2,109.11	LT
RUTHERFORD CNTY TENN GO								
BEV								
RATE 05.000% MATURES 04/01/24								
ACCRUED INTEREST \$250.00								
CUSIP 783244HM1								
Moody: Aa1 S&P: Aa+								
EAI: \$1,000 Current yield: 4.31%								
Original cost basis: \$23,064.40								
Aug 15, 18		20,000.00	111.816	22,363.21	115.967	23,193.40	830.19	LT
MAURY CNTY TN								
BEV								
RATE 05.090% MATURES 04/01/25								
ACCRUED INTEREST \$625.00								
CUSIP 577625XH4								
Moody: Aa2 S&P: Not rated								
EAI: \$2,500 Current yield: 4.19%								
Original cost basis: \$60,930.50								
May 28, 15		50,000.00	112.326	56,163.02	119.207	59,603.50	3,440.48	LT
JOHNSON CNTY TN								
SR A	BEV							
RATE 05.000% MATURES 06/01/26								
ACCRUED INTEREST \$166.66								
CUSIP 478251NT4								
Moody: Aa2 S&P: Not rated								
EAI: \$2,000 Current yield: 4.08%								
Original cost basis: \$49,286.40								
Dec 15, 17		40,000.00	117.958	47,183.40	122.669	49,067.60	1,884.20	LT

continued next page



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Fixed Income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(s)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain/loss (\$)	Holding period
LAFAYETTE PARISH LA SCH								
RV BEV/								
RATE 05.000% MATURES 04/01/27								
ACCURED INTEREST \$500.00								
CUSIP 506624QF5								
S&P: AA+								
EAT: \$2,000 Current yield: 4.02%								
Original cost basis: \$48,669.60	Jan 19, 18	40,000.000	117,640	47,056.22	124,403	49,761.20	2,704.98	LT
METROPOLITAN NASHVILLE								
SR A RV BEV/								
RATE 05.000% MATURES 07/01/30								
DATED DATE 12/17/19								
ACCURED INTEREST \$38.88								
CUSIP 592190MZZ								
Moody: A2								
EAT: \$1,000 Current yield: 3.82%								
Original cost basis: \$26,185.80	Dec 06, 19	20,000.000	130,824	26,164.94	131,040	26,208.00	43.06	ST
SUNNER CNTY TN								
BEV/								
RATE 05.000% MATURES 12/01/30								
CALLABLE 12/01/28 @ 100.00								
ACCURED INTEREST \$62.50								
CUSIP 866407M97								
S&P: AA+								
EAT: \$750 Current yield: 3.92%								
Original cost basis: \$18,410.55	Mar 05, 19	15,000.000	121,015	18,152.36	127,460	19,119.00	966.64	ST
NEW YORK CITY TRANS FINA								
RV BEV/								
RATE 05.000% MATURES 07/15/28								
DATED DATE 10/30/19								
CALLABLE 07/15/29 @ 100.00								
ACCURED INTEREST \$208.33								
CUSIP 64972H3A8								
Moody: Aa2 S&P: AA								
EAT: \$1,250 Current yield: 3.94%								
Original cost basis: \$31,866.25	Dec 10, 19	25,000.000	127,311	31,827.94	126,939	31,734.75	-93.19	ST

continued next page



Managed Accounts Consulting
December 2019

Account name: CLAIRIDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number: ...

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Fixed Income, Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CLARKSVILLE TN WTR SWR RV BE/R/ RATE 05.000% MATURES 02/01/41 CALLABLE 02/01/26 @ 100.00 ACCRUED INTEREST \$833.33 CUSIP 182618LU0 Moody: Aa2 S&P: Not rated E&I: \$2,000 Current yield: 4.28% Original cost basis: \$46,828.00	Dec 28, 17	40,000.000	113.163	45,265.50	116.855	46,742.00	1,476.50	LT
Total		\$495,000.000		\$553,143.91		\$570,303.75	\$17,159.84	
Total accrued interest: \$5,420.78								
Total estimated annual income: \$24,250								

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		3,872.09	0.67%	3,872.09		
Fixed Income	Municipal securities	570,303.75		553,143.91	24,250.00	17,159.84
	Total accrued interest	5,420.78				
	Total fixed income	575,724.53	98.89%	553,143.91	24,250.00	17,159.84
Total		\$579,596.62	100.00%	\$557,016.00	\$24,250.00	\$17,159.84

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19	9.08
Total taxable interest			\$9.08
Dec 2	Interest	WEST KNOX UTIL DIST KNOX RV PAID ON 20000AS OF 12/01/19 CUSIP: 953564GES	500.00
Dec 2	Interest	JOHANSON CITY TN SR A 40000 AS OF 12/01/19 CUSIP: 478251NT4	1,000.00

continued next page



Managed Accounts Consulting

Account name: CLARINDA P JEANES LIVING TRUST
 Friendly account name: BlackRock Muni
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)

Tax-exempt interest (continued)

Date	Activity	Description	Amount (\$)
Dec 2	Interest	SUNNER CNTY TN BFR/ 5 DUE 120130 DTD 013119CALLABLE FC 120119 PAID ON 15000AS OF 12/01/19 CUSIP: 866407M97	627.08

Total accrued interest paid	-	\$145.83
Total tax-exempt interest	-	\$1,981.25
Total dividend and interest income	-	\$1,990.33

Other funds debited

Date	Activity	Description	Amount (\$)
Dec 31	Transfer	EARNINGS DISBURSEMENT TO TRUST CLARINDA P JEANES LIVING	-2,127.08
Total other funds debited	-		-\$2,127.08

Investment transactions

For more information about the price/value shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from - Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 12	Bought	NEW YORK CITY TRANS FINA RV 5 DUE 071533 DTD 103019 CALLABLE FC 071520 CALLABLE @ 100 071529 FC 071520 1.860% YIELD TO CALL 07/15/2029 @ \$100.000 CUSIP: 64972H3A8		25,000.000	127.4650000		-31,865.25	-145.83
Dec 17	Bought	METROPOLITAN NASHVILLE SR A RV 5 DUE 070130 DTD 121719 FC 070120 1.770% YIELD TO MATURITY BLACK ROCK AS AGENT - STEP OUT CUSIP: 592190M22		20,000.000	130.9290000		-26,185.80	
Total							-\$58,052.05	-\$145.83

Money balance activities

Date	Activity	Description	Amount (\$)
Nov 29	Balance Forward		\$65,185.89
Dec 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/29/19	-3,125.00
Dec 3	Deposit	UBS BANK USA BUSINESS ACCOUNT	2,127.08
Dec 5	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19	9.08
Dec 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-32,012.08
Dec 17	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-26,185.80
Dec 31	Closing UBS Bank USA Business Account		\$5,998.17



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Adviser:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Summary of approved payees and recurring payments

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The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Disbursement		Type/Event	Frequency	Start date	End date	Last used date
	Amount (\$)	method					
	varied	Transfer	Payee on file	Upon request	n/a	n/a	Nov 28, 19



Entry Date	Settle Date	Activity	Description	Symbol/Security#	Type	Quantity	Price/Doll	Amount
12/10/19	12/17/19	BOUGHT	METROPOLITAN NASHVILLE SR A RV BEAR/ TRADE DATE: 12/06/2019 Trade# 12036 Bkct#7	BOL01	1	20,000.000	\$130.829	-26,165.80
12/10/19	12/17/19	BOUGHT	NEW YORK CITY TRANS FINA RV BEAR/ Trade# 20617 Bkct#7	BZCWN3	1	25,000.000	\$127.465	-32,012.08
12/09/19	12/17/19	BOUGHT	MEDFORD MA GO SR B BEAR/ TRADE DATE: 12/06/2019 Trade# 00471 Bkct#7	BDOCV9	1	20,000.000	\$130.829	-26,165.80
03/05/19	03/07/19	BOUGHT	SUNNER CNTY TN BEAR/ Trade# 22459 Bkct#7	ELSPV2	1	15,000.000	\$122.737	-18,407.63
12/10/19	12/17/19	CANCEL BUY	MEDFORD MA GO SR B BEAR/ TRADE DATE: 12/06/2019 Trade# 00471 Bkct#7	BDOCV9	1	-20,000.000	\$130.829	26,165.80
11/05/19	11/08/19	SOLD	WILLIAMSON CNTY TN SR B BEAR/ Trade# 31021 Bkct#7	EGIPV4	1	-50,000.000	\$116.136	58,324.94

SCHEDULE 7



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

EPZ002000043 1219 X13 JV 0

Portfolio Management Program

December 2019

Your Financial Advisor:

WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4648

Questions about your statement?
Call your Financial Advisor or the
ResourceLine at 800-762-1000,
account 3530J5931.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions, if your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in *Important Information about your statement* at the end of this document.

Your return objectives:

Current Income & capital appreciation

Your risk profile:

Primary - Moderate
Investment eligibility consideration - None selected

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Value of your account

	on November 29 (S)	on December 31 (S)
Your assets	3,936,325.32	4,018,653.68
Your liabilities	0.00	0.00
Value of your account	\$3,936,325.32	\$4,018,653.68
Accrued interest in value above	\$410.99	\$669.65

As a service to you, your portfolio value of \$4,018,653.68 includes accrued interest.

Your account instructions

- Your account is managed by your Financial Advisor under the Portfolio Management Program.
- Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2019 (S)	Year to date (S)
Opening account value	\$3,936,325.32	\$3,461,148.25
Deposits, including investments transferred in	0.00	26.69
Withdrawals and fees, including investments transferred out	-12,878.62	-182,970.17
Dividend and interest income	41,776.11	126,629.16
Change in value of accrued interest	258.66	-223.95
Change in market value	53,172.21	614,043.70
Closing account value	\$4,018,653.68	\$4,018,653.68



Portfolio Management Program
December 2019

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
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423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Swap Options are included in the opening and closing balances table. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. Protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$590.23	\$187,973.04
Additions		
Deposits and other funds credited	0.00	26.69
Dividend and interest income	41,776.11	126,629.16
Proceeds from investment transactions	194,617.38	733,561.25
Total additions	\$236,393.49	\$860,217.10
Subtractions		
Professional management fees and related services	0.00	-27,820.55
Other fees	0.00	-7.04
Other funds debited	-12,878.62	-155,142.58
Funds withdrawn for investments bought	-27,813.13	-668,528.00
Total subtractions	-\$40,691.75	-\$851,498.17
Net cash flow	\$195,701.74	\$8,718.93
Closing balances	\$196,691.97	\$196,691.97

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1089 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable dividends	17,726.37	91,904.59
Long-term capital gains	23,621.41	24,470.90
Tax-exempt dividends	427.46	4,620.70
Taxable interest	0.87	3,736.87
Total current year	\$41,776.11	\$124,733.06
Prior year adjustment	0.00	1,896.10
Total dividend & interest	\$41,776.11	\$126,629.16

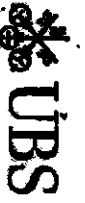
Summary of gains and losses

Values reported below exclude products for which gains and losses are not disclosed.

	December 2019 (\$)	Year to date (\$)	Unrealized gains and losses (\$)
Short term	-9,403.87	-8,157.70	36,277.20
Long term	6,188.98	34,300.41	865,279.67
Total	-\$3,214.89	\$26,142.71	\$901,556.87

Withholdings and tax summary

	December 2019 (\$)	Year to date (\$)
Foreign taxes paid	-25.12	-600.58



Portfolio Management Program
December 2019

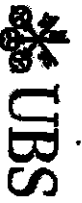
Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Business balance Nov 7	\$4,787.14
Closing UBS Bank USA Business balance Dec 5	\$1,753.72
Number of days in interest period	29
Average daily balance	\$4,907.96
Interest earned	\$0.87
Annual percentage yield earned	0.22%



Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDC insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the important information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDC insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/interest period	Days in period	Cap amount (S)
Cash	493.03	177,073.39					
UBS BANK USA BUS ACCT	497.20	19,618.58					250,000.00
Total	\$990.23	\$196,691.97					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (S)	Cost basis (S)	Price per share on Dec 31 (S)	Value on Dec 31 (S)	Unrealized gain or loss (S)	Holding period
ACCENTURE PLC IRELAND CLA								LT
Symbol: ACN Exchange: NYSE								
EAI: \$51 Current yield: 1.51%								
	Oct 17, 18	16,000	159.040	2,544.64	210.570	3,369.12	824.48	
AMER ELECTRIC POWER CO								ST
Symbol: AEP Exchange: NYSE								
EAI: \$42 Current yield: 2.96%								
	Sep 9, 19	10,000	91.338	913.38	94.510	945.10	31.72	
	Sep 9, 19	5,000	91.348	456.74	94.510	472.55	15.81	
Security total		15,000	91.341	1,370.12		1,417.65	47.53	
BLACKROCK INC								LT
Symbol: BLK Exchange: NYSE								
EAI: \$53 Current yield: 2.64%								
	Oct 17, 18	4,000	411.390	1,645.56	502.700	2,010.80	365.24	

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Portfolio Management Program

Account name: JEANES INVESTMENTS LLC
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 Account number:

Your Financial Advisor
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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: \$114 Current yield: 3.94%	Oct 17, 18	24,000	116.810	2,803.44	120.510	2,892.24	88.80	LT
CHUBB LTD CHF								
Symbol: CB Exchange: NYSE								
EAI: \$765 Current yield: 1.93%								
CHF Exchange rate: 0.96895	Apr 6, 16	243,000	118.982	28,912.77	153.650	37,825.38	8,912.61	LT
	Oct 17, 18	12,000	127.389	1,528.67	155.650	1,867.92	339.25	LT
Security total		255,000	119.378	30,441.44		39,693.30	9,251.86	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$2,246 Current yield: 2.89%	Dec 14, 15	816,000	42.185	34,423.04	55.360	45,165.60	10,742.56	LT
	Jul 23, 18	3,000	44.890	134.67	55.350	166.05	31.38	LT
	Oct 17, 18	43,000	45.810	1,969.83	55.350	2,380.05	410.22	LT
	Nov 5, 18	542,000	48.328	26,193.83	55.350	29,999.70	3,805.87	LT
Security total		1,404,000	44.673	62,721.37		77,711.40	14,990.03	
COMCAST CORP NEW CL A								
Symbol: CMCSA Exchange: OTC								
EAI: \$1,457 Current yield: 1.87%	Mar 5, 18	483,000	36.974	17,858.64	44.970	21,720.51	3,861.87	LT
	Mar 5, 18	472,000	36.937	17,434.41	44.970	21,225.84	3,791.43	LT
	Oct 17, 18	53,000	36.439	1,931.31	44.970	2,383.41	452.10	LT
	Nov 5, 18	726,000	38.197	27,731.60	44.970	32,648.22	4,916.62	LT
Security total		1,734,000	37.460	64,955.96		77,977.98	13,022.02	
CRANE CO								
Symbol: CR Exchange: NYSE								
EAI: \$25 Current yield: 1.81%	Oct 17, 18	16,000	90.190	1,443.04	86.360	1,382.08	-60.96	LT
DIAGEO PLC NEW GB SPON ADR								
Symbol: DEO Exchange: NYSE								
EAI: \$1,318 Current yield: 2.04%	Sep 13, 11	75,000	76.020	5,701.50	168.420	12,631.50	6,930.00	LT
	Jan 8, 12	155,000	87.330	13,536.15	168.420	26,105.10	12,568.95	LT
	Jul 8, 13	78,000	119.002	9,282.20	168.420	13,136.76	3,854.56	LT
	Mar 3, 14	27,000	123.860	3,344.22	168.420	4,547.34	1,203.12	LT
	Sep 12, 17	4,000	137.410	549.64	168.420	673.68	124.04	LT
	Oct 17, 18	13,000	135.369	1,760.05	168.420	2,189.46	429.40	LT

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
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423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Oct 15, 19	32,000	162.413	5,197.24	168.420	5,389.44	192.20	ST
		384,000	102.529	39,371.01		64,673.28	25,302.27	

HOME DEPOT INC

Symbol: HD Exchange: NYSE

EAI: \$1,877 Current yield: 2.49%

Aug 19, 15	328,000	123.030	40,353.84	218.380	71,628.64	31,274.80	LT
Oct 17, 18	17,000	185.410	3,151.97	218.380	3,712.46	560.49	LT
Security total	345,000	126.104	43,505.81		75,341.10	31,835.29	

INTEL CORP

Symbol: INTC Exchange: OTC

EAI: \$1,341 Current yield: 2.11%

Jul 5, 11	278,000	22.629	6,290.92	59.850	16,638.30	10,347.38	LT
Jul 8, 11	114,000	23.117	2,635.41	59.850	6,822.90	4,187.49	LT
Jul 8, 13	397,000	23.336	9,264.63	59.850	23,760.45	14,495.82	LT
Mar 3, 14	139,000	24.519	3,408.27	59.850	8,319.15	4,910.88	LT
Sep 12, 17	17,000	35.880	609.96	59.850	1,017.45	407.49	LT
Oct 15, 18	44,000	44.630	1,963.72	59.850	2,633.40	669.68	LT
Oct 17, 18	75,000	46.000	3,450.00	59.850	4,488.75	1,038.75	LT
Security total	1,054,000	25.961	27,622.91		63,880.40	36,057.49	

JOHNSON & JOHNSON COM

Symbol: JNJ Exchange: NYSE

EAI: \$106 Current yield: 2.60%

Oct 17, 18	23,000	139.720	3,213.56	145.870	3,355.01	141.45	LT
Dec 9, 19	5,000	140.380	701.95	145.870	729.35	27.40	ST
Security total	28,000	139.840	3,915.51		4,084.36	168.85	

JPMORGAN CHASE & CO

Symbol: JPM Exchange: NYSE

EAI: \$529 Current yield: 2.58%

Jan 9, 18	105,000	109.018	11,446.94	139.400	14,637.00	3,190.06	LT
Oct 15, 18	12,000	107.390	1,288.68	139.400	1,672.80	384.12	LT
Oct 17, 18	30,000	110.307	3,309.22	139.400	4,182.00	872.78	LT
Security total	147,000	109.149	16,044.84		20,491.80	4,446.96	

UNDE PLC EUR

Symbol: UN Exchange: NYSE

EAI: \$844 Current yield: 1.64%

EUR Exchange rate: 0.89086

Sep 12, 16	183,000	163.455	29,912.27	212.900	38,960.70	9,048.43	LT
Oct 17, 18	8,000	163.455	1,307.64	212.900	1,703.20	395.96	LT
Security total							

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Portfolio Management Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAT: \$202 Current yield: 2.47%								
Security total		241,000	170.068	40,986.46	212.800	51,308.80	10,322.44	ST
MAIRSH & MCLENNAN COS INC								
Symbol: MMC Exchange: NYSE								
EAT: \$1,023 Current yield: 1.63%								
Security total		21,000	308.109	6,365.29	389.380	8,176.98	1,811.69	ST
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAT: \$1,500 Current yield: 2.53%								
Security total		562,000	54.556	30,680.31	111.410	62,612.42	31,932.11	LT
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAT: \$750 Current yield: 1.91%								
Security total		300,000	135.904	40,771.21	197.610	59,283.00	18,511.79	LT
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAT: \$2,007 Current yield: 1.29%								
Security total		347,000	77.542	26,907.19	157.700	54,683.90	27,776.71	LT
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAT: \$2,007 Current yield: 1.29%								
Security total		984,000	42.021	41,348.20	157.700	155,176.80	113,828.60	LT

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-725-4846

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE								
EAI: \$675 Current yield: 2.06%								
	Jul 8, 13	53,000	80.487	4,265.82	242.160	12,834.48	8,568.66	LT
	Mar 3, 14	38,000	91.080	3,461.04	242.160	9,202.08	5,741.04	LT
	Oct 17, 18	12,000	170.780	2,049.36	242.160	2,905.92	856.56	LT
	Jun 27, 19	32,000	204.493	6,542.52	242.160	7,749.12	1,206.60	ST
Security total		135,000	120.880	16,318.74		32,691.60	18,372.86	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$943 Current yield: 1.85%								
	Jun 9, 12	303,000	49.918	15,125.42	94.690	28,691.07	13,565.65	LT
	Jul 8, 13	130,080	62.514	8,126.93	94.690	12,309.70	4,182.77	LT
	Mar 3, 14	41,000	72.096	2,955.95	94.690	3,882.29	926.34	LT
	Oct 17, 18	21,000	74.958	1,574.13	94.690	1,988.49	414.36	LT
	Sep 9, 18	9,000	89.706	807.36	94.690	852.21	44.85	ST
	Dec 9, 19	8,000	92.080	736.72	94.690	757.52	20.80	ST
Security total		512,000	57.278	29,326.51		48,481.28	19,154.77	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$155 Current yield: 2.39%								
	Feb 6, 19	6,000	98.246	589.48	124.900	749.40	159.92	ST
	Feb 6, 19	2,800	98.175	196.35	124.900	249.80	53.45	ST
	Apr 9, 19	40,000	104.460	4,178.40	124.900	4,996.00	817.60	ST
	Sep 9, 19	4,000	121.960	487.84	124.900	499.60	11.76	ST
Security total		52,000	104.848	5,452.07		6,494.80	1,042.73	
REPUBLIC SERVICES INC								
Symbol: RSG Exchange: NYSE								
EAI: \$564 Current yield: 1.81%								
	Jun 19, 18	121,000	68.799	8,324.69	89.630	10,845.23	2,520.54	LT
	Jul 23, 18	205,000	68.100	13,960.50	89.630	18,374.15	4,413.65	LT
	Oct 17, 18	22,000	71.800	1,579.60	89.630	1,971.86	392.26	LT
Security total		348,000	68.577	23,864.79		31,191.24	7,326.45	
ROCKWELL AUTOMATION INC NEW								
Symbol: ROK Exchange: NYSE								
EAI: \$33 Current yield: 2.04%								
	Oct 17, 18	8,000	171.720	1,373.76	202.670	1,621.36	247.60	LT

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number: ...

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-828-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SUNCOR ENERGY INC NEW CAD								
Symbol: SU Exchange: NYSE								
EAT: \$402 Current yield: 3.89%								
CAD Exchange rate: 1.29675								
	Dec 10, 18	315,000	31.058	9,777.23	32.800	10,332.00	554.77	LT
TEXAS INSTRUMENTS								
Symbol: TXN Exchange: OTC								
EAT: \$299 Current yield: 2.81%								
	Oct 17, 18	2,000	102.240	204.48	128.280	256.58	52.10	LT
	Jun 27, 19	36,000	116.132	4,180.76	128.280	4,618.44	437.68	ST
	Nov 11, 19	45,000	118.615	5,337.71	128.280	5,773.05	435.34	ST
Security total		83,000	117.144	9,722.95		10,608.07	925.12	
TRUIST FINL CORP								
Symbol: TRC Exchange: NYSE								
EAT: \$657 Current yield: 3.20%								
	Apr 9, 18	164,275	52.621	8,644.44	56.320	9,251.97	607.53	LT
	Oct 15, 18	12,950	48.069	622.50	56.320	729.34	106.84	LT
	Oct 17, 18	42,735	48.362	2,066.79	56.320	2,406.84	340.05	LT
	Dec 10, 18	145,040	43.007	6,237.80	56.320	8,168.65	1,930.85	LT
Security total		365,000	48.141	17,571.53		20,556.80	2,985.27	
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAT: \$35 Current yield: 2.15%								
	Oct 17, 18	9,000	149.370	1,344.33	180.780	1,627.11	282.78	LT
UNITED TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAT: \$1,100 Current yield: 1.96%								
	Jan 9, 12	235,000	73.849	17,354.73	149.760	35,193.60	17,838.87	LT
	Jul 8, 13	95,000	97.267	9,240.41	149.760	14,227.20	4,986.79	LT
	Mar 3, 14	28,000	116.290	3,372.41	149.760	4,343.04	970.63	LT
	Oct 17, 18	15,000	131.388	1,970.82	149.760	2,246.40	275.58	LT
Security total		374,000	85.397	31,938.37		56,010.24	24,071.87	
VERIZON COMMUNICATIONS INC								
Symbol: VZ Exchange: NYSE								
EAT: \$335 Current yield: 4.01%								
	Feb 24, 14	136,000	48.115	6,543.64	61.400	8,350.40	1,806.76	LT
VFC CORP								
Symbol: VFC Exchange: NYSE								
EAT: \$1,202 Current yield: 1.93%								
	Nov 16, 12	294,000	36.410	10,704.72	99.660	29,300.04	18,595.32	LT
	Jul 8, 13	188,000	46.886	8,776.94	99.660	18,736.08	9,959.10	LT

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WALP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Mar 3, 14	59,000	54.608	3,221.93	99.660	5,879.94	2,658.01	LT
	Oct 17, 18	16,000	83.795	1,340.72	99.660	1,594.56	253.84	LT
	Sep 9, 19	8,000	88.990	711.92	99.660	797.28	85.36	ST
	Nov 11, 19	61,000	86.635	5,284.78	99.660	6,079.26	794.48	ST
Security total		626,000	47.989	30,041.05		62,387.16	32,346.11	
Total				\$688,699.28		\$1,101,042.82	\$412,343.54	

Total estimated annual income: \$22,650

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (and) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (and) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (and) gain or loss (\$)	Investment return (\$)	Holding period
ALPS INTL SECTOR DIVERS								
ETF								
Symbol: IDOG								
Trade date: Oct 29, 18	5,750,000	25.010	143,812.79	143,812.79	27.470	157,952.50	14,139.71	LT
EAT: \$9,550 Current yield: 5.41%								
ISHARES EDGE MSCI MIN VOL USA								
ETF								
Symbol: USMV								
Trade date: May 14, 19	4,423,000	59.127	261,521.82	261,521.82	65.600	290,148.80	28,626.98	ST
EAT: \$5,449 Current yield: 1.89%								
SPDR S&P MIDCAP 400 ETF TR								
Symbol: MDY								
Trade date: Jun 24, 16	200,000	265.030	53,006.06	53,006.06	375.380	75,076.00	22,069.94	LT
EAT: \$1,008 Current yield: 1.34%								
SPDR S&P 500 ETF TR								

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number: ...

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-725-4848

Your assets - Equities - Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Symbol: SPY									
Trade date: Dec 15, 14	1,537,000	200.104	327,571.06	327,571.06	321.860	526,884.82	199,313.76	189,313.76	LT
EAI: \$9,197 Current yield: 1.75%									
VANGUARD GROWTH ETF									
Symbol: VUG									
Trade date: Jun 24, 16	500,000	104.434	52,217.05	52,217.05	182.170	91,085.00	38,867.95	38,867.95	LT
EAI: \$889 Current yield: 0.95%									
Total			\$838,128.78	\$838,128.78	\$1,141,147.12	\$309,018.34	\$309,018.34		
Total estimated annual income: \$25,073									

Mutual Funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
AMER FUNDS EUROPAFIC GROWTH FUND									
CLASS F2									
Symbol: AEPFX									
Trade date: Jan 17, 06	1,179,669	42.384	50,000.00	50,000.00	55.460	65,424.46	15,424.46		LT
Total reinvested	599,108	44.489		26,654.28	55.460	33,226.51	6,572.23		
EAI: \$1,262 Current yield: 1.28%									
Security total	1,778,777	43.094	50,000.00	76,654.28		98,650.97	21,996.69	48,650.97	
CULLEN HIGH DIVIDEND EQUITY I									
Symbol: CHDVX									
Trade date: Aug 17, 11	7,532,415	12.116	91,269.37	91,269.37	17.170	129,331.56	38,062.19		LT
Total reinvested	2,978,636	16.611		49,480.30	17.170	51,143.18	1,662.88		
EAI: \$4,288 Current yield: 2.38%									

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (loss) gain or loss (\$)	Investment return (%)	Holding period
Security total	10,511,051	13.391	91,269.37	140,749.67		180,874.74	39,725.07	89,205.37	
THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2									
Symbol: ICAFX									
Trade date: Mar 9, 92	281,604	17.762	5,002.00	5,002.00	39.550	11,137.42	6,135.42		LT
Trade date: Apr 8, 92	287,595	17.392	5,002.00	5,002.00	39.550	11,374.39	6,372.39		LT
Trade date: Jun 12, 92	279,870	17.872	5,002.00	5,002.00	39.550	11,068.87	6,066.87		LT
Trade date: Dec 20, 95	40,388	21.504	868.11	868.11	39.550	1,596.57	728.46		LT
Trade date: Dec 20, 95	6,603	21.503	141.98	141.98	39.550	261.14	119.16		LT
Trade date: Dec 20, 95	2,547	21.508	54.76	54.76	39.550	100.72	45.96		LT
Trade date: Mar 5, 96	5,666	22.525	127.84	127.84	39.550	224.11	96.47		LT
Trade date: Jun 12, 96	5,532	23.194	128.32	128.32	39.550	218.81	90.49		LT
Trade date: Sep 10, 96	5,684	22.693	128.98	128.98	39.550	224.78	95.80		LT
Trade date: Dec 27, 96	44,610	24.463	1,091.33	1,091.33	39.550	1,764.34	673.01		LT
Trade date: Dec 27, 96	6,184	24.463	151.27	151.27	39.550	244.56	93.29		LT
Trade date: Dec 27, 96	0.883	24.467	21.61	21.61	39.550	34.93	13.32		LT
Trade date: Dec 27, 96	5,192	26.165	135.86	135.86	39.550	205.36	69.50		LT
Trade date: Mar 11, 97	4,941	27.622	136.49	136.49	39.550	195.43	58.94		LT
Trade date: Jun 10, 97	4,587	29.883	137.08	137.08	39.550	181.42	44.34		LT
Trade date: Sep 9, 97	105,471	27.653	2,916.59	2,916.59	39.550	4,171.37	1,254.78		LT
Trade date: Dec 23, 97	5,806	27.653	160.57	160.57	39.550	229.64	69.07		LT
Trade date: Dec 23, 97	2,364	27.656	65.37	65.37	39.550	93.48	28.11		LT
Trade date: Sep 22, 99	808,771	33.015	30,004.00	30,004.00	39.550	35,941.90	5,937.90		LT
Trade date: Aug 11, 00	165,334	31.851	5,266.20	5,266.20	39.550	6,538.96	1,272.76		LT
Trade date: Oct 8, 07	132,730	37.710	5,005.25	5,005.25	39.550	5,249.46	244.21		LT
Total reinvested	4,991,514	33.378		166,607.41	39.550	197,414.39	30,806.98		LT
EAT: \$5,055 Current yield: 1.75%									
Security total	7,293,857	31.280	61,547.41	228,154.82		288,472.04	80,317.23	226,924.64	
Total			\$202,816.78	\$445,558.77		\$567,587.75	\$122,038.99	\$364,780.97	
Total estimated annual income: \$10,605									



Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets (continued)

Fixed Income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus prices/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NATL RURAL UTIL COOP FIN								
NTS B/E								
RATE 04.625% MATURES 04/15/20								
ACCRUED INTEREST \$171.00								
CUSIP 63743FMM5								
Moody: A2 S&P: A								
EAT: \$465 Current yield: 4.04%								
Original cost basis: \$20,625.20								
Sep 12, 11	20,000.000	100.121	20,024.21	100.246	20,049.20	24.99		LT
CORN PRODUCTS INTL INC								
08P NTS B/E								
RATE 04.625% MATURES 11/01/20								
ACCRUED INTEREST \$77.08								
CUSIP 219023AF5								
Moody: Baal S&P: BBB								
EAT: \$463 Current yield: 4.53%								
Original cost basis: \$10,644.50								
Aug 31, 11	10,000.000	100.680	10,068.06	102.056	10,205.60	137.54		LT
LABORATORY CORP OF AMER								
08P								
RATE 04.625% MATURES 11/15/20								
ACCRUED INTEREST \$59.09								
CUSIP 50540RAJ1								
Moody: Baa2 S&P: BBB								
EAT: \$463 Current yield: 4.55%								
Original cost basis: \$10,770.50								
Aug 12, 11	10,000.000	100.839	10,083.96	101.690	10,169.00	85.04		LT

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Portfolio Management Program
December 2019

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-926-7144/800-729-4848

Your assets - Fixed Income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
JOHNSON CONTROLS INC BFE								
CALL@MM+-15BP								
RATE 04.250% MATURES 03/01/21								
ACCRUED INTEREST \$141.66								
CUSIP 478366AX5								
Moody: WB S&P: Not rated								
EAT: \$425 Current yield: 4.13%								
Original cost basis: \$10,506.20								
Nov 14, 11	10,000.000	100.730	10,073.05	102.870	10,287.00	213.95	LT	
LOCKHEED MARTIN CORP								
CALL @MAKE								
RATE 03.350% MATURES 09/15/21								
ACCRUED INTEREST \$98.63								
CUSIP 539830AY5								
Moody: A3 S&P: A-								
EAT: \$335 Current yield: 3.27%								
Oct 07, 11	10,000.000	98.853	9,985.30	102.505	10,250.50	365.20	LT	
PSEG PWR LLC								
CALL@MM+-30BP								
RATE 04.150% MATURES 09/15/21								
CALLABLE								
ACCRUED INTEREST \$122.19								
CUSIP 693628AY8								
Moody: Baa1 S&P: BBB+								
EAT: \$415 Current yield: 4.03%								
Original cost basis: \$10,180.20								
Sep 21, 11	10,000.000	100.359	10,035.92	103.073	10,307.30	271.38	LT	
Total		\$70,000.000		\$70,170.50		\$71,268.60	\$1,098.10	
Total accrued interest: \$669.65								
Total estimated annual income: \$2,506								



Portfolio Management Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Fixed Income (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

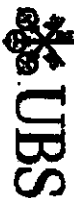
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Current Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES 1-3 YEAR TREAS BOND ETF									
Symbol: SHY									
Trade date: Jan 24, '17	196,000	84.530	16,567.88	16,567.88	84.630	16,567.48	19.60		LT
Trade date: Oct 24, '17	1,179,000	84.289	99,377.61	99,377.61	84.630	99,778.77	401.16		LT
Trade date: Apr 11, '18	390,000	83.440	32,541.60	32,541.60	84.630	33,005.70	464.10		LT
Trade date: Apr 11, '18	306,000	89.440	25,532.64	25,532.64	84.630	25,896.78	364.14		LT
EAT: \$3,713 Current yield: 2.12%									
Security total	2,071,000	84.027	174,019.73	174,019.73		175,268.73	1,249.00	1,249.00	
ISHARES SHORT-TERM CORPORATE BOND ETF									
Symbol: IG5B									
Trade date: Jan 16, '19	422,000	51.820	21,868.04	21,868.04	53.630	22,631.86	763.82		ST
Trade date: Jan 16, '19	128,000	51.820	6,632.96	6,632.96	53.630	6,864.64	231.68		ST
EAT: \$904 Current yield: 3.06%									
Security total	550,000	51.820	28,501.00	28,501.00		29,496.50	995.50	995.50	
ISHARES 3-7 YEAR TREAS BOND ETF									
Symbol: IEI									
Trade date: Oct 24, '17	195,000	122.980	23,981.10	23,981.10	125.750	24,521.25	540.15	540.15	LT
EAT: \$493 Current yield: 2.01%									
ISHARES FLOATING RATE BOND ETF									
Symbol: FLOT									
Trade date: Apr 18, '17	1,543,000	50.842	78,449.62	78,449.62	50.920	78,569.56	119.94		LT
Trade date: Jan 16, '19	855,000	50.590	43,254.45	43,254.45	50.920	43,538.60	284.15		ST
Trade date: Jan 16, '19	751,000	50.590	37,993.09	37,993.09	50.920	38,240.92	247.83		ST

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor
W&P FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Fixed Income, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized fund gain or loss (\$)	Investment Holding return (\$)	Holding period
Trade date: Jan 16, 19	375,000	50.590	18,971.25	18,971.25	50.920	19,095.00	123.75		ST
EAI: \$4,990 Current yield: 2.78%									
Security total	3,524,000	50.700	178,668.41	178,668.41		179,442.08	773.67	773.67	
Total			\$408,170.24	\$408,170.24		\$408,728.56	\$3,558.32	\$3,558.32	
Total estimated annual income: \$10,100									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (fund) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (fund) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized fund gain or loss (\$)	Investment Holding return (\$)	Holding period

BLACKROCK FLOATING RATE INCOME

PORTFOLIUMINSTITUTIONAL

Symbol: BFRUX

Trade date: Jan 26, 16	6,518,930	9.819	64,015.89	64,015.89	10.030	65,384.86	1,368.97		LT
Trade date: Oct 21, 16	2,556,539	10.169	26,000.00	26,000.00	10.030	25,842.08	-357.92		LT
Trade date: Jan 24, 17	745,210	10.249	7,638.40	7,638.40	10.030	7,474.46	-163.94		LT
Total reinvested	2,269,956	10.091		22,987.39	10.030	22,767.66	-139.73		
EAI: \$6,081 Current yield: 5.01%									
Security total	12,090,635	9.971	97,654.29	120,561.68		121,269.06	707.38	23,614.77	

BLACKROCK HIGH YIELD BOND

PORTFOLIUMINSTITUTIONAL

Symbol: BHYX

Trade date: Jan 24, 17	8,357,793	7.690	64,271.43	64,271.43	7.770	64,940.05	668.62		LT
Trade date: Jul 10, 18	1,740,939	7.639	13,300.77	13,300.77	7.770	13,527.10	226.33		LT
Trade date: Sep 18, 18	1,288,069	7.670	9,879.49	9,879.49	7.770	10,008.30	128.81		LT

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Portfolio Management Program

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Fixed Income • Mutual Funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (and) gain or loss (\$)	Investment return (%)	Holding period
Total reinvested	2,783,287	7.671		21,351.34 ²	7.770	21,626.22	274.88		
EAI: \$6,235 Current yield: 5.66%									
Security total	14,170,098	7.678	87,451.69	108,803.03		110,101.66	1,298.64	22,649.98	

NUVEEN

TENNESSEE MIB FUND I

Symbol: FTNIX

Trade date: Sep 30, 11

Trade date: Oct 24, 11

Trade date: Nov 14, 11

Total reinvested

EAI: \$5,075 Current yield: 3.17%

Security total

Total

Total estimated annual income: \$17,391

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (and) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (and) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (and) gain or loss (\$)	Investment return (%)	Holding period
THE INCOME FUND OF AMERICA FUND CLASS F2									
Symbol: AMIEFX									
Trade date: Sep 22, 99	3,372,830	17.167	57,902.30	57,902.30	23.220	78,317.10	20,414.80		LT
Trade date: Aug 14, 01	1,182,999	16.906	20,000.00	20,000.00	23.220	27,469.28	7,469.28		LT
Total reinvested	1,479,956	19.279		28,532.32	23.220	34,364.57	5,832.25		

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Portfolio Management Program

December 2019

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Other, Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost base (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (gain or loss) (\$)	Investment return (\$)	Holding period
BEP \$4.232 Current yield: 3.02%									
Security Total	6,035,784	17.634	77,902.30	105,434.62		140,150.80	33,718.28	62,248.60	

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost base (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash						
Equities	Common stock	1,101,042.82	4.89%	196,691.87	668,699.28	22,650.00
	Closed end funds & Exchange traded products	1,141,147.12		838,128.78	25,073.00	303,018.34
	Mutual funds	567,597.75		445,558.77	10,605.00	122,038.98
	Total equities	2,809,787.69	69.92%	1,952,386.83	58,328.00	857,400.67
Fixed income	Corporate bonds and notes	71,268.60		70,170.50	2,508.00	1,098.10
	Closed end funds & Exchange traded products	408,728.56		405,170.24	10,100.00	3,558.32
	Mutual funds	391,356.31		385,573.02	17,391.00	5,783.30
	Total accrued interest	669.65				
	Total fixed income	872,023.12	21.70%	866,913.76	29,997.80	10,439.72
Other	Mutual funds	140,150.80	3.49%	106,434.62	4,232.00	33,716.28
Total		\$4,018,653.68	100.00%	\$3,116,427.18	\$92,557.80	\$901,556.87

Account activity this month

For more information about the prices/shares shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Your account code	Quantity/face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$990.23
Dec 2	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO/INSTITUTIONAL AS OF 11/29/19 SYMBOL: BFMX				425.72	

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Portfolio Management Program
December 2019

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 2	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.93 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BFRIX		42.872		-425.72	
Dec 2	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/29/19 SYMBOL: BHYIX				492.78	
Dec 2	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.67 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BHYIX		64.248		-492.78	
Dec 2	Dividend	NUVEEN TENNESSEE MIB FUND I AS OF 11/29/19 SYMBOL: FNIBX				427.46	
Dec 2	Dividend	INTEL CORP PAID ON 1064 AS OF 12/01/19 SYMBOL: INTC				335.16	1,752.85
Dec 6	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON 2071 SYMBOL: SHY				277.79	
Dec 6	Dividend	ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON 550 SYMBOL: IGSSB				74.38	
Dec 6	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON 195 SYMBOL: IEI				36.53	
Dec 6	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 3524 SYMBOL: FLOT				341.55	
Dec 6	Exchange	SUNTRUST BANKS INC		-282.000			
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19				.87	
Dec 6	Exchange	TRUIST FINL CORP SYMBOL: TFC		365.000			2,482.97
Dec 9	Dividend	CRANE CO PAID ON 16 SYMBOL: CR				6.24	2,489.21
Dec 10	Dividend	AMER ELECTRIC POWER CO PAID ON 15 SYMBOL: AEP				10.50	
Dec 10	Dividend	CHEVRON CORP PAID ON 24 SYMBOL: CVX				28.56	

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Portfolio Management Program
December 2019

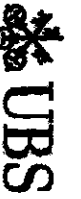
Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number: ...

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity face value	Price/Share (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 10	Dividend	JOHNSON & JOHNSON COM PAID ON SYMBOL: JNJ	23			21.85	
Dec 10	Dividend	ROCKWELL AUTOMATION INC NEW PAID ON SYMBOL: ROK	8			8.16	
Dec 10	Cash In Lieu	TRUIST FINL CORP SYMBOL: TFC				10.48	
Dec 10	Dividend	UNITED TECHNOLOGIES CORP PAID ON SYMBOL: UTX	374			274.89	2,843.65
Dec 11	Bought	JOHNSON & JOHNSON COM DE SYMBOL: JNJ		5,000	140.39	-701.95	
Dec 11	Sold	LOCKHEED MARTIN CORP DE SYMBOL: LMT		-2,800	386.22	772.42	
Dec 11	Sold	LOCKHEED MARTIN CORP DE SYMBOL: LMT		-2,000	386.22	772.42	
Dec 11	Bought	NOVARTIS AG SPON ADR DE CUSIP: 66987V109 / SYMBOL: NVS		8,080	92.09	-736.72	2,949.82
Dec 12	Dividend	MICROSOFT CORP PAID ON SYMBOL: MSFT	984			501.84	3,451.66
Dec 16	Dividend	COCA COLA CO COM PAID ON SYMBOL: KO	1404			561.60	
Dec 16	Dividend	MCDONALDS CORP PAID ON SYMBOL: MCD	300			375.00	
Dec 16	Dividend	NEXTERA ENERGY INC COM PAID ON SYMBOL: NEE	135			168.75	4,557.01
Dec 17	Foreign Dividend	LINDE PLC EUR PAID ON SYMBOL: LIN	241			210.88	4,767.89
Dec 18	Dividend	THE INCOME FUND OF AMERICA FUND CLASS F2 SYMBOL: AMEFX				1,539.87	
Dec 18	Lt Cap Gain	THE INCOME FUND OF AMERICA FUND CLASS F2 LONG TERM CAPITAL GAIN SYMBOL: AMEFX				2,974.60	

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Portfolio Management Program
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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 18	Reinvestment	THE INCOME FUND OF AMERICA FUND CLASS F2 LT CAP GAINS REINVESTED AT 23.04 NAV ON 12/17/19 SYMBOL: AMIEFX		129.105		-2,974.60	
Dec 18	Dividend	TEMPLETON GLOBAL BOND ADV SYMBOL: TGBAX				1,712.14	
Dec 18	Reinvestment	TEMPLETON GLOBAL BOND ADV DIVIDEND REINVESTED AT 10.50 NAV ON 12/16/19 SYMBOL: TGBAX		163.061		-1,712.14	6,307.76
Dec 19	Dividend	HOMER DEPOT INC PAID ON SYMBOL: HD				469.20	
Dec 19	Dividend	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 SYMBOL: ICARX				2,209.31	
Dec 19	Lt Cap Gain	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 LONG TERM CAPITAL GAIN SYMBOL: ICARX				11,822.51	
Dec 19	Reinvestment	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 LT CAP GAINS REINVESTED AT 39.10 NAV ON 12/18/19 SYMBOL: ICARX		302.369		-11,822.51	8,996.27
Dec 20	Dividend	ISHARES EDGE MSCI MIN VOL USA ETF PAID ON 4423 SYMBOL: USMAY				1,697.23	
Dec 20	Dividend	VF CORP PAID ON SYMBOL: VFC	626			300.48	
Dec 20	Dividend	AMER FUNDS EUROPEAN GROWTH FUND CLASS F2 SYMBOL: AEPFX				1,122.30	
Dec 20	Lt Cap Gain	AMER FUNDS EUROPEAN GROWTH FUND CLASS F2 LONG TERM CAPITAL GAIN SYMBOL: AEPFX				1,703.28	
Dec 20	Reinvestment	AMER FUNDS EUROPEAN GROWTH FUND CLASS F2 LT CAP GAINS REINVESTED AT 55.08 NAV ON 12/19/19 SYMBOL: AEPFX		30.924		-1,703.28	12,106.28
Dec 23	Dividend	BLACKROCK INC PAID ON SYMBOL: BLK	4			13.20	

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Portfolio Management Program
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Account name: JEANES INVESTMENTS LLC
Firmly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WILL FINANCIAL GROUP
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Account activity this month (continued)

Date	Activity	Description	Your signature code	Quantity Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 23	Sold	SPDR S&P 500 ETF TR SYMBOL: SPY		-50,000	318.78	15,988.67	
Dec 23	Dividend	CULLEN HIGH DIVIDEND EQUITY I SYMBOL: CHDVX				354.94	
Dec 23	LT Cap Gain	CULLEN HIGH DIVIDEND EQUITY I LONG TERM CAPITAL GAIN SYMBOL: CHDVX				7,120.92	
Dec 23	Reinvestment	CULLEN HIGH DIVIDEND EQUITY I LT CAP GAINS REINVESTED AT 17.11 NAV ON 12/20/19 SYMBOL: CHDVX		416.185		-7,120.92	28,463.09
Dec 24	Foreign Dividend	SUNCOR ENERGY INC NEW CAD PAID ON SYMBOL: SU				100.47	
Dec 24	St Cap Gain	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/23/19 SYMBOL: BMYX				122.41	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.77 NAV ON 12/23/19 AS OF 12/23/19 SYMBOL: BMYX		15.754		-122.41	
Dec 24	Foreign Tax Withheld	SUNCOR ENERGY INC NEW CAD SYMBOL: SU				-25.12	28,538.44
Dec 26	Transfer	JOURNAL TO IRREVOCABLE KARINDA P JEANES				-12,853.50	
Dec 26	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	2071			282.76	
Dec 26	Dividend	ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON SYMBOL: IGSS				73.43	
Dec 26	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON 195 SYMBOL: IEI				34.50	
Dec 26	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 3524 SYMBOL: FLOT				337.32	16,412.95

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Portfolio Management Program
December 2019

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLF FINANCIAL GROUP
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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 27	Dividend	ALPS INTL SECTOR DIVID DGS ETF PAID ON 5750				2,883.05	
		SYMBOL: IDOG					
Dec 27	Dividend	LOCKHEED MARTIN CORP PAID ON 25				60.00	19,356.00
		SYMBOL: LMT					
Dec 30	Dividend	UNION PACIFIC CORP PAID ON 9				8.73	
		SYMBOL: UNP					
Dec 30	Dividend	VANGUARD GROWTH ETF PAID ON 500				253.85	19,618.58
		SYMBOL: VUG					
Dec 31	Sold	TEMPLETON GLOBAL BOND ADV FBOND 93640935026		-16,673.577	10.620	177,073.39	196,691.97
		SYMBOL: TGBAX					
Dec 31		Closing cash and money balance					\$196,691.97
		Proceeds from investment transactions					\$194,617.38
		Funds used for investment transactions					-\$27,873.19

Date	Activity	Description	Amount (\$)
Nov 29	Balance forward		\$487.20
Dec 2	Deposit	UBS BANK USA BUSINESS ACCOUNT	469.03
Dec 3	Deposit	UBS BANK USA BUSINESS ACCOUNT	762.62
Dec 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19	0.87
Dec 9	Deposit	UBS BANK USA BUSINESS ACCOUNT	729.25
Dec 10	Deposit	UBS BANK USA BUSINESS ACCOUNT	6.24
Dec 11	Deposit	UBS BANK USA BUSINESS ACCOUNT	354.44
Dec 12	Deposit	UBS BANK USA BUSINESS ACCOUNT	106.17
Dec 13	Deposit	UBS BANK USA BUSINESS ACCOUNT	501.84
Dec 17	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,105.35
Dec 18	Deposit	UBS BANK USA BUSINESS ACCOUNT	210.88
Dec 19	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,539.87
Dec 20	Deposit	UBS BANK USA BUSINESS ACCOUNT	2,678.51
Dec 23	Deposit	UBS BANK USA BUSINESS ACCOUNT	3,120.01
Dec 24	Deposit	UBS BANK USA BUSINESS ACCOUNT	16,356.81
Dec 26	Deposit	UBS BANK USA BUSINESS ACCOUNT	75.35
Dec 27	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/26/19	-12,125.49
Dec 30	Deposit	UBS BANK USA BUSINESS ACCOUNT	2,943.05

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Portfolio Management Program

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Account name: JEANES INVESTMENTS LLC
 Friendly account names: Jeanes Inv LLC
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 423-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 31	Deposit	UBS BANK USA BUSINESS ACCOUNT	262.58
	Dec 31	Closing	UBS Bank USA Business Account	\$19,616.50

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, Real Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we build the oldest security first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a vestas purchases or VSP order. See Important Information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AAT coupon municipal securities for estimated amortization of bond premiums and for accrued OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

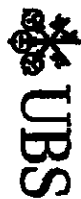
Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TEMPLETON GLOBAL BOND ADV	FIFO	16,098.721	May 23, 19	Dec 30, 19	170,543.62	179,697.09		-9,153.47	
	FIFO	77,792	Jun 17, 19	Dec 30, 19	826.15	872.83		-46.68	
	FIFO	86,660	Jul 15, 19	Dec 30, 19	920.33	982.73		-62.40	
	FIFO	79,710	Aug 15, 19	Dec 30, 19	846.52	856.09		-9.57	
	FIFO	62,311	Sep 16, 19	Dec 30, 19	661.74	666.73		-4.99	
	FIFO	71,121	Oct 15, 19	Dec 30, 19	755.31	756.02		-0.71	
	FIFO	74,201	Nov 15, 19	Dec 30, 19	788.01	832.54		-44.53	
	FIFO	163,061	Dec 16, 19	Dec 30, 19	1,731.71	1,813.23	101.09	-81.52	
Total					\$177,073.38	\$186,477.26		-9,403.87	
Net short-term capital gains and losses								-9,403.87	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
LOCKHEED MARTIN CORP	FIFO	2,000	Oct 17, 18	Dec 09, 19	772.42	669.89			102.53
	FIFO	2,000	Oct 17, 18	Dec 09, 19	772.42	669.89			102.53
SPDR S&P 500 ETF TR	FIFO	50,000	Dec 15, 14	Dec 19, 19	15,988.67	10,005.23			5,983.44

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Portfolio Management Program
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Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TRUBST FINL CORP	RFO	0.190	Apr 09, 18	Dec 10, 19	10.48	10.03			0.45
Total					\$17,542.99	\$11,355.01			\$6,187.98
Net long-term capital gains or losses									\$6,187.98
Net capital gains/losses:									-\$3,214.89

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis. If the adjustment is a result of a wash

sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TEMPLETON GLOBAL BOND ADV	Adjustment	662,252	May 23, 19	Nov 19, 19	7,000.00	7,263.50	-147.10	-263.50	

Summary of approved payees and recurring payments.

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

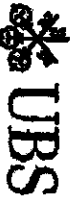
To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	method	Disbursement Type/Event	Frequency	Start date	End date	Last used date
Teton Agents, LLC	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 26, 19
Wyoming Secretary of State	varied	Check	Payee on file	Upon request	n/a	n/a	Sep 04, 19
	varied	Check	Payee on file	Upon request	n/a	n/a	Sep 04, 19



Entry Date	Symbol	Trade Date	Activity	Description	Symbol/Security	Type	Quantity	Price/Detail	Amount
12/08/19	12/17/19	BOUGHT	NOVARTIS AG SPON ADR DE	Trade# 10830 Biot35	NVE-339460	1	8.000	\$92.090	-736.72
12/08/19	12/17/19	BOUGHT	JOHNSON & JOHNSON COM DE	Trade# 10432 Biot35	JNJ-238400	1	5.000	\$140.360	-701.85
11/11/19	11/13/19	BOUGHT	VF CORP DE	Trade# 33608 Biot35	VFC-48884	1	61.000	\$66.636	-5,284.78
11/11/19	11/13/19	BOUGHT	TEXAS INSTRUMENTS DE	Trade# 32948 Biot35	TXN-453617	1	45.000	\$118.616	-5,337.71
10/15/19	10/17/19	BOUGHT	LINDE PLC EUR DE	Trade# 70897 Biot35	LN-262178	1	50.000	\$9.766.55	-9,766.55
10/15/19	10/17/19	BOUGHT	DIAGEO PLC NEW G8 SPON ADR	Trade# 71427 Biot35	DEO-116780	1	32.000	\$162.414	-5,197.24
09/08/19	09/11/19	BOUGHT	VF CORP DE	Trade# 33608 Biot35	VFC-48884	1	8.000	\$98.980	-711.92
09/08/19	09/11/19	BOUGHT	PROCTER & GAMBLE CO DE	Trade# 64688 Biot35	PG-383801	1	4.000	\$121.961	-487.84
09/08/19	09/11/19	BOUGHT	NOVARTIS AG SPON ADR DE	Trade# 64688 Biot35	NVS-339460	1	8.000	\$89.707	-807.36
09/08/19	09/11/19	BOUGHT	AMER ELECTRIC POWER CO DE	Trade# 38442 Biot35	AEP-014737	1	10.000	\$91.338	-913.38
09/08/19	09/11/19	BOUGHT	AMER ELECTRIC POWER CO DE	Trade# 38442 Biot35	AEP-014737	1	5.000	\$91.348	-456.74
09/27/19	07/01/19	BOUGHT	TEXAS INSTRUMENTS DE	Trade# 38608 Biot35	TXN-453617	1	36.000	\$116.132	-4,180.76
09/27/19	07/01/19	BOUGHT	NEXTERA ENERGY INC COM DE	Trade# 38808 Biot35	NEE-348178	1	32.000	\$204.454	-6,542.52
09/23/19	05/24/19	BOUGHT	TEMPLETON GLBL BOND ADV FBOID	91431241862 Trade# 24188 Biot37	MFRM-54884	1	16,720.973	\$11.160	-187,107.69
09/14/19	05/16/19	BOUGHT	ISHARES EDGE MSCI MIN VOL USA ETF	Trade# 38328 Biot35	USMV-2148F4	1	4,423.000	\$98.128	-438,521.82
04/09/19	04/11/19	BOUGHT	PROCTER & GAMBLE CO DE	Trade# 67535 Biot35	PG-383801	1	4.000	\$104.460	-417.40
04/09/19	04/11/19	BOUGHT	LOCKHEED MARTIN CORP DE	Trade# 97533 Biot35	MDT-282828	1	6.000	\$98.880	-532.08
02/08/19	02/08/19	BOUGHT	PROCTER & GAMBLE CO DE	Trade# 91844 Biot35	PG-383801	1	3.000	\$94.360	-283.08
02/08/19	02/08/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	Trade# 38448 Biot35	FLOT-214RH1	1	2.000	\$98.178	-196.35
01/18/19	01/18/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	Trade# 38448 Biot35	FLOT-214RH1	1	375.000	\$91.871.25	-37,983.09
01/18/19	01/18/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	Trade# 33275 Biot35	ICSB-214RH1	1	126.000	\$61.820	-8,032.66
01/18/19	01/18/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	Trade# 33275 Biot35	ICSB-214RH1	1	865.000	\$60.560	-52,254.45
01/18/19	01/18/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	Trade# 33275 Biot35	ICSB-214RH1	1	422.000	\$61.820	-26,088.04
12/30/19	12/31/19	SOLD	TEMPLETON GLBL BOND ADV FBOID	63640355026 Trade# 33502 Biot37	MFRM-54884	1	-16,873.57	\$10.620	177,073.39
12/18/19	12/23/19	SOLD	SPDR S&P 500 ETF TR	Trade# 20848 Biot30	SPY-482221	1	-50.000	\$318.780	15,938.67
12/08/19	12/11/19	SOLD	LOCKHEED MARTIN CORP DE	Trade# 10628 Biot35	LMT-2639C1	1	-2.000	\$366.220	772.42
12/08/19	12/11/19	SOLD	LOCKHEED MARTIN CORP DE	Trade# 10433 Biot35	LMT-2639C1	1	-2.000	\$366.220	772.42
11/18/19	11/20/19	SOLD	TEMPLETON GLBL BOND ADV FBOID	83231316024 Trade# 31602 Biot37	MFRM-54884	1	-682.252	\$10.570	7,000.00
11/11/19	11/13/19	SOLD	NEXTERA ENERGY INC COM DE	Trade# 33284 Biot35	NEE-348178	1	-24.000	\$22.019	532.86
11/11/19	11/13/19	SOLD	NEXTERA ENERGY INC COM DE	Trade# 33284 Biot35	NEE-348178	1	-24.000	\$22.019	532.86
10/15/19	10/17/19	SOLD	MEDTRONIC PLC DE	Trade# 71428 Biot35	MDT-282828	1	-49.000	\$107.620	5,288.46
10/15/19	10/17/19	SOLD	MEDTRONIC PLC DE	Trade# 70888 Biot35	MDT-282828	1	-82.000	\$107.620	8,828.57
09/24/19	09/26/19	SOLD	ALCON INC CHF DE	Trade# 40857 Biot35	ALC-174M24	1	-99.000	\$68.724	6,812.60
09/18/19	09/20/19	SOLD	SPDR S&P 500 ETF TR	Trade# 32040 Biot30	SPY-482221	1	-45.000	\$300.270	13,511.87
09/08/19	09/11/19	SOLD	UNION PACIFIC CORP DE	Trade# 34688 Biot35	UNP-489106	1	-3.000	\$164.629	493.88
09/08/19	09/11/19	SOLD	TEXAS INSTRUMENTS DE	Trade# 38444 Biot35	TXN-453617	1	-8.000	\$126.241	757.43
09/08/19	09/11/19	SOLD	TEXAS INSTRUMENTS DE	Trade# 38444 Biot35	TXN-453617	1	-7.000	\$126.258	883.79
09/08/19	09/11/19	SOLD	ACEENTURE PLC IRELAND CL A	Trade# 34688 Biot35	ACN-425087	1	-3.000	\$168.286	578.70
09/08/19	09/11/19	SOLD	CHEVRON CORP DE	Trade# 38443 Biot35	CVX-0828P3	1	-8.000	\$118.170	953.34
09/08/19	09/11/19	SOLD	KONTRO BRANDS INC	Trade# 38839 Biot30	KTB-2431D1	1	-79.000	\$91.436	7,269.86
09/08/19	09/11/19	SOLD	SUNTRUST BANKS INC DE	Trade# 38807 Biot35	STL-408278	1	-108.000	\$81.254	8,888.41
08/27/19	07/01/19	SOLD	JPMORGAN CHASE & CO DE	Trade# 38805 Biot35	JPM-241P2	1	-39.000	\$108.873	4,250.80
08/27/19	07/01/19	SOLD	ENERGY SELECT SECTOR SPDR FUND ETF	Trade# 38330 Biot35	XLE-431694	1	-2,564.000	\$63.873	163,786.70
08/27/19	07/01/19	SOLD	BOSTON PARTNERS GLOBAL LONGSHORT FUND CLASS	Trade# 34438 Biot37	MFTVAL-5214W8	1	-4,552.829	\$10.650	48,788.89
08/14/19	08/16/19	SOLD	RIVERPARK LONGSHORT OPPORTUNITY FUND CLASS	Trade# 34437 Biot37	MFTVAL-5214W8	1	-7,250.059	\$12.440	89,190.73
08/14/19	08/16/19	SOLD	SUNCOR ENERGY INC NEW CAD	Trade# 97538 Biot35	SUL-446C03	1	-125.000	\$33.300	4,182.45
04/09/19	04/11/19	SOLD	JOHNSON & JOHNSON COM DE	Trade# 37532 Biot35	JNJ-238400	1	-4.000	\$136.000	543.99
04/09/19	04/11/19	SOLD	CHEVRON CORP DE	Trade# 37531 Biot35	CVX-0828P3	1	-8.000	\$128.120	1,156.07
02/08/19	02/08/19	SOLD	TEXAS INSTRUMENTS DE	Trade# 31645 Biot35	TXN-453617	1	-6.000	\$105.827	634.95
02/08/19	02/08/19	SOLD	CRANE CO DE	Trade# 30233 Biot35	CR-114500	1	-3.000	\$82.981	248.86
01/18/19	01/18/19	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	Trade# 33278 Biot35	IEI-214HY4	1	-55.000	\$121.290	6,689.21
01/18/19	01/18/19	SOLD	ISHARES 1-3 YR TREAS BOND ETF	Trade# 33278 Biot35	SHY-214C00	1	-617.000	\$83.600	52,220.64
01/18/19	01/17/19	SOLD	BLACKROCK HIGH YIELD BOND	Trade# 75768 Biot37	MFRBCV-520441	1	-2,980.882	\$7.370	21,967.63
01/18/19	01/17/19	SOLD	BLACKROCK HIGH YIELD BOND	Trade# 75409 Biot37	MFRBCV-520441	1	-2,581.982	\$7.370	19,089.26
01/18/19	01/17/19	SOLD	BLACKROCK FLOATING RATE INCOME	Trade# 74842 Biot37	MFRBDO-520361	1	-3,847.275	\$9.880	38,046.55

SCHEDULE 8



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

6922001002884217 1219 X13 IV 0

Managed Accounts Consulting December 2019

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005936.

Visit our website:
www.ubs.com/financialservices

Your Investment objectives:

You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions, if your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in *important information about your statement* at the end of this document.

Your return objective:
Current income & capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - None selected

Account name: CLARINDA P JEANES IRREVOCABLE • INHERITANCE TRUST

GDOT

Friendly account name: GDOT/Blackrock

Account number:

Value of your account

	on November 29 (S)	on December 31 (S)
Your assets	4,013,860.62	4,027,427.88
Your liabilities	0.00	0.00
Value of your account	\$4,013,860.62	\$4,027,427.88
Accrued interest in value above	\$40,880.54	\$41,788.84

As a service to you, your portfolio value of \$4,027,427.88 includes accrued interest.

- Your account instructions**
- Your account is managed by Blackrock.
 - Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2019 (S)	Year to date (S)
Opening account value	\$4,013,860.62	\$3,889,432.82
Deposits, including investments transferred in	12,853.50	154,242.00
Withdrawals and fees, including investments transferred out	-12,853.50	-231,061.10
Dividend and interest income	13,634.36	167,557.61
Change in value of accrued interest	908.30	-5,357.65
Change in market value	-975.40	52,614.20
Closing account value	\$4,027,427.88	\$4,027,427.88



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOTBlackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-728-4648

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch. SIC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$50,797.58	\$94,021.23
Additions		
Deposits and other funds credited	12,853.50	154,242.00
Dividend and interest income	13,634.36	167,557.61
Proceeds from investment transactions	0.00	803,815.75
Total additions	\$26,487.86	\$1,125,615.36
Subtractions		
Professional management fees and related services	0.00	-23,877.10
Other funds debited	-12,853.50	-207,184.00
Funds withdrawn for investments bought	0.00	-924,143.55
Total subtractions	-\$12,853.50	-\$1,155,204.65
Net cash flow	\$13,634.36	-\$29,589.29
Closing balances	\$64,431.94	\$64,431.94

Dividend and interest income earned

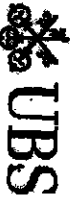
For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable interest	9.36	702.05
Tax-exempt interest	13,625.00	163,850.70
Tax-exempt accrued interest paid	0.00	-4,355.55
Tax-exempt accrued interest received	0.00	7,360.41
Total current year	\$13,634.36	\$167,557.61
Total dividend & interest	\$13,634.36	\$167,557.61

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)	
	December 2019 (\$)	Year to date (\$)		
Short term	0.00	0.00		28,710.48
Long term	0.00	7,132.16		139,227.30
Total	\$0.00	\$7,132.16		\$167,937.78



Managed Accounts Consulting
December 2019

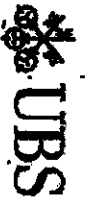
Account name: CLARINDA P JEANES IRREVOCABLE
Fiduciary account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4948

UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 7 - Dec 5

Opening UBS FDIC-Insured Dep Pgm balance Nov 7	\$47,672.58
Closing UBS FDIC-Insured Dep Pgm balance Dec 5	\$64,431.94
Number of days in interest period	29
Average daily balance	\$52,350.57
Interest earned	\$9.36
Annual percentage yield earned	0.23%



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the important information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period
Cash	-12,853.50	-12,853.50				
UBS FDIC INSURED DEP RGM	63,651.08	77,285.44				
Total	\$50,797.58	\$64,431.94				

UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (\$)	Status
1	UBS Bank USA	77,285.44	
2	Wells Fargo Bank, N.A.	0.00	
3	Chubb Bank, N.A.	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	BBVA USA	0.00	
6	East West Bank	0.00	
7	Citizens Bank, N.A.	0.00	
8	Associated Bank, N.A.	0.00	
9	Pacific Western Bank	0.00	
10	Armeds Bank	0.00	
Total		\$77,285.44	



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Account name: CLARINDA P JEANES IRREVOCABLE
 Friendly account name: GDOT/blackstock
 Account number: 111111

Your Financial Advisor:
 WLP FINANCIAL GROUP
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Your assets (continued)

Fixed income

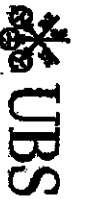
Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price(s)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KNOXVILLE TN GAS SYS SR Q RV BEV/ RATE 04.000% MATURES 03/01/20 ACCRUED INTEREST \$999.99 CUSIP 499764PV4 Moody: Aa2 S&P: AA EAI: \$1,500 Current yield: 3.98% Original cost basis: \$84,987.75	Mar 15, 12	75,000.000	100.304	75,228.74	100.467	75,350.25	121.51	LT
NYS THRUWAY AUTH INCOME SR A RV /V/ RATE 04.000% MATURES 03/15/20 ACCRUED INTEREST \$1,177.78 CUSIP 650028527 Moody: Aa1 S&P: AA+ EAI: \$2,000 Current yield: 3.98% Original cost basis: \$113,723.00	Sep 09, 11	100,000.000	100.361	100,361.87	100.594	100,594.00	232.13	LT
TENNESSEE ST SR A /V/ RATE 05.000% MATURES 08/01/20 ACCRUED INTEREST \$2,604.16 CUSIP 880941SMD Moody: Aaa S&P: AAA EAI: \$6,250 Current yield: 4.89% Original cost basis: \$150,118.75	Apr 15, 14	125,000.000	101.948	127,435.81	102.274	127,842.50	406.69	LT

continued next page



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets , Fixed Income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KNOXVILLE TN								
2014	BEV							
RATE 05.000% MATURES 05/01/21								
ACCURED INTEREST \$833.33								
CUSIP 489731E29								
Moodys: A+1 S&P: AA+								
EAI: \$5,000 Current yield: 4.75%								
Original cost basis: \$120,396.00	Sep 17, 14	100,000.000	104.330	104,330.62	105.180	105,180.00	849.38	LT
MONTGOMERY CNTY TN GENOB								
BEV								
PRE-REFUNDED								
RATE 05.000% MATURES 04/01/25								
PRE-REFUNDED 04/01/22 @ 100.00								
ACCURED INTEREST \$1,250.00								
CUSIP 613664A17								
Moodys: Not rated S&P: AA+								
EAI: \$5,000 Current yield: 4.80%								
Original cost basis: \$114,026.00	Jul 19, 11	100,000.000	103.391	103,391.56	108.621	108,621.00	5,229.44	LT
WEST KNOX UTIL DIST KNOX								
RV	BEV							
RATE 05.000% MATURES 06/01/22								
ACCURED INTEREST \$416.67								
CUSIP 953564G55								
Moodys: Not rated S&P: AA+								
EAI: \$5,000 Current yield: 4.58%								
Original cost basis: \$114,450.00	Dec 08, 16	100,000.000	106.625	106,625.91	109.249	109,249.00	2,623.09	LT
MISQUILL ST BRD PUB BLDG								
SR A RV	BEV							
RATE 05.000% MATURES 10/01/22								
ACCURED INTEREST \$2,500.00								
CUSIP 606341G12								
Moodys: A+1 S&P: AA+								
EAI: \$10,000 Current yield: 4.52%								
Original cost basis: \$241,248.00	Jul 16, 14	200,000.000	107.401	214,802.58	110.537	221,074.00	6,271.42	LT

continued next page



Managed Accounts Consulting

December 2019

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-828-7144/800-729-4848

Your assets, Fixed Income, Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SHELBY CNTY TN								
SR A BEV/								
RATE 05.000% MATURES 03/01/23								
ACCRUED INTEREST \$2,500.00								
CUSIP 821686142								
Moody: Aa1 S&P: Aa+								
EAI: \$7,500 Current yield: 4.46%								
Original cost basis: \$187,773.00								
	Apr 03, 13	150,000.000	108.634	162,952.20	112.094	168,141.00	5,188.80	LT
MET GOVT NASHVILLE DAVID								
BEV/								
RATE 05.000% MATURES 07/01/23								
CALLABLE 8/7/122 @ 100.00								
ACCRUED INTEREST \$1,500.00								
CUSIP 592112NNV8								
Moody: Aa2 S&P: Aa								
EAI: \$3,000 Current yield: 4.56%								
Original cost basis: \$67,714.27								
	Oct 30, 13	60,000.000	105.555	63,333.56	109.540	65,724.00	2,390.44	LT
SUMNER CNTY TN								
BEV/								
RATE 05.000% MATURES 12/01/23								
ACCRUED INTEREST \$500.00								
CUSIP 866407K24								
Moody: Not rated S&P: Aa+								
EAI: \$6,000 Current yield: 4.36%								
Original cost basis: \$149,349.60								
	Apr 15, 15	120,000.000	111.646	133,975.84	114.652	137,582.40	3,606.56	LT
PUTNAM CNTY TENN RFDG								
BEV/								
RATE 05.080% MATURES 04/01/24								
ACCRUED INTEREST \$2,187.50								
CUSIP 746673Y15								
Moody: Aa2 S&P: Not rated								
EAI: \$8,750 Current yield: 4.32%								
Original cost basis: \$197,184.75								
	Nov 08, 18	175,000.000	110.118	192,708.06	115.787	202,627.25	9,919.19	LT

continued next page



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES RREVOCABLE
Friendly account name: GDOT/Bidrock
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Fixed Income, Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(s)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SHELBY CO TN HLTH ED A								
RV BEV/								
RATE 05.000% MATURES 09/01/24								
ACCRUED INTEREST \$1,562.49								
CUSIP 821687M455								
Moody: A1 S&P: A+								
EAI: \$3,750 Current yield: 4.30%								
Original cost basis: \$92,809.50								
Mar 26, 15		75,000.000	112.280	84,210.08	116.227	87,170.25	2,960.17	LT
MAURY CNTY TN								
BEV/								
RATE 05.000% MATURES 06/01/25								
ACCRUED INTEREST \$2,125.00								
CUSIP 577625X4								
Moody: A2 S&P: Not rated								
EAI: \$8,500 Current yield: 4.19%								
Original cost basis: \$207,163.70								
May 28, 15		170,000.000	112.326	190,954.26	119.207	202,651.90	11,697.64	LT
LINCOLN CNTY TN SCH								
BEV/								
RATE 05.000% MATURES 05/01/25								
ACCRUED INTEREST \$1,041.86								
CUSIP 533407XX5								
Moody: Aa3								
EAI: \$6,250 Current yield: 4.20%								
Original cost basis: \$149,098.75								
Nov 13, 18		125,000.000	112.141	140,176.79	119.189	148,986.25	8,809.46	LT
FRANKLIN TN SFL SCH DIST								
BEV/								
RATE 05.000% MATURES 06/01/25								
ACCRUED INTEREST \$312.50								
CUSIP 354771QW0								
Moody: Aa1 S&P: Not rated								
EAI: \$3,750 Current yield: 4.18%								
Original cost basis: \$91,000.50								
Dec 29, 17		75,000.000	115.860	86,985.32	119.741	89,805.75	2,910.43	LT

continued next page



Managed Accounts Consulting

December 2019

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GOOD/BLOCKBOX
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MET GOVT NASHVILLE/DAVID								
BEV/								
RATE 05.000% MATURES 01/01/26								
ACCRUED INTEREST \$4,375.00								
CUSIP 592112QY5								
Moody: Aa2 S&P: AA								
EAI: \$8,750 Current yield: 4.12%								
Original cost basis: \$201,687.50	Nov 08, 18	175,000.000	112,989	197,732.15	121,313	212,297.75	14,565.60	LT
KNOXVILLE TN ELEC								
SR HH RV BEV/								
RATE 05.000% MATURES 07/01/26								
ACCRUED INTEREST \$2,500.00								
CUSIP 499746C78								
Moody: Aa2 S&P: AA								
EAI: \$5,000 Current yield: 4.07%								
Original cost basis: \$120,678.00	Mar 09, 17	100,000.000	115,038	115,038.05	122,912	122,912.00	7,873.95	LT
COLORADO SPRINGS COLO								
A-1 RV BEV/								
RATE 05.000% MATURES 11/15/26								
ACCRUED INTEREST \$798.61								
CUSIP 196532J20								
Moody: Aa2 S&P: AA+								
EAI: \$6,250 Current yield: 4.02%								
Original cost basis: \$155,586.25	Sep 19, 19	125,000.000	123,543	154,429.31	124,341	155,426.25	996.94	ST
MADISON CNTY TN SCH								
BEV/								
RATE 05.000% MATURES 05/01/27								
ACCRUED INTEREST \$624.89								
CUSIP 557412WV7								
Moody: Aa2								
EAI: \$3,750 Current yield: 3.99%								
Original cost basis: \$89,803.50	Jul 06, 18	75,000.000	116,704	87,528.11	125,257	93,942.75	6,414.64	LT

continued next page



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES (IRREVOCABLE)
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Fixed Income • Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CLARKSVILLE TN EL SYS								
RV	BEV							
RATE 05.000% MATURES 09/01/27								
ACCRUED INTEREST \$1,250.00								
CUSIP 182494HP2								
Moody: Aa2								
EAI: \$3,750 Current yield: 3.97%								
Original cost basis: \$89,102.25								
		Oct 01, 18	75,000.000	116.420	87,315.48	125.892	94,374.00	7,058.52 LT
MEMPHIS TN GAS SYS								
RV	BEV							
RATE 05.000% MATURES 12/01/27								
CALLABLE 12/01/26 @ 100.00								
ACCRUED INTEREST \$729.17								
CUSIP 58616PAL2								
Moody: Aa1 S&P: AA-								
EAI: \$8,750 Current yield: 4.05%								
Original cost basis: \$263,444.50								
		Nov 20, 18	175,000.000	114.204	199,857.37	123.599	216,298.25	16,440.88 LT
MONTGOMERY CNTY TN								
BEV								
RATE 05.000% MATURES 04/01/28								
ACCRUED INTEREST \$1,250.00								
CUSIP 61366ACJ4								
S&P: AA+								
EAI: \$5,000 Current yield: 3.92%								
Original cost basis: \$117,675.00								
		Nov 06, 18	100,000.000	115.781	115,781.76	127.688	127,688.00	11,906.24, LT
FRANKLIN TN SPL SCH DIST								
BEV								
RATE 05.000% MATURES 08/01/28								
ACCRUED INTEREST \$312.50								
CUSIP 354771RNB								
Moody: Aa1								
EAI: \$3,750 Current yield: 3.90%								
Original cost basis: \$92,716.50								
		Feb 27, 19	75,000.000	121.743	91,307.80	128.110	96,082.50	4,774.70 ST

continued next page



Managed Accounts Consulting
December 2019

Account name: CLARINDA P. JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
THE COMMONWEALTH OF MA								
SR B BEV/								
RATE 05.000% MATURES 07/01/28								
ACCRUED INTEREST \$3,125.00								
CUSIP 57582RA9								
Moody: Aa1 S&P: AA								
EAI: \$6,250 Current yield: 3.89%								
Original cost basis: \$151,590.00								
	Dec 18, 18	125,000.000	119,195	148,993.88	128,596	160,745.00	11,751.12	LT
UNIV OF CONNECTICUT								
SR A BEV/								
RATE 05.000% MATURES 11/01/28								
ACCRUED INTEREST \$833.33								
CUSIP 914237B4								
Moody: A1 S&P: A+								
EAI: \$5,000 Current yield: 3.97%								
Original cost basis: \$122,023.00								
	Apr 25, 19	100,000.000	120,670	120,670.54	125,835	125,835.00	5,164.46	ST
KNOX CNTY TN FOR PREVO								
BEV/								
RATE 05.000% MATURES 04/01/29								
CALLABLE 04/01/28 @ 100.00								
ACCRUED INTEREST \$1,250.00								
CUSIP 499513B21								
Moody: Aa1 S&P: A++								
EAI: \$5,000 Current yield: 3.94%								
Original cost basis: \$124,289.00								
	Apr 24, 19	100,000.000	122,706	122,706.23	128,971	126,971.00	4,264.77	ST
LINCOLN CO TN								
BEV/								
RATE 05.000% MATURES 05/01/29								
CALLABLE 05/01/28 @ 100.00								
ACCRUED INTEREST \$1,124.99								
CUSIP 533407B2								
Moody: Aa3								
EAI: \$6,750 Current yield: 3.95%								
Original cost basis: \$165,090.15								
	Feb 27, 19	135,000.000	120,424	162,573.37	126,726	171,080.10	8,506.73	ST

continued next page



Managed Accounts Consulting

December 2019

Account name: CLARINDA P JEANES IRREVOCABLE
 Friendly account name: GDOTBlackrock
 Account number:

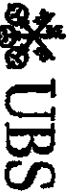
Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Fixed Income, Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain/loss (\$)	Holding period
MADISON CNTY TENN GO SCH								
BEV/								
RATE 04.000% MATURES 05/01/29								
CALLABLE 05/01/28 @ 100.00								
ACCRUED INTEREST \$666.67								
CUSIP 557412XZ1								
Moody: Aa2								
EAI: \$4,000 Current yield: 3.35%								
Original cost basis: \$117,571.00								
May 22, 19	100,000.00	116,529	116,529.74	119,464	119,464.00	2,934.26		ST
SMATRNA TN								
BEV/								
RATE 05.000% MATURES 04/01/31								
CALLABLE 04/01/29 @ 100.00								
ACCRUED INTEREST: \$1,437.50								
CUSIP 832832M15								
Moody: Aa1 S&P: Aa+								
EAI: \$5,750 Current yield: 3.90%								
Original cost basis: \$146,867.65								
Jul 06, 19	115,000.00	126,454	145,422.33	128,253	147,490.95	2,068.62		ST
Total		\$3,325,000.00	\$3,753,269.32		\$3,921,207.10	\$167,937.78		
Total accrued interest: \$41,788.84								
Total estimated annual income: \$160,000								

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	64,431.94	1.89%	64,431.94	160,000.00	167,937.78
Fixed Income	3,921,207.10	98.40%	3,753,269.32	160,000.00	167,937.78
Total	\$4,027,427.88	100.00%	\$3,817,701.26	\$160,000.00	\$167,937.78



Managed Accounts Consulting
December 2019

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 26	Transfer	JOURNAL FROM 1 JEANES INVESTMENTS LLC	12,853.50
Total deposits and other funds credited			\$12,853.50
Date	Activity	Description	Amount (\$)
Dec 6	Interest	UBS FDIC INSURED DEPOSIT PROGRAM AS OF 12/05/19	9.36
Total taxable interest			\$9.36
Dec 2	Interest	WEST KNOX UTIL DIST KNOX RV BE/R/5 DUE 080122 DTD 122216 PAID ON 100000AS OF 12/01/19 CUSIP: 953564GEE	2,500.00
Dec 2	Interest	MEMPHIS TN GAS SYS RV BE/R/5 DUE 120127 DTD 090116 CALLABLE PAID ON 175000AS OF 12/01/19 CUSIP: 58616PA12	4,375.00
Dec 2	Interest	FRANKLIN TN SFL SCH DIST BE/R/5 DUE 080128 DTD 051816PAID ON 75000 AS OF 12/01/19 CUSIP: 354771QWQ	1,875.00
Dec 2	Interest	FRANKLIN TN SFL SCH DIST BE/R/5 DUE 080128 DTD 091219FC 060119 PAID ON 75000AS OF 12/01/19 CUSIP: 354771RNG	1,875.00
Dec 2	Interest	SUNNER CNTY TN BE/R/5 DUE 120123 DTD 042915PAID ON 120000AS OF 12/01/19 CUSIP: 865407K24	3,000.00
Total tax-exempt interest			\$13,625.00
Total dividend and interest income			\$13,634.36
Date	Activity	Description	Amount (\$)
Dec 31	Transfer	JOURNAL TO CLARINDA P JEANES LIVING TRUST	-12,853.50
Total other funds debited			-\$12,853.50
Date	Activity	Description	Amount (\$)
Nov 29	Balance Forward		\$63,651.08
Dec 2	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 11/29/19	-12,853.50
Dec 3	Deposit	UBS FDIC INSURED DEPOSIT PGM	13,625.00
Dec 6	Deposit	UBS FDIC INSURED DEPOSIT PGM AS OF 12/05/19	9.36
Dec 27	Deposit	UBS FDIC INSURED DEPOSIT PGM	12,853.50
Dec 31	Closing	UBS FDIC Insured Deposit Program	\$77,285.44

Money balance activities

EPZ0001002864230 FZJ000113811 00004 1219 020899246 JY05986PMD 101800



Managed Accounts Consulting
December 2019

Account name: CLAIRDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number: 1234567890

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-728-4848

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving technical confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees or other recurring instructions on file, you will receive separate confirmations from your Financial Advisor.
To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	method	Debit/credit	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers, and Steagall, P.L.C.	varied	Check	Payee on file	Upon request	n/a	n/a	n/a	Mar 15, 19
Transamerica Life Insurance Company	varied	Transfer	Payee on file	Upon request	n/a	n/a	n/a	Dec 31, 19
TN Dept of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	n/a	Feb 20, 19
	varied	Check	Payee on file	Upon request	n/a	n/a	n/a	Mar 15, 19



Entry Date	Settle Date	Activity	Description	Symbol/Security ID	Type	Quantity	Price/Share	Amount
08/18/19	08/23/19	BOUGHT	COLORADO SPRINGS COLO A-1 RV BEAR/ Tradef: 39629 Bkt: 87	BXOF-P8	1	126,000.000	\$124.489	-157,808.47
07/08/19	07/10/19	BOUGHT	SNYRNA TN BEAR/ Tradef: 10128 Bkt: 87	BZ1DP-1	1	116,000.000	\$127.711	-147,107.23
05/22/19	06/04/19	BOUGHT	MADISON CNTY TENN GO SCH BEAR/ Tradef: 31207 Bkt: 87	BY8RO-5	1	100,000.000	\$117.571	-117,571.00
06/20/19	06/20/19	BOUGHT	KNOX CNTY TN FOR PREV O BEAR/ AS OF 06/19/19 TRADE DATE: 04/2 CHMT731	EJ8JF8	1	100,000.000	\$124.289	-124,289.00
04/28/19	05/08/19	BOUGHT	UNIV OF CONNECTICUT SR A BEAR/ Tradef: 41821 Bkt: 87	CL8LL2	1	100,000.000	\$122.023	-122,023.00
02/27/19	03/12/19	BOUGHT	FRANKLIN TN SPL SCH DIST BEAR/ Tradef: 31741 Bkt: 87	BY3S21	1	76,000.000	\$123.622	-92,716.50
02/27/19	03/01/19	BOUGHT	LINCOLN CO TN BEAR/ Tradef: 31743 Bkt: 87	BY3S21	1	135,000.000	\$122.289	-165,983.80
08/11/19	08/13/19	SOLD	MET GOVT NASHVILLE DAVID PREF BEAR/ Tradef: 32287 Bkt: 87	BSSG388	1	-16,000.000	\$108.115	16,517.25
08/11/19	08/13/19	SOLD	MET GOVT NASHVILLE A DAY SR D RV BEAR/ Tradef: 32286 Bkt: 87	BL2BK0	1	-50,000.000	\$111.008	55,529.60
08/23/19	08/29/19	SOLD	TEXAS A&M UNIV PERM PD SR A RV BEAR/ Tradef: 41282 Bkt: 87	E38A48	1	-125,000.000	\$100.294	127,907.08
02/14/19	02/18/19	SOLD	ST OF CA VAR GEN OBL BN BEAR/ Tradef: 43387 Bkt: 87	BL1PM1	1	-100,000.000	\$108.113	107,448.33
02/14/19	02/18/19	SOLD	CALIFORNIA ST UNIV SV8TE 2017A BEAR/ Tradef: 43389 Bkt: 87	BL1CK1	1	-100,000.000	\$108.478	107,576.00

SCHEDULE 9



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

EPZ2002864233 1219 X13 JV 0

Portfolio Management Program

December 2019

Your Financial Advisor:

WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005941.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following
investment objectives for this account.
Contact your Financial Advisor if you have
any questions. If your financial situation,
individual needs, risk tolerance or
investment objectives for your advisory
account(s) have changed, or if you would
like to impose or change investment
restrictions on your discretionary advisory
accounts. You can find a full description
of the alternative investment objectives in
important information about your
statement at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate
Investment eligibility consideration - None
selected

Account name: DONALD R JEANES EXEMPT

FAMILY SHARE TRUST

Friendly account name: Family Trust

Account number:

Value of your account

	on November 29 (\$)	on December 31 (\$)
Your assets	518,556.02	528,164.44
Your liabilities	0.00	0.00
Value of your account	\$518,556.02	\$528,164.44

- Your account instructions
- Your account is managed by your Financial Advisor under the Portfolio Management Program.
 - Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2019 (\$)	Year to date (\$)
Opening account value	\$518,556.02	\$455,943.26
Withdrawals and fees, including investments transferred out	-800.00	-15,795.91
Dividend and interest income	5,932.37	16,048.11
Change in market value	4,476.05	71,968.98
Closing account value	\$528,164.44	\$528,164.44



Portfolio Management Program
December 2019

Account name: DONALD R. JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SFC. Protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$4,425.68	\$1,480.99
Additions		
Dividend and interest income	5,932.37	16,048.11
Proceeds from investment transactions	29,280.69	146,830.32
Total additions	\$35,213.06	\$162,878.43
Subtractions		
Professional management fees and related services	0.00	-3,676.91
Other funds debited	-800.00	-12,119.00
Funds withdrawn for investments bought	-3,931.09	-113,655.86
Total subtractions	-\$4,731.09	-\$129,451.77
Net cash flow	\$30,481.97	\$33,426.66
Closing balances	\$34,907.65	\$34,907.65

Dividend and interest income earned

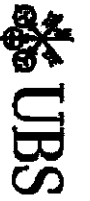
For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 4980, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable dividends	3,320.51	13,166.86
Long-term capital gains	2,511.36	2,511.36
Taxable interest	0.50	0.50
Total current year	\$5,932.37	\$15,778.72
Prior year adjustment	0.00	269.39
Total dividend & interest	\$5,932.37	\$16,048.11

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses	Unrealized gains and losses (\$)
	December 2019 (\$)	Year to date (\$)
Short term	-85.98	-211.03
Long term	-4,494.95	12,509.72
Total	-\$4,581.93	\$12,298.69
		\$70,390.77



Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Business balance Nov 7	\$0.00
Closing UBS Bank USA Business balance Dec 5	\$4,426.18
Number of days in Interest period	29
Average daily balance	\$3,050.32
Interest earned	\$0.50
Annual percentage yield earned	0.21%



Portfolio Management Program

December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the important information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
Cash	-800.00	29,280.69					
UBS BANK USA BUS ACCT	5,225.68	5,626.96					250,000.00
Total	\$4,425.68	\$34,907.65					

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES EDGE MSCI MIN VOL USA ETF									
Symbol: USMV									
Trade date: May 2, 19	508,000	59.439	30,195.49	30,195.49	65.600	33,324.80	3,129.31	3,129.31	ST
EAT: \$626 Current yield: 1.88%									
ISHARES EDGE MSCI MULTIFACTOR INTL ETF									

continued next page



Portfolio Management Program

December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Equities - Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
Symbol: INTF									
Trade date: Sep 4, 18	1,615,000	27.365	44,226.78	44,226.78	27.050	43,685.75	-541.03	-241.03	LT
EAC: \$1,597 Current yield: 3.65%									
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF									
Symbol: SPDW									
Trade date: Oct 16, 14	984,000	26.381	25,959.69	25,959.69	31.350	30,848.40	4,888.71		LT
Trade date: Feb 20, 15	1,000	26.190	26.19	26.19	31.350	31.35	3.16		LT
Trade date: Jun 23, 15	67,000	29.340	1,965.78	1,965.78	31.350	2,100.45	134.67		LT
Trade date: Nov 18, 15	61,000	26.556	1,618.72	1,618.72	31.350	1,912.35	293.63		LT
Trade date: Mar 23, 16	20,000	25.090	501.80	501.80	31.350	627.00	125.20		LT
Trade date: Jul 5, 16	64,000	24.628	1,576.20	1,576.20	31.350	2,008.40	432.20		LT
Trade date: Jan 23, 17	22,000	26.470	582.34	582.34	31.350	689.70	107.36		LT
Trade date: May 16, 17	179,000	29.229	5,232.15	5,232.15	31.350	5,611.65	379.50		LT
Trade date: Feb 14, 19	36,000	28.447	1,024.10	1,024.10	31.350	1,128.60	104.50		ST
EAC: \$1,405 Current yield: 3.13%									
Security total	1,434,000	26.840	38,488.97	38,488.97		44,955.90	6,466.93	6,466.93	
SPDR S&P MIDCAP 400 ETF TR									
Symbol: MDY									
Trade date: Oct 16, 14	48,000	236.345	11,344.56	11,344.56	375.389	18,018.24	6,673.68		LT
Trade date: Nov 18, 15	35,000	260.010	9,100.35	9,100.35	375.380	13,138.30	4,037.95		LT
Trade date: Jul 5, 16	1,000	268.920	268.92	268.92	375.380	375.38	106.46		LT
Trade date: May 16, 17	1,000	314.490	314.49	314.49	375.380	375.38	60.89		LT
EAC: \$429 Current yield: 1.34%									
Security total	85,000	247.392	21,026.32	21,026.32		31,907.30	10,878.98	10,878.98	
VANGUARD GROWTH ETF									
Symbol: VUG									
Trade date: Oct 16, 14	81,000	93.716	7,591.04	7,591.04	182.170	14,755.77	7,164.73		LT
Trade date: Aug 26, 15	124,000	99.678	12,360.17	12,360.17	182.170	22,589.08	10,228.91		LT
Trade date: Jul 5, 16	119,000	106.954	12,727.53	12,727.53	182.170	21,678.23	8,950.70		LT
Trade date: Jan 23, 17	2,000	114.540	229.08	229.08	182.170	364.34	135.26		LT

continued next page



Portfolio Management Program

December 2019

Account name: DONALD R JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI: \$567 Current yield: 0.95%									
Security total	326,000	100.944	32,907.82	32,907.82		59,387.42	26,479.60	26,479.60	
Total			\$166,847.38	\$166,847.38		\$213,261.17	\$46,413.79	\$46,413.79	
Total estimated annual income: \$4,624									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period

MFS VALUE FUND CLASS I

Symbol: MFIIX

Trade date: Jun 28, 13

Trade date: Apr 30, 14

Trade date: Oct 16, 14

Trade date: Jul 5, 16

Total reinvested

EAI: \$867 Current yield: 1.93%

Security total

516,205	29.424	15,189.06	15,189.06	44.680	23,064.05	7,874.99			LT
13,298	33.584	446.62	446.62	44.680	594.17	147.55			LT
13,575	32.390	439.70	439.70	44.680	606.53	166.83			LT
170,800	34.589	5,907.96	5,907.96	44.680	7,631.34	1,723.38			LT
290,210	36.339			44.680	12,966.59	2,420.47			
1,004,089	32.397	21,983.34	21,983.34		44,862.69	12,333.22			
								22,879.34	

NEUBERGER BERMAN GENESIS

INSTITUTIONAL

Symbol: NBGX

Trade date: Jun 28, 13

Trade date: Jul 5, 16

Trade date: Oct 24, 16

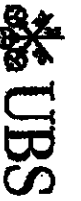
Trade date: May 16, 17

Total reinvested

EAI: \$70 Current yield: 0.22%

41,444	57.095	2,363.74	2,363.74	58.490	2,424.03	60.29			LT
31,837	53.429	1,701.04	1,701.04	58.490	1,862.15	161.11			LT
79,924	55.559	4,440.56	4,440.56	58.490	4,674.75	234.19			LT
1,297	59.128	76.69	76.69	58.490	75.86	-0.83			LT
399,800	56.131			58.490	23,384.27	942.75			

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Portfolio Management Program
December 2019

Account name: DONALD R. JEANES EXEMPT
Friendly account name: Family Trust
Account number: ...

Your Financial Advisor:
WAL FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Equities - Mutual Funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (and) gain or loss (\$)	Investment return (\$)	Holding period
Security total	554,301	55.969	8,582.03	31,023.55		32,421.06	1,397.51	23,839.03	

VIRTUS VONTAGEL EMERGING

MARKETS OPPORTUNITIES

FUND CLASS I

Symbol: HIERX

Trade date: Aug 15, 14	70,537	10.679	753.33	753.33	11.550	814.70	61.37		LT
Trade date: Oct 16, 14	700,930	9.939	6,968.66	6,968.66	11.550	8,095.74	1,127.08		LT
Trade date: Feb 20, 15	10,589	10.370	109.81	109.81	11.550	122.30	12.49		LT
Trade date: Jun 23, 15	60,819	10.080	613.06	613.06	11.550	702.46	89.40		LT
Trade date: Jan 23, 17	278,481	9.280	2,584.12	2,584.12	11.550	3,216.22	632.10		LT
Trade date: Feb 14, 19	97,929	10.699	1,047.84	1,047.84	11.550	1,131.08	83.24		ST
Total reinvested	130,890	10.093		1,321.17	11.550	1,511.78	190.61		
Eat: \$266 Current yield: 1.71%									
Security total	1,350,155	9.923	12,076.82	13,397.99		15,594.29	2,196.29	3,517.46	
Total			\$42,642.19	\$78,951.00		\$92,878.04	\$15,927.02	\$50,295.85	

Total estimated annual income: \$1,203

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Fixed Income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized fund gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized fund gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (and) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES 1-3 YR TREAS BOND ETF									
Symbol: SHY									
Trade date: Oct 24, 17	177,000	84.289	14,919.28	14,919.28	84.630	14,979.51	60.23		LT
Trade date: Apr 11, 18	61,000	83.440	5,139.06	5,139.06	84.630	5,162.43	23.37		LT

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Fixed Income • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Apr 11, 18	50,000	83.440	4,172.00	4,172.00	84.630	4,231.50	59.50		LT
Trade date: Feb 14, 19	21,000	83.690	1,776.83	1,776.83	84.630	1,777.23	0.40		LT
Trade date: May 2, 19	123,000	83.857	10,314.50	10,314.50	84.630	10,409.49	94.99		ST
EAI: \$775 Current yield: 2.12%									
Security total	432,000	84.078	36,221.67	36,221.67		36,560.16	238.49	238.49	
IShares SHORT-TERM CORPORATE BOND ETF									
Symbol: KGSB									
Trade date: Jan 16, 19	90,000	51.820	4,663.80	4,663.80	53.630	4,826.70	162.90		ST
Trade date: Jan 16, 19	13,000	51.820	673.66	673.66	53.630	697.19	23.53		ST
Trade date: May 2, 19	40,000	52.587	2,103.51	2,103.51	53.630	2,145.20	41.69		ST
EAI: \$235 Current yield: 3.05%									
Security total	143,000	52.035	7,440.97	7,440.97		7,669.09	228.12	228.12	
IShares 3-7 YEAR TREAS BOND ETF									
Symbol: IEI									
Trade date: Oct 24, 17	32,000	122.980	3,935.36	3,935.36	125.750	4,024.00	88.64		LT
Trade date: Feb 14, 19	30,000	121.657	3,669.93	3,669.93	125.750	3,772.50	102.57		
Trade date: May 2, 19	24,000	122.347	2,936.35	2,936.35	125.750	3,018.00	81.65		ST
EAI: \$217 Current yield: 2.01%									
Security total	86,000	122.577	10,541.64	10,541.64		10,814.50	272.86	272.86	
IShares FLOATING RATE BOND ETF									
Symbol: FLOT									
Trade date: Apr 18, 17	240,000	50.842	12,202.14	12,202.14	50.920	12,220.80	18.66		LT
Trade date: May 16, 17	12,000	50.840	610.08	610.08	50.920	611.04	0.96		LT
Trade date: Mar 21, 18	17,000	50.920	865.64	865.64	50.920	865.64			LT
Trade date: Jan 16, 19	123,000	50.590	6,323.75	6,323.75	50.920	6,365.00	41.25		ST
Trade date: Jan 16, 19	116,000	50.590	6,020.21	6,020.21	50.920	6,059.48	39.27		ST
Trade date: Jan 16, 19	80,000	50.590	4,047.20	4,047.20	50.920	4,073.60	26.40		ST
Trade date: Feb 14, 19	45,000	50.740	2,283.30	2,283.30	50.920	2,291.40	8.10		ST
Trade date: May 2, 19	253,000	50.868	12,869.62	12,869.62	50.920	12,882.76	13.14		ST

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WIP FINANCIAL GROUP
423-928-7144/800-729-4946

Your assets - Fixed Income - Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
EAT \$1.262 Current yield: 2.78%									
Security total	891,000	50.754	45,221.94	45,221.94		45,369.72	147.78	147.78	
Total			\$99,526.22	\$99,526.22		\$100,413.47	\$887.25	\$887.25	
Total estimated annual income: \$2,489									

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (net) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (net) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK FLOATING RATE INCOME									
PORTFOLIO INSTITUTIONAL									
Symbol: FBRIX									
Trade date: Jul 5, 16	1,119,195	10.000	11,181.95	11,181.95	10.030	11,215.49	33.54		LT
Trade date: Oct 24, 16	389,089	10.170	3,957.04	3,957.04	10.030	3,902.56	-54.48		LT
Trade date: Jan 23, 17	3,140	10.251	32.19	32.19	10.030	31.49	-0.70		LT
Trade date: May 16, 17	81,563	10.239	835.20	835.20	10.030	818.08	-17.12		LT
Trade date: Mar 21, 18	99,244	10.209	921.39	921.39	10.030	905.15	-16.24		LT
Trade date: Feb 14, 19	16,125	9.920	161.74	161.74	10.030	161.73	-0.01		LT
Trade date: May 2, 19	722,828	10.049	7,264.42	7,264.42	10.030	7,249.96	-14.46		LT
Total reinvested	361,631	10.100		3,652.82*	10.030	3,627.16	-25.66		ST
EAT \$1.399 Current yield: 5.01%									
Security total	2,782,815	10.064	24,353.93	28,006.75		27,911.63	-95.13	3,557.69	
BLACKROCK HIGH YIELD BOND									
PORTFOLIO INSTITUTIONAL									

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Portfolio Management Program

December 2019

Account name: DONALD R. JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Fixed Income, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (fixed) gain or loss (\$)	Investment return (\$)	Holding period
Symbol: BNYX									
Trade date: Jan 23, 17	364,387	7.679	2,798.49	2,798.49	7.770	2,831.29	32.80		LT
Trade date: May 16, 17	44,104	7.789	344.01	344.01	7.770	342.69	-1.32		LT
Trade date: Mar 21, 18	111,834	7.670	857.77	857.77	7.770	868.95	11.18		LT
Trade date: Jul 10, 18	271,507	7.639	2,074.31	2,074.31	7.770	2,109.61	35.30		LT
Trade date: Jul 10, 18	266,630	7.639	2,037.05	2,037.05	7.770	2,071.71	34.66		LT
Trade date: Sep 18, 18	688,865	7.669	5,283.59	5,283.59	7.770	5,352.48	68.89		LT
Trade date: Sep 18, 18	201,745	7.669	1,547.38	1,547.38	7.770	1,567.56	20.18		LT
Trade date: May 2, 19	818,796	7.619	6,239.14	6,239.14	7.770	6,361.96	122.82		ST
Total reinvested	508,577	7.661		3,896.36*	7.770	3,951.64	55.28		
EAL: \$1,441 Current yield: 5.66%									
Security total	3,276,434	7.654	21,161.74	25,078.10		25,457.89	379.79	4,276.15	
Total			\$45,595.67	\$53,084.85		\$58,369.52	\$284.66	\$7,893.65	

Total estimated annual income: \$2,840

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (fixed) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (fixed) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (fixed) gain or loss (\$)	Investment return (\$)	Holding period
JANUS HENDERSON BALANCED FUND CLASS 1 SHARES									
Symbol: JBALX									
Trade date: Jul 5, 16	730,847	28.579	20,867.60	20,867.60	36.860	26,939.01	6,051.41		LT
Trade date: Oct 24, 16	7,985	29.090	232.29	232.29	36.860	294.33	62.04		LT

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets , Other , Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Trade date: May 16, 17	4,509	31.046	139.99	139.99	36.860	166.20	26.21		LT
Total reinvested	161.016	32.274		5,196.66	36.860	5,935.05	738.39		
EAL: \$621 Current yield: 1.86%									
Security total	904.357	29.235	21,259.88	26,456.64		33,334.59	6,878.05		12,074.71

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Closed end funds & Exchange traded products	213,261.17		166,847.38	4,624.00	46,413.79
	Mutual funds	92,878.04		76,951.00	1,203.08	15,927.02
	Total equities	306,139.21	57.96%	243,798.38	5,827.08	62,340.81
Fixed Income	Closed end funds & Exchange traded products	100,413.47		99,526.22	2,489.00	887.25
	Mutual funds	53,369.52		53,084.85	2,840.00	284.66
	Total fixed income	153,782.99	29.12%	152,611.07	5,329.00	1,171.91
Other	Mutual funds	38,334.59	6.31%	26,456.64	621.00	6,878.05
Total		\$528,164.44	100.00%	\$457,773.64	\$11,777.00	\$70,390.77

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 2	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/29/19 SYMBOL: BFRX	97.95
Dec 2	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/29/19 SYMBOL: BHYX	113.94
Dec 6	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON 432 SYMBOL: SHY	57.95
Dec 6	Dividend	ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON 143 SYMBOL: IGSB	19.34

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Portfolio Management Program
December 2019

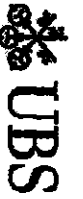
Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number: ...

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Taxable dividends (continued)			
Dec 6	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	15.67
Dec 6	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	86.36
Dec 17	Dividend	NEUBERGER BERMAN GENESIS INSTITUTIONAL SYMBOL: NBIGX	66.21
Dec 18	Dividend	JANUS HENDERSON BALANCED FUND CLASS I SHARES AS OF 12/17/19 SYMBOL: JBALX	272.08
Dec 18	Dividend	TEMPLETON GLOBAL BOND ADV SYMBOL: TGBAX	283.12
Dec 20	Dividend	ISHARES EDGE MSCI MIN VOL USA ETF PAID ON SYMBOL: USMV	194.93
Dec 20	Dividend	MFS VALUE FUND CLASS I AS OF 12/19/19 SYMBOL: MFIIX	175.95
Dec 20	Dividend	ISHARES EDGE MSCI MULTIFACTOR INTL ETF PAID ON SYMBOL: INTF	706.12
Dec 23	ST Cap Gain	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I SHORT TERM CAPITAL GAIN AS OF 12/20/19 SYMBOL: HEMX	22.15
Dec 23	Dividend	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I AS OF 12/20/19 SYMBOL: HEMX	260.02
Dec 24	ST Cap Gain	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/23/19 SYMBOL: BHYX	28.30
Dec 25	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	58.98
Dec 25	Dividend	ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON SYMBOL: K3SR	19.09
Dec 25	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	15.21
Dec 25	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	85.29

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
<i>Taxable dividends (continued)</i>			
Dec 30	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	165.51
Dec 30	Dividend	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF PAID ON SYMBOL: SPDW	576.33

Total taxable dividends				\$3,320.51
Dec 17	Lt Cap Gain	NEUBERGER BERMAN GENESIS INSTITUTIONAL LONG TERM CAPITAL GAIN SYMBOL: NBGX		1,768.85
Dec 18	Lt Cap Gain	JANUS HENDERSON BALANCED FUND CLASS I SHARES LONG TERM CAPITAL GAIN AS OF 12/17/19 SYMBOL: JBALX		264.59
Dec 20	Lt Cap Gain	MFS VALUE FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/19/19 SYMBOL: MIEIX		546.24
Dec 23	Lt Cap Gain	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/20/19 SYMBOL: HIEIX		31.68

Total long-term capital gains				2,611.36
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19		0.50
Total taxable interest				\$0.50
Total dividend and interest income				\$5,932.37

Date	Activity	Description	Amount (\$)
Dec 30	Transfer	JOURNAL TO : AMY FOLCK LIVING TRUST	-800.00
Total other funds debited			-800.00

Investment transactions

For more information about the price/quote shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Process from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued interest (\$)
Dec 2	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.93 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BFRXX	9.865				-97.96	

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Fiduciary account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/808-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 2	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.67 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BHYK	14.855				-113.94	
Dec 17	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL DIVIDEND REINVESTED AT 57.89 NAV ON 12/16/19 SYMBOL: NBGIK	1.144				-66.21	
Dec 17	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL CAP GAINS REINVESTED AT 57.89 NAV ON 12/16/19 SYMBOL: NBGIK	30.595				-1,768.85	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES LT CAP GAINS REINVESTED AT 36.60 NAV ON 12/17/19 AS OF 12/17/19 SYMBOL: JBALX	7.229				-264.59	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES DIVIDEND REINVESTED AT 36.60 NAV ON 12/17/19 AS OF 12/17/19 SYMBOL: JBALX	7.434				-272.08	
Dec 18	Reinvestment	TEMPLETON GLOBAL BOND ADV DIVIDEND REINVESTED AT 10.50 NAV ON 12/16/19 SYMBOL: TGBAX	26.964				-283.12	
Dec 20	Reinvestment	MFS VALUE FUND CLASS I DIVIDEND REINVESTED AT 44.35 NAV ON 12/19/19 AS OF 12/19/19 SYMBOL: MFIIX	3.967				-175.95	
Dec 20	Reinvestment	MFS VALUE FUND CLASS I LT CAP GAINS REINVESTED AT 44.35 NAV ON 12/19/19 AS OF 12/19/19 SYMBOL: MFIIX	12.317				-546.24	

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-8848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Acquired Intrins (\$)
Dec 23	Reinvestment	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I ST CAP GAINS REINVESTED AT 11.57 NAV ON 12/20/19 AS OF 12/20/19 SYMBOL: HIEMX	1.914				-22.15	
Dec 23	Reinvestment	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I DIVIDEND REINVESTED AT 11.57 NAV ON 12/20/19 AS OF 12/20/19 SYMBOL: HIEMX	22.474				-260.02	
Dec 23	Reinvestment	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I LT CAP GAINS REINVESTED AT 11.57 NAV ON 12/20/19 AS OF 12/20/19 SYMBOL: HIEMX	2.738				-31.68	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.77 NAV ON 12/23/19 AS OF 12/23/19 SYMBOL: BHYX	3.642				-28.30	
Dec 31	Sold	TEMPLETON GIBAL BOND ADV FROD \$3640937394 SYMBOL: TGBAX	-2,757.127		10.620	29,280.69		
Total						\$29,280.69		-\$3,951.09

Money balance activities

Date	Activity	Description	Amount (\$)
Nov 29	Balance forward		\$5,225.68
Dec 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/29/19	-800.00
Dec 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/19	0.50
Dec 9	Deposit	UBS BANK USA BUSINESS ACCOUNT	178.32
Dec 23	Deposit	UBS BANK USA BUSINESS ACCOUNT	901.05
Dec 27	Deposit	UBS BANK USA BUSINESS ACCOUNT	178.57
Dec 31	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/30/19	-56.16
Dec 31	Closing UBS Bank USA Business Account		\$5,626.96



Portfolio Management Program

December 2019

Account name: DONALD R. JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security for first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See important information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted CIP for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Undclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gains/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TEMPLETON GLOBAL BOND ADV									
FIFO		12,904	Apr 15, 19	Dec 30, 19	137.04	147.88		-10.84	
FIFO		64,529	May 02, 19	Dec 30, 19	685.30	736.92		-51.62	
FIFO		13,495	May 15, 19	Dec 30, 19	143.32	151.55		-8.23	
FIFO		12,368	Jun 17, 19	Dec 30, 19	131.35	138.77		-7.42	
FIFO		13,778	Jul 15, 19	Dec 30, 19	146.32	156.24		-9.92	
FIFO		12,672	Aug 15, 19	Dec 30, 19	134.58	136.10		-1.52	
FIFO		9,907	Sep 16, 19	Dec 30, 19	105.21	106.00		-0.79	
FIFO		11,307	Oct 15, 19	Dec 30, 19	120.08	120.19		-0.11	
FIFO		11,795	Nov 15, 19	Dec 30, 19	125.27	125.04			0.23
FIFO		26,964	Dec 16, 19	Dec 30, 19	285.36	283.12			2.24
Total					\$2,014.83	\$2,101.81		-\$86.98	\$3.47

Net short-term capital gains and losses

-\$86.98

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TEMPLETON GLOBAL BOND ADV									
FIFO		1,288,098	Jun 28, 13	Dec 30, 19	13,679.61	16,233.62		-2,554.01	
FIFO		10,770	Jul 15, 13	Dec 30, 19	114.38	136.69		-22.31	
FIFO		0,830	Dec 16, 13	Dec 30, 19	8.82	10.57		-1.75	
FIFO		304,525	Apr 30, 14	Dec 30, 19	3,234.06	3,883.41		-649.35	
FIFO		4,753	May 15, 14	Dec 30, 19	50.48	61.08		-10.60	
FIFO		4,721	Jun 16, 14	Dec 30, 19	50.13	61.27		-11.14	
FIFO		5,213	Jul 15, 14	Dec 30, 19	55.37	67.70		-12.33	
FIFO		5,174	Aug 15, 14	Dec 30, 19	54.94	67.07		-12.13	

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Portfolio Management Program
December 2019

Account name: DONALD R. JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-728-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
RFO		5,155	Sep 15, 14	Dec 30, 19	54,75	66,76		-12,01	
RFO		5,185	Oct 15, 14	Dec 30, 19	55,06	66,06		-11,00	
RFO		5,040	Nov 17, 14	Dec 30, 19	53,53	64,72		-11,19	
RFO		57,314	Nov 20, 14	Dec 30, 19	608,67	737,16		-128,49	
RFO		84,338	Dec 15, 14	Dec 30, 19	895,67	1,010,50		-114,83	
RFO		4,777	Dec 15, 14	Dec 30, 19	50,74	57,23		-6,49	
RFO		5,763	Jan 15, 15	Dec 30, 19	61,20	69,44		-8,24	
RFO		5,707	Feb 17, 15	Dec 30, 19	60,61	69,00		-8,39	
RFO		53,702	Feb 20, 15	Dec 30, 19	570,31	643,98		-73,67	
RFO		5,946	Mar 16, 15	Dec 30, 19	63,15	71,33		-8,18	
RFO		5,891	Apr 15, 15	Dec 30, 19	62,56	71,53		-8,97	
RFO		5,930	May 15, 15	Dec 30, 19	62,96	74,53		-11,55	
RFO		3,790	Jun 15, 15	Dec 30, 19	40,25	47,14		-6,89	
RFO		2,208	Jun 15, 15	Dec 30, 19	23,45	27,35		-3,90	
RFO		5,941	Jul 15, 15	Dec 30, 19	63,09	74,11		-11,02	
RFO		6,210	Aug 17, 15	Dec 30, 19	65,95	71,05		-5,11	
RFO		6,293	Sep 15, 15	Dec 30, 19	66,83	70,55		-3,72	
RFO		6,263	Oct 15, 15	Dec 30, 19	66,52	71,21		-4,69	
RFO		6,213	Nov 16, 15	Dec 30, 19	65,98	77,50		-11,52	
RFO		6,231	Dec 15, 15	Dec 30, 19	66,17	76,29		-10,12	
RFO		6,524	Jan 15, 16	Dec 30, 19	69,29	70,08		-0,79	
RFO		6,592	Feb 16, 16	Dec 30, 19	70,00	70,08		-0,08	
RFO		6,423	Mar 15, 16	Dec 30, 19	68,21	80,05		-11,84	
RFO		6,193	Apr 15, 16	Dec 30, 19	65,77	77,99		-12,22	
RFO		4,338	May 16, 16	Dec 30, 19	46,07	48,25		-2,18	
RFO		62,202	May 27, 16	Dec 30, 19	660,59	893,76		-23,17	
RFO		4,554	Jun 15, 16	Dec 30, 19	48,36	56,91		-8,55	
RFO		4,361	Jul 15, 16	Dec 30, 19	46,32	56,49		-10,17	
RFO		4,401	Aug 15, 16	Dec 30, 19	46,73	49,68		-2,95	
RFO		4,500	Sep 15, 16	Dec 30, 19	47,79	49,65		-1,86	

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
RFO	RFO	4,395	Oct 17, 16	Dec 30, 19	46.68	54.38	4.28	-7.70	
RFO	RFO	4,419	Nov 15, 16	Dec 30, 19	46.93	54.29	4.31	-7.36	
RFO	RFO	4,218	Dec 15, 16	Dec 30, 19	44.79	50.62		-5.83	
RFO	RFO	5,461	Jan 17, 17	Dec 30, 19	58.00	63.95		-5.95	
RFO	RFO	12,579	Jan 23, 17	Dec 30, 19	133.59	148.31		-14.72	
RFO	RFO	4,745	Feb 15, 17	Dec 30, 19	50.39	58.13		-7.74	
RFO	RFO	5,404	Mar 15, 17	Dec 30, 19	57.39	66.42		-9.03	
RFO	RFO	6,267	Apr 17, 17	Dec 30, 19	66.56	78.86		-12.30	
RFO	RFO	6,395	May 15, 17	Dec 30, 19	67.91	80.91		-13.00	
RFO	RFO	5,647	Jun 15, 17	Dec 30, 19	59.97	70.22	1.04	-10.25	
RFO	RFO	6,517	Jul 17, 17	Dec 30, 19	69.21	80.22		-11.01	
RFO	RFO	5,606	Aug 15, 17	Dec 30, 19	59.54	67.94		-8.40	
RFO	RFO	5,452	Sep 15, 17	Dec 30, 19	57.90	66.51		-8.61	
RFO	RFO	5,251	Oct 16, 17	Dec 30, 19	55.77	63.48		-7.71	
RFO	RFO	5,256	Nov 15, 17	Dec 30, 19	55.81	62.70		-6.89	
RFO	RFO	16,639	Dec 15, 17	Dec 30, 19	176.70	209.04	9.70	-32.34	
RFO	RFO	1,457	Dec 15, 17	Dec 30, 19	15.48	17.45		-1.97	
RFO	RFO	5,541	Jan 16, 18	Dec 30, 19	58.85	66.10		-7.25	
RFO	RFO	4,949	Feb 15, 18	Dec 30, 19	52.56	58.45		-5.89	
RFO	RFO	7,081	Mar 15, 18	Dec 30, 19	75.20	83.48		-8.28	
RFO	RFO	228,884	Mar 21, 18	Dec 30, 19	2,430.75	2,712.27		-281.52	
RFO	RFO	9,242	Apr 16, 18	Dec 30, 19	98.15	110.07		-11.92	
RFO	RFO	11,334	May 15, 18	Dec 30, 19	120.96	133.17		-12.81	
RFO	RFO	11,278	Jun 15, 18	Dec 30, 19	119.78	129.92		-10.14	
RFO	RFO	12,241	Jul 16, 18	Dec 30, 19	130.00	142.85		-12.85	
RFO	RFO	11,623	Aug 15, 18	Dec 30, 19	123.43	134.48		-11.05	
RFO	RFO	10,686	Sep 17, 18	Dec 30, 19	113.59	120.01		-6.42	
RFO	RFO	12,578	Oct 15, 18	Dec 30, 19	133.58	144.77		-11.19	
RFO	RFO	12,992	Nov 15, 18	Dec 30, 19	137.98	150.71		-12.73	

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
RFO	RFO	61,592	Dec 17, 18	Dec 30, 19	654.10	695.37		-41.27	
RFO	RFO	15,359	Jan 15, 19	Dec 30, 19	163.12	190.80		-27.68	
RFO	RFO	11,732	Feb 15, 19	Dec 30, 19	124.59	147.16		-22.57	
RFO	RFO	12,506	Mar 15, 19	Dec 30, 19	132.81	155.99		-23.18	
Total					\$27,265.86	\$31,760.81		-\$4,494.95	
Net long-term capital gains or losses									-\$4,494.95
Net capital gains/losses:									-\$4,581.93

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving biweekly confirmations for payments to these payees.

The payees and recurring payments listed below do not include bill payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Disbursement	Amount (\$)	method	Type/Event	frequency	Start date	End date	Last used date
Blackburn, Childers & Siegal	varied	Check	Payee on file	Upon request	n/a	n/a	n/a	Mar 15, 19
	varied	Transfer	Payee on file	Upon request	n/a	n/a	n/a	Dec 30, 19
TN Dept of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	n/a	Mar 15, 19
United States Treasury	varied	Check	Payee on file	Upon request	n/a	n/a	n/a	Mar 15, 19



Entry Date	Settle Date	Activity	Description	Symbol/Security#	Type	Quantity	Price/Detail	Amount
05/02/19	05/02/19	BOUGHT	ISHARES EDGE MSCI MINI VOL USA ETF	USMV-2148H1	1	628.000	\$56.440	-30,195.49
05/02/19	05/02/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	ISLRV-2148H1	1	253.000	\$56.866	-12,969.62
05/02/19	05/02/19	BOUGHT	ISHARES 3-7 YEAR TREAS BOND ETF	ISL214HY4	1	24.000	\$122.348	-2,936.36
05/02/19	05/02/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	ISL8B-214HY1	1	40.000	\$62.689	-2,507.51
05/02/19	05/02/19	BOUGHT	ISHARES 1-3 YR TREAS BOND ETF	ISL214HY1	1	122.000	\$83.858	-10,314.50
05/02/19	05/02/19	BOUGHT	TEMPLETON GLOBAL BOND ADV FBOID 91221967135	MFFKIM-641894	1	84.529	\$11.420	-736.92
05/02/19	05/02/19	BOUGHT	BLACKROCK HIGH YIELD BOND	MFBCKV-62D441	1	818.765	\$7.620	-6,239.14
05/02/19	05/02/19	BOUGHT	BLACKROCK FLOATING RATE INCOME	MFBCKV-62D441	1	722.628	\$10.050	-7,264.42
05/02/19	05/02/19	BOUGHT	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	SPDW-447525	1	36.000	\$28.447	-1,024.10
05/02/19	05/02/19	BOUGHT	ISHARES 3-7 YEAR TREAS BOND ETF	ISL214HY4	1	46.000	\$50.740	-2,283.30
05/02/19	05/02/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	ISL214HY4	1	30.000	\$121.656	-3,649.73
05/02/19	05/02/19	BOUGHT	ISHARES 1-3 YR TREAS BOND ETF	ISL214HY4	1	21.000	\$83.890	-1,757.84
05/02/19	05/02/19	BOUGHT	VIRTUS VONTROBEL EMERGING MARKETS OPPORTUNITIES	MFBCKV-62D441	1	97.929	\$10.700	-1,047.84
05/02/19	05/02/19	BOUGHT	BLACKROCK FLOATING RATE INCOME	MFBCKV-62D441	1	16.125	\$83.820	-1,359.86
05/02/19	05/02/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	ISL8B-214HY1	1	21.000	\$51.820	-1,088.22
05/02/19	05/02/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	ISL214HY4	1	80.000	\$50.590	-4,047.20
05/02/19	05/02/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	ISL214HY4	1	125.000	\$50.590	-6,323.75
05/02/19	05/02/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	ISL8B-214HY1	1	119.000	\$51.820	-6,020.21
05/02/19	05/02/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	ISL8B-214HY1	1	90.000	\$51.820	-4,663.80
12/30/19	12/31/19	SOLD	TEMPLETON GLOBAL BOND ADV FBOID 93640837384	MFFKIM-641894	1	-2,757.127	\$10.620	29,280.89
05/02/19	05/02/19	SOLD	VANGUARD GROWTH ETF DE	VUG-488781	1	-17.000	\$161.962	-2,753.12
05/02/19	05/02/19	SOLD	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	SPDW-447525	1	-35.000	\$28.712	-1,000.26
05/02/19	05/02/19	SOLD	ENERGY SELECT SECTOR SPDR FUND ETF	XLE-431684	1	-150.000	\$83.812	-12,571.66
05/02/19	05/02/19	SOLD	FINANCIAL SELECT SECTOR SPDR FUND ETF	XLF-431681	1	-376.000	\$27.790	-10,446.06
05/02/19	05/02/19	SOLD	INVESTCO QQQ TRUST ETF DE	QQQ-220D18	1	-67.000	\$167.762	-11,202.23
05/02/19	05/02/19	SOLD	ISHARES EDGE MSCI MULTIFACTOR INTL ETF	INTF-220D18	1	-4.000	\$26.479	-106.91
05/02/19	05/02/19	SOLD	VIRTUS VONTROBEL EMERGING MARKETS OPPORTUNITIES	MFBCKV-62D441	1	-63.559	\$11.440	-728.72
05/02/19	05/02/19	SOLD	JANUS HENDERSON BALANCED FUND CLASS 1 SHARES	MFAJAN-62M627	1	-20.369	\$34.180	-698.88
05/02/19	05/02/19	SOLD	MFS VALUE FUND CLASS 1 FBOID 91221984755	MFAJAN-62M627	1	-35.065	\$41.250	-1,448.48
05/02/19	05/02/19	SOLD	NEUBERGER BERMAN GENESIS INSTITUTIONAL	MFBCKV-62D441	1	-54.191	\$56.080	-3,034.51
05/02/19	05/02/19	SOLD	VANGUARD GROWTH ETF DE	VUG-488781	1	-14.000	\$150.229	-2,103.17
05/02/19	05/02/19	SOLD	ENERGY SELECT SECTOR SPDR FUND ETF	XLE-431684	1	-200.000	\$68.230	-13,645.83
05/02/19	05/02/19	SOLD	FINANCIAL SELECT SECTOR SPDR FUND ETF	XLF-431681	1	-466.000	\$26.991	-12,381.21
05/02/19	05/02/19	SOLD	SPDR S&P MIDCAP 400 ETF TR	MDY-4119N7	1	-1.000	\$346.144	-346.14
05/02/19	05/02/19	SOLD	INVESTCO QQQ TRUST ETF DE	QQQ-220D18	1	-69.000	\$171.340	-11,762.06
05/02/19	05/02/19	SOLD	ISHARES EDGE MSCI MULTIFACTOR INTL ETF	INTF-220D18	1	-76.000	\$28.783	-2,188.95
05/02/19	05/02/19	SOLD	ISHARES SHORT-TERM CORPORATE BOND ETF	ISL8B-214HY1	1	-8.000	\$62.183	-501.46
05/02/19	05/02/19	SOLD	TEMPLETON GLOBAL BOND ADV FBOID 90461263988	MFFKIM-641894	1	-167.748	\$11.670	-1,940.84
05/02/19	05/02/19	SOLD	JANUS HENDERSON BALANCED FUND CLASS 1 SHARES	MFAJAN-62M627	1	-42.680	\$32.770	-1,398.02
05/02/19	05/02/19	SOLD	MFS VALUE FUND CLASS 1 FBOID 90451258905	MFAJAN-62M627	1	-19.126	\$38.840	-742.94
05/02/19	05/02/19	SOLD	NEUBERGER BERMAN GENESIS INSTITUTIONAL	MFBCKV-62D441	1	-26.865	\$53.650	-1,430.80
05/02/19	05/02/19	SOLD	BLACKROCK HIGH YIELD BOND	MFBCKV-62D441	1	-590.269	\$7.460	-4,326.81
05/02/19	05/02/19	SOLD	BLACKROCK FLOATING RATE BOND ETF	ISL214HY4	1	-8.000	\$121.260	-969.00
05/02/19	05/02/19	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	ISL214HY4	1	-72.000	\$83.600	-6,018.12
05/02/19	05/02/19	SOLD	BLACKROCK HIGH YIELD BOND	MFBCKV-62D441	1	-638.906	\$7.370	-4,708.75
05/02/19	05/02/19	SOLD	BLACKROCK HIGH YIELD BOND	MFBCKV-62D441	1	-553.449	\$7.370	-4,078.92
05/02/19	05/02/19	SOLD	BLACKROCK FLOATING RATE INCOME	MFBCKV-62D441	1	-643.757	\$9.890	-6,366.76

SCHEDULE 10



UBS Financial Services Inc.
214 E. Main Street
Suite 140
Johnson City TN 37601-2509

EPZ0002894253 1219 X13 IV 0

Portfolio Management Program

December 2019

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-828-7144/800-729-4848

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 355005847.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following
investment objectives for this account.
Contact your Financial Advisor if you have
any questions, if your financial situation,
individual needs, risk tolerance or
investment objectives for your advisory
account(s) have changed, or if you would
like to impose or change investment
restrictions on your discretionary advisory
accounts. You can find a full description
of the alternative investment objectives in
important information about your
statement at the end of this document.

Your return objective:
Current income & capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - None
selected

Account name: DONALD R JEANES MARTIAL
SHARE TWO TRUST
Friendly account name: Martial Trust
Account number:

Value of your account

	on November 29 (\$)	on December 31 (\$)
Your assets	3,181,870.91	3,179,591.19
Your liabilities	0.00	0.00
Value of your account	\$3,181,870.91	\$3,179,591.19
Accrued interest in value above	\$2,302.22	\$2,687.50

Change in the value of your account

	December 2019 (\$)	Year to date (\$)
Opening account value	\$3,181,870.91	\$3,259,074.57
Withdrawals and fees, including investments transferred out	-67,164.23	-670,971.83
Dividend and interest income	18,335.91	90,580.03
Change in value of accrued interest	385.28	-225.00
Change in market value	46,163.32	501,133.32
Closing account value	\$3,179,591.19	\$3,179,591.19

As a service to you, your portfolio value of
\$3,179,591.19 includes accrued interest.

- Your account instructions**
- Your account is managed by your Financial Advisor under the Portfolio Management Program.
 - Your account cost basis default closing method is FIFO, First In, First Out.



Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances values. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. Protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$464,187.71	\$535,378.96
Additions		
Dividend and interest income	18,335.91	90,580.03
Proceeds from investment transactions	227,850.54	388,916.39
Total additions	\$246,186.45	\$479,496.42
Subtractions		
Professional management fees and related services	0.00	-24,442.03
Other fees	0.00	-0.96
Other funds debited	-16,169.39	-143,341.51
Funds withdrawn for investments bought	-26,576.56	-179,462.67
Total subtractions	-\$42,745.95	-\$347,247.17
Net cash flow	\$203,440.50	\$132,249.25
Closing balances	\$667,628.21	\$667,628.21

Dividend and interest income earned.

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable dividends	18,157.75	81,475.27
Taxable interest	84.41	2,940.56
Tax-exempt interest	93.75	5,937.50
Total current year	\$18,295.91	\$90,353.33
Prior year adjustment	0.00	226.70
Total dividend & interest	\$18,335.91	\$90,580.03

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	December 2019 (\$)	Year to date (\$)	Unrealized gains and losses (\$)
Short term	-461.82	897.57	18,284.83
Long term	-27,575.58	361.92	435,845.80
Total	-\$28,037.40	\$1,259.49	\$454,130.63

Withholdings and tax summary

	December 2019 (\$)	Year to date (\$)
Foreign taxes paid	-66.40	-336.64



Portfolio Management Program
December 2019

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7149/600-729-4848

UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 7 - Dec 5

Opening UBS FDIC-Insured Dep Pgm balance Nov 7	\$469,533.93
Closing UBS FDIC-Insured Dep Pgm balance Dec 5	\$469,601.72
Number of days in Interest period	29
Average daily balance	\$466,839.62
Interest earned	\$84.41
Annual percentage yield earned	0.23%



Portfolio Management Program
December 2019

Account name: DONALD R. JEANES MARITAL
Friendly account name: Marilda Trust
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
823-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the Important Information about your statement at the end of this document for details about these balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/Interest period	Days in period
Cash	-1,898.52	182,097.49				
UBS FDIC INSURED DEP PGM	465,886.23	485,530.72				
Total	\$464,187.71	\$667,632.21				

UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (S)	Status
1	UBS Bank USA	249,000.00	
2	Wells Fargo Bank, N.A.	236,530.72	
3	CIT Bank, N.A.	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	BBVA USA	0.00	
6	East West Bank	0.00	
7	Citizens Bank, N.A.	0.00	
8	Associated Bank, N.A.	0.00	
9	Pacific Western Bank	0.00	
10	Amelia Bank	0.00	
Total		\$485,530.72	



Portfolio Management Program

December 2018

Account name: DONALD R. JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor
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 423-928-7144/800-729-4848

YOUR ASSETS (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PFC (IRELAND) CL A								
Symbol: ACN Exchange: NYSE								
EAT: \$224 Current yield: 1.52%								
	Jul 27, 17	25,000	128.249	3,206.23	210.570	5,264.25	2,058.02	LT
	Jul 27, 17	18,000	128.278	2,309.00	210.570	4,000.83	1,568.53	LT
	Oct 17, 18	25,000	158.590	3,967.25	210.570	5,264.25	1,297.00	LT
Security total		68,000	138.287	9,510.78		14,529.33	4,918.55	
ADOBE INC. (CALIFORNIA)								
Symbol: ADOE Exchange: OTC								
	Feb 16, 16	105,000	77.776	8,156.58	329.810	34,538.05	26,483.47	LT
	Sep 21, 16	40,000	107.130	4,285.20	329.810	13,192.40	8,907.20	LT
	Jul 27, 17	22,000	140.240	3,085.28	329.810	7,255.82	3,972.34	LT
Security total		167,000	\$4.223	15,735.26		55,078.27	39,343.01	
ALPHABET INC. CL A								
Symbol: GOOGL Exchange: OTC								
	Sep 21, 16	5,000	801.050	4,005.25	1,339.390	6,696.95	2,691.70	LT
	Jul 27, 17	3,000	967.270	2,901.81	1,339.390	4,018.17	1,116.36	LT
	Jan 14, 19	2,000	1,055.740	2,111.48	1,339.390	2,678.78	567.30	ST
	May 13, 19	3,000	1,157.960	3,473.88	1,339.390	4,018.17	604.29	ST
Security total		13,000	956.340	12,432.42		17,412.07	4,973.65	
AMAZON.COM INC								
Symbol: AMZN Exchange: OTC								
	Jan 7, 19	4,000	1,618.730	6,474.92	1,847.840	7,391.36	912.44	ST
	Apr 15, 19	1,000	1,840.560	1,840.56	1,847.840	1,847.84	7.28	ST
	Aug 12, 19	2,000	1,795.000	3,590.00	1,847.840	3,695.68	105.68	ST
Security total		7,000	1,791.354	11,905.48		12,934.88	1,025.40	
AMER ELECTRIC POWER CO.								
Symbol: AEP Exchange: NYSE								
EAT: \$148 Current yield: 2.95%								
	Sep 9, 19	28,000	91.337	2,557.46	94.510	2,646.28	88.82	ST
	Sep 9, 19	26,000	91.348	2,385.70	94.510	2,362.75	78.05	ST
Security total		54,000	91.343	4,943.16		5,009.03	167.87	
AMERICAN TOWER CORP REIT								
Symbol: AMT Exchange: NYSE								
EAT: \$284 Current yield: 1.85%								
	Jul 23, 18	64,000	138.867	7,552.85	229.820	12,410.28	4,857.43	LT

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Portfolio Management Program

December 2019

Account name: DONALD R. JEANES MARTIAL
 Friendly account name: Martial Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERIPRISE FINANCIAL INC								
Symbol: AAPL Exchange: NYSE								
EAI: \$264 Current yield: 2.33%								
Security total	Dec 16, 19	21,000	213.759	4,488.95	229.820	4,826.22	337.27	ST
		75,000	160.557	12,041.80		17,236.50	5,194.70	
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: \$1,260 Current yield: 5.32%								
Security total	Jun 19, 18	44,000	147.597	6,494.27	166.580	7,329.52	835.25	LT
	Jun 7, 19	24,000	111.424	2,674.19	166.580	3,987.92	1,323.73	ST
		68,000	134.830	9,168.46		11,327.44	2,158.98	
AUTOMATIC DATA PROCESSING INC								
Symbol: ADP Exchange: OTC								
EAI: \$360 Current yield: 2.13%								
Security total	Aug 27, 12	200,000	36.870	7,374.00	39.080	7,816.00	442.00	LT
	Aug 27, 12	104,000	36.870	3,834.48	39.080	4,064.32	229.84	LT
	Aug 27, 12	88,000	36.870	3,244.56	39.080	3,438.04	194.48	LT
	Aug 27, 12	85,000	36.870	3,133.95	39.080	3,321.80	187.85	LT
	Aug 27, 12	68,000	36.870	2,544.03	39.080	2,696.52	152.49	LT
	Aug 27, 12	60,000	36.870	2,212.20	39.080	2,344.80	132.60	LT
		606,000	36.870	32,343.22		23,662.48	1,339.26	
BOEING COMPANY								
Symbol: BA Exchange: NYSE								
EAI: \$279 Current yield: 2.52%								
Security total	Jun 17, 19	22,000	352.610	7,757.44	325.760	7,166.72	-590.72	ST
	Jun 17, 19	12,000	352.860	4,234.32	325.760	3,908.12	-326.20	ST
		34,000	352.889	11,991.76		11,075.84	-915.92	
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: \$305 Current yield: 3.95%								
Security total	Jul 27, 17	17,000	105.288	1,789.91	120.510	2,048.67	258.76	LT
	Sep 12, 17	6,000	112.890	677.34	120.510	723.06	45.72	LT

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARITAL
 Friendly account name: Maribel Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
CHUBB LTD CHF								
Symbol: CB Exchange: NYSE								
EAI: \$117 Current yield: 1.33%								
CHF Exchange rate: 0.95835								
Jul 27, 17	23,000	143.870	3,309.01	155.660	3,580.18	271.17	LT	
Oct 17, 18	16,000	125.980	2,015.68	155.660	2,490.56	474.88	LT	
Security total	39,000	136.531	5,324.69	6,070.74	746.05			
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$407 Current yield: 2.92%								
May 16, 13	291,000	23.969	6,975.24	47.960	13,956.36	6,981.12	LT	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$251 Current yield: 2.89%								
Jul 27, 17	73,000	45.749	3,339.68	55.350	4,040.55	700.87	LT	
Jul 23, 18	27,000	44.888	1,212.00	55.350	1,494.45	282.45	LT	
Oct 17, 18	57,000	45.518	2,594.53	55.350	3,154.95	560.42	LT	
Security total	157,000	45.517	7,146.21	8,689.95	1,543.74			
COLGATE PALMOLIVE CO								
Symbol: CL Exchange: NYSE								
EAI: \$451 Current yield: 2.50%								
Sep 21, 16	59,000	72.459	4,275.13	68.840	4,061.56	-213.57	LT	
Jul 27, 17	48,000	72.630	3,340.98	68.840	3,166.64	-174.34	LT	
Jul 27, 17	44,000	72.610	3,194.84	68.840	3,028.96	-165.88	LT	
Nov 6, 17	15,000	70.000	1,050.00	68.840	1,032.60	-17.40	LT	
Jul 23, 18	56,000	65.770	3,683.17	68.840	3,855.04	171.87	LT	
Oct 17, 18	42,000	62.220	2,613.24	68.840	2,891.28	278.04	LT	
Security total	262,000	69.303	18,157.36	18,036.08	-121.28			
COMCAST CORP NEW CL A								
Symbol: CMCSA Exchange: OTC								
EAI: \$449 Current yield: 1.87%								
Sep 21, 16	128,000	33.254	4,256.56	44.970	5,756.16	1,499.58	LT	
Jul 27, 17	81,000	39.889	3,231.82	44.970	3,642.57	410.75	LT	
Mar 5, 18	88,000	36.974	3,253.75	44.970	3,957.36	703.61	LT	
Mar 5, 18	31,000	36.963	1,145.87	44.970	1,394.07	248.20	LT	

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Portfolio Management Program

December 2019

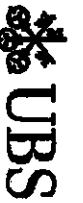
Account name: DONALD R JEANES MARITAL
 Friendly account name: Married Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
COSTCO WHOLESALE CORP								
Symbol: COST Exchange: OTC								
EAI: \$109 Current yield: 0.88%								
	Mar 12, 19	18,000	230.320	4,145.76	233.920	5,290.56	1,144.80	ST
	Mar 12, 19	15,000	230.320	3,454.80	233.920	4,408.80	954.00	ST
	Mar 12, 19	9,000	230.320	2,072.88	233.920	2,645.28	572.40	ST
Security total		42,000	230.320	9,673.44		12,344.64	2,671.20	
CRANE CO								
Symbol: CR Exchange: NYSE								
EAI: \$90 Current yield: 1.80%								
	Jul 27, 17	21,000	75.240	1,580.04	86.380	1,813.98	233.94	LT
	Dec 20, 17	12,000	89.116	1,069.40	86.380	1,036.56	-32.84	LT
	Oct 17, 18	25,000	89.990	2,249.75	86.380	2,159.50	-90.25	LT
Security total		58,000	84.469	4,899.19		5,010.04	110.85	
DANAHER CORP								
Symbol: DHR Exchange: NYSE								
EAI: \$55 Current yield: 0.44%								
	Sep 21, 16	28,000	77.008	2,156.25	153.480	4,297.44	2,141.19	LT
	Jul 27, 17	40,000	81.509	3,260.36	153.480	6,139.20	2,878.84	LT
	Jul 23, 18	13,000	102.673	1,334.76	153.480	1,995.24	660.48	LT
Security total		81,000	83.350	6,751.37		12,431.88	5,680.51	
DIAGEO PLC NEW GB SPON ADR								
Symbol: DED Exchange: NYSE								
EAI: \$196 Current yield: 2.04%								
	Jul 27, 17	26,000	128.110	3,330.86	168.420	4,378.92	1,048.06	LT
	Sep 12, 17	5,000	137.410	687.05	168.420	842.10	155.05	LT
	Oct 17, 18	17,000	134.430	2,285.31	168.420	2,863.14	577.83	LT
	Oct 15, 19	9,000	162.413	1,461.72	168.420	1,515.78	54.06	ST
Security total		57,000	135.227	7,764.94		9,599.94	1,835.00	

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Portfolio Management Program

December 2019

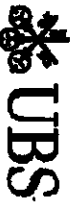
Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ENERIDGE INC CAD								
Symbol: ENB Exchange: NYSE								
EAL \$957 Current yield: 6.15%								
CAD Exchange rate: 1.29675								
Security total	Aug 27, 12	115,480	29,359	3,390.46	39,770	4,592.64	1,202.18	LT
	Aug 27, 12	98,400	29,359	2,889.00	38,770	3,913.37	1,024.37	LT
	Aug 27, 12	92,496	29,359	2,715.66	39,770	3,678.57	962.91	LT
	Aug 27, 12	84,624	29,359	2,484.54	39,770	3,365.50	880.86	LT
	Aug 27, 12	391,000	29,360	11,479.66		15,550.07	4,070.42	
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC								
Security total	Sep 21, 16	13,000	129,020	1,677.26	205,250	2,668.25	990.99	LT
	Jul 27, 17	19,000	174,440	3,314.36	205,250	3,899.75	585.39	LT
	Jan 14, 19	18,000	144,097	2,593.76	205,250	3,694.50	1,100.74	ST
	May 13, 19	12,000	183,600	2,203.20	205,250	2,463.00	259.80	ST
	May 13, 19	62,000	157,880	9,788.58		12,725.50	2,936.92	
FIDELITY NATL INFORMATION SVCS								
Symbol: FIS Exchange: NYSE								
EAL \$130 Current yield: 1.00%								
Security total	Sep 16, 19	33,000	132,478	4,371.79	139,090	4,589.97	218.18	ST
	Sep 16, 19	11,000	132,087	1,452.96	139,090	1,529.99	77.03	ST
	Sep 16, 19	9,000	132,061	1,188.55	139,090	1,251.81	63.26	ST
	Nov 11, 19	40,000	131,248	5,249.94	139,090	5,563.60	313.66	ST
	Nov 11, 19	93,000	131,863	12,263.24		12,935.37	672.13	
FFTH THIRD BANCORP								
Symbol: FTB Exchange: OTC								
EAL \$1,800 Current yield: 3.12%								
Security total	Aug 30, 12	726,000	15,035	10,915.69	30,740	22,317.24	11,401.61	LT
	Sep 5, 12	1,149,000	14,947	17,174.79	30,740	35,320.26	18,145.47	LT
	Sep 5, 12	1,875,000	14,982	28,090.42		57,637.50	29,547.08	
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAL \$479 Current yield: 2.49%								
Security total	Sep 21, 16	11,000	127,220	1,399.42	218,380	2,402.18	1,002.76	LT
	Jul 27, 17	23,000	146,680	3,373.64	218,380	5,022.74	1,649.10	LT
	Jul 27, 17	22,000	146,670	3,226.74	218,380	4,804.36	1,577.62	LT
	Oct 17, 18	23,000	185,500	4,286.50	218,380	5,022.74	756.24	LT
	Jun 17, 19	9,000	207,027	1,863.25	218,380	1,965.42	102.17	ST

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARTAL
 Friendly account name: Martel Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HONEYWELL INTL INC								
Symbol: HON Exchange: NYSE								
EAI: \$184 Current yield: 2.04%								
Security total		86,000	160.563	14,128.55		19,217.44	5,087.89	
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: \$231 Current yield: 2.11%								
Security total		61,000	35.230	2,149.03	59.850	3,650.85	1,501.82	LT
	Jul 27, 17	21,000	35.880	753.48	59.850	1,256.85	503.37	LT
	Sep 12, 17	101,000	45.700	4,615.70	59.850	6,044.85	1,429.15	LT
	Oct 17, 18	183,000	41.083	7,518.21		10,952.55	3,434.34	
INTERCONTINENTAL EXCHANGE GROUP								
Symbol: ICE Exchange: NYSE								
EAI: \$215 Current yield: 1.19%								
Security total		75,000	56.205	4,215.44	92.580	6,941.25	2,725.81	LT
	Jul 27, 17	48,000	66.470	3,190.56	92.550	4,442.40	1,251.84	LT
	Apr 15, 19	72,000	78.799	5,673.53	92.550	6,663.60	990.07	ST
	Sep 21, 16	195,000	67.075	13,079.53		18,047.25	4,967.72	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$543 Current yield: 2.60%								
Security total		11,000	130.179	1,431.97	145.870	1,604.57	172.60	LT
	Jul 23, 18	24,000	126.127	3,027.05	145.870	3,500.88	473.83	LT
	Oct 17, 18	37,000	136.169	5,112.28	145.870	5,397.19	284.91	LT
	Dec 9, 19	71,000	140.390	9,967.69	145.870	10,356.77	389.08	ST
	Jul 27, 17	143,000	136.636	19,538.99		20,869.41	1,320.42	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: \$223 Current yield: 2.58%								
Security total		14,000	109.018	1,526.26	139.400	1,951.60	425.34	LT
	Jan 9, 18	8,000	107.390	859.12	139.400	1,115.20	256.08	LT
	Oct 15, 18	40,000	108.980	4,359.20	139.400	5,576.00	1,216.80	LT
	Oct 17, 18	62,000	108.784	6,744.58		8,642.80	1,898.22	

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
W&P FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LAUDER ESTEE COS CL A								
Symbol: EL Exchange: NYSE								
EAI: \$204 Current yield: 0.93%								
Security total		106,000	143.975	15,261.31	206.540	21,893.24	6,631.93	
	Nov 12, 18	87,000	140.867	12,255.51	206.540	17,968.98	5,713.47	LT
	Mar 12, 19	19,000	158.200	3,005.80	206.540	3,924.26	918.46	ST
LINDE PLC EUR								
Symbol: LIN Exchange: NYSE								
EAI: \$130 Current yield: 1.65%								
EUR Exchange rate: 0.89088								
Security total		37,000	175.516	6,494.10	212.900	7,877.30	1,383.20	
	Jul 27, 17	13,000	163.455	2,124.92	212.900	2,767.70	642.78	LT
	Oct 17, 18	10,000	163.455	1,634.55	212.900	2,129.00	494.45	LT
	Oct 15, 19	14,000	195.390	2,734.63	212.900	2,980.60	245.97	ST
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAI: \$1,795 Current yield: 2.47%								
Security total		52,000	92.610	4,815.72	389.380	20,247.76	15,432.04	
	Aug 27, 12	52,000	92.610	4,815.72	389.380	20,247.76	15,432.04	LT
	Aug 27, 12	51,000	92.610	4,723.11	389.380	19,658.38	15,135.27	LT
	Aug 27, 12	3,000	92.610	277.83	389.380	1,168.14	880.31	LT
	Aug 27, 12	1,000	92.610	92.61	389.380	389.38	296.77	LT
	Jul 27, 17	12,000	287.960	3,455.52	389.380	4,672.56	1,217.04	LT
	Oct 17, 18	6,000	335.480	2,012.88	389.380	2,336.28	323.40	LT
	Apr 9, 19	10,000	304.360	3,043.60	389.380	3,893.80	850.20	ST
Security total		187,000	124.262	23,236.99	389.380	72,814.06	49,577.07	
LOWES COMPANIES INC								
Symbol: LOW Exchange: NYSE								
EAI: \$224 Current yield: 1.83%								
Security total		102,000	73.586	7,506.83	119.760	12,215.52	4,708.69	
	Sep 21, 16	60,000	71.549	4,292.99	119.760	7,185.60	2,892.61	LT
	Jul 27, 17	42,000	76.520	3,213.84	119.760	5,029.92	1,816.08	LT
MAERSK & MCLENNAN COS INC								
Symbol: MNC Exchange: NYSE								
EAI: \$137 Current yield: 1.64%								
Security total		43,000	78.079	3,357.40	111.410	4,790.63	1,433.23	
	Oct 17, 18	32,000	80.179	2,565.75	111.410	3,565.12	999.37	LT
		75,000	78.975	5,923.15		8,355.75	2,432.60	LT

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI: \$245 Current yield: 2.53%								
	Jul 27, 17	21,000	156.760	3,291.86	187.610	4,149.81	857.85	LT
	Nov 6, 17	9,000	169.425	1,524.83	197.610	1,778.49	253.66	LT
	Oct 17, 18	19,000	184.600	3,127.40	197.610	3,754.59	627.19	LT
Security total		49,000	162.126	7,944.19		9,682.89	1,738.70	
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAI: \$222 Current yield: 1.90%								
	Jul 27, 17	38,000	85.258	3,239.84	113.450	4,311.10	1,071.26	LT
	Jul 27, 17	6,000	85.250	511.50	113.450	680.70	169.20	LT
	Oct 17, 18	37,000	96.308	3,563.40	113.450	4,197.65	634.25	LT
	Apr 9, 19	22,000	88.680	1,950.96	113.450	2,495.80	544.84	ST
Security total		103,000	88.958	9,265.70		11,685.25	2,419.65	
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI: \$563 Current yield: 1.29%								
	Jul 27, 17	45,000	74.150	3,336.75	157.700	7,086.50	3,759.75	LT
	May 14, 18	38,000	97.996	3,721.59	157.700	5,992.60	2,271.01	LT
	Jul 16, 18	37,000	104.965	3,884.45	157.700	5,834.90	1,950.45	LT
	Sep 18, 18	67,000	113.453	7,601.41	157.700	10,565.80	2,964.49	LT
	Oct 17, 18	65,000	109.878	7,142.07	157.700	10,250.50	3,108.43	LT
	Jan 14, 19	24,000	101.937	2,446.49	157.700	3,784.80	1,338.31	ST
Security total		276,000	101.930	28,132.76		43,525.20	15,392.44	
MONDELEZ INTL INC								
Symbol: MDLZ Exchange: OTC								
EAI: \$198 Current yield: 2.07%								
	May 15, 17	33,000	45.110	1,488.63	55.080	1,817.64	329.01	LT
	Jul 27, 17	73,000	44.069	3,217.10	55.080	4,020.84	803.74	LT
	Jul 16, 18	68,000	42.426	2,885.00	55.080	3,745.44	860.44	LT
Security total		174,000	43.625	7,590.73		9,583.92	1,993.19	
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE								
EAI: \$110 Current yield: 2.06%								
	Oct 17, 18	13,000	170.268	2,213.49	242.160	3,148.08	934.59	LT
	Jun 27, 19	9,000	204.453	1,840.08	242.160	2,179.44	339.36	ST

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Portfolio Management Program

December 2019

Account name: DONALD R JEANIS MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain/loss (\$)	Holding period
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$346 Current yield: 1.94%								
Security total		22,000	184,253	4,053.57		5,327.52	1,273.95	
	Jul 27, 17	39,000	74.588	2,908.96	94.690	3,692.91	783.95	LT
	Oct 17, 18	29,000	74.589	2,163.08	94.689	2,746.01	582.92	LT
	Sep 9, 19	11,000	89.706	986.77	94.680	1,041.59	54.82	ST
	Dec 9, 19	109,000	92.090	10,037.81	94.690	10,321.21	283.40	ST
Security total		188,000	85.620	16,096.62		17,801.72	1,705.09	
NUCOR CORP								
Symbol: NUE Exchange: NYSE								
EAI: \$687 Current yield: 2.86%								
Security total		427,000	38.700	16,524.90		24,031.56	7,506.66	
	Aug 27, 12	147,000	38.700	5,688.90	56.280	8,273.16	2,584.26	LT
	Aug 27, 12	112,000	38.700	4,334.40	55.280	6,303.36	1,968.96	LT
	Aug 27, 12	86,000	38.700	3,328.20	55.280	4,840.48	1,511.88	LT
	Aug 27, 12	82,000	38.700	3,173.40	55.280	4,614.96	1,441.56	LT
Security total		427,000	38.700	16,524.90		24,031.56	7,506.66	
O'REILLY AUTOMOTIVE INC								
Symbol: ORLY Exchange: OTC								
Security total		23,009	215.209	4,949.80		10,073.98	5,130.18	
	Sep 21, 16	6,000	276.660	1,659.96	438.260	2,628.56	968.60	LT
	Jul 27, 17	17,000	193.520	3,289.84	438.260	7,450.42	4,160.58	LT
Security total		23,009	215.209	4,949.80		10,073.98	5,130.18	
PARKER HANNIFIN CORP								
Symbol: PH Exchange: NYSE								
EAI: \$187 Current yield: 1.71%								
Security total		53,000	180.911	9,588.27		10,908.46	1,320.19	
	Sep 18, 17	2,000	170.460	340.96	205.820	411.64	70.66	LT
	Jan 7, 19	19,000	152.248	2,892.73	205.820	3,910.58	1,017.85	ST
	Nov 11, 19	32,000	198.580	6,354.56	205.820	6,586.24	231.68	ST
Security total		53,000	180.911	9,588.27		10,908.46	1,320.19	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$1.319 Current yield: 2.39%								
Security total		76,000	67.120	5,235.36	124.900	9,742.20	4,506.84	LT
	Aug 27, 12	76,000	67.120	5,101.12	124.900	9,492.40	4,391.28	LT
	Aug 27, 12	69,000	67.120	4,631.28	124.900	8,618.10	3,986.82	LT
	Aug 27, 12	60,000	67.120	4,027.20	124.900	7,494.00	3,466.80	LT
	Aug 27, 12	53,000	67.120	3,557.36	124.900	6,619.70	3,062.34	LT
	Feb 6, 19	21,000	98.276	2,063.81	124.900	2,622.90	559.09	ST

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/808-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
REPUBLIC SERVICES INC								
Symbol: RSG Exchange: NYSE								
EAL: \$128 Current yield: 1.81%								
Security total		442,000		\$3,998.09		\$5,205.80	21,267.71	
	Feb 6, 19	19,000	98.245	1,886.68	124.900	2,373.10	506.42	ST
	Feb 6, 19	11,000	98.176	1,079.94	124.900	1,373.90	293.96	ST
	Apr 9, 19	19,000	104.460	1,984.74	124.900	2,373.10	388.36	ST
	Sep 9, 19	36,000	121.961	4,390.60	124.900	4,496.40	105.80	ST
RESIDEO TECHNOLOGIES INC								
Symbol: REZI Exchange: NYSE								
Security total		79,000		\$5,510.84		7,080.77	1,569.93	
	Jun 19, 18	38,000	68.806	2,614.64	89.630	3,403.94	791.30	LT
	Jul 23, 18	11,000	68.100	749.10	89.630	985.93	236.83	LT
	Oct 17, 18	30,000	71.570	2,147.10	89.630	2,688.90	541.80	LT
ROCKWELL AUTOMATION INC NEW								
Symbol: ROK Exchange: NYSE								
EAL: \$253 Current yield: 2.01%								
Security total		10,000		213.46		119.30	-94.16	
	Sep 21, 16	6,167	19.880	122.29	11.930	73.57	-48.72	LT
	Jul 27, 17	3,833	23.783	91.17	11.930	45.73	-45.44	LT
SUNCOR ENERGY INC NEW CAD								
Symbol: SU Exchange: NYSE								
EAL: \$195 Current yield: 3.89%								
CAD Exchange rate: 1.29675								
Security total		62,000		10,488.03		12,565.54	2,077.51	
	Jul 27, 17	20,000	165.170	3,303.40	202.670	4,053.40	750.00	LT
	Jul 27, 17	18,000	165.218	2,973.94	202.670	3,648.06	674.12	LT
	Oct 17, 18	11,000	171.079	1,881.87	202.670	2,229.37	347.50	LT
	Nov 11, 19	13,000	179.140	2,328.82	202.670	2,634.71	305.89	ST
	Jul 27, 17	16,000	31.410	502.56	32.800	524.80	22.24	LT
	Sep 12, 17	24,000	33.080	793.92	32.800	787.20	-6.72	LT
	Oct 17, 18	72,000	36.310	2,614.32	32.800	2,361.60	-252.72	LT
	Dec 10, 18	41,000	31.038	1,272.59	32.800	1,344.80	72.21	LT
Security total		153,000	33.878	5,183.39		5,018.40	-164.99	

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 W&P FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TEXAS INSTRUMENTS								
Symbol: TXN Exchange: OTC								
EAI: \$169 Current yield: 2.80%								
	Oct 17, 18	27,000	101.330	2,735.91	128.290	3,463.83	727.92	LT
	Jun 27, 19	15,000	116.132	1,741.98	128.290	1,924.35	182.37	ST
	Nov 11, 19	5,000	118.616	593.08	128.290	641.45	48.37	ST
Security total		47,000	107.893	5,070.97		6,029.63	958.66	
THERMO FISHER SCIENTIFIC INC								
Symbol: TMO Exchange: NYSE								
EAI: \$26 Current yield: 0.24%								
	Sep 21, 16	16,000	152.790	2,444.64	324.870	5,197.92	2,753.28	LT
	Jul 27, 17	18,000	176.170	3,171.06	324.870	5,847.66	2,676.60	LT
Security total		34,000	165.168	5,615.70		11,045.58	5,429.88	
TX COS INC NEW								
Symbol: TX Exchange: NYSE								
EAI: \$191 Current yield: 1.50%								
	Sep 21, 16	114,000	37.784	4,307.48	61.060	6,980.84	2,653.36	LT
	Jul 27, 17	94,000	34.304	3,224.62	61.060	5,739.64	2,515.02	LT
Security total		208,000	36.212	7,532.10		12,720.48	5,168.38	
TRUIST FINL CORP								
Symbol: TRC Exchange: NYSE								
EAI: \$193 Current yield: 3.20%								
	Oct 15, 18	9,065	48.069	435.75	56.320	510.54	74.79	LT
	Oct 15, 18	7,285	48.070	350.19	56.320	410.29	60.10	LT
	Oct 17, 18	58,275	47.389	2,761.65	56.320	3,282.05	520.40	LT
	Dec 10, 18	32,375	43.007	1,392.37	56.320	1,823.36	430.99	LT
Security total		107,000	46.188	4,939.96		6,026.24	1,086.28	
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAI: \$120 Current yield: 2.14%								
	Jul 27, 17	10,000	102.269	1,022.69	180.790	1,807.90	785.21	LT
	Oct 17, 18	21,000	150.920	3,169.32	180.790	3,796.59	627.27	LT
Security total		31,000	135.226	4,192.01		5,604.49	1,412.48	
UNITEDHEALTH GROUP INC								
Symbol: UNH Exchange: NYSE								
EAI: \$791 Current yield: 1.47%								
	Feb 13, 18	172,000	226.707	38,983.72	293.980	50,564.56	11,570.84	LT
	Apr 16, 18	11,000	227.530	2,502.84	293.980	3,233.78	730.94	LT
Security total		183,000	226.757	41,486.56		53,798.34	12,301.78	

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Portfolio Management Program

December 2019

Account name: DONALD R. JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WALF FINANCIAL GROUP
423-928-7144/800-729-1948

Your assets, Equities, Common stock (continued)

Holding	Ticker	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAL: \$344 Current yield: 1.96%								
	Sep 21, 16	42,000	101.009	4,242.38	149.780	6,289.92	2,047.54	LT
	Jul 27, 17	28,000	117.880	3,303.44	149.760	4,193.28	889.84	LT
	Jul 27, 17	27,000	118.000	3,186.00	149.760	4,043.52	857.52	LT
	Oct 17, 18	20,000	130.750	2,615.80	149.760	2,995.20	379.40	LT
Security total		117,000	114.082	13,347.62		17,521.92	4,174.30	
VERIZON COMMUNICATIONS INC								
Symbol: VZ Exchange: NYSE								
EAL: \$1,284 Current yield: 4.01%								
	Aug 27, 12	280,000	42.900	8,580.00	61.400	12,280.00	3,700.00	LT
	Aug 27, 12	86,000	42.900	3,689.40	61.400	5,280.40	1,591.00	LT
	Aug 27, 12	74,000	42.900	3,174.60	61.400	4,543.60	1,369.00	LT
	Aug 27, 12	69,000	42.900	2,960.10	61.400	4,236.60	1,276.50	LT
	Aug 27, 12	53,000	42.900	2,273.70	61.400	3,254.20	980.50	LT
	Aug 27, 12	40,000	42.900	1,716.00	61.400	2,456.00	740.00	LT
Security total		522,000	42.900	22,392.80		32,050.80	9,657.00	
VF CORP								
Symbol: VFC Exchange: NYSE								
EAL: \$159 Current yield: 1.92%								
	Jul 27, 17	42,000	56.888	2,389.32	99.660	4,185.72	1,796.40	LT
	Oct 17, 18	22,000	83.354	1,833.79	99.660	2,192.52	358.73	LT
	Sep 9, 19	9,000	88.990	800.91	99.660	896.94	96.03	ST
	Nov 11, 19	10,000	86.636	866.36	99.660	996.60	130.24	ST
Security total		83,000	70.968	5,890.38		8,271.78	2,381.40	
VISA INC CL A								
Symbol: V Exchange: NYSE								
EAL: \$62 Current yield: 0.63%								
	Sep 21, 16	20,000	82.569	1,651.38	187.900	3,758.00	2,106.62	LT
	Jul 27, 17	32,000	100.750	3,224.00	187.900	6,012.80	2,788.80	LT
Security total		52,000	93.757	4,875.38		9,770.80	4,895.42	
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol: DIS Exchange: NYSE								
EAL: \$120 Current yield: 1.22%								
	Sep 21, 16	11,000	92.460	1,017.06	144.630	1,590.93	573.87	LT
	Jul 27, 17	30,000	109.300	3,279.00	144.630	4,338.90	1,059.90	LT

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARTIAL
 Friendly account name: Martial Trust
 Account number:

Your Financial Advisor:
 WAL FINANCIAL GROUP
 423-828-7144/800-729-4846

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 18, 18	27,000	108.970	2,942.19	144.630	3,905.01	962.82	LT
		68,000	106.445	7,238.25		9,834.84	2,596.59	

WASTE MGMT INC NEW

Symbol: WM Exchange: NYSE

EAI: \$29 Current yield: 1.82%

Total	Aug 27, 12	14,000	34.210	478.94	113.950	1,595.44	1,116.50	LT

Total estimated annual income: \$20,459

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.
 Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized fund gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized fund gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.
 Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (buy) gain or loss (\$)	Investment return (\$)	Holding period

ALPS INTL SECTOR DIVID DOGS ETF

Symbol: IDOG

Trade date: Oct 29, 18

EAI: \$12.390 Current yield: 5.41%

ENERGY SELECT SECTOR SPDR FUND

ETF

Symbol: XLE

Trade date: Jul 27, 17

EAI: \$5.570 Current yield: 3.74%

ISHARES EDGE MSCI MULTIFACTOR

INTL ETF

Symbol: INTF

Trade date: Oct 17, 18

Trade date: Oct 29, 18

EAI: \$14.199 Current yield: 3.66%

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Portfolio Management Program

December 2019

Account name: DONALD R. JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (\$)	Holding period
SPDR S&P 600 SMALL CAP ETF	14,357.000	25.922	372,155.69	372,155.69		369,356.85	16,201.16	16,201.16	

Symbol: SLV

Trade date: Sep 21, 16

Trade date: Apr 5, 18

EAI: \$1,067 Current yield: 1.36%

Security total

VANGUARD GROWTH ETF

Symbol: VTUG

Trade date: Oct 17, 18

EAI: \$1,915 Current yield: 0.95%

Total

Total estimated annual income: \$95,141

Fixed Income

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus quotations may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period

JOHNSON CITY TN

SR A 8E/N

PRE-REFUNDED

RATE 05.375% MATURES 07/01/25

PREREFUNDED 07/01/20 @ 100.00

ACCRUED INTEREST \$2,687.50

CUSIP 478271JEO

Moody: Baa1 S&P: Not rated

EAI: \$5,375 Current yield: 5.26%

Original cost basis: \$99,998.25

Apr 09, 10

100,000.000

99,998

99,998.27

102,092

102,092.00

2,093.73

LT



Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Fixed Income (continued)

Closed and funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES 1-3 YR TREAS BOND ETF									
Symbol: SHY									
Trade date: Jan 24, 17	110,000	84.530	9,298.30	9,298.30	84.530	9,309.30	11.00		LT
Trade date: Apr 11, 18	72,000	83.440	6,007.68	6,007.68	84.530	6,083.36	85.68		LT
Trade date: Apr 11, 18	38,000	83.440	3,170.72	3,170.72	84.530	3,215.94	45.22		LT
EAI: \$394 Current yield: 2.12%									
Security total	220,000	83.985	18,476.70	18,476.70		18,518.60	141.90	141.90	
ISHARES SHORT-TERM CORPORATE BOND ETF									
Symbol: ICSB									
Trade date: Jan 16, 19	56,000	51.820	2,901.92	2,901.92	53.630	3,003.28	101.36		ST
Trade date: Jan 16, 19	23,000	51.820	1,191.86	1,191.86	53.630	1,233.49	41.63		ST
EAI: \$130 Current yield: 3.07%									
Security total	79,000	51.820	4,093.78	4,093.78		4,236.77	142.99	142.99	
ISHARES 3-7 YEAR TREAS BOND ETF									
Symbol: IEI									
Trade date: Jan 24, 17	19,000	122.840	2,333.96	2,333.96	125.750	2,389.25	55.29		LT
Trade date: Apr 18, 17	19,000	124.187	2,359.57	2,359.57	125.750	2,389.25	29.68		LT
EAI: \$96 Current yield: 2.01%									
Security total	38,000	123.514	4,693.53	4,693.53		4,778.50	84.97	84.97	
ISHARES FLOATING RATE BOND ETF									
Symbol: FLOT									
Trade date: Apr 18, 17	289,000	50.842	14,693.42	14,693.42	50.920	14,715.88	22.46		LT
Trade date: Jan 16, 19	146,000	50.590	7,386.14	7,386.14	50.920	7,434.32	48.18		ST

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 423-928-7144/800-729-4848

Your assets, Fixed Income, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jan 16, 19	90,000	50.590	4,553.10	4,553.10	50.920	4,582.80	29.70		ST
Trade date: Jan 16, 19	50,000	50.580	2,529.50	2,529.50	50.920	2,546.00	16.50		ST
EAI: \$814 Current yield: 2.78%									
Security total	575,000	50.717	29,182.16	29,182.16		29,273.00	116.84	116.84	
Total			\$56,428.17	\$56,428.17		\$56,912.87	\$486.70	\$486.70	
Total estimated annual income: \$1,434									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period

BLACKROCK FLOATING RATE INCOME

PORTFOLIO INSTITUTIONAL

Symbol: BFRUX

Trade date: Sep 21, 16

Trade date: Oct 21, 16

Total reinvested

EAI: \$1,182 Current yield: 5.01%

Security total

2,350,229

10,129

15,415.73

15,415.73

4,500.00

3,906.22

10,030

15,263.55

-152.18

-61.95

3,871.19

-35.03

23,572.79

-249.16

3,657.06

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Portfolio Management Program

December 2019

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Fixed Income, Mutual Funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Sep 18, 18	232,783	7.670	1,785.45	1,785.45	7.770	1,808.72	23.27		LT
Total reinvested	404,200	7.660		3,096.34 ²	7.770	3,140.63	44.29		
EAT: \$840 Current yield: 5.66%									
Security total	1,910,219	7.599	11,418.59	14,514.83		14,842.40	327.46	3,423.80	
Total			\$31,384.32	\$38,396.88		\$38,415.19	\$78.30	\$7,080.87	
Total estimated annual income: \$2,022									

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Non-traditional

Mutual funds

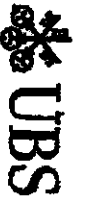
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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (\$)	Holding period
GATEWAY FUND CLASS Y									
Symbol: GTEVX									
Trade date: Aug 27, 12	1,121,120	27.449	30,774.74	30,774.74	34.670	38,869.23	8,094.49		LT
Trade date: Jun 28, 13	5,376,344	27.900	150,000.00	150,000.00	34.670	186,397.85	36,397.85		LT
Trade date: Jun 28, 13	941,470	27.899	26,267.01	26,267.01	34.670	32,640.76	6,373.75		LT
EAT: \$3,407 Current yield: 1.32%									
Security total	7,438,934	27.832	207,041.75	207,041.75		257,907.84	50,866.09	50,866.09	



Portfolio Management Program

December 2019

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Fiduciary account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
425-928-7144/800-729-4848

Your assets (continued)

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	667,628.21	21.00%	667,628.21		
Equities	1,008,694.07		678,893.45	20,439.00	329,800.63
Common stock					
Closed end funds & Exchange traded products	1,045,253.51		974,448.33	35,141.00	70,805.18
Total equities	2,053,947.58	64.00%	1,653,341.78	55,580.00	400,605.81
Fixed Income	102,092.09		99,998.27	5,375.00	2,093.73
Municipal securities					
Closed end funds & Exchange traded products	56,912.87		56,426.17	1,434.00	486.70
Mutual funds	38,415.19		38,596.88	2,022.00	78.90
Total accrued interest	2,667.50				
Total fixed income	200,107.56	6.29%	194,761.32	8,831.00	2,658.73
Non-traditional	257,907.84	8.11%	207,041.75	3,407.00	50,866.09
Total	\$3,179,591.19	100.00%	\$2,722,773.06	\$67,818.00	\$454,130.63

Account activity this month

Dividend and Interest Income	Date	Activity	Description	Amount (\$)
Dividend	Dec 2	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/29/19	82.75
Dividend	Dec 2	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/29/19	86.44
Dividend	Dec 2	Dividend	ENBRIDGE INC CAD PAID ON 391 AS OF 12/01/19	216.80
Dividend	Dec 2	Dividend	INTEL CORP PAID ON 183 AS OF 12/01/19	57.65
Dividend	Dec 3	Dividend	VISA INC CL A PAID ON 52	15.60
Dividend	Dec 5	Dividend	TIX COS INC NEW PAID ON 208	47.84

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Portfolio Management Program
December 2019

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Friendly account name: Marjell Trust
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Your Financial Advisor
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)				
Taxable dividends (continued)				
Date	Activity	Description	Amount (\$)	
Dec 6	Dividend	BOEING COMPANY PAID ON SYMBOL: BA	34	69.87
Dec 6	Dividend	HONEYWELL INTL INC PAID ON SYMBOL: HON	51	45.90
Dec 6	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	220	29.51
Dec 6	Dividend	ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON SYMBOL: IGSB	79	10.68
Dec 6	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	38	6.92
Dec 6	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	575	55.73
Dec 6	Dividend	PARKER HANNIFIN CORP PAID ON SYMBOL: PH	21	18.48
Dec 9	Dividend	CRANE CO PAID ON SYMBOL: CR	59	22.62
Dec 10	Dividend	AMER ELECTRIC POWER CO PAID ON SYMBOL: AEP	53	37.10
Dec 10	Dividend	CHEVRON CORP PAID ON SYMBOL: CVX	64	76.16
Dec 10	Dividend	JOHNSON & JOHNSON COM PAID ON SYMBOL: JNJ	72	68.40
Dec 10	Dividend	ROCKWELL AUTOMATION INC NEW PAID ON SYMBOL: ROK	49	49.98
Dec 10	Dividend	UNITD TECHNOLOGIES CORP PAID ON SYMBOL: UTX	117	86.00
Dec 12	Dividend	MICROSOFT CORP PAID ON SYMBOL: MSFT	276	140.76
Dec 16	Dividend	COCA COLA CO COM PAID ON SYMBOL: KO	157	62.80
Dec 16	Dividend	LAUDER ESTEE COS CL A PAID ON SYMBOL: EL	106	50.88
Dec 16	Dividend	MCDONALDS CORP PAID ON SYMBOL: MCD	49	61.25

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Portfolio Management Program
December 2019

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WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)	Date	Activity	Description	Amount (\$)
Taxable dividends (continued)				
Dec 16	Dividend		NEXTERA ENERGY INC COM PAID ON SYMBOL: NEE	27.50
Dec 17	Foreign Dividend		UNDE PLC EUR PAID ON SYMBOL: UN	32.38
Dec 17	Dividend		UNITEDHEALTH GROUP INC PAID ON SYMBOL: UNH	197.64
Dec 18	Dividend		TEMPLETON GLOBAL BOND ADV SYMBOL: TGBAX	1,916.42
Dec 19	Dividend		HOME DEPOT INC PAID ON SYMBOL: HD	119.68
Dec 19	Dividend		GATEWAY FUND CLASS Y SYMBOL: GTEYX	758.03
Dec 20	Dividend		ISHARES EDGE MSCI MULTIFACTOR INTL ETF PAID ON SYMBOL: INTF	6,277.21
Dec 20	Dividend		VF CORP PAID ON SYMBOL: VFC	39.84
Dec 20	Dividend		WASTE MGMT INC NEW PAID ON SYMBOL: WM	7.18
Dec 24	St Cap Gain		BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/23/19 SYMBOL: BHYX	16.50
Dec 24	Foreign Dividend		SUNCOR ENERGY INC NEW CAD PAID ON SYMBOL: SU	48.80
Dec 26	Dividend		ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	30.04
Dec 26	Dividend		ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON SYMBOL: KCSB	10.55
Dec 26	Dividend		ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	6.72
Dec 26	Dividend		ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	55.04
Dec 26	Dividend		ENERGY SELECT SECTOR SPDR FUND ETF PAID ON SYMBOL: XLE	1,472.69

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Portfolio Management Program
December 2019

Account name: DONALD R JEANES MARITAL
Friendly account name: Maribel Trust
Account number:

Your Financial Advisor:
WLB FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)

Taxable dividends (continued)

Date	Activity	Description	Amount (\$)
Dec 27	Dividend	ALPS INTL SECTOR DIVID DOGS ETF PAID ON SYMBOL: IDOG	8332 4,177.66
Dec 27	Dividend	FIDELITY NATL INFORMATION SVCS PAID ON SYMBOL: FIS	93 32.55
Dec 27	Dividend	LOCKHEED MARTIN CORP PAID ON SYMBOL: LMT	239 573.60
Dec 27	Dividend	SPDR S&P 600 SMALL CAP ETF PAID ON SYMBOL: SLY	1080 334.41
Dec 30	Dividend	UNION PACIFIC CORP PAID ON SYMBOL: UNP	31 30.07
Dec 30	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	1102 559.49
Dec 30	Dividend	INTERCONTINENTAL EXCHANGE GROUP PAID ON SYMBOL: ICE	195 53.63

Total taxable dividends

Dec 6	Interest	UBS FDC INSURED DEPOSIT PROGRAM AS OF 12/03/19	\$19,157.75
			84.41

Total taxable interest

Dec 2	Interest	TENNESSEE HDA HOMEOWNER AMT RV CALLABLE AS OF 12/01/19 CUSIP: 88045RRM16	85/8/4.5 07/01/25 DTD 101310 93.75

Total tax-exempt interest

			\$83.75

Total dividend and interest income

			\$19,335.91

Other funds debited

Date	Activity	Description	Amount (\$)
Dec 2	Foreign Tax Withheld	ENBRIDGE INC CAD AS OF 12/01/19 SYMBOL: ENB	-54.20
Dec 24	Foreign Tax Withheld	SUNCOR ENERGY INC NEW CAD SYMBOL: SU	-12.20
Dec 31	Transfer	EARNINGS DISBURSEMENT TRUST	-16,102.99
		CLARINDA P JEANES LIVING	
			-16,169.39

Total other funds debited



Portfolio Management Program
December 2019

Account name: DONALD R. JEANES MARTIAL
Fidelity account name: Martial Trust
Account number:

Your Financial Advisor
WELP FINANCIAL GROUP
423-928-7144/600-729-4848

Account activity this month (continued)

Investment transactions

For more information about the price/value shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 2	Call Redemption	TENNESSEE HDA HOMEOWNER AMT RV BRN/ 4.5 070125 DTD 101310 CALLABLE AS OF 12/01/19 -CUSIP: 88045RNM6	-5,000.000			5,000.00		
Dec 2	Transfer	VANGUARD GROWTH ETF TO SYMBOL: VUG	1,2200	-291,000	-50,994.84			
Dec 2	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.93 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BRHX	8.333				-82.75	
Dec 2	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.67 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BHYX	8.662				-56.44	
Dec 6	Exchange	SUNTRUST BANKS INC SYMBOL: STK	-63,000					
Dec 6	Exchange	TRUIST FINL CORP SYMBOL: TFC	107,000					
Dec 10	Cash In Lieu	TRUIST FINL CORP SYMBOL: TFC				26.75		
Dec 11	Bought	JOHNSON & JOHNSON COM DE SYMBOL: JNJ	71,000		140.39		-9,967.69	
Dec 11	Sold	LOCKHEED MARTIN CORP DE SYMBOL: LMT	-26,800		386.22	10,041.51		
Dec 11	Sold	LOCKHEED MARTIN CORP DE SYMBOL: LMT	-26,000		386.22	10,041.51		
Dec 11	Bought	NOVARTIS AG SPON ADR DE CUSIP: 66987Y108 / SYMBOL: NVS	109,000		92.09		-10,037.81	
Dec 18	Bought	AMERICAN TOWER CORP RET DE SYMBOL: AMT	21,000		213,759.52		-4,488.95	

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Account activity this month (continued)

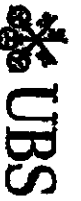
Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued interest (\$)
Dec 18	Sold	ACCENTURE PLC IRELAND CL A DE SYMBOL: ACN	-22,000		206.381035	4,340.29		
Dec 18	Reinvestment	TEMPLETON GLOBAL BOND ADV DIVIDEND REINVESTED AT 10.50 NAV ON 12/16/19 SYMBOL: TGBAX	182.516				-1,916.42	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.77 NAV ON 12/23/19 AS OF 12/23/19 SYMBOL: BMYX	2.124				-16.50	
Dec 31	Sold	TEMPLETON GLOBAL BOND ADV FBCID 93640836693 SYMBOL: TGBAX	-18,662.945		10.620	198,200.48		
Total				-\$50,994.84		\$227,850.54	-\$26,578.56	

Securities transferred out

Date	Activity	Description	Amount (\$)
Nov 29	Balance forward		\$485,886.23
Dec 2	Withdrawal	UBS FDCI INSURED DEPOSIT PGM AS OF 11/29/19	-1,698.52
Dec 3	Deposit	UBS FDCI INSURED DEPOSIT PGM	5,314.00
Dec 4	Deposit	UBS FDCI INSURED DEPOSIT PGM	15.60
Dec 6	Deposit	UBS FDCI INSURED DEPOSIT PGM AS OF 12/05/19	84.41
Dec 6	Deposit	UBS FDCI INSURED DEPOSIT PGM	47.84
Dec 9	Deposit	UBS FDCI INSURED DEPOSIT PGM	237.09
Dec 10	Deposit	UBS FDCI INSURED DEPOSIT PGM	22.62
Dec 11	Deposit	UBS FDCI INSURED DEPOSIT PGM	344.39
Dec 12	Deposit	UBS FDCI INSURED DEPOSIT PGM	77.52
Dec 13	Deposit	UBS FDCI INSURED DEPOSIT PGM	140.76
Dec 17	Deposit	UBS FDCI INSURED DEPOSIT PGM	202.43
Dec 18	Deposit	UBS FDCI INSURED DEPOSIT PGM	230.02
Dec 19	Deposit	UBS FDCI INSURED DEPOSIT PGM	51.34
Dec 20	Deposit	UBS FDCI INSURED DEPOSIT PGM	877.71
Dec 23	Deposit	UBS FDCI INSURED DEPOSIT PGM	6,324.23

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Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 26	Deposit	UBS FDIC INSURED DEPOSIT PGM	36.60
	Dec 27	Deposit	UBS FDIC INSURED DEPOSIT PGM	1,573.04
	Dec 30	Deposit	UBS FDIC INSURED DEPOSIT PGM	5,118.72
	Dec 31	Deposit	UBS FDIC INSURED DEPOSIT PGM	643.19
	Dec 31	Closing	UBS FDIC-Insured Deposit Program	\$485,530.72

Unsettled transaction activity

The following transactions are pending settlement.

Trade date	Settlement date	Activity	Description	Quantity	Price/Value (\$)	Amount (\$)
Dec 30, 19	Jan 02, 20	Sold	ENERGY SELECT SECTOR SPDR FUND ETF	-2,482.000	59.840200	148,768.50
Total pending investments sold						\$148,768.50

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which lot to close when you placed your order. This is known as a various purchases or VSP order. See important information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accrued OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unsettled section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TEMPLETON GLOBAL BOND ADV	FIFO	98,972	Jan 15, 19	Dec 30, 19	1,061.70	1,138.68		-76.98	
	FIFO	81,404	Feb 15, 19	Dec 30, 19	864.51	936.96		-72.45	
	FIFO	86,774	Mar 15, 19	Dec 30, 19	921.54	992.69		-71.15	
	FIFO	88,533	Apr 15, 19	Dec 30, 19	950.84	1,026.05		-75.21	
	FIFO	91,348	May 15, 19	Dec 30, 19	970.12	1,025.84		-55.72	
	FIFO	83,717	Jun 17, 19	Dec 30, 19	889.07	939.30		-50.23	
	FIFO	93,259	Jul 15, 19	Dec 30, 19	980.41	1,057.56		-67.15	
	FIFO	85,780	Aug 15, 19	Dec 30, 19	910.98	921.28		-10.30	
	FIFO	67,056	Sep 16, 19	Dec 30, 19	712.14	717.50		-5.36	

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Friendly account name: Marital Trust
Account number:

Your Financial Advisor
WLF FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

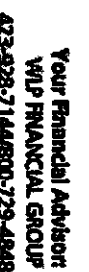
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
FIFO	FIFO	76,537	Oct 15, 19	Dec 30, 19	812.82	813.59		-0.77	
FIFO	FIFO	79,852	Nov 15, 19	Dec 30, 19	848.03	846.43			1.60
FIFO	FIFO	182,516	Dec 16, 19	Dec 30, 19	1,938.32	1,916.42			21.90
Total					\$11,870.48	\$12,352.36		-\$481.82	\$23.50

Net short-term capital gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND CL A									
FIFO	FIFO	15,000	Sep 21, 16	Dec 16, 19	3,085.65	1,670.10			1,425.55
FIFO	FIFO	7,000	Jul 27, 17	Dec 16, 19	1,444.64	897.95			546.69
ENERGY SELECT SECTOR SPDR FUND ETF									
FIFO	FIFO	2,462,000	Jul 27, 17	Dec 30, 19	148,768.50	168,507.71		-14,739.21	
FIFO	FIFO	26,000	Aug 27, 12	Dec 09, 19	10,041.51	2,407.86			7,633.65
FIFO	FIFO	26,000	Aug 27, 12	Dec 09, 19	10,041.51	2,407.86			7,633.65
LOCKHEED MARTIN CORP									
FIFO	FIFO	6,583,388	Jun 28, 13	Dec 30, 19	69,915.59	82,969.00		-13,053.41	
FIFO	FIFO	1,706,501	Jun 28, 13	Dec 30, 19	18,123.05	21,506.69		-3,383.64	
FIFO	FIFO	1,563	Dec 16, 13	Dec 30, 19	16.60	19.84		-3.24	
FIFO	FIFO	18,958	Dec 15, 14	Dec 30, 19	201.34	227.18		-25.84	
FIFO	FIFO	7,827,833	Jul 27, 17	Dec 30, 19	83,131.59	95,343.01		-12,211.42	
FIFO	FIFO	39,813	Aug 15, 17	Dec 30, 19	422.81	482.53		-59.72	
FIFO	FIFO	38,721	Sep 15, 17	Dec 30, 19	411.22	472.40		-61.18	
FIFO	FIFO	37,289	Oct 16, 17	Dec 30, 19	396.01	450.82		-54.81	
FIFO	FIFO	37,331	Nov 15, 17	Dec 30, 19	396.45	445.36		-48.91	
FIFO	FIFO	128,509	Dec 15, 17	Dec 30, 19	1,364.77	1,539.54		-174.77	
FIFO	FIFO	39,639	Jan 16, 18	Dec 30, 19	420.96	472.88		-51.93	
FIFO	FIFO	35,400	Feb 15, 18	Dec 30, 19	375.95	418.07		-42.12	
FIFO	FIFO	50,646	Mar 15, 18	Dec 30, 19	537.86	597.12		-59.26	
FIFO	FIFO	60,153	Apr 16, 18	Dec 30, 19	638.83	716.42		-77.59	

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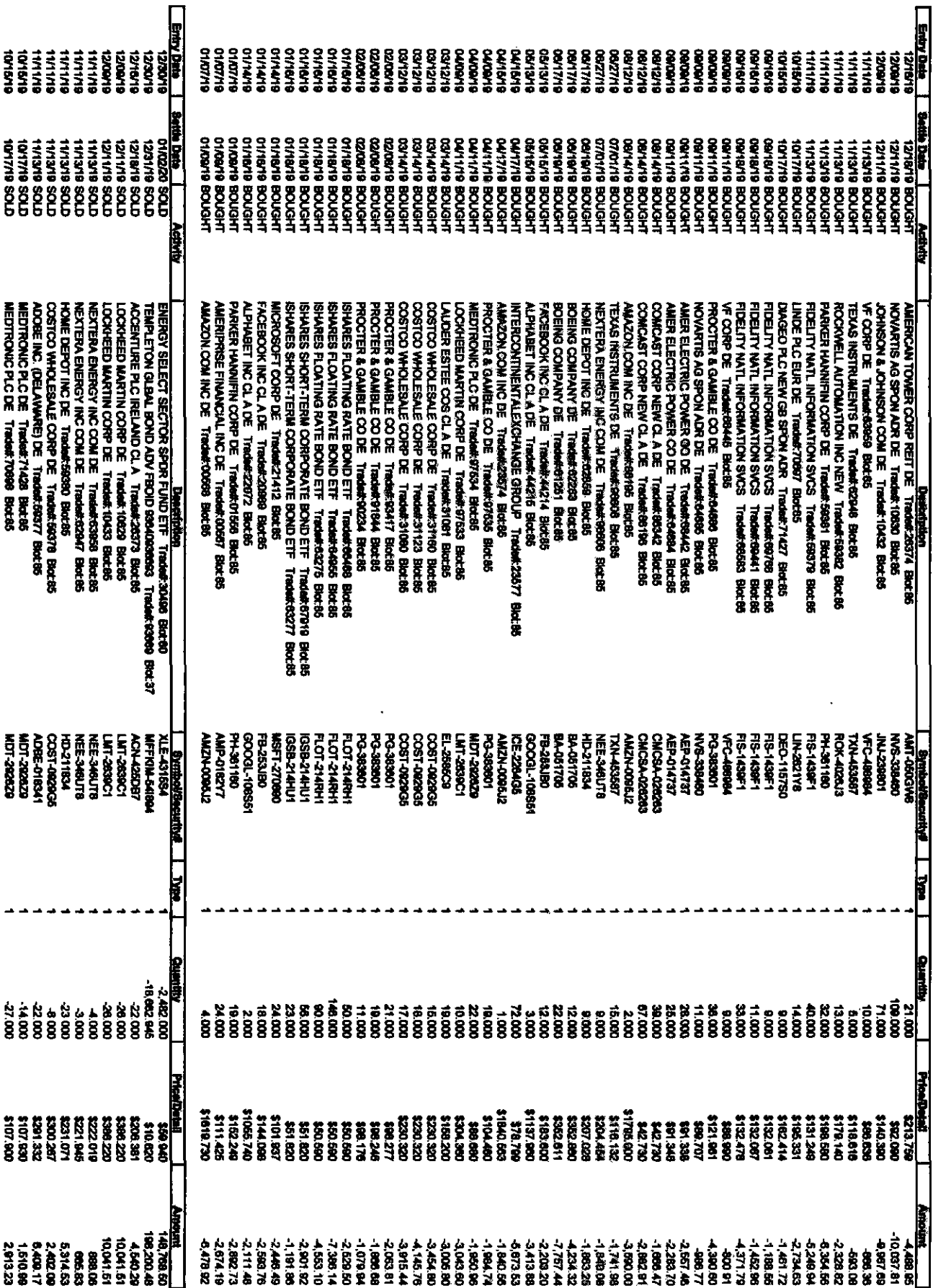
Portfolio Management Program
December 2019

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

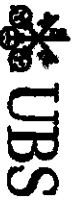
Summary of approved payees and recurring payments (continued)

Payee description	Amount (\$)	Disbursement method	Type/Freq	Frequency	Start date	End date	Last used date
PA Department of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	Jul 09, 19
TN Dept of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 29, 19
Washington County Trustee	varied	Check	Payee on file	Upon request	n/a	n/a	Nov 14, 19



08/24/19	08/23/19 SOLD	KONTOR BRANDS INC DE Trade# 40968 Bkt:85	KTB-24JLD1	1	-9.000	\$34.879	313.90
08/24/19	08/23/19 SOLD	HILTON GRAND VACATIONS INC Trade# 42798 Bkt:85	HGV-2008A0	1	-18.000	\$32.946	591.20
08/24/19	08/23/19 SOLD	HILTON WORLDWIDE HOLDINGS INC Trade# 42797 Bkt:85	HLT-2023B4	1	-62.000	\$82.479	5,733.88
08/24/19	08/23/19 SOLD	ALCON INC CHF DE Trade# 40967 Bkt:85	ALC-174M24	1	-13.000	\$59.724	778.40
08/18/19	08/18/19 SOLD	PARKER HANFMAN CORP DE Trade# 35789 Bkt:85	PH-381160	1	-7.000	\$181.182	1,288.24
08/18/19	08/18/19 SOLD	HONEYWELL INTL INC DE Trade# 39442 Bkt:85	HON-212440	1	-9.000	\$166.840	1,591.53
08/18/19	08/18/19 SOLD	BLACKROCK INC DE Trade# 39382 Bkt:85	BLK-082187	1	-10.000	\$498.070	4,380.81
08/09/19	08/17/19 SOLD	UNION PACIFIC CORP DE Trade# 34688 Bkt:85	UNP-408108	1	-14.000	\$164.628	2,304.78
08/09/19	08/17/19 SOLD	TEXAS INSTRUMENTS DE Trade# 39444 Bkt:85	TNX-433557	1	-7.000	\$128.241	883.87
08/09/19	08/17/19 SOLD	ACENTURE PLC IRELAND CL A Trade# 34683 Bkt:85	ACH-432057	1	-8.000	\$128.258	1,010.06
08/09/19	08/17/19 SOLD	CHEVRON CORP DE Trade# 38443 Bkt:85	CVX-082693	1	-22.000	\$183.228	4,444.33
08/12/19	08/14/19 SOLD	WALT DISNEY CO (HOLDING CO) DISNEY COM Trade# 39187 Bkt:85	DIS-128488	1	-35.000	\$118.170	2,621.89
08/12/19	08/14/19 SOLD	AMERIPRISE FINANCIAL INC DE Trade# 38341 Bkt:85	AMP-018217	1	-4.000	\$137.000	4,794.90
08/12/19	08/14/19 SOLD	AMERIPRISE FINANCIAL INC DE Trade# 38307 Bkt:85	AMP-018217	1	-22.000	\$128.300	2,844.54
08/27/19	07/01/19 SOLD	SUNTRUST BANKS INC DE Trade# 38907 Bkt:85	STI-082778	1	-32.000	\$61.438	1,985.82
08/27/19	07/01/19 SOLD	JP MORGAN CHASE & CO DE Trade# 38906 Bkt:85	JPM-2411P2	1	-16.000	\$108.254	1,748.02
08/17/19	08/19/19 SOLD	VISA INC CL A DE Trade# 32860 Bkt:85	V-488312	1	-41.000	\$168.870	1,888.87
08/17/19	08/19/19 SOLD	ECOLAB INC DE Trade# 31282 Bkt:85	ECL-140588	1	-30.000	\$162.070	7,982.20
08/13/19	08/16/19 SOLD	AMERIPRISE FINANCIAL INC DE Trade# 32282 Bkt:85	AMP-018217	1	-108.000	\$38.872	4,882.01
08/13/19	08/16/19 SOLD	SCHLUMBERGER LTD NETHERLANDS ANTILLES Trade# 44217 Bkt:85	SLB-411867	1	-14.000	\$166.180	4,208.82
08/13/19	08/16/19 SOLD	PARKER HANFMAN CORP DE Trade# 23576 Bkt:85	PH-381160	1	-28.000	\$130.041	2,384.04
04/18/19	04/17/19 SOLD	DANAHER CORP DE Trade# 44218 Bkt:85	DHR-128250	1	-28.000	\$168.578	5,674.80
04/18/19	04/17/19 SOLD	AMERICAN TOWER CORP REIT DE Trade# 23573 Bkt:85	AMT-0300N8	1	-80.000	\$33.300	1,887.87
04/09/19	04/17/19 SOLD	SUNCOR ENERGY INC NEWCAD Trade# 97838 Bkt:85	SUN-446C23	1	-15.000	\$136.000	2,039.87
04/09/19	04/17/19 SOLD	CHEVRON CORP DE Trade# 97831 Bkt:85	CVL-082683	1	-28.000	\$128.120	3,279.08
03/12/19	03/14/19 SOLD	JOHNSON & JOHNSON COM DE Trade# 97832 Bkt:85	JNJ-238801	1	-83.000	\$42.150	3,488.40
03/12/19	03/14/19 SOLD	SCHLUMBERGER LTD NETHERLANDS ANTILLES Trade# 31181 Bkt:85	SLB-411867	1	-80.000	\$47.140	4,262.84
03/12/19	03/14/19 SOLD	MONSIEZ INTL INC DE Trade# 31078 Bkt:85	MID-2-278B34	1	-7.000	\$124.490	3,007.02
03/12/19	03/14/19 SOLD	AMERIPRISE FINANCIAL INC DE Trade# 31078 Bkt:85	AMP-018217	1	-33.000	\$108.827	1,904.87
02/09/19	02/09/19 SOLD	TEXAS INSTRUMENTS DE Trade# 31645 Bkt:85	TNX-433557	1	-18.000	\$121.280	1,212.88
02/09/19	02/09/19 SOLD	CRANE CO DE Trade# 82233 Bkt:85	CR-112800	1	-14.000	\$82.891	1,181.88
02/09/19	02/09/19 SOLD	BLACKROCK INC DE Trade# 82418 Bkt:85	BLK-082187	1	-6.000	\$417.065	2,085.44
01/18/19	01/18/19 SOLD	ISHARES 3-7 YEAR TREAS BOND ETF Trade# 33278 Bkt:85	IEI-214H14	1	-10.000	\$121.280	1,212.88
01/18/19	01/18/19 SOLD	ISHARES 1-3 VR TREAS BOND ETF Trade# 33278 Bkt:85	SHY-214C10	1	-55.000	\$83.800	4,587.84
01/18/19	01/17/19 SOLD	BLACKROCK FLOATING RATE INCOME Trade# 75770 Bkt:37	MBFCV-432D41	1	-401.819	\$7.370	2,881.38
01/18/19	01/17/19 SOLD	BLACKROCK HIGH YIELD BOND Trade# 75612 Bkt:37	MBFCV-432D41	1	-348.087	\$7.370	2,881.38
01/18/19	01/17/19 SOLD	BLACKROCK HIGH YIELD BOND Trade# 75612 Bkt:37	MBFCV-432D41	1	-14.000	\$8.880	2,881.38
01/18/19	01/17/19 SOLD	RED HAT INC DE Trade# 22073 Bkt:85	RHT-394584	1	-747.848	\$176.180	2,452.21
01/18/19	01/17/19 SOLD	RED HAT INC DE Trade# 22073 Bkt:85	RHT-394584	1	-14.000	\$176.180	2,452.21
01/18/19	01/17/19 SOLD	RED HAT INC DE Trade# 22073 Bkt:85	RHT-394584	1	-15.000	\$176.214	2,452.21
01/18/19	01/17/19 SOLD	RED HAT INC DE Trade# 22073 Bkt:85	RHT-394584	1	-20.000	\$147.608	2,882.18
01/07/19	01/06/19 SOLD	ECOLAB INC DE Trade# 01655 Bkt:85	ECL-140588	1	-12.000	\$228.519	2,708.18
01/07/19	01/06/19 SOLD	THERMO FISHER SCIENTIFIC INC Trade# 00570 Bkt:85	TMO-48021	1	-43.000	\$174.588	7,587.11
01/07/19	01/06/19 SOLD	RED HAT INC DE Trade# 00568 Bkt:85	RHT-394584	1	-43.000	\$174.588	7,587.11

SCHEDULE 11



UBS Financial Services Inc.
214 E Monticello Drive
Suite 1-A
Johnson City TN 37601-2509

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Strategic Wealth Portfolio

December 2019

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-828-7144/800-729-4848

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005948.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions, if your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:
Capital appreciation
Your risk profile:
Primary - Aggressive
Investment eligibility consideration - None selected

Account name: DONALD R JEANES
SWING SHARE ONE TRUST
Friendly account name: Swing Trust
Account number:

Value of your account

	on November 29 (S)	on December 31 (S)
Your assets	4,908,600.16	5,045,005.28
Your liabilities	0.00	0.00
Value of your account	\$4,908,600.16	\$5,045,005.28

Your account instructions
• Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2019 (S)	Year to date (S)
Opening account value	\$4,908,600.16	\$4,371,755.50
Deposits, including investments transferred in	0.00	78,595.00
Withdrawals and fees, including investments transferred out	-14,680.25	-257,173.95
Dividend and interest income	31,449.92	183,589.84
Change in market value	119,635.45	668,238.89
Closing account value	\$5,045,005.28	\$5,045,005.28



Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Saving Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the US FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SIPC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$188,868.19	\$205,153.77
Additions		
Deposits and other funds credited	0.00	78,595.00
Dividend and interest income	31,449.92	183,589.84
Proceeds from investment transactions	948,897.64	5,362,795.03
Total additions	\$980,347.56	\$5,624,979.87
Subtractions		
Professional management fees and related services	0.00	-52,497.12
Other fees	-667.57	-3,840.50
Other funds debited	-14,012.68	-200,836.33
Funds withdrawn for investments bought	-956,598.05	-5,375,022.24
Total subtractions	-\$971,278.30	-\$5,632,196.19
Net cash flow	\$9,069.26	-\$7,216.32
Closing balances	\$197,937.45	\$197,937.45

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Taxable dividends	31,418.02	182,429.06
Taxable interest	31.90	1,160.78
Total current year	\$31,449.92	\$183,589.84
Total dividend & interest	\$31,449.92	\$183,589.84

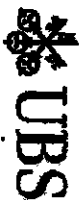
Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses	Unrealized gains and losses (\$)
	December 2019 (\$)	Year to date (\$)
Short term	-88,126.60	26,988.97
Long term	-23,717.82	200,402.85
Total	-\$111,844.42	\$227,391.82
		\$824,296.07

Withholdings and tax summary

	December 2019 (\$)	Year to date (\$)
Foreign taxes paid	-1,012.68	-13,376.33



Strategic Wealth Portfolio
December 2019

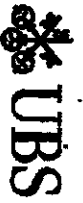
Account name: DONALD R. JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-926-7144/800-729-4848

UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 7 - Dec 5

Opening UBS FDIC-Insured Dep Pgm balance Nov 7	\$164,826.89
Closing UBS FDIC-Insured Dep Pgm balance Dec 5	\$193,190.69
Number of days in interest period	29
Average daily balance	\$178,068.66
Interest earned	\$31.90
Annual percentage yield earned	0.23%



Strategic Wealth Portfolio
December 2019

Account name: DONALD R. JEANES
Fidelity account name: Quibron Trust
Account number:

Your Financial Advisor:
WLF FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the Important Information about your statement at the end of this document for details about these balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend period	Days in period
Cash	64.88	0.00				
UBS FDIC INSURED DEP PGM	188,803.31	197,937.45				
Total	\$188,868.19	\$197,937.45				

UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (S)	Status
1	UBS Bank USA	197,937.45	
2	Wells Fargo Bank, N.A.	0.00	
3	Citibank, N.A.	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	BBVA USA	0.00	
6	East West Bank	0.00	
7	Citizens Bank, N.A.	0.00	
8	Associated Bank, N.A.	0.00	
9	Pacific Western Bank	0.00	
10	Armed Bank	0.00	
Total		\$197,937.45	



Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
Fiduciary account name: Swiss Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

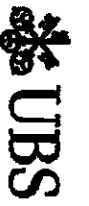
Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBVIE INC COM								
Symbol: ABBV Exchange: NYSE								
EAI: \$2,129 Current yield: 5.33%	Sep 16, 19	451,000	70.743	31,905.32	88.540	39,931.54	8,026.22	ST
AES CORP								
Symbol: AES Exchange: NYSE								
EAI: \$1,822 Current yield: 2.88%	May 7, 18	2,165,000	12.205	26,425.94	19.900	43,083.50	16,657.56	LT
	Dec 3, 18	4,000	15.630	62.52	19.900	79.60	17.08	LT
	Jun 6, 19	1,011,000	16.995	17,122.09	19.900	20,118.90	2,996.81	ST
		3,180,000	13.714	43,610.55		63,282.00	19,671.45	
Security total								
AGILENT TECHNOLOGIES INC								
Symbol: A Exchange: NYSE								
EAI: \$141 Current yield: 0.84%	Jul 22, 19	196,000	69.265	13,576.06	85.310	16,720.76	3,144.70	ST
ALEXANDRIA REAL ESTATE								
Symbol: ARE Exchange: NYSE								
EAI: \$424 Current yield: 2.55%	Jul 9, 19	109,000	147.464	15,188.80	161.580	16,642.74	1,453.94	ST
ALLSTATE CORP								
Symbol: ALL Exchange: NYSE								
EAI: \$280 Current yield: 1.76%	Jan 28, 19	140,000	85.753	12,005.42	112.450	15,749.00	3,743.58	ST
AMER ELECTRIC POWER CO								
Symbol: AEP Exchange: NYSE								
EAI: \$479 Current yield: 2.96%	Nov 25, 19	171,000	50.821	15,590.43	54.510	16,161.21	630.78	ST
AMERICA MOVIL S.A.B. DE C.V.								
Symbol: AMX Exchange: NYSE								
EAI: \$339 Current yield: 2.30%	Jan 4, 17	923,000	12.716	11,737.51	16.000	14,768.00	3,030.49	LT
AMERIPRISE FINANCIAL INC								
Symbol: AMP Exchange: NYSE								
EAI: \$404 Current yield: 2.33%	Jul 26, 19	102,000	149.641	15,263.42	166.580	16,991.16	1,727.74	ST
	Sep 12, 19	2,000	146.775	293.55	166.580	333.16	39.61	ST
		104,000	149.586	15,556.97		17,324.32	1,767.35	
Security total								

continued next page



Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-728-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: \$1,722 Current yield: 5.32%	Feb 11, 19	828,000	29.683	24,578.02	39.080	32,358.24	7,780.22	ST
AUTOMATIC DATA PROCESSING INC								
Symbol: ADP Exchange: OTC								
EAI: \$339 Current yield: 2.14%	Oct 16, 19	93,000	163.976	15,249.83	170.500	15,856.50	606.67	ST
AVERT DENNISON CORP								
Symbol: AVY Exchange: NYSE								
EAI: \$304 Current yield: 1.77%	Jun 28, 19	131,000	114.866	15,060.62	130.820	17,137.42	2,076.80	ST
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE								
EAI: \$712 Current yield: 2.04%	Jul 24, 17	988,000	24.005	23,741.34	35.220	34,832.58	11,091.24	LT
BARCLAYS PLC ADR								
Symbol: BCS Exchange: NYSE								
EAI: \$529 Current yield: 3.65%	Apr 4, 16	465,000	8.657	4,025.78	9.520	4,426.80	401.02	LT
	Oct 16, 18	457,000	8.645	3,950.77	9.520	4,350.64	399.87	LT
	Sep 3, 19	599,000	6.563	3,931.54	9.520	5,702.48	1,770.94	ST
Security total:		1,521,000	7.828	11,908.09		14,479.92	2,571.83	
BASF SE SPON ADR								
Symbol: BASFV Exchange: OTC								
EAI: \$898 Current yield: 3.41%	Dec 21, 18	641,000	17.086	10,958.65	18.715	11,998.32	1,037.66	LT
	May 14, 19	227,000	17.739	4,026.96	18.715	4,248.31	221.35	ST
	May 31, 19	276,000	16.441	4,570.79	18.715	5,202.77	631.98	ST
	Jul 12, 19	260,000	17.048	4,432.64	18.715	4,865.90	433.26	ST
Security total:		1,406,000	17.062	23,989.05		26,313.29	2,324.25	
BCE INC NEW CAD								
Symbol: BCE Exchange: NYSE								
EAI: \$2,329 Current yield: 5.20%	Jan 28, 19	581,000	42.487	24,685.24	46.350	26,929.35	2,244.11	ST
CAD Exchange rate: 1.29675	Aug 28, 19	386,000	46.759	18,049.32	46.350	17,891.10	-158.22	ST
Security total:		967,000	44.193	42,734.56		44,820.45	2,085.89	

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BIC SA ADR								
Symbol: BICEY Exchange: OTC								
EAI: \$267 Current yield: 4.59%	Sep 23, 19	188,000	33.017	5,546.98	34.650	5,881.28	274.22	ST
BNP PARIBAS SA ADR								
Symbol: BNQY Exchange: OTC								
EAI: \$695 Current yield: 4.79%	Sep 23, 19	489,000	24.463	11,962.49	29.690	14,518.41	2,555.92	ST
BP PLC SPON ADR								
Symbol: BP Exchange: NYSE								
EAI: \$1,708 Current yield: 6.47%	Dec 28, 15	396,000	31.750	12,636.50	37.740	15,020.52	2,384.02	LT
	Feb 12, 16	160,000	28.160	4,505.60	37.740	6,038.40	1,532.80	LT
	Earnings	141,000	34.298	4,836.12	37.740	5,321.34	485.22	
Security total		699,000	31.442	21,978.22		26,380.26	4,402.04	
BIRSTOL MYERS SQUIBB CO								
Symbol: BMY Exchange: NYSE								
EAI: \$459 Current yield: 2.80%	Nov 29, 19	130,000	57.116	7,425.11	64.190	8,344.70	919.59	ST
	Dec 10, 19	125,000	61.907	7,738.45	64.190	8,023.75	285.30	ST
Security total		255,000	59.465	15,163.56		16,368.45	1,204.89	
BROXACOR PPTY GROUP INC REIT								
Symbol: BRX Exchange: NYSE								
EAI: \$2,582 Current yield: 5.28%	Jul 9, 19	843,000	18.309	15,434.74	21.610	18,217.23	2,782.49	ST
	Jul 19, 19	696,000	17.831	15,976.67	21.610	19,362.56	3,385.89	ST
	Nov 22, 19	526,000	21.611	11,367.75	21.610	11,366.86	-0.89	ST
Security total		2,265,000	18.887	42,778.16		48,946.65	6,167.49	
BROADCOM INC								
Symbol: AVGO Exchange: OTC								
EAI: \$2,912 Current yield: 4.11%	Dec 3, 18	174,000	242.538	42,201.72	316.020	54,987.48	12,785.76	LT
	Mar 27, 19	50,000	294.441	14,722.05	316.020	15,801.00	1,078.95	ST
Security total		224,000	254.124	56,923.77		70,788.48	13,864.71	
CAREFOUR SA SPON ADR								
Symbol: CRFV Exchange: OTC								
	Jul 16, 18	1,656,000	3.155	5,226.07	3.282	5,451.55	225.48	LT
	Earnings	241,000	3.205	772.53	3.292	793.37	20.84	
Security total		1,897,000	3.162	5,998.60		6,244.92	246.32	

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Strategic Wealth Portfolio

December 2019

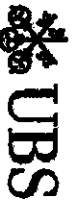
Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number: 1

Your Financial Adviser:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CELANESE CORP NEW								
Symbol: CE Exchange: NYSE								
EAI: \$298 Current yield: 2.02%	Jan 28, 19	120,000	96.588	11,590.62	123.120	14,774.40	3,183.78	ST
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: \$590 Current yield: 3.95%	Jun 5, 19	124,000	117.292	14,544.29	120.510	14,943.24	399.01	ST
CHURCH & DWIGHT CO INC								
Symbol: CHD Exchange: NYSE								
EAI: \$209 Current yield: 1.29%	Nov 19, 19	230,000	68.988	15,862.76	70.340	16,178.20	315.44	ST
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$1,329 Current yield: 2.92%	Aug 27, 12	257,000	19.260	4,949.82	47.960	12,325.72	7,375.90	LT
	Jun 6, 13	656,000	24.566	16,115.62	47.960	31,461.76	15,346.14	LT
	Dec 30, 15	23,000	27.704	637.20	47.960	1,103.08	465.88	LT
	Apr 3, 18	13,000	41.019	533.25	47.960	623.48	90.23	LT
Security total		949,000	23.431	22,235.89		45,514.04	23,278.15	
CITIGROUP INC								
Symbol: C Exchange: NYSE								
EAI: \$2,646 Current yield: 2.55%	Oct 21, 13	28,000	51.075	1,431.19	79.890	2,236.81	805.62	LT
	Oct 22, 13	312,000	50.837	15,861.42	79.890	24,925.68	9,064.26	LT
	Feb 19, 16	114,000	38.797	4,422.96	79.890	9,107.46	4,684.50	LT
	Jan 28, 19	838,000	63.877	53,528.93	79.890	66,947.82	13,418.89	ST
	Apr 16, 19	4,000	68.477	273.91	79.890	319.56	45.65	ST
Security total		1,297,000	58.264	75,568.41		103,617.33	28,048.92	
CITIZENS FINANCIAL GROUP INC								
Symbol: CFG Exchange: NYSE								
EAI: \$2,633 Current yield: 3.35%	Jan 28, 19	1,107,000	35.407	39,196.00	40.610	44,955.27	5,759.27	ST
	Jan 28, 19	432,000	35.237	15,222.81	40.610	17,543.52	2,320.71	ST
	May 9, 19	172,000	35.304	6,072.41	40.610	6,984.92	912.51	ST
	May 22, 19	225,000	34.836	7,838.21	40.610	9,137.25	1,299.04	ST
Security total		1,936,000	35.284	68,329.43		78,620.96	10,291.53	

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
COCA COLA EUROPEAN PARTNERS								
EUR								
Symbol: CCEP Exchange: NYSE								
EAI: \$1.224 Current yield: 2.71%								
EUR Exchange rate: 0.89086	Jan 28, 19	887,000	44.905	39,831.35	50.880	45,130.56	5,299.21	ST
COMCAST CORP NEW CL A								
Symbol: CMCSA Exchange: OTC								
EAI: \$847 Current yield: 1.87%	Jun 29, 18	722,000	33.087	23,888.91	44.970	32,468.34	8,579.43	LT
	May 3, 19	286,000	43.409	12,415.20	44.970	12,861.42	446.22	ST
Security total		1,008,000	36.016	36,304.11		45,329.76	9,025.65	
COMERICA INC								
Symbol: CMA Exchange: NYSE								
EAI: \$59 Current yield: 3.74%	Sep 12, 19	22,000	64.990	1,429.80	71.750	1,578.50	148.70	ST
COMPAGNIE DE SAINT-GOBAIN ADR								
Symbol: COOY Exchange: OTC								
EAI: \$817 Current yield: 2.59%	Nov 20, 18	2,698,000	7.007	18,905.86	8.170	22,042.66	3,136.80	LT
	Dec 20, 18	1,158,000	6.548	7,582.80	8.170	9,460.85	1,878.05	LT
Security total		3,856,000	6.899	26,488.66		31,503.52	5,014.85	
CONOCOPHILLIPS								
Symbol: COP Exchange: NYSE								
EAI: \$931 Current yield: 2.58%	Aug 30, 17	480,000	43.315	20,791.59	65.030	31,214.40	10,422.81	LT
	Jul 24, 19	37,000	60.507	2,238.77	65.030	2,406.11	167.34	ST
	Sep 12, 19	37,000	56.645	2,095.90	65.030	2,406.11	310.21	ST
Security total		554,000	45.354	25,126.26		36,026.62	10,900.36	
CORSETTE RLTY CORP COM REIT								
Symbol: COR Exchange: NYSE								
EAI: \$678 Current yield: 4.35%	Aug 2, 19	139,000	108.476	15,078.21	112.120	15,584.68	506.47	ST
CRANE CO								
Symbol: CR Exchange: NYSE								
EAI: \$300 Current yield: 1.81%	Oct 14, 19	192,000	78.978	15,163.89	86.380	16,584.96	1,421.07	ST
CREDIT SUISSE GROUP SPON ADR								
Symbol: CS Exchange: NYSE								
	Jun 14, 16	935,000	11.800	3,953.00	13.450	4,505.75	552.75	LT
	Jan 28, 19	2,143,000	12.277	26,310.25	13.450	28,823.35	2,513.10	ST
Security total		2,478,000	12.213	30,263.25		33,329.10	3,065.85	

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CRH PLC IRELAND SPON ADR								
Symbol: CRH Exchange: NYSE								
EAI: \$495 Current yield: 1.97%								
	Nov 19, 18	460,000	27.676	12,731.33	40.330	18,551.80	5,820.47	LT
	Dec 6, 18	164,000	25.888	4,245.76	40.330	6,614.12	2,368.36	LT
Security total								
		624,000	27.207	16,977.09		25,165.92	8,188.83	
CROWN CASTLE INTL CORP RIET								
Symbol: CCI Exchange: NYSE								
EAI: \$2,165 Current yield: 3.38%								
	Jan 6, 17	178,000	85.448	15,209.83	142.150	25,302.70	10,092.87	LT
	Apr 26, 17	165,000	93.024	15,349.06	142.150	23,454.75	8,105.69	LT
	Jul 23, 19	108,000	127.177	13,735.18	142.150	15,352.20	1,617.02	ST
Security total								
		451,000	98.213	44,294.07		64,109.65	19,815.58	
CUMMINS INC								
Symbol: CMI Exchange: NYSE								
EAI: \$1,526 Current yield: 2.93%								
	Mar 12, 19	291,000	158.402	46,095.27	178.960	52,077.36	5,982.09	ST
CURTIS WRIGHT CORP								
Symbol: CW Exchange: NYSE								
EAI: \$84 Current yield: 0.48%								
	Jun 27, 19	123,000	122.269	15,038.10	148.880	17,328.47	2,290.37	ST
DAI NIPPON PRINTING CO SPON ADR								
Symbol: DNPJY Exchange: OTC								
EAI: \$327 Current yield: 1.65%								
	Aug 27, 12	165,000	7.240	1,194.60	13.460	2,220.90	1,026.30	LT
	Jun 6, 13	525,000	8.140	4,273.50	13.460	7,066.50	2,793.00	LT
	Jan 13, 15	770,000	8.786	6,765.68	13.460	10,364.20	3,598.52	LT
Security total								
		1,460,000	8.379	12,233.78		19,651.60	7,417.82	
DELTA AIR LINES INC DELA NEW								
Symbol: DAL Exchange: NYSE								
EAI: \$1,401 Current yield: 2.75%								
	Dec 13, 19	870,000	56.696	49,325.78	58.480	50,877.60	1,551.82	ST
DOW INC								
Symbol: DOW Exchange: NYSE								
EAI: \$196 Current yield: 5.12%								
	Sep 12, 19	70,000	46.969	3,287.86	54.730	3,831.10	543.24	ST
EATON CORP PLC								
Symbol: ETN Exchange: NYSE								
EAI: \$2,099 Current yield: 3.00%								
	Jan 12, 15	251,000	55.997	14,055.36	94.720	23,774.72	9,719.36	LT

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
ENBRAER S A SPON ADR								
Symbol: ENL Exchange: NYSE								
EAI: \$45 Current yield: 0.63%	May 16, 19	366,000	17.180	6,287.89	19.490	7,133.34	845.45	ST
EMCOR GROUP INC								
Symbol: EME Exchange: NYSE								
EAI: \$55 Current yield: 0.37%	Aug 29, 19	172,000	85.275	14,667.40	86.300	14,843.60	176.20	ST
ENBRIDGE INC CAD								
Symbol: ENB Exchange: NYSE								
EAI: \$3.872 Current yield: 6.15%	Dec 18, 18	832,000	30.970	25,767.79	39.770	33,088.64	7,320.85	LT
CAD Exchange rate: 1.29675	Jan 4, 19	423,000	32.620	13,798.68	39.770	16,822.71	3,024.03	ST
Security total		1,255,000	31.527	39,566.47		49,911.35	10,344.88	
ENGIE SPON ADR								
Symbol: ENGIX Exchange: OTC								
EAI: \$2.613 Current yield: 6.14%	Jan 4, 17	2,631,000	12.869	33,860.61	16.170	42,543.27	8,682.66	LT
ENI SPA IT SPON ADR								
Symbol: E Exchange: NYSE								
EAI: \$580 Current yield: 4.31%	Feb 16, 16	240,000	26.445	6,346.97	30.960	7,430.40	1,083.43	LT
Security total	Nov 14, 16	195,000	26.425	5,152.88	30.960	6,037.20	884.32	LT
		435,000	26.436	11,499.85		13,467.60	1,967.75	
EXELON CORP								
Symbol: EXC Exchange: OTC								
EAI: \$44 Current yield: 3.22%	Oct 26, 18	30,000	43.898	1,316.96	45.590	1,367.70	50.74	LT
FIDELITY NATIONAL FINANCIAL INC COM								
Symbol: FNF Exchange: NYSE								
EAI: \$1,770 Current yield: 2.91%	Nov 19, 18	827,000	32.128	26,570.44	45.350	37,504.45	10,934.01	LT
	Mar 23, 19	155,000	36.610	5,674.60	45.350	7,029.25	1,354.65	ST
	Mar 26, 19	207,000	36.692	7,593.37	45.350	9,387.45	1,792.08	ST
	Mar 27, 19	78,000	37.378	2,915.54	45.350	3,537.30	621.76	ST

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Strategic Wealth Portfolio

December 2019

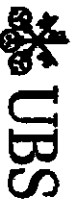
Account name: DONALD R. JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/810-728-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized gain/loss (\$)	Holding period
Security total								
GAZPROM PJSC LEVEL 1 ADR	Sep 26, 18	74,000	44.508	3,293.84	45.950	3,355.88	62.04	ST
PROGRAM (RUSSIA) SPON ADR		1,341,082	34.340	46,049.39		60,814.55	14,764.76	
Symbol: OZPY Exchange: OTC								
EAI: \$728 Current yield: 4.96%								
Security total								
GENL DYNAMICS CORP	Dec 18, 17	1,294,000	4.536	5,892.85	8.230	14,864.48	8,971.63	LT
Symbol: GD Exchange: NYSE								
EAI: \$171 Current yield: 2.31%								
Security total								
GENL ELECTRIC CO	Oct 29, 19	42,080	176.840	7,427.29	178.390	7,405.70	-21.59	ST
Symbol: GE Exchange: NYSE								
EAI: \$32 Current yield: 0.36%								
Security total								
GILEAD SCIENCES INC	Mar 22, 19	790,000	10.184	8,045.44	11.160	8,816.40	770.96	ST
Symbol: GILD Exchange: OTC								
EAI: \$2,341 Current yield: 3.88%								
Security total								
GILKINSON FINANCIAL PLC ADR	Apr 30, 19	719,000	64.759	46,557.62	64.980	46,720.62	163.00	ST
Symbol: GSK Exchange: NYSE								
EAI: \$2,803 Current yield: 4.25%								
Security total								
GILKINSON FINANCIAL PLC ADR	Jun 6, 19	210,000	64.103	13,461.69	64.980	13,645.80	184.11	ST
Symbol: GSK Exchange: NYSE								
EAI: \$2,803 Current yield: 4.25%								
Security total								
GILKINSON FINANCIAL PLC ADR	Dec 18, 17	1,284,000	35.479	45,428.86	46.990	60,335.16	14,906.30	LT
Symbol: GSK Exchange: NYSE								
EAI: \$2,803 Current yield: 4.25%								
Security total								
GILKINSON FINANCIAL PLC ADR	Jan 12, 18	118,000	37.505	4,425.59	46.990	5,544.62	1,119.23	LT
Symbol: GSK Exchange: NYSE								
EAI: \$2,803 Current yield: 4.25%								
Security total								
GILKINSON FINANCIAL PLC ADR	Dec 3, 18	1,675,000	12.400	20,780.00	14.719	24,658.12	3,878.12	LT
Symbol: GSK Exchange: OTC								
EAI: \$1,611 Current yield: 3.65%								
Security total								
GILKINSON FINANCIAL PLC ADR	Jul 15, 19	146,000	105.918	15,464.07	113.530	16,575.38	1,111.31	ST
Symbol: HRC Exchange: NYSE								
EAI: \$123 Current yield: 0.74%								
Security total								
HOME DEPOT INC	Mar 27, 19	160,000	189.585	30,333.60	218.380	34,940.80	4,607.17	ST
Symbol: HD Exchange: NYSE								
EAI: \$1,245 Current yield: 3.49%								
Security total								
HOME DEPOT INC	Jun 6, 19	69,000	195.843	13,592.22	218.380	15,058.22	1,466.00	ST
Symbol: HD Exchange: NYSE								
EAI: \$1,245 Current yield: 3.49%								
Security total								
HOME DEPOT INC		229,000	191.772	43,925.82		50,003.02	6,077.17	
Symbol: HD Exchange: NYSE								
EAI: \$1,245 Current yield: 3.49%								

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

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WLP FINANCIAL GROUP
423-928-7144/800-728-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HONDA MOTOR CO ADR JAPAN ADR								
Symbol: HMC Exchange: NYSE								
EAI: \$153 Current yield: 3.11%	Aug 16, 19	174,000	23.208	4,038.23	28.310	4,925.94	887.71	ST
HUMANA INC								
Symbol: HUM Exchange: NYSE								
EAI: \$125 Current yield: 0.60%	Jun 28, 19	57,000	265.043	15,107.50	366.520	20,891.84	5,784.14	ST
HUNTINGTON BANCSHARES								
Symbol: HBAN Exchange: OTC								
EAI: \$1,822 Current yield: 3.98%	Nov 19, 18	3,031,000	14.937	45,275.56	15.080	45,707.48	431.92	LT
	Dec 3, 18	6,000	14.490	86.94	15.080	90.48	3.54	LT
Security total		3,037,000	14.937	45,362.50		45,797.96	435.46	
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: \$759 Current yield: 2.11%	Apr 18, 13	357,000	22.059	7,875.10	59.850	21,366.45	13,491.35	LT
	Feb 25, 14	125,000	24.599	3,074.96	59.850	7,481.25	4,406.29	LT
	Mar 19, 15	96,000	30.857	2,962.30	59.850	5,745.60	2,783.30	LT
	Jul 24, 19	24,000	52.168	1,252.04	59.850	1,436.40	184.36	ST
Security total		602,000	25.190	15,164.40		36,029.70	20,865.30	
INTESA SANPAOLO SPON ADR								
Symbol: ISNPF Exchange: OTC								
EAI: \$1,717 Current yield: 6.08%	Nov 20, 18	1,794,000	12.799	22,961.74	15.730	28,219.62	5,257.88	LT
J. SAINSBURY PLC SPON ADR								
Symbol: JSAY Exchange: OTC								
EAI: \$637 Current yield: 4.34%	May 10, 19	829,000	11.070	6,963.22	12.155	7,645.49	682.27	ST
	Aug 16, 19	578,000	8.909	5,149.61	12.155	7,025.59	1,875.78	ST
Security total		1,207,000	10.036	12,113.03		14,671.08	2,558.05	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$1,581 Current yield: 2.61%	Aug 27, 12	149,000	67.720	10,090.28	145.870	21,734.63	11,644.35	LT
	Apr 16, 19	117,000	139.207	16,287.30	145.870	17,066.79	779.49	ST
	Apr 16, 19	13,000	139.153	1,809.00	145.870	1,896.31	87.31	ST
	Jul 30, 19	80,000	132.076	10,566.10	145.870	11,669.60	1,103.50	ST
	Aug 1, 19	57,000	129.372	7,374.21	145.870	8,314.59	940.38	ST
Security total		416,000	110.882	46,126.89		60,681.92	14,585.03	

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
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Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Heading	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Heading period
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: \$3,215 Current yield: 2.58%								
	Aug 27, 12	235,000	37.170	8,734.95	139.400	32,759.00	24,024.05	LT
	Aug 27, 12	220,000	37.170	8,177.40	139.400	30,888.00	22,490.60	LT
	Sep 19, 14	84,000	61.296	5,148.89	139.400	11,709.60	6,560.71	LT
	Jun 25, 19	354,000	108.373	38,364.08	139.400	49,347.60	10,983.52	ST
Security total		893,000	67.666	60,425.32		124,484.20	64,058.88	
KANSAS CITY STIN NEW								
Symbol: KSJ Exchange: NYSE								
EAI: \$182 Current yield: 1.04%								
	Jun 8, 17	114,000	98.318	11,208.33	153.160	17,460.24	6,251.91	LT
KINCO REALTY CORP								
Symbol: KIM Exchange: NYSE								
EAI: \$1,777 Current yield: 5.41%								
	Aug 14, 19	1,587,000	18.444	29,270.63	20.710	32,868.77	3,598.14	ST
KINGFISHER PLC NEW SPON ADR								
Symbol: KGFHY Exchange: OTC								
EAI: \$175 Current yield: 4.19%								
	Jun 7, 19	729,000	5.329	3,885.26	5.730	4,177.17	291.89	ST
LAMAR ADVERTISING CO NEW CL A								
Symbol: LAMR Exchange: OTC								
EAI: \$1,117 Current yield: 4.30%								
	Oct 15, 14	130,000	44.025	5,723.26	89.260	11,603.80	5,880.52	LT
	May 7, 18	158,000	65.175	10,297.71	89.260	14,103.08	3,805.37	LT
	Nov 19, 18	3,000	76.546	229.64	89.260	267.78	38.14	LT
Security total		291,000	55.844	16,250.63		25,974.66	9,724.03	
LAMB WESTON HLDGS INC								
Symbol: LWF Exchange: NYSE								
EAI: \$193 Current yield: 1.07%								
	Jul 9, 19	210,000	64.884	13,625.72	86.030	18,066.30	4,440.58	ST
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAI: \$778 Current yield: 2.47%								
	Jan 28, 19	81,000	286.410	23,199.23	389.380	31,539.78	8,340.55	ST
LOWES COMPANIES INC								
Symbol: LOW Exchange: NYSE								
EAI: \$605 Current yield: 1.84%								
	Jun 3, 19	151,000	94.321	14,242.62	119.780	18,083.76	3,841.14	ST
	Aug 21, 19	124,000	107.889	13,391.91	119.760	14,850.24	1,458.33	ST
Security total		275,000	100.489	27,634.53		32,934.00	5,299.47	

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R. JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 MLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LYONDELLBASELL INDUSTRIES N.V.								
SHS - A - CL A EUR								
Symbol: LYB Exchange: NYSE								
EAI: \$2,474 Current yield: 4.45%								
EUR Exchange rate: 0.89086								
	Jan 12, 15	240,000	76.199	18,287.84	94.480	22,675.20	4,387.36	LT
	Dec 30, 15	115,000	88.465	10,173.49	94.480	10,865.20	691.71	LT
	Jan 28, 19	234,000	86.089	20,144.97	94.480	22,108.32	1,963.35	ST
Security total		589,000	82.523	48,606.30		55,648.72	7,042.42	
M & T BANK CORP								
Symbol: MTB Exchange: NYSE								
EAI: \$40 Current yield: 2.62%								
	Jul 24, 19	6,000	161.190	967.14	189.750	1,018.50	51.36	ST
	Sep 12, 19	3,000	157.966	473.90	189.750	509.25	35.35	ST
Security total		9,000	160.116	1,441.04		1,527.75	86.71	
MAGNA INTL INC CL A SUB VTG								
CANADA ORD								
Symbol: MGA Exchange: NYSE								
EAI: \$1,377 Current yield: 2.66%								
	Mar 21, 19	470,000	48.943	23,009.21	54.840	25,774.80	2,771.59	ST
	Mar 22, 19	473,000	47.746	22,584.33	54.840	25,939.32	3,354.99	ST
Security total		943,000	48.343	45,593.54		51,714.12	6,126.58	
MARKS & SPENCER GROUP INC SPON ADR								
Symbol: MAKSY Exchange: OTC								
EAI: \$258 Current yield: 4.32%								
	Aug 16, 19	1,057,000	4.431	4,683.78	5.650	5,972.05	1,288.27	ST
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAI: \$659 Current yield: 1.90%								
	May 10, 18	283,000	86.326	24,147.28	113.450	32,106.35	7,959.07	LT
	Apr 16, 19	22,000	87.005	1,914.12	113.450	2,495.90	581.78	ST
Security total		305,000	86.447	26,061.40		34,602.25	8,540.85	
METLIFE INC								
Symbol: MET Exchange: NYSE								
EAI: \$556 Current yield: 3.45%								
	Jan 28, 19	316,000	44.795	14,155.25	50.970	16,106.52	1,951.27	ST

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Strategic Wealth Portfolio

December 2019

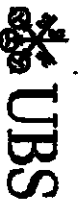
Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/808-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MITSUBISHI TANABE PHARMA CORP SPON ADR								
Symbol: MTZPY Exchange: OTC								
EAI: \$1,080 Current yield: 2.12%								
	Jan 6, 14	180,000	14.047	2,528.53	18.505	3,330.90	802.37	LT
	Apr 23, 14	370,000	13.530	5,006.29	18.505	6,846.85	1,840.56	LT
	May 21, 18	1,044,000	14.515	15,153.66	18.505	19,319.22	4,165.56	LT
	Feb 22, 19	292,000	13.815	4,034.21	18.505	5,403.46	1,369.25	ST
	Apr 15, 19	476,000	13.000	6,188.28	18.505	8,808.38	2,620.09	ST
	May 14, 19	386,000	11.450	4,408.60	18.505	7,124.42	2,715.82	ST
Security total		2,747,000	13.586	37,319.58		50,833.23	13,513.65	
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR								
Symbol: MUFG Exchange: NYSE								
EAI: \$747 Current yield: 3.28%								
	Aug 27, 12	1,783,000	4.850	8,337.45	5.430	9,735.89	1,398.54	LT
	Mar 25, 14	620,000	5.229	3,242.23	5.430	3,366.60	124.37	LT
	Feb 23, 16	850,000	4.150	3,527.50	5.430	4,615.50	1,088.00	LT
	May 16, 19	933,000	4.569	4,262.88	5.430	5,066.19	803.31	ST
Security total		4,196,000	4.616	19,370.06		22,784.28	3,414.22	
MOBILE TELESYSTEMS PISC SPON ADR								
Symbol: M8T Exchange: NYSE								
EAI: \$1,125 Current yield: 7.16%								
	Dec 3, 18	1,547,000	7.507	11,614.41	10.150	15,702.05	4,087.64	LT
MONDELEZ INTL INC								
Symbol: MDLZ Exchange: OTC								
EAI: \$318 Current yield: 2.07%								
	May 10, 18	279,000	39.009	10,883.76	55.080	15,367.32	4,483.56	LT
MORGAN STANLEY								
Symbol: MS Exchange: NYSE								
EAI: \$966 Current yield: 2.74%								
	Jan 28, 19	690,000	42.227	29,136.91	51.120	35,272.80	6,135.89	ST
MS&AD INS GROUP HLDGS ADR								
Symbol: MSADY Exchange: OTC								
EAI: \$881 Current yield: 3.01%								
	Jan 24, 14	488,000	12.582	6,145.09	16.420	8,012.96	1,867.87	LT
	Jul 9, 14	400,000	11.656	4,662.52	16.420	6,568.00	1,905.48	LT
	Nov 19, 14	565,000	10.799	6,101.77	16.420	9,277.30	3,175.53	LT

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Strategic Wealth Portfolio

December 2019

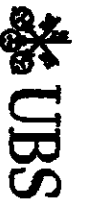
Account name: DONALD R JEANES
Friendly account names: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
NXP SEMICONDUCTORS N V COM EUR								
Symbol: NXP Exchange: OTC								
EAI: \$272 Current yield: 1.18%								
EUR Exchange rate: 0.89086								
	Jan 28, 19	181,000	84.578	15,308.62	127.260	23,034.06	7,725.44	ST
Security total								
OLD REPUBLIC INTL CORP								
Symbol: ORI Exchange: NYSE								
EAI: \$1,006 Current yield: 3.58%								
	Jan 16, 18	1,251,000	20.151	25,209.15	22.370	27,984.87	2,775.72	LT
	Nov 19, 18	6,000	21.886	131.32	22.370	134.22	2.90	LT
Security total								
ORACLE CORP								
Symbol: ORCL Exchange: NYSE								
EAI: \$516 Current yield: 1.81%								
	Jan 17, 19	237,000	48.566	11,510.19	52.980	12,556.26	1,046.07	ST
	Feb 21, 19	300,000	52.006	15,602.01	52.980	15,894.00	291.99	ST
Security total								
ORANGE SPON ADR								
Symbol: ORAN Exchange: NYSE								
EAI: \$609 Current yield: 4.22%								
	Feb 20, 13	335,000	10.262	3,437.90	14.590	4,887.65	1,449.75	LT
	Jun 21, 13	400,000	9.707	3,882.95	14.590	5,836.00	1,953.05	LT
	Jun 21, 13	255,000	9.887	2,470.22	14.590	3,720.45	1,250.23	LT
Security total								
PETROLEO BRASILEIRO SA								
PETROBRAS NON VTG SPON ADR								
Symbol: PBR.A Exchange: NYSE								
EAI: \$414 Current yield: 2.66%								
	Dec 28, 15	1,043,000	3.436	3,583.75	14.920	15,561.56	11,977.81	LT
Security total								
PRIZER INC								
Symbol: PFE Exchange: NYSE								
EAI: \$1,946 Current yield: 3.88%								
	Aug 27, 12	244,000	23.940	5,841.36	39.180	9,559.92	3,718.56	LT
	Aug 27, 12	185,000	23.940	4,428.90	39.180	7,248.30	2,819.40	LT
	Nov 30, 16	419,000	31.959	13,391.16	39.180	16,416.42	3,025.26	LT
	Jul 14, 17	178,000	33.497	5,962.63	39.180	6,974.04	1,011.41	LT
	Mar 9, 18	229,000	36.812	8,430.00	39.180	8,972.22	542.22	LT
	Apr 3, 18	16,000	35.280	564.48	39.180	626.88	62.40	LT

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R. JEANES
 Friendly account name: Swind Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
PHILIP MORRIS INTL INC	Nov 19, 18	9,000	43.735	393.62	39.180	352.62	-41.00	LT
Symbol: PM Exchange: NYSE								
EAI: \$445 Current yield: 5.51%								
PNC FINANCIAL SERVICES GROUP								
Symbol: PNC Exchange: NYSE								
EAI: \$497 Current yield: 2.88%								
Symbol: PNC Exchange: NYSE	Jul 15, 19	108,000	141.028	15,231.23	159.690	17,240.04	2,008.81	ST
Symbol: PNC Exchange: NYSE								
EAI: \$497 Current yield: 2.88%								
POSCO SPON ADR								
Symbol: PKX Exchange: NYSE								
EAI: \$387 Current yield: 3.78%								
Symbol: PKX Exchange: NYSE	Dec 28, 15	202,000	37.649	7,605.24	50.620	10,225.24	2,620.00	LT
Symbol: PKX Exchange: NYSE								
EAI: \$387 Current yield: 3.78%								
PRIMERICA INC								
Symbol: PMI Exchange: NYSE								
EAI: \$171 Current yield: 1.04%								
Symbol: PMI Exchange: NYSE	Aug 16, 19	126,000	115.831	14,594.74	130.560	16,450.56	1,855.82	ST
Symbol: PMI Exchange: NYSE								
EAI: \$171 Current yield: 1.04%								
PUBLIC SERVICE ENTERPRISE GROUP INC								
Symbol: PEG Exchange: NYSE								
EAI: \$461 Current yield: 3.19%								
Symbol: PEG Exchange: NYSE	Sep 22, 14	165,000	37.996	6,269.42	59.050	9,743.25	3,473.83	LT
Symbol: PEG Exchange: NYSE	Sep 25, 14	67,000	37.676	2,524.31	59.050	3,956.35	1,432.04	LT
Symbol: PEG Exchange: NYSE	Oct 16, 15	13,000	43.435	564.66	59.050	767.65	202.99	LT
Symbol: PEG Exchange: NYSE								
EAI: \$461 Current yield: 3.19%								
Security total								
QUEST DIAGNOSTICS INC								
Symbol: DGX Exchange: NYSE								
EAI: \$324 Current yield: 1.98%								
Symbol: DGX Exchange: NYSE	Jan 7, 16	153,000	68.382	10,459.51	106.790	16,338.87	5,879.36	LT
Symbol: DGX Exchange: NYSE								
EAI: \$324 Current yield: 1.98%								
REGIONS FINANCIAL CORP								
Symbol: RF Exchange: NYSE								
EAI: \$1.128 Current yield: 3.61%								
Symbol: RF Exchange: NYSE	Nov 1, 18	551,000	17.161	9,456.10	17.160	9,455.16	-0.94	LT
Symbol: RF Exchange: NYSE	Nov 19, 18	1,203,000	16.947	19,666.28	17.160	20,643.48	977.20	LT
Symbol: RF Exchange: NYSE	Dec 3, 18	66,000	16.669	1,100.21	17.160	1,132.56	32.35	LT
Symbol: RF Exchange: NYSE								
EAI: \$1.128 Current yield: 3.61%								
Security total								
REINSURANCE GROUP AMER INC NEW								
Symbol: RGA Exchange: NYSE								
EAI: \$552 Current yield: 1.72%								
Symbol: RGA Exchange: NYSE	Jan 28, 19	197,000	148.051	29,166.13	163.060	32,122.82	2,956.69	ST
Symbol: RGA Exchange: NYSE								
EAI: \$552 Current yield: 1.72%								

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
REPSOL SA SPON ADR								
Symbol: REPLY Exchange: OTC								
EAI: \$1.077 Current yield: 5.24%								
	Dec 28, 15	1,263,000	11.489	14,510.73	15.680	19,803.84	5,293.11	LT
	Earnings	49,000	14.146	693.20	15.680	768.32	75.12	
Security total		1,312,000	11.588	15,203.93		20,572.16	5,368.23	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$290 Current yield: 1.67%								
	Feb 8, 19	393,000	33.734	13,257.50	40.860	15,979.38	2,721.88	ST
	Apr 16, 19	34,000	33.472	1,138.07	40.860	1,382.44	244.37	ST
Security total		427,000	33.713	14,395.57		17,361.82	2,966.25	
ROYAL CARIBBEAN CRUISES LTD								
LIBERA ORD								
Symbol: RCL Exchange: NYSE								
EAI: \$424 Current yield: 2.34%								
	Jul 15, 19	136,000	113.035	15,372.79	133.510	18,157.35	2,784.57	ST
ROYAL DUTCH SHELL PLC CL A SPON ADR								
Symbol: RDSA Exchange: NYSE								
EAI: \$634 Current yield: 5.42%								
	Dec 21, 15	261,000	43.524	11,399.82	58.980	15,393.78	4,033.96	LT
SABRE CORP								
Symbol: SABR Exchange: OTC								
EAI: \$701 Current yield: 2.50%								
	Dec 18, 17	1,202,000	20.445	24,574.89	22.440	26,972.88	2,397.99	LT
	Apr 3, 18	25,000	20.749	518.73	22.440	561.00	42.27	LT
	May 8, 18	9,000	22.850	205.85	22.440	201.96	-3.89	LT
	Nov 19, 18	11,000	24.418	268.60	22.440	246.84	-21.76	LT
	Dec 3, 18	4,000	26.130	104.52	22.440	89.76	-14.76	LT
Security total		1,251,000	20.521	25,672.39		28,072.44	2,400.05	
SANDR SPON ADR								
Symbol: SNV Exchange: OTC								
EAI: \$1.446 Current yield: 2.30%								
	Feb 24, 16	94,000	36.005	3,572.55	50.200	4,718.80	1,146.25	LT
	Mar 23, 16	130,000	39.505	5,135.73	50.200	6,526.00	1,390.27	LT
	Mar 22, 18	190,000	39.539	7,512.53	50.200	9,538.00	2,025.47	LT
	May 10, 18	184,000	37.888	6,971.45	50.200	9,236.80	2,265.35	LT
	Jan 28, 19	653,000	42.025	27,442.71	50.200	32,780.60	5,337.89	ST

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R. JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4846

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
		1,251,000	40.476	50,634.97		62,800.20	12,165.23	
SCHNEIDER ELECT SE UNSPONSORED ADR								
Symbol: SHGSY Exchange: OTC								
EAI: \$484 Current yield: 2.29%	Dec 28, 15	489,000	11.230	5,491.78	20.400	9,975.60	4,483.82	LT
	Jan 28, 19	505,000	13.896	7,017.77	20.400	10,302.00	3,284.23	ST
Security total		994,000	12.585	12,509.55		20,277.60	7,768.05	
SHINHAN FINANCIAL HLDG CO SPON ADR								
Symbol: SHG Exchange: NYSE								
EAI: \$547 Current yield: 2.79%	Dec 28, 15	516,000	35.130	18,091.95	38.070	19,606.05	1,514.10	LT
STANLEY BLACK & DECKER INC COM								
Symbol: SWK Exchange: NYSE								
EAI: \$298 Current yield: 1.66%	Sep 6, 19	108,000	137.993	14,903.27	165.740	17,898.92	2,995.65	ST
STRYKER CORP								
Symbol: SYR Exchange: NYSE								
EAI: \$175 Current yield: 1.10%	Dec 23, 19	76,000	211.214	16,052.31	209.940	15,955.44	-96.87	ST
SUMITOMO MITSUBI TRUST HOLDINGS SPON ADR								
Symbol: SUTNY Exchange: OTC								
EAI: \$688 Current yield: 2.67%	Jun 1, 16	6,360,000	3.516	22,362.39	3.930	24,994.80	2,632.41	LT
SWATCH GROUP AG ADR								
Symbol: SWGAY Exchange: OTC								
EAI: \$86 Current yield: 1.64%	Oct 16, 19	378,000	13.229	5,000.90	13.850	5,235.30	234.40	ST
SWISS RE LTD SPON ADR								
Symbol: SSREY Exchange: OTC								
EAI: \$747 Current yield: 3.06%	Jun 6, 13	27,000	18.034	486.94	28.120	759.24	272.30	LT
	Sep 7, 17	393,000	21.837	8,582.18	28.120	11,051.16	2,468.98	LT
	Jun 22, 18	244,000	21.982	5,363.65	28.120	6,861.28	1,497.62	LT
	May 14, 19	204,000	22.180	4,526.76	28.120	5,736.48	1,209.72	ST
Security total		868,000	21.843	18,959.54		24,408.16	5,448.62	

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Strategic Wealth Portfolio

December 2019

Account names: DONALD R JEANES
Fiduciary account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TASBIO PHARMACEUTICAL HLDGS CO								
LTD UNSPONSORED ADR								
Symbol: TAPY Exchange: OTC								
EAI: \$233 Current yield: 1.14%								
	Apr 11, 19	327,000	23.354	7,636.99	18.370	6,006.99	-1,630.00	ST
	May 16, 19	386,000	21.769	8,403.10	18.370	7,090.82	-1,312.28	ST
	Nov 25, 19	398,000	18.176	7,234.25	18.370	7,311.26	77.01	ST
Security total		1,111,000	20.949	23,274.34		20,409.07	-2,865.27	
TAIWAN SEMICONDUCTOR MFG CO								
LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$1,766 Current yield: 3.31%								
	Jan 27, 17	912,000	31.220	28,473.09	58.100	52,987.20	24,514.11	LT
	May 8, 18	3,000	39.240	117.72	58.100	174.30	56.58	LT
	Nov 19, 18	4,000	36.140	144.56	58.100	232.40	87.84	LT
Security total		919,000	31.268	28,735.37		53,393.90	24,658.53	
TAKEDA PHARMACEUTICAL CO LTD								
SPON ADR								
Symbol: TAK Exchange: NYSE								
EAI: \$1,040 Current yield: 3.22%								
	Jan 9, 19	468,000	19.025	8,903.70	19.730	9,253.64	349.94	ST
	Jul 12, 19	857,000	18.018	15,442.11	19.730	16,908.61	1,466.50	ST
	Jul 29, 19	311,000	16.778	5,218.02	19.730	6,136.03	918.01	ST
Security total		1,636,000	18.071	29,563.83		32,278.28	2,714.45	
TARGET CORP								
Symbol: TGT Exchange: NYSE								
EAI: \$1,304 Current yield: 2.06%								
	Dec 18, 17	189,000	63.800	12,058.22	128.210	24,231.69	12,173.47	LT
	May 8, 18	3,000	69.410	208.23	128.210	384.63	176.40	LT
	Nov 19, 18	2,000	78.820	157.64	128.210	256.42	98.78	LT
	Feb 13, 19	300,000	72.632	21,789.78	128.210	38,463.00	16,673.22	ST
Security total		494,000	69.259	34,213.87		63,335.74	29,121.87	
TC ENERGY CORP CAD								
Symbol: TRP Exchange: NYSE								
EAI: \$681 Current yield: 4.27%								
CAD Exchange rate: 1.29675								
	Feb 21, 19	21,000	44.228	928.81	53.310	1,119.51	190.70	ST
	May 21, 19	278,000	49.017	13,626.81	53.310	14,820.18	1,193.37	ST
Security total		299,000	48.681	14,555.62		15,939.69	1,384.07	

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Strategic Wealth Portfolio

December 2019

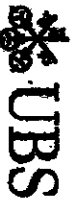
Account name: DONALD R. JEANES
 Friendly account name: Saving Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TELECOM ITALIA SPA NEW REPSIG								
10 ORD SHS SPON ADR								
Symbol: TIANY Exchange: OTC	Jan 28, 19	5,862,000	5.230	30,659.58	6.180	36,227.16	5,567.58	ST
TELEFONICA BRASIL SA SPON ADR								
Symbol: VV Exchange: NYSE								
EAI: \$583 Current yield: 4.88%	Dec 28, 15	835,000	9.497	7,890.75	14.320	11,957.20	4,026.45	LT
TESCO PLC SPONS ADR								
UNITED KINGDOM								
Symbol: TSCDY Exchange: OTC								
EAI: \$842 Current yield: 2.58%	Jun 19, 17	666,000	6.646	4,426.24	10.078	6,711.95	2,285.71	LT
	Jan 28, 19	2,040,000	8.848	18,050.53	10.078	20,559.12	2,508.59	ST
	Jul 29, 19	558,000	8.389	4,681.56	10.078	5,623.52	941.96	ST
Security total		3,264,000	8.321	27,158.33		32,894.59	5,736.26	
TEXAS INSTRUMENTS								
Symbol: TXN Exchange: OTC								
EAI: \$1,458 Current yield: 2.81%	Jan 28, 19	405,000	102.419	41,480.06	128.290	51,957.45	10,477.39	ST
TIK COS INC NEW								
Symbol: TIK Exchange: NYSE								
EAI: \$246 Current yield: 1.51%	Aug 22, 19	139,000	54.882	7,628.68	61.060	8,487.34	858.66	ST
	Aug 22, 19	128,000	54.155	6,931.89	61.060	7,815.68	883.79	ST
Security total		267,000	54.534	14,560.57		16,303.02	1,742.45	
TORO CO								
Symbol: TTC Exchange: NYSE								
EAI: \$220 Current yield: 1.26%	Jul 17, 19	220,000	69.403	15,268.66	79.670	17,527.40	2,258.74	ST
TRACTOR SUPPLY COMPANY								
Symbol: TSCO Exchange: OTC								
EAI: \$232 Current yield: 1.50%	Oct 2, 19	166,000	90.712	15,068.30	93.440	15,511.04	442.74	ST
UBS GROUP AG CHF								
Symbol: UBS Exchange: NYSE								
EAI: \$282 Current yield: 5.45%	Aug 27, 12	10,000	11.270	112.70	12.580	125.80	13.10	LT
CHF Exchange rate: 0.98835	Jul 30, 19	415,000	11.238	4,664.06	12.580	5,220.70	556.64	ST
Security total		425,000	11.239	4,776.76		5,346.50	569.74	

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number: ...

Your Financial Advisor:
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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$431 Current yield: 2.69%	Aug 13, 19	33,000	57.694	1,903.92	57.460	1,896.18	-7.74	ST
Aug 16, 19	246,000	58.528	14,644.06	57.460	14,135.16	-508.90		ST
Security total		279,000	58.312	16,547.98		16,031.34	-516.64	
UNITED TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAI: \$482 Current yield: 1.98%	Dec 21, 15	45,000	93.309	4,199.81	149.760	6,739.20	2,540.39	LT
Jun 27, 19	119,000	128.981	15,348.85	149.760	17,821.44	2,472.59		ST
Security total		164,000	119.194	19,547.76		24,560.64	5,012.88	
US BANK CORP DEL (NEW)								
Symbol: USB Exchange: NYSE								
EAI: \$469 Current yield: 2.94%	Aug 16, 16	254,000	43.101	10,947.76	59.290	15,059.66	4,111.90	LT
Apr 16, 19	25,000	49.828	1,245.70	59.290	1,482.25	236.55		ST
Security total		279,000	43.704	12,193.46		16,541.91	4,348.45	
VALEO ENERGY CORP NEW								
Symbol: VLO Exchange: NYSE								
EAI: \$562 Current yield: 3.85%	Jan 28, 19	143,000	80.422	11,500.40	93.650	13,391.95	1,891.55	ST
Sep 12, 19	13,000	84.438	1,097.70	93.650	1,217.45	119.75		ST
Security total		156,000	80.757	12,598.10		14,609.40	2,011.30	
VERIZON COMMUNICATIONS INC								
Symbol: VZ Exchange: NYSE								
EAI: \$3,014 Current yield: 4.01%	May 10, 18	254,000	47.028	11,944.73	61.400	15,595.60	3,650.87	LT
Jun 4, 18	969,000	48.045	46,556.55	61.400	59,496.60	12,940.05		LT
Nov 19, 18	2,000	60.800	121.60	61.400	122.80	1.20		LT
Security total		1,225,000	47.855	58,622.88		75,215.00	16,592.12	
VULCAN MATERIALS CO NEW								
(HOLDING COMPANY)								
Symbol: VMC Exchange: NYSE								
EAI: \$139 Current yield: 0.86%	Nov 14, 19	112,000	141.466	15,844.30	143.990	16,128.88	284.58	ST

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R LEANES
 Friendly account name: Swing Trust
 Account number:

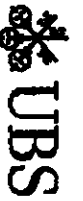
Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WALT DISNEY CO (HOLDING CO)								
DISNEY.COM								
Symbol: DIS Exchange: NYSE								
EAT: \$204 Current yield: 1.22%								
	Jan 28, 19	9,000	110.148	991.32	144.630	1,301.67	310.35	ST
	Jul 11, 19	100,000	144.037	14,403.74	144.630	14,463.00	59.26	ST
	Jul 24, 19	7,000	141.087	987.68	144.630	1,012.41	24.73	ST
Security total		116,000	141.231	16,382.74		16,777.08	394.34	
WHIRLPOOL CORP								
Symbol: WHR Exchange: NYSE								
EAT: \$1,632 Current yield: 3.25%								
	Mar 13, 19	219,000	142.088	31,119.57	147.530	32,308.07	1,189.50	ST
	Apr 24, 19	116,000	139.304	16,159.35	147.530	17,113.48	954.13	ST
	Sep 26, 19	5,000	155.860	776.80	147.530	737.65	-39.15	ST
Security total		340,000	141.340	48,055.72		50,160.20	2,104.48	
WALMORRISON SUPERMARKETS PLC								
ADR								
Symbol: MKWVY Exchange: OTC								
EAT: \$1,489 Current yield: 5.44%								
	Dec 28, 15	2,040,000	11.529	23,520.39	13.225	26,979.00	3,458.61	LT
WFP PLC NEW SPON ADR								
Symbol: WFP Exchange: NYSE								
EAT: \$2,480 Current yield: 5.34%								
	Jan 28, 19	661,000	57.643	38,102.22	70.290	46,461.69	8,359.47	ST
Total				\$3,122,898.08		\$3,925,272.58	\$802,374.51	

Total estimated annual income: \$120,541

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.



Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
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Your assets, Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (net) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (net) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol: IVD									
Trade date: Dec 9, 19	2,084,000	134.715	278,053.41	278,053.41	136.480	281,694.72	3,641.31	3,641.31	ST
EAI: \$6,394 Current yield: 2.27%									
ISHARES SELECT DIVID ETF									
Symbol: DIVY									
Trade date: Dec 9, 19	828,000	103.716	85,877.51	85,877.51	105.660	87,486.48	1,608.97	1,608.97	ST
EAI: \$2,982 Current yield: 3.41%									
ISHARES MSCI ACWI EX US ETF									
Symbol: ACWX									
Trade date: Dec 10, 19	10,615,000	48.186	511,501.82	511,501.82	49.120	521,408.80	9,906.98	9,906.98	ST
EAI: \$15,912 Current yield: 3.05%									
Total			5875,432.74	\$875,432.74		\$890,590.00	\$15,157.26	\$15,157.26	
Total estimated annual income: \$25,288									
Fixed income									
Preferred securities									
SURGUTNEFTGAS PISC SPON ADR									
Symbol: SGTNY Exchange: OTC									
EAI: \$4,759 Current yield: 15.25%									
Trade date: Jun 16, 17	923,000	4.720	4,356.84	4,356.84	6.210	5,731.83	1,374.99	1,374.99	LT
Trade date: Dec 18, 17	4,102,000	4.944	20,284.11	20,284.11	6.210	25,473.42	5,189.31	5,189.31	LT
Security total			5,025,000	24,640.95		31,205.25	6,564.30	6,564.30	



Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Swina Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets (continued)

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		197,937.45	3.92%	197,937.45		
Equities	Common stock	3,925,272.58		3,122,698.08	120,541.00	802,574.51
	Closed end funds & Exchange traded products	890,590.00		875,432.74	25,288.00	15,157.26
	Total equities	4,815,862.58	95.46%	3,998,130.82	145,829.00	817,731.77
	Preferred securities	31,205.25	0.62%	24,640.95	4,759.80	6,564.30
	Fixed Income					
Total		\$5,045,005.28	100.00%	\$4,220,709.22	\$150,588.00	\$824,296.07

Account activity this month

Dividend and interest income	Date	Activity	Description	Amount (0)
Taxable dividends				
Dec 2 Dividend			CONOCOPHILLIPS PAID ON 554 SYMBOL: COP	232.68
Dec 2 Dividend			CLIMAX INC PAID ON 294 SYMBOL: CMI	385.43
Dec 2 Foreign Dividend			ENBRIDGE INC CAD PAID ON 1267 AS OF 12/01/19 SYMBOL: ENB	702.50
Dec 2 Dividend			INTEL CORP PAID ON 602 AS OF 12/01/19 SYMBOL: INTC	189.65
Dec 2 Dividend			PINNACLE WEST CAP CORP PAID ON 152 SYMBOL: PNW	118.94
Dec 2 Dividend			PRIZER INC PAID ON 1290 SYMBOL: PFE	464.40
Dec 2 Foreign Dividend			TESCO PLC SPONS ADR UNITED KINGDOM PAID ON 3264 CUSIP: 881575302 / SYMBOL: TSCDY	332.76
Dec 3 Foreign Dividend			COCA COLA EUROPEAN PARTNERS EUR PAID ON 896 SYMBOL: CCEP	618.24
Dec 3 Dividend			REINSURANCE GROUP AMER INC NEW PAID ON 197 SYMBOL: RGA	137.90
Dec 4 Foreign Dividend			UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON 499 CUSIP: 904784709 / SYMBOL: UN	225.35

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Strategic Wealth Portfolio
December 2019

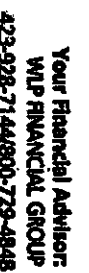
Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-828-7144/800-729-4846

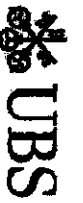
Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Taxable dividends (continued)			
Dec 5	Dividend	COLUMBIA SPORTSWEAR CO PAID ON SYMBOL: COLM	146 35.04
Dec 5	Foreign Dividend	NISSAN MTR LTD SPONS ADR JAPAN ADR PAID ON CUSIP: 654744408 / SYMBOL: NSANY	1525 278.26
Dec 5	Dividend	TIK COS INC NEW PAID ON SYMBOL: TIK	267 61.41
Dec 5	Dividend	VULCAN MATERIALS CO NEW (HOLDING COMPANY) PAID ON SYMBOL: VMC	112 34.72
Dec 6	Dividend	CLINTIS WRIGHT CORP PAID ON SYMBOL: CV	123 20.91
Dec 6	Foreign Dividend	HONDA MOTOR CO ADR JAPAN ADR PAID ON CUSIP: 438128306 / SYMBOL: HMC	941 240.25
Dec 6	Dividend	HONEYWELL INTL INC PAID ON SYMBOL: HON	88 79.20
Dec 6	Foreign Dividend	MAGNA INTL INC CL A SUB VTG CANADA ORD PAID ON SYMBOL: MGA	952 347.48
Dec 6	Dividend	RELANCE STEEL & ALUMINUM CO PAID ON SYMBOL: RS	146 80.30
Dec 9	Dividend	CRANE CO PAID ON SYMBOL: CR	192 74.88
Dec 9	Foreign Dividend	LYONDELBASEL INDUSTRIES N V SHS - A - CL A EUR PAID ON SYMBOL: LYB	594 623.70
Dec 10	Dividend	CHEVRON CORP PAID ON SYMBOL: CVX	510 606.90
Dec 10	Dividend	EXELON CORP PAID ON SYMBOL: EXC	661 239.61
Dec 10	Dividend	JOHNSON & JOHNSON COM/PAID ON SYMBOL: JNJ	478 434.10
Dec 10	Dividend	MARATHON PETROLEUM CO PAID ON SYMBOL: MPC	243 138.79
Dec 10	Dividend	TRACTOR SUPPLY COMPANY PAID ON SYMBOL: TSCO	166 58.10
Dec 10	Dividend	TARGET CORP PAID ON SYMBOL: TGT	499 329.34

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Taxable dividends (continued)			
Dec 19	Dividend	GENERAL MOTORS CO PAID ON SYMBOL: GM	392 148.86
Dec 19	Dividend	HOME DEPOT INC PAID ON SYMBOL: HD	231 314.16
Dec 19	Foreign Dividend	ORANGE SPON ADR PAID ON CUSIP: 684060106 / SYMBOL: ORAN	1965 652.73
Dec 20	Foreign Dividend	BP PLC SPON ADR PAID ON CUSIP: 055622104 / SYMBOL: BP	699 429.89
Dec 20	Foreign Dividend	DAI NIPPON PRINTING CO SPON ADR PAID ON CUSIP: 223806306 / SYMBOL: DNPJY	1968 289.61
Dec 20	Dividend	ISHARES RUSSELL 1000 VALUE ETF SYMBOL: IVD	514.53
Dec 20	Dividend	ISHARES RUSSELL 1000 VALUE ETF PAID ON SYMBOL: IVD	2064 1,866.20
Dec 20	Dividend	ISHARES SELECT DIVID ETF PAID ON SYMBOL: DIVY	828 728.16
Dec 20	Dividend	ISHARES MSCI ACWI EX US ETF SYMBOL: ACWX	852.51
Dec 20	Dividend	ISHARES MSCI ACWI EX US ETF PAID ON SYMBOL: ACWX	10615 7,574.45
Dec 23	Foreign Dividend	TAISHO PHARMACEUTICAL HDGS CO LTD UNSPONSORED ADR PAID ON 713 AS OF 12/18/19 CUSIP: 67402P105 / SYMBOL: TAIJY	1905 81.85
Dec 24	Foreign Dividend	TELEFONICA BRASIL SA SPON ADR PAID ON CUSIP: 87936R106 / SYMBOL: VIV	1905 386.32
Dec 24	Foreign Dividend	TELEFONICA BRASIL SA SPON ADR PAID ON CUSIP: 87936R106 / SYMBOL: VIV	1905 706.46
Dec 27	Dividend	BANK OF AMER CORP PAID ON SYMBOL: BAC	989 178.02
Dec 27	Dividend	LOCKHEED MARTIN CORP PAID ON SYMBOL: LMT	81 194.40
Dec 30	Dividend	CROWN CASTLE INTL CORP REIT PAID ON SYMBOL: CCI	453 543.60
Dec 30	Dividend	REDLITY NATIONAL FINANCIAL INC COM PAID ON SYMBOL: RNF	1350 445.50

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Fiduciary account name: Saving Trust
Account number:

Your Financial Advisor:
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423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)

Taxable Dividends (continued)

Date	Activity	Description	Amount (\$)
Dec 30	Dividend	BROADCOM INC PAID ON SYMBOL: AVGO 224	728.00
Dec 30	Dividend	GILEAD SCIENCES INC PAID ON SYMBOL: GILD 936	589.68
Dec 30	Dividend	HILL ROM HOLDINGS INC PAID ON SYMBOL: HRC 146	30.66
Dec 30	Foreign Dividend	J. SAINSBURY PLC SPON ADR PAID ON CUSIP: 466249208 / SYMBOL: JSAY 2933	503.84
Dec 30	Dividend	LAMAR ADVERTISING CO NEWCL A PAID ON SYMBOL: LAMR 530	508.80
Dec 30	Dividend	M & T BANK CORP PAID ON SYMBOL: MTB 97	106.70
Dec 30	Dividend	SABRE CORP PAID ON SYMBOL: SABR 1255	175.70
Dec 30	Dividend	PUBLIC SERVICE ENTERPRISE GROUP INC PAID ON SYMBOL: PEG 245	115.15

Total taxable dividends

\$91,418.02

Total taxable interest

\$31.90

Total dividend and interest income

\$91,449.92

Taxable Interest

Date	Activity	Description	Amount (\$)
Dec 5	Fee	NISSAN MTR LTD SPONS ADR JAPAN ADR CUSIP: 654744408 / SYMBOL: NSANY	-40.41
Dec 6	Fee	HONDA MOTOR CO ADR JAPAN ADR CUSIP: 438128308 / SYMBOL: HMC	-6.12
Dec 12	Fee	TAKEDA PHARMACEUTICAL CO LTD SPON ADR CUSIP: 874080205 / SYMBOL: TAK	-123.99
Dec 12	Fee	ROCHE HLDG LTD SPONS ADR SWITZ ADR CUSIP: 771195104 / SYMBOL: RHBY	-12.81
Dec 13	Fee	SUMITOMO MITSUI TRUST HOLDINGS SPON ADR CUSIP: 86562X106 / SYMBOL: SUTNY	-61.49
Dec 16	Fee	MTSUBISHI UFJ FINANCIAL GROUP INC SPON ADR CUSIP: 606822104 / SYMBOL: MLFG	-54.70

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Shiro Trust
 Account number:

Your Financial Advisor:
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 423-928-7144/800-729-4849

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 17	Fee	MTSUSHI TANABE PHARMA CORP SPON ADR CUSIP: 606813202 / SYMBOL: MIZPY	-98.06
Dec 18	Fee	MSAD INS GROUP HDGS ADR CUSIP: 553491101 / SYMBOL: MSADY	-71.91
Dec 19	Fee	ORANGE SPON ADR CUSIP: 684060106 / SYMBOL: ORAN	-39.30
Dec 20	Fee	BP PLC SPON ADR CUSIP: 055822104 / SYMBOL: BP	-3.50
Dec 20	Fee	DAI NIPPON PRINTING CO SPON ADR CUSIP: 233805306 / SYMBOL: DNPY	-40.85
Dec 23	Fee	TAISHO PHARMACEUTICAL HDGS CO LTD UNSPONSORED ADR AS OF 12/18/19 CUSIP: 87402P105 / SYMBOL: TAIPLY	-17.67
Dec 24	Fee	TELEONICA BRASIL SA SPON ADR CUSIP: 87936R106 / SYMBOL: VIV	-38.10
Dec 30	Fee	L SANSBURY PLC SPON ADR CUSIP: 466249208 / SYMBOL: JSAY	-58.66
Total Fees			-567.57
Other funds debited			
Dec 2	Foreign Tax Withheld	ENBRIDGE INC CAD AS OF 12/01/19 SYMBOL: EBS	-175.63
Dec 4	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR CUSIP: 904784709 / SYMBOL: UN	-33.80
Dec 5	Foreign Tax Withheld	NISSAN MTR LTD SPONS ADR JAPAN ADR CUSIP: 654744408 / SYMBOL: NSANY	-27.83
Dec 6	Foreign Tax Withheld	HONDA MOTOR CO ADR JAPAN ADR CUSIP: 438128308 / SYMBOL: HMC	-24.02
Dec 6	Foreign Tax Withheld	MAGNA INTL INC CL A SUB VTG CANADA ORD SYMBOL: MGA	-86.87
Dec 12	Foreign Tax Withheld	TAKEDA PHARMACEUTICAL CO LTD SPON ADR CUSIP: 874060205 / SYMBOL: TAK	-93.82
Dec 13	Foreign Tax Withheld	SUMITOMO MITSUI TRUST HOLDINGS SPON ADR CUSIP: 86562X106 / SYMBOL: SUTNY	-43.82
Dec 16	Foreign Tax Withheld	MTSUSHI UFI FINANCIAL GROUP INC SPON ADR CUSIP: 606822104 / SYMBOL: MLFG	-67.60

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Strategic Wealth Portfolio

December 2019

Account manager: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WIP FINANCIAL GROUP
 423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 17	Foreign Tax	MITSUBISHI TANABE PHARMA CORP SPON ADR CUSIP: 606813202 / SYMBOL: MTZY	-70.27
Dec 18	Foreign Tax	MSBAD INS GROUP HLDGS ADR CUSIP: 553491101 / SYMBOL: MSADY	-61.31
Dec 18	Foreign Tax	ROYAL DUTCH SHELL PLC CL A SPON ADR CUSIP: 780259206 / SYMBOL: RDSA	-36.80
Dec 19	Foreign Tax	ORANGE SPON ADR CUSIP: 684060106 / SYMBOL: ORAN	-195.82
Dec 20	Foreign Tax	DAI NIPPON PRINTING CO SPON ADR CUSIP: 233806306 / SYMBOL: DNPY	-28.96
Dec 23	Foreign Tax	TAISHO PHARMACEUTICAL HLDGS CO LTD UNSPONSORED ADR AS OF 12/18/19 CUSIP: 87402P105 / SYMBOL: TAIPLY	-8.18
Dec 24	Foreign Tax	TELEFONICA BRASIL SA SPON ADR CUSIP: 87936R106 / SYMBOL: VW	-57.95
Dec 26	Transfer	JOURNAL TO CLARINDA P JEANES LIVING TRUST	-13,000.00
Total other funds debited			-\$14,072.88

Investment transactions

For more information about the price/quote shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 3	Bought	BRISTOL MYERS SQUIBB CO UNSOLICITED SYMBOL: BMY	130,000	57,116,200			-7,425.11	
Dec 3	Sold	JOHNSON & JOHNSON COM UNSOLICITED SYMBOL: JNJ	-62,000	137,378,900		8,517.31		
Dec 11	Sold	GENERAL MOTORS CO UNSOLICITED SYMBOL: GM	-392,000	35,441,600		13,892.82		
Dec 11	Sold	CISCO SYSTEMS INC UNSOLICITED SYMBOL: CSCO	-41,000	44.25		1,814.21		
Dec 11	Sold	CISCO SYSTEMS INC UNSOLICITED SYMBOL: CSCO	-278,000	43,985,000		12,227.58		
Dec 11	Sold	CHEVRON CORP UNSOLICITED SYMBOL: CVX	-386,000	117,578,900		45,384.52		

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
W.P. FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment Transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 11	Sold	COLUMBIA SPORTSWEAR CO UNSOLICITED SYMBOL: COLM	-146,000		91.81	13,403.98		
Dec 11	Sold	COMERICA INC UNSOLICITED SYMBOL: CMA	-213,000		71.671600	15,265.73		
Dec 11	Sold	DIAGEO PLC NEW GB SPON ADR UNSOLICITED CUSIP: 25243Q205 / SYMBOL: DEO	-91,000		161.776800	14,721.39		
Dec 11	Sold	EXPORTERS INTL WASH INC UNSOLICITED SYMBOL: EXPO	-202,000		73.494000	14,845.48		
Dec 11	Sold	DAVIDEN RESTAURANTS INC UNSOLICITED SYMBOL: DRI	-121,000		118.765000	14,370.27		
Dec 11	Sold	EOG RESOURCES INC UNSOLICITED SYMBOL: EOG	-189,000		74.214700	14,026.29		
Dec 11	Sold	EXELON CORP UNSOLICITED SYMBOL: EXC	-321,000		44.917600	14,418.25		
Dec 11	Sold	DOW INC UNSOLICITED SYMBOL: DOW	-255,000		53.15	13,552.97		
Dec 11	Bought	ISHARES RUSSEL 1000 VALUE ETF UNSOLICITED SYMBOL: IWD	2,064,000		134.715800		-278,053.41	
Dec 11	Bought	ISHARES SELECT DMD ETF UNSOLICITED SYMBOL: DVM	828,000		103.716800		-85,877.51	
Dec 11	Sold	MARATHON PETROLEUM CO UNSOLICITED SYMBOL: MPC	-243,000		59.278800	14,404.45		
Dec 11	Sold	M & T BANK CORP UNSOLICITED SYMBOL: MTB	-89,000		166.290100	14,633.23		
Dec 11	Sold	OC CIDENTAL PETROLEUM CRP UNSOLICITED SYMBOL: OXY	-413,000		37.485000	22,977.89		
Dec 11	Sold	PHILIP MORRIS INTL INC UNSOLICITED SYMBOL: PM	-199,000		83.630100	16,642.05		
Dec 11	Sold	PINNACLE WEST CAP CORP UNSOLICITED SYMBOL: PNW	-152,000		85.77	13,036.77		
Dec 11	Sold	PROGRESSIVE CORP OHIO UNSOLICITED SYMBOL: PGR	-377,000		73.225000	27,605.26		

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R. JEANES
 Friendly account name: Swind Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 11	Sold	ROKER TECHNOLOGIES INC UNSOLICITED SYMBOL: ROP	-45,000		348.195800	15,668.49		
Dec 11	Sold	TOTAL S.A. FRANCE SPON ADR UNSOLICITED CUSIP: 89151E109 / SYMBOL: TOT	-940,000		52.652100	49,491.95		
Dec 12	Sold	AEGON NV ADR N Y SHS NETHERLANDS ADR UNSOLICITED CUSIP: 007924103 / SYMBOL: AEG	-4,565,000		4.452200	20,323.87		
Dec 12	Sold	BANCLAYS PLC ADR UNSOLICITED CUSIP: 06738E204 / SYMBOL: BCS	-1,814,000		8.935000	16,207.75		
Dec 12	Sold	BIC SA ADR UNSOLICITED CUSIP: 098736103 / SYMBOL: BICEY	-300,000		34.090100	10,226.82		
Dec 12	Bought	BIRSTOL MAYERS SQUIRE CO UNSOLICITED SYMBOL: BMY	125,000		61.907600		-7,738.45	
Dec 12	Sold	CARREROUR SA SPON ADR UNSOLICITED CUSIP: 144430204 / SYMBOL: CRBY	-9,864,000		3.302500	32,575.19		
Dec 12	Sold	CHINA MOBILE LTD SPON ADR UNSOLICITED CUSIP: 16941M109 / SYMBOL: CHL	-563,000		37.953300	21,367.27		
Dec 12	Sold	CEMEX S.A.B. DE C.V. SPON ADR UNSOLICITED CUSIP: 151290889 / SYMBOL: CX	-6,385,000		3.543100	22,657.65		
Dec 12	Sold	ENI SPAT SPON ADR UNSOLICITED CUSIP: 28674R108 / SYMBOL: E	-735,000		30.172200	22,176.11		
Dec 12	Sold	ENEL CHILE SA SPON ADR UNSOLICITED CUSIP: 29278D105 / SYMBOL: ENIC	-3,520,000		4.550600	16,017.78		
Dec 12	Sold	FIRST PAC CO LTD SPONS ADR ADR UNSOLICITED CUSIP: 335889200 / SYMBOL: PPAY	-5,026,000		1.640100	8,242.97		
Dec 12	Sold	EMBRAR S A SPON ADR UNSOLICITED CUSIP: 28082A107 / SYMBOL: ERJ	-1,459,000		17.733300	25,872.34		
Dec 12	Sold	HSBC HOLDINGS PLC NEW GB SPON ADR UNSOLICITED CUSIP: 404280406 / SYMBOL: HSBC	-366,000		36.761500	13,454.43		

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 12	Sold	HONDA MOTOR CO ADR JAPAN ADR UNSOUGHTED CUSIP: 436128308 / SYMBOL: HMC	-767,000		28.781900	22,075.26		
Dec 12	Bought	ISHARES MSCI ACWI EX US ETF UNSOUGHTED SYMBOL: ACWX	10,615,000		48.186700		-511,501.82	
Dec 12	Sold	INPERIAL BRANDS PLC SPON ADR UNSOUGHTED CUSIP: 45282P102 / SYMBOL: IMBBY	-898,000		22.030100	19,762.62		
Dec 12	Sold	J. SAMSURRY PLC SPON ADR UNSOUGHTED CUSIP: 486249208 / SYMBOL: JSAY	-1,726,000		11.58	19,986.67		
Dec 12	Sold	KONGSHER PLC NEW SPON ADR UNSOUGHTED CUSIP: 495724403 / SYMBOL: KGRHY	-5,087,000		5.551000	28,237.36		
Dec 12	Sold	MARIS & SPENCER GROUP INC SPON ADR UNSOUGHTED CUSIP: 570912105 / SYMBOL: MAKSY	-3,880,000		5.39	20,912.77		
Dec 12	Sold	MITSUBISHI UFI FINANCIAL GROUP INC SPON ADR UNSOUGHTED CUSIP: 606822104 / SYMBOL: MLFG	-1,697,000		5.425000	9,206.04		
Dec 12	Sold	NOKIA CORP SPONS ADR FINLAND ADR UNSOUGHTED CUSIP: 654902204 / SYMBOL: NOK	-5,276,000		3.422100	18,054.63		
Dec 12	Sold	NISSAN MTR LTD SPONS ADR JAPAN ADR UNSOUGHTED CUSIP: 654744408 / SYMBOL: NSANY	-1,525,000		12.47	19,018.36		
Dec 12	Sold	PUBLICIS GROUPE S.A. NEW SPON ADR UNSOUGHTED CUSIP: 74463M106 / SYMBOL: PUBGY	-2,730,000		10.927300	29,830.91		
Dec 12	Sold	RENAULT SA UNSPONSORED ADR UNSOUGHTED CUSIP: 759673403 / SYMBOL: RLUSY	-1,380,000		9.08	12,530.14		
Dec 12	Sold	REPSOL SA SPON ADR UNSOUGHTED CUSIP: 76026T205 / SYMBOL: REPLY	-242,000		15.810100	3,825.96		
Dec 12	Sold	SWATCH GROUP AG ADR UNSOUGHTED CUSIP: 870123106 / SYMBOL: SWGAY	-1,610,000		13.855500	22,306.90		
Dec 12	Sold	ORANGE SPON ADR UNSOUGHTED CUSIP: 684060106 / SYMBOL: ORAN.	-975,000		14.743300	14,374.42		

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R. JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 12	Sold	TAMEDA PHARMACEUTICAL CO LTD SPON ADR UNSOLICITED	-646,000		20,223.100	13,053.85		
		CUSIP: 874050205 / SYMBOL: TAK						
Dec 12	Sold	TOTAL S.A. FRANCE SPON ADR UNSOLICITED	-369,000		52,653.300	19,428.67		
		CUSIP: 89151E109 / SYMBOL: TOT						
Dec 12	Sold	UBS GROUP AG CHF UNSOLICITED	-2,385,000		11,961.700	27,929.99		
		SYMBOL: UBS						
Dec 16	Sold	CRH PLC IRELAND SPON ADR UNSOLICITED	-240,000		39,267.700	9,424.05		
		CUSIP: 12628KZ03 / SYMBOL: CRH						
Dec 16	Sold	SAATCHI SPON ADR UNSOLICITED	-21,000		46,504.800	1,039.56		
		CUSIP: 80105N105 / SYMBOL: SNY						
Dec 17	Sold	COMPAGNIE DE SAINT-GOBAIN ADR UNSOLICITED	-75,000		8,482.400	636.17		
		CUSIP: 204280309 / SYMBOL: CODY						
Dec 17	Bought	DELTA AIR LINES INC DELA NEW UNSOLICITED	881,000		56,696.300		-49,949.44	
		SYMBOL: DAL						
Dec 17	Sold	LAMAR ADVERTISING CO NEW CL A UNSOLICITED	-237,000		85,193.100	20,190.34		
		SYMBOL: LAMR						
Dec 17	Sold	SABRE CORP UNSOLICITED	-991,000		22,472.000	8,786.37		
		SYMBOL: SABR						
Dec 17	Sold	SCHNEIDER ELEC SE UNSPONSORED ADR UNSOLICITED	-134,000		20,755.300	2,781.15		
		CUSIP: 88882P106 / SYMBOL: SSGSY						
Dec 23	Sold	AES CORP UNSOLICITED	-23,000		19,153.000	440.51		
		SYMBOL: AES						
Dec 23	Sold	BCE INC NEW CAD UNSOLICITED	-8,000		46.26	370.07		
		SYMBOL: BCE						
Dec 23	Sold	EATON CORP PLC UNSOLICITED	-5,000		94,365.000	471.82		
		SYMBOL: ETN						
Dec 23	Sold	BRUNNOR PTY GROUP INC REIT UNSOLICITED	-15,000		21.53	322.94		
		SYMBOL: BRX						

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Saving Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 23	Sold	CITIZENS FINANCIAL GROUP INC UNSOLICITED SYMBOL: CFG	-14.000		40.512900	567.17		
Dec 23	Sold	CROWN CASTLE INTL CORP RET UNSOLICITED SYMBOL: CCI	-2.000		138.501900	276.99		
Dec 23	Sold	CUMMINS INC UNSOLICITED SYMBOL: CMI	-3.000		179.272000	537.81		
Dec 23	Sold	COCA COLA EUROPEAN PARTNERS EUR UNSOLICITED SYMBOL: CCEP	-9.000		49.868700	448.81		
Dec 23	Sold	DELTA AIR LINES INC DELA NEW UNSOLICITED SYMBOL: DAL	-11.000		58.665000	645.31		
Dec 23	Sold	FIDELITY NATIONAL FINANCIAL IN COM UNSOLICITED SYMBOL: FNF	-9.000		45.916000	413.23		
Dec 23	Sold	BROADCOM INC UNSOLICITED SYMBOL: AVGO	-2.000		336.975000	659.94		
Dec 23	Sold	ENERGIE INC CAD UNSOLICITED SYMBOL: ENB	-12.000		38.955000	467.45		
Dec 23	Sold	GILEAD SCIENCES INC UNSOLICITED SYMBOL: GILD	-7.000		65.565000	458.95		
Dec 23	Sold	CITIGROUP INC UNSOLICITED SYMBOL: C	-7.000		78.182900	547.34		
Dec 23	Sold	HOME DEPOT INC UNSOLICITED SYMBOL: HD	-2.000		219.421900	438.83		
Dec 23	Sold	LYONDELLBASEL INDUSTRIES N V SHS - A - CL A EUR UNSOLICITED SYMBOL: LYB	-5.000		95.03	475.14		
Dec 23	Sold	JPMORGAN CHASE & CO UNSOLICITED SYMBOL: JPM	-3.000		137.711900	413.13		
Dec 23	Sold	KIMCO REALTY CORP UNSOLICITED SYMBOL: KIM	-15.000		20.655000	309.82		
Dec 23	Sold	ABBVIE INC COM UNSOLICITED SYMBOL: ABBV	-4.000		88.785000	355.13		

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Strategic Wealth Portfolio
December 2019

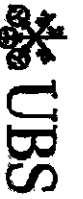
Account name: DONALD R. JEANES
Friendly account name: Saving Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 23	Sold	LAMAR ADVERTISING CO NEW CL A UNSOUGHT SYMBOL: LAMR	-2,000		86.976700	173.94		
Dec 23	Sold	MAGNA INTL INC CL A SUB VTG CANADA ORD UNSOUGHT SYMBOL: MGA	-9,000		56.17	505.52		
Dec 23	Sold	OLD REPUBLIC INTL CORP UNSOLICITED SYMBOL: ORI	-11,000		22.21	244.30		
Dec 23	Sold	PFEZ INC UNSOLICITED SYMBOL: PFE	-10,000		38.850100	388.49		
Dec 23	Sold	REGIONS FINANCIAL CORP UNSOLICITED SYMBOL: RF	-16,000		17.290100	276.63		
Dec 23	Sold	SABRE CORP UNSOLICITED SYMBOL: SABR	-4,000		22.632900	90.52		
Dec 23	Sold	TEXAS INSTRUMENTS UNSOLICITED SYMBOL: TXN	-4,000		125.99	503.95		
Dec 23	Sold	TAMAM SEMICONDUCTOR BIPS CO LTD ADR UNSOUGHT CUSIP: 874039100 / SYMBOL: TSM	-8,000		57.91	463.27		
Dec 23	Sold	TARGET CORP UNSOLICITED SYMBOL: TGT	-5,000		127.67	638.34		
Dec 23	Sold	VERIZON COMMUNICATIONS INC UNSOLICITED SYMBOL: VZ	-8,000		60.795000	486.35		
Dec 23	Sold	WHIRLPOOL CORP UNSOLICITED SYMBOL: WHR	-3,000		144.260100	432.77		
Dec 26	Bought	STRYKER CORP UNSOLICITED SYMBOL: SYK	76,000		211.214600		-16,052.31	
Dec 26	Sold	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR UNSOLICITED CUSIP: 904794709 / SYMBOL: UN	-220,000		57.437300	12,685.95		
Total						\$948,887.64	-\$856,898.05	



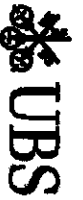
Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities	Date	Activity	Description	Amount (\$)
	Nov 29	Balance forward		\$188,803.31
	Dec 2	Deposit	UBS FDIC INSURED DEPOSIT PGM	64.88
	Dec 3	Deposit	UBS FDIC INSURED DEPOSIT PGM	2,250.71
	Dec 4	Deposit	UBS FDIC INSURED DEPOSIT PGM	1,848.34
	Dec 5	Deposit	UBS FDIC INSURED DEPOSIT PGM	191.55
	Dec 6	Deposit	UBS FDIC INSURED DEPOSIT PGM AS OF 12/05/19	31.90
	Dec 6	Deposit	UBS FDIC INSURED DEPOSIT PGM	341.19
	Dec 9	Deposit	UBS FDIC INSURED DEPOSIT PGM	651.13
	Dec 10	Deposit	UBS FDIC INSURED DEPOSIT PGM	698.58
	Dec 11	Deposit	UBS FDIC INSURED DEPOSIT PGM	368.98
	Dec 12	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-9,415.14
	Dec 13	Deposit	UBS FDIC INSURED DEPOSIT PGM	1,148.29
	Dec 16	Deposit	UBS FDIC INSURED DEPOSIT PGM	742.30
	Dec 17	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-5,771.82
	Dec 18	Deposit	UBS FDIC INSURED DEPOSIT PGM	608.89
	Dec 19	Deposit	UBS FDIC INSURED DEPOSIT PGM	764.36
	Dec 20	Deposit	UBS FDIC INSURED DEPOSIT PGM	880.73
	Dec 23	Deposit	UBS FDIC INSURED DEPOSIT PGM	12,192.04
	Dec 24	Deposit	UBS FDIC INSURED DEPOSIT PGM	12,874.47
	Dec 26	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-2,419.63
	Dec 27	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 12/26/19	-13,000.00
	Dec 30	Deposit	UBS FDIC INSURED DEPOSIT PGM	372.42
	Dec 31	Deposit	UBS FDIC INSURED DEPOSIT PGM	3,688.97
	Dec 31	Closing UBS FDIC-Insured Deposit Program		\$197,997.45



Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
 Friendly account name: Swind Trust
 Account number:

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 WLP FINANCIAL GROUP
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Realized gains and losses

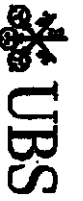
The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we include the oldest security for first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which lot to close when you placed your order. This is known as a versus purchases or VSP order. See important information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accrued OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unlabeled section cannot be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Weighted cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ABRYE INC COM	FIFO	4,000	Sep 15, 19	Dec 19, 19	355.13	262.98			72.15
AEGION NV ADR N Y SHS	FIFO	1,593,000	Jan 28, 19	Dec 10, 19	7,092.21	8,262.89		-1,170.68	
NETHERLANDS ADR	FIFO	8,000	Jan 28, 19	Dec 19, 19	370.07	339.90			30.17
BCE INC NEW CAD	FIFO	156,000	Jan 28, 19	Dec 10, 19	5,317.95	7,624.69		-2,306.74	
BIC SA ADR	FIFO	144,000	Jul 15, 19	Dec 10, 19	4,908.87	5,700.73		-791.86	
BRIDGEMOR PTY GROUP INC	FIFO	15,000	Jul 09, 19	Dec 19, 19	322.94	274.64			48.30
CAREFOUR SA SPON ADR	VSP	250,000	Jul 18, 19	Dec 10, 19	825.61	831.55		-5.94	
CELEX S.A.B. DE C.V.	FIFO	3,550,000	Jan 28, 19	Dec 10, 19	12,577.74	18,947.42		-6,369.68	
SPON ADR	FIFO	976,000	May 14, 19	Dec 10, 19	3,458.00	4,290.98		-832.98	
CHEVRON CORP	FIFO	1,131,000	Jul 26, 19	Dec 10, 19	4,007.16	4,105.64		-98.48	
	VSP	130,000	Jul 05, 19	Dec 09, 19	15,284.94	16,063.66		-778.72	
	VSP	132,000	Aug 01, 19	Dec 09, 19	15,520.10	16,233.07		-712.97	
	VSP	124,000	Aug 02, 19	Dec 09, 19	14,579.48	14,939.08		-359.61	
CISCO SYSTEMS INC	VSP	263,000	Dec 14, 18	Dec 09, 19	11,567.82	12,055.55		-487.73	
	VSP	41,000	Sep 12, 19	Dec 09, 19	1,814.21	2,047.95		-233.74	
CTGROUP INC	VSP	7,000	Jan 28, 19	Dec 19, 19	547.34	447.14			100.20
CITIZENS FINANCIAL GROUP INC	FIFO	14,000	Jan 28, 19	Dec 19, 19	567.17	495.70			71.47
COCA COLA EUROPEAN PARTNERS EUR	FIFO	9,000	Jan 28, 19	Dec 19, 19	448.81	404.15			44.66
COLUMBIA SPORTSWEAR CO	FIFO	146,000	Jul 26, 19	Dec 09, 19	13,403.98	15,218.25		-1,814.27	
COMERICA INC	FIFO	167,000	Jan 28, 19	Dec 09, 19	11,968.91	13,697.27		-1,728.36	
	FIFO	46,000	Apr 16, 19	Dec 09, 19	3,286.82	3,626.61		-339.79	

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4948

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
CLIMAX INC	FIFO	3,000	Mar 12, 19	Dec 19, 19	537.81	475.21			62.60
DARDEN RESTAURANTS INC	FIFO	121,000	Aug 09, 19	Dec 09, 19	14,370.27	14,835.48		-465.21	
DELTA AIR LINES INC DELA NEW	FIFO	11,000	Dec 13, 19	Dec 19, 19	645.31	623.66			21.65
DIAGEO PLC NEW GB SPON ADR	FIFO	91,000	Jul 29, 19	Dec 09, 19	14,721.39	15,255.06		-533.67	
DOW INC	FIFO	255,000	Apr 04, 19	Dec 09, 19	13,552.97	15,278.53		-1,725.56	
ENEL CHILE SA SPON ADR	FIFO	1,139,000	Jul 30, 19	Dec 10, 19	5,183.03	5,144.07			38.96
EOG RESOURCES INC	FIFO	189,000	Sep 11, 19	Dec 09, 19	14,026.29	15,306.92		-1,280.63	
EXPEDITORS INTL WASH INC	FIFO	97,000	Sep 30, 19	Dec 09, 19	7,128.77	7,189.05		-60.28	
	FIFO	105,000	Oct 15, 19	Dec 09, 19	7,716.71	7,871.12		-154.41	
FIRST PAC CO LTD SPONS ADR ADR	FIFO	5,026,000	Jan 28, 19	Dec 10, 19	8,242.97	11,458.78		-3,215.81	
GENERAL MOTORS CO	FIFO	392,000	Jan 28, 19	Dec 09, 19	13,892.82	14,949.86		-1,057.04	
GILEAD SCIENCES INC	FIFO	7,000	Apr 30, 19	Dec 19, 19	458.95	453.28			5.67
HOME DEPOT INC	FIFO	2,000	Mar 27, 19	Dec 19, 19	438.83	379.17			59.66
HONDA MOTOR CO ADR JAPAN ADR	FIFO	767,000	Jan 28, 19	Dec 10, 19	22,075.26	22,822.09		-746.83	
HSBC HOLDINGS PLC NEW GB SPON ADR	FIFO	366,000	Jan 28, 19	Dec 10, 19	13,454.43	15,199.94		-1,745.51	
INTERAL BRANDS PLC SPON ADR	FIFO	726,000	Jan 28, 19	Dec 10, 19	15,993.52	22,858.91		-6,865.39	
	FIFO	172,000	May 28, 19	Dec 10, 19	3,789.10	4,370.59		-581.49	
JPMORGAN CHASE & CO	VSP	3,000	Jun 25, 19	Dec 19, 19	413.13	325.12			88.01
KIMCO REALTY CORP	FIFO	15,000	Aug 14, 19	Dec 19, 19	309.82	276.66			33.16
KINGFISHER PLC NEW SPON ADR	FIFO	5,087,000	Jan 28, 19	Dec 10, 19	28,297.36	30,517.42		-2,280.06	
M & T BANK CORP	FIFO	88,000	Jul 15, 19	Dec 09, 19	14,633.29	15,208.69		-576.46	
MAGNA INTL INC CL A SUB	FIFO	9,000	Mar 21, 19	Dec 19, 19	505.52	440.49			65.03
VTG CANADA ORD	FIFO	243,000	Nov 14, 19	Dec 09, 19	14,404.45	15,726.40		-1,321.95	
MARATHON PETROLEUM CO	FIFO								

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Strategic Wealth Portfolio

December 2019

Account name: DONALD R JEANES
Friendly account name: Saving Trust
Account number:

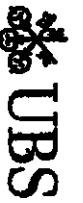
Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/600-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MARKS & SPENCER GROUP INC SPON ADR									
FIRO		3,880,000	Jan 28, 19	Dec 10, 19	20,912.77	30,419.20		-9,506.43	
OCCIDENTAL PETROLEUM CRP	FIRO	613,000	Jan 28, 19	Dec 09, 19	22,977.83	40,351.95		-17,374.12	
PHILIP MORRIS INTL INC	FIRO	14,000	Feb 21, 19	Dec 09, 19	1,170.80	1,195.85		-25.05	
	FIRO	156,000	Mar 22, 19	Dec 09, 19	13,046.03	14,376.63		-1,330.60	
	FIRO	29,000	Apr 16, 19	Dec 09, 19	2,425.22	2,497.34		-72.12	
PINNACLE WEST CAP CORP	FIRO	152,000	Aug 16, 19	Dec 09, 19	13,036.77	14,329.92		-1,293.15	
PROGRESSIVE CORP OHIO	FIRO	177,000	Jun 04, 19	Dec 09, 19	12,980.56	14,373.66		-1,413.10	
	FIRO	13,000	Jul 24, 19	Dec 09, 19	951.91	1,041.78		-89.87	
	FIRO	187,000	Aug 09, 19	Dec 09, 19	13,692.79	15,115.71		-1,422.92	
PUBLICIS GROUPE S.A. NEW SPON ADR									
FIRO		808,000	Jan 28, 19	Dec 10, 19	8,828.08	12,537.26		-3,708.18	
	FIRO	282,000	Feb 08, 19	Dec 10, 19	3,081.43	3,791.78		-710.35	
	FIRO	480,000	Aug 30, 19	Dec 10, 19	5,245.00	5,794.80		-549.80	
RENAULT SA UNSPONSORED ADR									
FIRO		910,000	Jan 28, 19	Dec 10, 19	8,262.63	12,547.30		-4,284.67	
ROPER TECHNOLOGIES INC									
FIRO		45,000	Nov 14, 19	Dec 09, 19	15,668.49	15,720.94		-52.45	
SWATCH GROUP AG ADR									
FIRO		1,233,000	Jan 28, 19	Dec 10, 19	17,083.48	19,029.26		-1,945.78	
	FIRO	377,000	Mar 29, 19	Dec 10, 19	5,223.42	5,392.53		-169.11	
TAKEDA PHARMACEUTICAL CO LTD SPON ADR									
VSP		646,000	Jan 28, 19	Dec 10, 19	13,063.85	13,233.18		-169.33	
TEXAS INSTRUMENTS									
FIRO		4,000	Jan 28, 19	Dec 19, 19	503.95	409.68			94.27
TOTAL S.A. FRANCE SPON ADR									
FIRO		247,000	Mar 13, 19	Dec 09, 19	13,004.80	14,450.04		-1,445.24	
	FIRO	200,000	Jun 12, 19	Dec 10, 19	10,530.44	10,593.02		-62.58	
	FIRO	90,000	Jun 13, 19	Dec 10, 19	4,738.70	4,798.26		-57.56	
	FIRO	78,000	Aug 01, 19	Dec 10, 19	4,159.53	4,244.63	189.56	-85.10	
UBS GROUP AG CHF	VSP	2,335,000	Jan 28, 19	Dec 10, 19	27,929.99	30,442.80		-2,512.81	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	FIRO	220,000	Aug 13, 19	Dec 23, 19	12,635.95	12,692.81		-56.86	

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
WHITPOOL CORP	FIFO	3,000	Mar 13, 19	Dec 19, 19	432.77	426.30			6.47
Total					\$594,535.39	\$682,661.99		-88,959.03	\$942.43
Net short-term capital gains and losses								-88,959.03	\$942.43

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AEGION NV ADR N.Y. SHS NETHERLANDS ADR	FIFO	1,650,000	Jan 04, 17	Dec 10, 19	7,345.98	9,367.71		-2,021.73	
	FIFO	1,028,000	May 26, 17	Dec 10, 19	4,576.76	5,037.20		-460.44	
	FIFO	133,000	Jun 23, 17	Dec 10, 19	592.13	648.38		-66.25	
	FIFO	161,000	Sep 15, 17	Dec 10, 19	716.79	916.14		-199.35	
AES CORP	FIFO	23,000	May 07, 18	Dec 19, 19	440.51	280.74			159.77
BARCLAYS PLC ADR	VSP	1,814,000	Dec 18, 17	Dec 10, 19	16,207.75	19,745.39		-3,537.64	
BROADCOM INC	FIFO	2,000	Dec 03, 18	Dec 19, 19	653.94	485.08			168.86
CARREFOUR SA SPON ADR	VSP	1,471,000	Jun 25, 18	Dec 10, 19	4,857.88	5,058.16		-200.28	
	VSP	6,573,000	Nov 21, 18	Dec 10, 19	21,706.88	25,513.82		-3,806.94	
	VSP	1,570,000	Dec 03, 18	Dec 10, 19	5,184.82	5,305.83		-121.01	
CEMEX S.A.B. DE C.V. SPON ADR	FIFO	0.637	Aug 27, 12	Dec 10, 19	2.26	4.39		-2.13	
	FIFO	0.798	Jan 13, 15	Dec 10, 19	2.83	7.22		-4.39	
	FIFO	0.289	Mar 04, 15	Dec 10, 19	1.06	2.61		-1.55	
	FIFO	0.776	Nov 18, 15	Dec 10, 19	2.75	4.19		-1.44	
	FIFO	735,488	Jan 13, 16	Dec 10, 19	2,605.85	3,048.58		-442.73	
CHINA MOBILE LTD SPON ADR	FIFO	110,000	Mar 28, 14	Dec 10, 19	4,174.78	4,956.58		-781.80	
	FIFO	453,000	Dec 03, 18	Dec 10, 19	17,192.49	21,746.85		-4,554.36	
CISCO SYSTEMS INC	VSP	12,000	May 06, 18	Dec 08, 19	527.81	547.78		-19.97	
	VSP	3,000	Nov 19, 18	Dec 09, 19	131.95	137.81		-5.86	
COMPAGNIE DE SAINT-GOBAIN ADR	FIFO	75,000	Nov 20, 18	Dec 13, 19	636.17	525.55			110.62

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WIP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CRH PLC IRELAND SPON ADR	FIFO	240,000	Nov 19, 18	Dec 12, 19	9,424.05	6,562.43			2,781.62
CROWN CASTLE INTL CORP REIT	FIFO	2,000	Jan 06, 17	Dec 19, 19	276.99	170.89			106.10
EATON CORP PLC	FIFO	5,000	Jan 12, 15	Dec 19, 19	471.82	279.99			191.83
EMBRAS S A SPON ADR	FIFO	231,000	May 18, 17	Dec 10, 19	4,096.31	4,249.22		-152.91	
	FIFO	1,228,000	Dec 18, 17	Dec 10, 19	21,776.03	24,369.66		-2,593.63	
ENBRIDGE INC CAD	FIFO	12,000	Dec 18, 18	Dec 19, 19	467.45	371.65			95.80
ENEL CHILE SA SPON ADR	FIFO	2,381,000	Nov 21, 18	Dec 10, 19	10,834.75	11,542.14		-707.39	
ENI SPA IT SPON ADR	FIFO	735,000	Dec 28, 15	Dec 10, 19	22,176.11	22,491.42		-315.31	
EYELON CORP	VSP	321,000	Dec 06, 18	Dec 09, 19	14,418.25	14,847.28		-429.03	
FIDELITY NATIONAL FINANCIAL IN COM	FIFO	9,000	Nov 19, 18	Dec 19, 19	413.23	289.16			124.07
J. SAINSBURY PLC SPON ADR	FIFO	1,726,000	Dec 18, 17	Dec 10, 19	19,986.67	21,868.42		-1,881.75	
JOHNSON & JOHNSON COM	FIFO	62,000	Aug 27, 12	Nov 29, 19	8,517.31	4,198.64			4,318.67
LAMAR ADVERTISING CO NEW CL A	FIFO	237,000	Oct 15, 14	Dec 13, 19	20,180.34	10,433.97			9,736.37
	FIFO	2,000	Oct 15, 14	Dec 19, 19	173.94	88.05			85.89
LYONDELLBASEL INDUSTRIES NV SHS - A - CL A EUR	FIFO	5,000	Jan 12, 15	Dec 19, 19	475.14	380.99			94.15
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	VSP	770,000	May 23, 14	Dec 10, 19	4,177.17	4,325.78		-148.61	
	VSP	927,000	Dec 03, 18	Dec 10, 19	5,028.87	5,059.10		-30.23	
NISSAN MTR LTD SPONS ADR JAPAN ADR	FIFO	1,525,000	Jan 13, 15	Dec 10, 19	19,016.36	26,069.11		-7,052.75	
NOKIA CORP SPONS ADR FINLAND ADR	FIFO	5,276,000	Dec 18, 17	Dec 10, 19	18,054.63	24,823.58		-6,768.95	
OLD REPUBLIC INTL CORP	FIFO	11,000	Jan 16, 18	Dec 19, 19	244.30	221.67			22.63
ORANGE SPON ADR	VSP	975,000	Jan 04, 17	Dec 10, 19	14,374.42	15,007.83		-633.41	
PFIZER INC	VSP	10,000	Jul 14, 17	Dec 19, 19	388.49	334.98			53.51

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUBLICIS GROUPE S.A. NEW SPON ADR	FIFO	1,160,000	Jan 26, 16	Dec 10, 19	12,675.40	16,217.39		-3,541.99	2.04
REGIONS FINANCIAL CORP ADR	FIFO	16,000	Nov 01, 18	Dec 19, 19	276.63	274.59			
RENAULT SA UNSPONSORED ADR	FIFO	470,000	Nov 20, 18	Dec 10, 19	4,267.51	6,216.57		-1,949.06	
REPSOL SA SPON ADR	VSP	242,000	Dec 03, 18	Dec 10, 19	3,825.96	4,215.62		-389.66	
SABRE CORP	FIFO	391,000	Dec 18, 17	Dec 13, 19	8,796.37	7,993.99			792.38
	FIFO	4,000	Dec 18, 17	Dec 19, 19	90.52	81.78			8.74
SANOFI SPON ADR	FIFO	21,000	Feb 24, 16	Dec 12, 19	1,039.58	798.12			241.46
SCHNEIDER ELEC SE UNSPONSORED ADR	FIFO	134,000	Dec 28, 15	Dec 13, 19	2,781.15	1,504.91			1,276.24
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	FIFO	8,000	Jan 27, 17	Dec 19, 19	468.27	249.77			213.50
TARGET CORP	FIFO	5,000	Dec 18, 17	Dec 19, 19	638.34	319.00			319.34
TOTAL S.A. FRANCE SPON ADR	FIFO	691,000	Dec 18, 17	Dec 09, 19	36,381.85	38,303.93		-1,922.08	
	FIFO	2,000	Nov 19, 18	Dec 09, 19	105.30	114.07		-8.77	
VERIZON COMMUNICATIONS INC	VSP	8,000	Jun 04, 18	Dec 19, 19	486.35	384.36			101.99
Total					\$354,562.25	\$378,090.07		-\$24,748.40	\$21,025.58
Net long-term capital gains or losses									-\$23,717.82
Net capital gains/losses:									-\$111,844.42

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Strategic Wealth Portfolio
December 2019

Account name: DONALD R JEANES
Fiduciary account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include 800 Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	method	Type/Event	Frequency	Start date	End date	Last used date
Blickstein, Childers & Steegall	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 20, 19
TN Dept of Revenue	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 26, 19
United States Treasury	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 20, 19
	varied	Check	Payee on file	Upon request	n/a	n/a	Jan 08, 19



Order Date	Symbol	Quantity	Price	Amount
12/23/19	STRYKER CORP UNSOLICITED Trade# 69600 Bkt:85	76,000	\$271.216	-48,062.31
12/31/19	DELTA AIR LINES INC DELA NEW Trade# 69600 Bkt:85	891,000	\$69.696	-62,069.44
12/17/19	ISHARES MSCI ACWI EX US ETF Trade# 17016 Bkt:85	10,616,000	\$46.187	-491,501.82
12/10/19	ISHARES SELECT DIVID ETF UNSOLICITED Trade# 69600 Bkt:85	128,000	\$61.908	-7,739.46
12/09/19	ISHARES SELECT DIVID ETF UNSOLICITED Trade# 76964 Bkt:85	828,000	\$103.717	-85,877.51
12/09/19	ISHARES RUSSELL 1000 VALUE ETF Trade# 16753 Bkt:85	2,004,000	\$134.716	-278,053.41
11/29/19	BRISTOL MYERS SQUIBB CO UNSOLICITED Trade# 91629 Bkt:85	130,000	\$57.116	-7,425.11
11/29/19	TAISHO PHARMACEUTICAL HLDGS CO LTD Trade# 27854 Bkt:85	398,000	\$16.177	-6,439.25
11/29/19	AMER ELECTRIC POWER CO INC RET Trade# 69708 Bkt:85	171,000	\$90.821	-15,530.43
11/29/19	BRIKOR PETRY GROUP INC RET Trade# 69708 Bkt:85	626,000	\$21.812	-13,467.75
11/29/19	VULCAN MATERIALS CO NEW (HOLDING COMPANY) Trade# 03304 Bkt:85	112,000	\$84.716	-9,484.30
11/14/19	ROPER TECHNOLOGIES INC UNSOLICITED Trade# 87857 Bkt:85	46,000	\$346.354	-15,720.94
11/14/19	MARATHON PETROLEUM CO UNSOLICITED Trade# 84132 Bkt:85	243,000	\$94.716	-23,000.00
10/29/19	GENL DYNAMICS CORP UNSOLICITED Trade# 69714 Bkt:85	42,000	\$178.840	-7,427.29
10/16/19	SWATCH GROUP AG ADR UNSOLICITED Trade# 31282 Bkt:85	378,000	\$13.230	-5,000.80
10/16/19	AUTOMATIC DATA PROCESSING INC Trade# 40649 Bkt:85	93,000	\$163.877	-15,246.83
10/16/19	EXPEDITORS INTL WASH INC UNSOLICITED Trade# 03907 Bkt:85	106,000	\$74.083	-7,871.12
10/02/19	CRANE CO UNSOLICITED Trade# 67617 Bkt:85	182,000	\$78.879	-14,383.98
10/02/19	PHILIP MORRIS INTL INC UNSOLICITED Trade# 23199 Bkt:85	96,000	\$78.865	-7,522.20
10/02/19	TRACTOR SUPPLY COMPANY UNSOLICITED Trade# 75976 Bkt:85	166,000	\$90.773	-15,088.30
09/29/19	EXPEDITORS INTL WASH INC UNSOLICITED Trade# 02095 Bkt:85	97,000	\$74.114	-7,189.05
09/29/19	WHIRLPOOL CORP UNSOLICITED Trade# 21099 Bkt:85	5,000	\$165.390	-828.50
09/29/19	FIDELITY NATIONAL FINANCIAL INC COM Trade# 21109 Bkt:85	74,000	\$44.608	-3,293.64
09/29/19	BIC SA ADR UNSOLICITED Trade# 26341 Bkt:85	168,000	\$32.918	-5,546.98
09/29/19	BNP PARIBAS SA ADR UNSOLICITED Trade# 65090 Bkt:85	489,000	\$24.390	-11,982.49
09/29/19	ABBVIE INC COM UNSOLICITED Trade# 65280 Bkt:85	459,000	\$70.144	-32,259.04
09/12/19	VALERO ENERGY CORP NEW UNSOLICITED Trade# 63470 Bkt:85	13,000	\$64.639	-843.69
09/12/19	M & T BANK CORP UNSOLICITED Trade# 46113 Bkt:85	3,000	\$197.985	-593.96
09/12/19	DOV INC UNSOLICITED Trade# 61457 Bkt:85	70,000	\$46.989	-3,287.86
09/12/19	CONOCOPHILLIPS UNSOLICITED Trade# 65611 Bkt:85	22,000	\$64.991	-1,429.80
09/12/19	CISCO SYSTEMS INC UNSOLICITED Trade# 48222 Bkt:85	37,000	\$55.446	-2,056.90
09/12/19	AMERIPRISE FINANCIAL INC UNSOLICITED Trade# 48398 Bkt:85	41,000	\$49.960	-2,047.95
09/12/19	EOG RESOURCES INC UNSOLICITED Trade# 24250 Bkt:85	2,000	\$146.777	-293.55
09/09/19	STANLEY BLACK & DECKER INC COM Trade# 63294 Bkt:85	108,000	\$80.989	-8,738.27
09/09/19	BARCLAYS PLC ADR UNSOLICITED Trade# 89167 Bkt:85	599,000	\$137.999	-82,584.34
09/09/19	PUBLICIS GROUPE S.A. NEW SPON ADR Trade# 76334 Bkt:85	450,000	\$12.099	-5,434.80
09/09/19	EMCOR GROUP INC UNSOLICITED Trade# 13516 Bkt:85	172,000	\$85.276	-14,667.40
09/09/19	BCE INC NEW CAD UNSOLICITED Trade# 62481 Bkt:85	396,000	\$46.790	-18,049.32
09/22/19	TIX COS INC NEW UNSOLICITED Trade# 77608 Bkt:85	139,000	\$64.883	-9,028.88
09/22/19	TIX COS INC NEW UNSOLICITED Trade# 77608 Bkt:85	128,000	\$54.165	-6,931.89
09/22/19	LOWES COMPANIES INC UNSOLICITED Trade# 11490 Bkt:85	248,000	\$107.999	-26,644.06
09/16/19	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR Trade# 33331 Bkt:85	128,000	\$116.831	-14,984.74
09/16/19	PRIMERICA INC UNSOLICITED Trade# 41099 Bkt:85	162,000	\$94.276	-15,229.62
09/16/19	PINNACLE WEST CAP CORP UNSOLICITED Trade# 17628 Bkt:85	1,057,000	\$4.431	-4,663.79
09/16/19	MARIS & SPENCER GROUP INC SPON ADR Trade# 78108 Bkt:85	678,000	\$8.910	-6,048.23
09/16/19	J. SAINSBURY PLC SPON ADR Trade# 79034 Bkt:85	174,000	\$23.308	-4,038.23
09/16/19	HONDA MOTOR CO ADR JAPAN ADR Trade# 45385 Bkt:85	1,827,000	\$18.444	-33,008.39
09/16/19	KIMCO REALTY CORP UNSOLICITED Trade# 17078 Bkt:85	263,000	\$97.995	-25,755.71
09/16/19	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR Trade# 07850 Bkt:85	187,000	\$80.933	-15,115.71
09/09/19	PROGRESSIVE CORP OHIO UNSOLICITED Trade# 88887 Bkt:85	121,000	\$122.407	-14,835.48
09/09/19	DARDEN RESTAURANTS INC UNSOLICITED Trade# 00812 Bkt:85	139,000	\$120.476	-16,078.21
09/02/19	CORRENT RLY CORP COM RET Trade# 10274 Bkt:85	76,000	\$51.177	-3,895.09
09/02/19	CHEVRON CORP UNSOLICITED Trade# 66504 Bkt:85	78,000	\$129.372	-10,233.07
09/02/19	TOTAL S.A. FRANCE SPON ADR Trade# 88731 Bkt:85	132,000	\$122.978	-16,237.07
09/02/19	CHEVRON CORP UNSOLICITED Trade# 09780 Bkt:85	415,000	\$11.239	-4,664.06
07/30/19	UBS GROUP AG CHF UNSOLICITED Trade# 80024 Bkt:85	80,000	\$132.076	-10,566.10
07/30/19	JOHNSON & JOHNSON COM UNSOLICITED Trade# 92861 Bkt:85	1,139,000	\$4.516	-5,144.07
07/30/19	ENEL CHILE SA SPON ADR UNSOLICITED Trade# 66601 Bkt:85	569,000	\$8.390	-4,881.86
07/30/19	TESCO PLC SPONS ADR UNITED KINGDOM Trade# 24578 Bkt:85	311,000	\$16.778	-5,218.02
07/30/19	TAKEEDA PHARMACEUTICAL CO LTD SPON ADR Trade# 73773 Bkt:85	91,000	\$167.538	-15,265.06
07/30/19	CEMEX S.A.B. DE C.V. SPON ADR Trade# 33018 Bkt:85	1,131,000	\$3.630	-4,105.64

07/28/19	07/28/19 BOUGHT	COLUMBIA SPORTSWEAR CO UNSOLICITED	Trade#14002	Bkt:86	148,000	\$104,236	-16,218.26
07/28/19	07/28/19 BOUGHT	AMERIPRISE FINANCIAL INC UNSOLICITED	Trade#00862	Bkt:85	102,000	\$149,841	-16,203.42
07/28/19	07/28/19 BOUGHT	PROGRESSIVE CORP OHIO UNSOLICITED	Trade#31933	Bkt:85	13,000	\$80,137	-1,041.78
07/28/19	07/28/19 BOUGHT	M & T BANK CORP UNSOLICITED	Trade#32867	Bkt:85	8,000	\$181,180	-887.14
07/28/19	07/28/19 BOUGHT	INTEL CORP UNSOLICITED	Trade#33988	Bkt:85	24,000	\$82,168	-1,262.04
07/28/19	07/28/19 BOUGHT	WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade#34610	Bkt:86	7,000	\$141,097	-987.88
07/28/19	07/28/19 BOUGHT	CONOCOPHILLIPS UNSOLICITED	Trade#34516	Bkt:85	37,000	\$80,507	-2,238.77
07/28/19	07/28/19 BOUGHT	CROWN CASTLE INTL CORP REIT	Trade#44005	Bkt:85	120,000	\$127,178	-16,281.31
07/22/19	07/22/19 BOUGHT	AGILENT TECHNOLOGIES INC UNSOLICITED	Trade#39437	Bkt:88	218,000	\$89,268	-16,098.90
07/17/19	07/17/19 BOUGHT	BRIMOR PTPT GROUP INC REIT	Trade#28390	Bkt:85	888,000	\$17,831	-16,878.67
07/17/19	07/17/19 BOUGHT	TORCO CORP UNSOLICITED	Trade#88061	Bkt:85	220,000	\$98,403	-16,288.66
07/15/19	07/15/19 BOUGHT	SVBCO CORP UNSOLICITED	Trade#45849	Bkt:85	209,000	\$72,887	-16,235.54
07/15/19	07/15/19 BOUGHT	PNC FINANCIAL SERVICES GROUP	Trade#80708	Bkt:85	108,000	\$141,030	-16,231.23
07/15/19	07/15/19 BOUGHT	ROYAL CARIBBEAN CRUISES LTD LIBERIA CORP	Trade#10827	Bkt:85	136,000	\$113,035	-16,372.79
07/12/19	07/12/19 BOUGHT	M & T BANK CORP UNSOLICITED	Trade#88748	Bkt:85	88,000	\$172,887	-16,208.89
07/12/19	07/12/19 BOUGHT	HILL ROM HOLDINGS INC UNSOLICITED	Trade#82422	Bkt:85	146,000	\$105,818	-16,484.07
07/11/19	07/11/19 BOUGHT	BIC SA ADR UNSOLICITED	Trade#82108	Bkt:85	144,000	\$89,470	-16,400.73
07/11/19	07/11/19 BOUGHT	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	Trade#41800	Bkt:85	140,000	\$178,411	-16,442.11
07/11/19	07/11/19 BOUGHT	BAF SE SPON ADR UNSOLICITED	Trade#40821	Bkt:85	887,000	\$18,019	-4,432.64
07/11/19	07/11/19 BOUGHT	WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade#44586	Bkt:86	100,000	\$144,037	-16,403.74
07/08/19	07/11/19 BOUGHT	LAMB WESTON HLDGS INC UNSOLICITED	Trade#80829	Bkt:85	238,000	\$84,884	-16,247.93
07/08/19	07/11/19 BOUGHT	BRIMOR PTPT GROUP INC REIT	Trade#88022	Bkt:85	888,000	\$18,309	-16,892.47
07/05/19	07/11/19 BOUGHT	ALEXANDRIA REAL ESTATE EQUITIES	Trade#83985	Bkt:85	103,000	\$147,484	-16,198.80
07/05/19	07/11/19 BOUGHT	PACKAGING CORP OF AMERICA	Trade#88078	Bkt:85	164,000	\$98,820	-16,328.05
07/05/19	07/08/19 BOUGHT	CHEVRON CORP UNSOLICITED	Trade#77708	Bkt:85	130,000	\$128,887	-16,083.88
06/28/19	07/02/19 BOUGHT	HUMANIA INC UNSOLICITED	Trade#80387	Bkt:85	87,000	\$114,887	-16,107.50
06/28/19	07/02/19 BOUGHT	AVERY DENNISON CORP UNSOLICITED	Trade#88172	Bkt:85	181,000	\$114,887	-16,080.82
06/27/19	07/01/19 BOUGHT	UNITED TECHNOLOGIES CORP UNSOLICITED	Trade#02088	Bkt:85	119,000	\$128,882	-16,348.85
06/27/19	07/01/19 BOUGHT	BEULANCE STEEL & ALUMINUM CO	Trade#82474	Bkt:85	182,000	\$82,748	-16,026.28
06/27/19	07/01/19 BOUGHT	CUMMINS WRIGHT CORP UNSOLICITED	Trade#82478	Bkt:85	123,000	\$122,268	-16,039.10
06/26/19	06/27/19 BOUGHT	JPMORGAN CHASE & CO UNSOLICITED	Trade#88045	Bkt:85	383,000	\$108,373	-38,338.44
06/26/19	06/27/19 BOUGHT	TOTAL S.A. FRANCE SPON ADR	Trade#83637	Bkt:85	90,000	\$83,132	-4,786.26
06/27/19	06/17/19 BOUGHT	IONOSPHER PLIC NEW SPON ADR	Trade#82029	Bkt:85	200,000	\$82,887	-10,883.02
06/08/19	06/10/19 BOUGHT	HOME DEPOT INC UNSOLICITED	Trade#87908	Bkt:85	728,000	\$8,830	-3,888.28
06/08/19	06/10/19 BOUGHT	GILEAD SCIENCES INC UNSOLICITED	Trade#88700	Bkt:86	89,000	\$186,844	-13,882.22
06/08/19	06/10/19 BOUGHT	AEIS CORP UNSOLICITED	Trade#88167	Bkt:85	218,000	\$84,103	-13,481.86
06/08/19	06/07/19 BOUGHT	CHEVRON CORP UNSOLICITED	Trade#88163	Bkt:85	1,011,000	\$168,058	-17,122.08
06/08/19	06/07/19 BOUGHT	PROGRESSIVE CORP OHIO UNSOLICITED	Trade#83834	Bkt:85	124,000	\$117,282	-14,544.23
06/08/19	06/05/19 BOUGHT	LOWES COMPANIES INC UNSOLICITED	Trade#83781	Bkt:85	177,000	\$81,207	-14,373.66
06/08/19	06/05/19 BOUGHT	BAF SE SPON ADR UNSOLICITED	Trade#80114	Bkt:85	161,000	\$84,322	-14,242.82
06/08/19	06/04/19 BOUGHT	IMPERIAL BRANDS PLC SPON ADR	Trade#88714	Bkt:85	278,000	\$18,442	-4,570.78
06/28/19	06/20/19 BOUGHT	CITIZENS FINANCIAL GROUP INC	Trade#83303	Bkt:85	172,000	\$28,410	-4,370.88
06/22/19	06/24/19 BOUGHT	TC ENERGY CORP CAD UNSOLICITED	Trade#83306	Bkt:85	228,000	\$34,837	-7,838.21
06/17/19	06/23/19 BOUGHT	TAISHO PHARMACEUTICAL HLDGS CO LTD	Trade#83732	Bkt:85	278,000	\$48,017	-9,408.81
06/16/19	06/20/19 BOUGHT	DAIICHI PHARMACEUTICAL HLDGS CO LTD	Trade#80288	Bkt:85	388,000	\$21,770	-9,403.10
06/16/19	06/20/19 BOUGHT	MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	Trade#28670	Bkt:85	883,000	\$4,888	-4,282.88
06/16/19	06/18/19 BOUGHT	EMEREA S A SPON ADR UNSOLICITED	Trade#82784	Bkt:85	388,000	\$17,180	-4,287.98
06/14/19	06/18/19 BOUGHT	SWISS RE LTD SPON ADR UNSOLICITED	Trade#83814	Bkt:85	204,000	\$22,190	-4,287.76
06/14/19	06/16/19 BOUGHT	MITSUBISHI TANABE PHARMA CORP SPON ADR	Trade#88837	Bkt:85	388,000	\$11,481	-4,408.60
06/14/19	06/16/19 BOUGHT	CEMEX S.A.B. DE C.V. SPON ADR	Trade#83303	Bkt:85	878,000	\$4,387	-4,280.88
06/14/19	06/16/19 BOUGHT	BAF SE SPON ADR UNSOLICITED	Trade#83808	Bkt:85	227,000	\$17,740	-4,028.86
06/13/19	06/14/19 BOUGHT	J. SAINSBURY PLC SPON ADR	Trade#80288	Bkt:85	628,000	\$11,070	-6,983.22
06/13/19	06/14/19 BOUGHT	CITIZENS FINANCIAL GROUP INC	Trade#81822	Bkt:85	628,000	\$11,070	-6,983.03
06/08/19	06/10/19 BOUGHT	RAYTHEON CO NEW UNSOLICITED	Trade#83731	Bkt:85	172,000	\$35,305	-8,072.41
06/08/19	06/10/19 BOUGHT	BRISTOL MYERS SQUIBB CO UNSOLICITED	Trade#44488	Bkt:86	81,000	\$778,402	-16,248.14
06/08/19	06/10/19 BOUGHT	COMCAST CORP NEW CL A UNSOLICITED	Trade#83814	Bkt:85	288,000	\$47,343	-16,197.23
06/08/19	06/07/19 BOUGHT	GILEAD SCIENCES INC UNSOLICITED	Trade#82210	Bkt:85	730,000	\$84,373	-4,478.89
06/28/19	06/07/19 BOUGHT	KOHL'S CORP UNSOLICITED	Trade#81837	Bkt:86	260,000	\$72,318	-18,078.83
06/28/19	06/20/19 BOUGHT	KOHL'S CORP UNSOLICITED	Trade#48447	Bkt:85	272,000	\$12,384	-18,883.06
06/28/19	06/20/19 BOUGHT	WHIRLPOOL CORP UNSOLICITED	Trade#40711	Bkt:85	118,000	\$130,305	-18,159.35
06/28/19	06/18/19 BOUGHT	ROCHE HLDG LTD SPONS ADR SWITZ ADR	Trade#88848	Bkt:85	34,000	\$33,473	-1,138.87
06/18/19	06/18/19 BOUGHT	TOTAL S.A. FRANCE SPON ADR	Trade#88848	Bkt:85	11,000	\$85,884	-818.87
06/18/19	06/18/19 BOUGHT	US BANCORP DEL (NEW) UNSOLICITED	Trade#80274	Bkt:85	26,000	\$48,828	-1,246.70
06/18/19	06/18/19 BOUGHT	PHILIP MORRIS INTL INC UNSOLICITED	Trade#84863	Bkt:85	28,000	\$88,116	-2,487.34
06/18/19	06/18/19 BOUGHT	MEDTRONIC PLC UNSOLICITED	Trade#80815	Bkt:85	22,000	\$1,914.12	-1,914.12
06/18/19	06/18/19 BOUGHT	JOHNSON & JOHNSON COM UNSOLICITED	Trade#88035	Bkt:85	13,000	\$138,184	-1,808.00
06/18/19	06/18/19 BOUGHT	JOHNSON & JOHNSON COM UNSOLICITED	Trade#83125	Bkt:85	117,000	\$138,208	-16,287.30
06/18/19	06/18/19 BOUGHT	CITIGROUP INC UNSOLICITED	Trade#80288	Bkt:85	4,000	\$273.91	-3,628.81
06/18/19	06/18/19 BOUGHT	COMERICA INC UNSOLICITED	Trade#88834	Bkt:85	11,000	\$188,817	-2,088.08
06/18/19	06/18/19 BOUGHT	AMGEN INC UNSOLICITED	Trade#81853	Bkt:85			

04/15/18	04/17/18	BOUGHT	MITSUBISHI TANABE PHARMA CORP SPON ADR	Trade#00438	Blot:85	478.000	\$13.001	-6.188 28
04/15/18	04/15/18	BOUGHT	TAISHO PHARMACEUTICAL HLDGS CO LTD	Trade#38108	Blot:85	327.000	\$23.595	-7.636 99
04/04/18	04/03/18	BOUGHT	DOV INC UNSOLICITED	Trade#43407	Blot:85	285.000	\$69.016	-15.278 53
03/29/18	04/02/18	BOUGHT	SWATCH GROUP AG ADR UNSOLICITED	Trade#16282	Blot:85	377.000	\$14.304	-5.362 53
03/27/18	03/29/18	BOUGHT	HOME DEPOT INC UNSOLICITED	Trade#78979	Blot:85	184.000	\$186.885	-31.091 97
03/27/18	03/29/18	BOUGHT	BROADCOM INC UNSOLICITED	Trade#61673	Blot:85	102.000	\$284.441	-30.032 99
03/25/18	03/29/18	BOUGHT	FIDELITY NATIONAL FINANCIAL IN COM	Trade#68323	Blot:85	78.000	\$37.379	-2.915 54
03/25/18	03/29/18	BOUGHT	FIDELITY NATIONAL FINANCIAL IN COM	Trade#68323	Blot:85	297.000	\$36.893	-7.585 37
03/25/18	03/27/18	BOUGHT	FIDELITY NATIONAL FINANCIAL IN COM	Trade#62851	Blot:85	195.000	\$39.510	-6.674 80
03/22/18	03/29/18	BOUGHT	PHILIP MORRIS INTL INC UNSOLICITED	Trade#71957	Blot:85	178.000	\$92.166	-14.376 83
03/22/18	03/29/18	BOUGHT	MAGNA INTL INC CL A SUB VTG CANADA CRD	Trade#38723	Blot:85	473.900	\$47.747	-22.584 33
03/21/18	03/29/18	BOUGHT	GENL ELECTRIC CO UNSOLICITED	Trade#62789	Blot:85	1,468.000	\$10.184	-14.929 88
03/16/18	03/16/18	BOUGHT	MAGNA INTL INC CL A SUB VTG CANADA CRD	Trade#20458	Blot:85	486.000	\$48.945	-2.475 73
03/14/18	03/14/18	BOUGHT	WHIRLPOOL CORP UNSOLICITED	Trade#24870	Blot:85	222.000	\$142.009	-31.545 87
03/12/18	03/14/18	BOUGHT	TOTAL S.A. FRANCE SPON ADR	Trade#94779	Blot:85	247.000	\$68.327	-17.450 04
02/22/18	02/29/18	BOUGHT	CLUMINUS INC UNSOLICITED	Trade#40973	Blot:85	286.000	\$168.403	-47.204 09
02/21/18	02/29/18	BOUGHT	MITSUBISHI TANABE PHARMA CORP SPON ADR	Trade#63278	Blot:85	282.000	\$13.516	-4.034 21
02/21/18	02/29/18	BOUGHT	TRANS-CANADA CORP (HOLDING CO) CAD	Trade#58912	Blot:85	344.000	\$44.228	-15.214 74
02/21/18	02/25/18	BOUGHT	PHILIP MORRIS INTL INC UNSOLICITED	Trade#38910	Blot:85	178.000	\$66.418	-15.204 39
02/21/18	02/25/18	BOUGHT	ORACLE CORP UNSOLICITED	Trade#33720	Blot:85	300.000	\$52.007	-15.602 01
02/20/18	02/22/18	BOUGHT	ABB LTD SPON ADR UNSOLICITED	Trade#67206	Blot:85	1,770.000	\$20.271	-23.717 19
02/19/18	02/15/18	BOUGHT	TARGET CORP UNSOLICITED	Trade#70606	Blot:85	300.000	\$72.833	-21.798 78
02/19/18	02/15/18	BOUGHT	HP INC UNSOLICITED	Trade#67894	Blot:85	1,015.000	\$23.390	-15.604 55
02/08/18	02/12/18	BOUGHT	AT&T INC UNSOLICITED	Trade#90721	Blot:85	801.000	\$33.734	-30.384 42
02/08/18	02/12/18	BOUGHT	ROCHE HLDG LTD SPON ADR SWITZ ADR	Trade#77932	Blot:85	282.000	\$13.405	-3.791 76
02/04/18	02/04/18	BOUGHT	PUBLICIS GROUPE S.A. NEW SPON ADR	Trade#70514	Blot:85	136.000	\$108.025	-14.891 37
01/31/18	02/04/18	BOUGHT	KLA - TENCOR CORP UNSOLICITED	Trade#28630	Blot:85	691.000	\$57.645	-38.102 22
01/29/18	01/30/18	BOUGHT	WFP PLC NEW SPON ADR UNSOLICITED	Trade#67982	Blot:85	359.000	\$30.422	-30.442 80
01/29/18	01/30/18	BOUGHT	VALERO ENERGY CORP NEW UNSOLICITED	Trade#59650	Blot:85	2,338.000	\$13.038	-18.050 53
01/29/18	01/30/18	BOUGHT	UBS GROUP AG CHF UNSOLICITED	Trade#67831	Blot:85	2,040.000	\$8.848	-29.462 42
01/29/18	01/30/18	BOUGHT	TESCO PLC SPONS ADR UNITED KINGDOM	Trade#68024	Blot:85	646.000	\$49.000	-13.233 18
01/29/18	01/30/18	BOUGHT	TOTAL S.A. FRANCE SPON ADR	Trade#57149	Blot:85	408.000	\$17.858	-12.143 37
01/29/18	01/30/18	BOUGHT	TANIEDA PHARMACEUTICAL CO LTD SPON ADR	Trade#67953	Blot:85	453.000	\$71.465	-29.153 64
01/29/18	01/30/18	BOUGHT	UNIPOL HOLDINGS CORP OR UNSOLICITED	Trade#25841	Blot:85	1,233.000	\$102.420	-50.493 01
01/29/18	01/30/18	BOUGHT	TARGET CORP UNSOLICITED	Trade#69149	Blot:85	6,882.000	\$5.225	-30.659 58
01/29/18	01/30/18	BOUGHT	TEXAS INSTRUMENTS UNSOLICITED	Trade#26833	Blot:85	603.000	\$41.865	-27.442 71
01/29/18	01/30/18	BOUGHT	SWATCH GROUP AG ADR UNSOLICITED	Trade#67984	Blot:85	518.000	\$91.374	-31.781 94
01/29/18	01/30/18	BOUGHT	TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON	Trade#67835	Blot:85	167.000	\$148.051	-29.186 13
01/29/18	01/30/18	BOUGHT	SCHNEIDER ELEC SE UNSPONSORED ADR	Trade#67957	Blot:85	282.000	\$49.226	-13.881 76
01/29/18	01/30/18	BOUGHT	SIX FLAGS ENTERTAINMENT CORP	Trade#26833	Blot:85	910.000	\$13.477	-12.547 30
01/29/18	01/30/18	BOUGHT	REINSURANCE GROUP AMER INC NEW	Trade#56130	Blot:85	808.000	\$15.470	-12.537 26
01/29/18	01/30/18	BOUGHT	BBAT CORP UNSOLICITED	Trade#26834	Blot:85	667.000	\$62.058	-40.771 97
01/29/18	01/30/18	BOUGHT	RENUVALT SA UNSPONSORED ADR	Trade#68022	Blot:85	416.000	\$96.190	-20.074 70
01/29/18	01/30/18	BOUGHT	PUBLICIS GROUPE S.A. NEW SPON ADR	Trade#67944	Blot:85	618.000	\$94.576	-15.308 82
01/29/18	01/30/18	BOUGHT	ONEOK INC NEW UNSOLICITED	Trade#26837	Blot:85	408.000	\$86.827	-40.861 09
01/29/18	01/30/18	BOUGHT	OCCIDENTAL PETROLEUM CRP UNSOLICITED	Trade#58004	Blot:85	181.000	\$42.227	-29.136 91
01/29/18	01/30/18	BOUGHT	OCCIDENTAL PETROLEUM CRP UNSOLICITED	Trade#26835	Blot:85	660.000	\$44.785	-20.660 54
01/29/18	01/30/18	BOUGHT	NXP SEMICONDUCTORS N V COM EUR	Trade#57933	Blot:85	3,880.000	\$7.940	-30.419 20
01/29/18	01/30/18	BOUGHT	MORGAN STANLEY UNSOLICITED	Trade#57543	Blot:85	102.000	\$268.410	-29.215 84
01/29/18	01/30/18	BOUGHT	METLIFE INC UNSOLICITED	Trade#58877	Blot:85	234.000	\$5.999	-30.517 42
01/29/18	01/30/18	BOUGHT	MARSH & SPENCER GROUP INC SPON ADR	Trade#68381	Blot:85	726.000	\$98.080	-20.144 97
01/29/18	01/30/18	BOUGHT	LOCKHEED MARTIN CORP UNSOLICITED	Trade#57783	Blot:85	1,672.000	\$31.488	-22.858 91
01/29/18	01/30/18	BOUGHT	KINGFISHER PLC NEW SPON ADR	Trade#67989	Blot:85	767.000	\$17.990	-28.410 48
01/29/18	01/30/18	BOUGHT	LYONDELBASELL INDUSTRIES N V SHS - A -	Trade#68068	Blot:85	386.000	\$29.755	-15.189 94
01/29/18	01/30/18	BOUGHT	IMPERIAL BRANDS PLC SPON ADR	Trade#68061	Blot:85	881.000	\$63.877	-64.356 33
01/29/18	01/30/18	BOUGHT	HOST HOTELS & RESORTS INC (REIT)	Trade#67942	Blot:85	6,028.000	\$2.280	-13.458 75
01/29/18	01/30/18	BOUGHT	HONDA MOTOR CO ADR JAPAN ADR	Trade#67942	Blot:85	285.000	\$110.147	-29.188 98
01/29/18	01/30/18	BOUGHT	HSBC HOLDINGS PLC NEW GB SPON ADR	Trade#67868	Blot:85	804.000	\$62.020	-40.594 75
01/29/18	01/30/18	BOUGHT	CITIGROUP INC UNSOLICITED	Trade#26840	Blot:85	1,392.000	\$21.029	-29.272 51
01/29/18	01/30/18	BOUGHT	FIRST PAC CO LTD SPONS ADR ADR	Trade#57988	Blot:85	147.000	\$89.599	-14.198 51
01/29/18	01/30/18	BOUGHT	WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade#57198	Blot:85	3,550.000	\$5.337	-18.947 42
01/29/18	01/30/18	BOUGHT	COCA COLA EUROPEAN PARTNERS EUR	Trade#26837	Blot:85	828.000	\$35.407	-40.364 44
01/29/18	01/30/18	BOUGHT	COMERICA INC UNSOLICITED	Trade#67591	Blot:85	488.000	\$58.388	-27.517 30
01/29/18	01/30/18	BOUGHT	COVAGRA BRANDS, INC. UNSOLICITED	Trade#58324	Blot:85	750.000	\$38.137	-28.984 42
01/29/18	01/30/18	BOUGHT	CELANESE CORP NEW UNSOLICITED	Trade#67908	Blot:85			
01/29/18	01/30/18	BOUGHT	CELEX S.A.B. DE C.V. SPON ADR	Trade#67942	Blot:85			
01/29/18	01/30/18	BOUGHT	CITIZENS FINANCIAL GROUP INC	Trade#67922	Blot:85			
01/29/18	01/30/18	BOUGHT	CITIZENS FINANCIAL GROUP INC	Trade#26867	Blot:85			
01/29/18	01/30/18	BOUGHT	CARNIVAL CORP NEW (PAIRED STOCK)	Trade#26839	Blot:85			
01/29/18	01/30/18	BOUGHT	GENERAL MOTORS CO UNSOLICITED	Trade#66257	Blot:85			

01/28/19	01/30/19 BOUGHT	CREDIT SUISSE GROUP SPON ADR	Trades:57/47	Bkt:66	2,143,000	ETH-0629K7	-29,310.25
01/28/19	01/30/19 BOUGHT	EATON CORP P.L.C. UNSOLICITED	Trades:23/66	Bkt:65	222,000	ETH-061FP1	-15,364.17
01/28/19	01/30/19 BOUGHT	BIC SA ADR UNSOLICITED	Trades:36/21	Bkt:65	168,000	BICEY-04A4G3	-7,624.69
01/28/19	01/30/19 BOUGHT	BCE INC NEW CAD UNSOLICITED	Trades:25/53	Bkt:65	631,000	BCEY-0469F6	-26,809.61
01/28/19	01/30/19 BOUGHT	AMGEN INC UNSOLICITED	Trades:56/101	Bkt:65	162,000	AMGN-0238Z5	-20,018.17
01/28/19	01/30/19 BOUGHT	AEGION NV ADR N.Y. SHS NETHERLANDS ADR	Trades:2/6339	Bkt:65	4,822,000	AEG-071Z14	-25,630.41
01/28/19	01/30/19 BOUGHT	ALLSTATE CORP UNSOLICITED	Trades:57/78	Bkt:65	340,000	ALL-0065F8	-29,156.02
01/17/19	01/22/19 BOUGHT	ORACLE CORP UNSOLICITED	Trades:21/62	Bkt:65	268,000	ORCL-3/8376Z	-14,472.73
01/17/19	01/22/19 BOUGHT	FOOT LOCKER INC UNSOLICITED	Trades:4/67	Bkt:65	255,000	FL-2B54V3	-14,485.69
01/04/19	01/08/19 BOUGHT	ENBRIDGE INC CAD UNSOLICITED	Trades:33/109	Bkt:65	423,000	ENB-1723C2	-13,786.86

Entry Date	Symbol	Trade Date	Activity	Description	Symbol	Trade Date	Quantity	Price	Amount
05/13/19	J. SAINSBURY PLC SPON ADR	05/13/19	CANCEL BUY	J. SAINSBURY PLC SPON ADR TRADE DATE: 05/10/2019 Trade:0285 Bkt:05	JSAUY-241A05		-428.000	\$11.070	6,963.03
12/23/19	12/23/19 SOLD			UNILEVER NV N.Y. \$HS NEW NETHERLANDS SPON ADR Trade:76872 Bkt:05	UN-467324		-220.000	\$67.437	12,635.05
12/18/19	12/23/19 SOLD			WARRIPOOL CORP UNSOLICITED Trade:43567 Bkt:05	WHR-508129		-3.000	\$144.290	433.77
12/18/19	12/23/19 SOLD			VERIZON COMMUNICATIONS INC Trade:43561 Bkt:05	VZ-462843		-8.000	\$90.795	486.35
12/18/19	12/23/19 SOLD			TARGET CORP UNSOLICITED Trade:43562 Bkt:05	TGT-462102		-5.000	\$127.570	638.34
12/18/19	12/23/19 SOLD			TAIWAN SEMICONDUCTOR MFG CO LTD ADR Trade:43571 Bkt:05	TSM-461AF4		-4.000	\$97.910	463.27
12/18/19	12/23/19 SOLD			TEXAS INSTRUMENTS UNSOLICITED Trade:43563 Bkt:05	TXN-463587		-8.000	\$125.960	503.96
12/18/19	12/23/19 SOLD			SABRE CORP UNSOLICITED Trade:43565 Bkt:05	SABR-408RR9		-4.000	\$22.633	90.82
12/18/19	12/23/19 SOLD			REGIONS FINANCIAL CORP UNSOLICITED Trade:43564 Bkt:05	RF-3682ND		-16.000	\$17.290	276.63
12/18/19	12/23/19 SOLD			PRIZER INC UNSOLICITED Trade:43565 Bkt:05	PFE-370011		-10.000	\$38.860	388.49
12/18/19	12/23/19 SOLD			OLD REPUBLIC INTL CORP UNSOLICITED Trade:43581 Bkt:05	ORL-560182		-11.000	\$22.210	244.30
12/18/19	12/23/19 SOLD			MAGNA INTL INC CL A SUB VTO CANADA ORD Trade:46542 Bkt:05	MGA-272612		-9.000	\$66.170	606.82
12/18/19	12/23/19 SOLD			LANAR ADVERTISING CO NEW CL A Trade:43574 Bkt:05	LANAR-264867		-2.000	\$98.877	173.94
12/18/19	12/23/19 SOLD			ABBVIE INC COM UNSOLICITED Trade:43563 Bkt:05	ABBV-230LD1		-4.000	\$98.176	396.31
12/18/19	12/23/19 SOLD			HOME DEPOT INC UNSOLICITED Trade:43560 Bkt:05	HD-211634		-2.000	\$219.422	438.83
12/18/19	12/23/19 SOLD			CITIGROUP INC UNSOLICITED Trade:43569 Bkt:05	C-304674		-7.000	\$76.193	547.34
12/18/19	12/23/19 SOLD			GILEAD SCIENCES INC UNSOLICITED Trade:43568 Bkt:05	GILD-166660		-12.000	\$66.688	486.95
12/18/19	12/23/19 SOLD			ENERGIDE INC CAD UNSOLICITED Trade:43565 Bkt:05	ENB-1726C2		-2.000	\$39.865	467.46
12/18/19	12/23/19 SOLD			BROADCOM INC UNSOLICITED Trade:43565 Bkt:05	AVCO-1562B8		-2.000	\$326.975	653.94
12/18/19	12/23/19 SOLD			FIDELITY NATIONAL FINANCIAL INC COM Trade:43547 Bkt:05	FINF-144A34		-9.000	\$45.915	413.23
12/18/19	12/23/19 SOLD			DELTA AIR LINES INC DELA NEW Trade:43561 Bkt:05	DAL-1226P1		-11.000	\$59.895	645.31
12/18/19	12/23/19 SOLD			COCA COLA EUROPEAN PARTNERS ELUR Trade:43565 Bkt:05	CCEP-1168U8		-8.000	\$49.889	448.81
12/18/19	12/23/19 SOLD			CLIMAX INC UNSOLICITED Trade:43560 Bkt:05	CLM-117073		-3.000	\$179.272	537.81
12/18/19	12/23/19 SOLD			GRONIN CASTLE INTL CORP REIT Trade:43564 Bkt:05	CGI-114782		-2.000	\$759.502	1776.99
12/18/19	12/23/19 SOLD			CITIZENS FINANCIAL GROUP INC Trade:43565 Bkt:05	CFG-064525		-14.000	\$40.513	567.17
12/18/19	12/23/19 SOLD			BRUKER PPTY GROUP INC REIT Trade:43569 Bkt:05	BRK-076007		-15.000	\$21.530	322.94
12/18/19	12/23/19 SOLD			BATON CORP PFC UNSOLICITED Trade:43565 Bkt:05	ETN-061F71		-5.000	\$94.385	471.82
12/18/19	12/23/19 SOLD			BCE INC NEW CAD UNSOLICITED Trade:43564 Bkt:05	BCE-046876		-8.000	\$46.280	370.07
12/18/19	12/23/19 SOLD			ACS CORP UNSOLICITED Trade:43575 Bkt:05	AES-027723		-23.000	\$19.153	440.51
12/18/19	12/23/19 SOLD			KIMCO REALTY CORP UNSOLICITED Trade:43565 Bkt:05	KIM-247936		-16.000	\$20.955	336.82
12/18/19	12/23/19 SOLD			JPMORGAN CHASE & CO UNSOLICITED Trade:43579 Bkt:05	JPM-241JF2		-3.000	\$181.712	413.18
12/18/19	12/23/19 SOLD			LYONDELLBASELL INDUSTRIES NV \$HS - A - Trade:43573 Bkt:05	LVB-236C21		-5.000	\$99.090	475.14
12/18/19	12/23/19 SOLD			SCHNEIDER ELECG BE UNSPONSORED ADR Trade:76699 Bkt:05	SABRE-408RR9		-134.000	\$20.795	2,781.16
12/18/19	12/23/19 SOLD			SABRE CORP UNSOLICITED Trade:43563 Bkt:05	SABR-408RR9		-291.000	\$22.472	6,789.37
12/18/19	12/23/19 SOLD			LANAR ADVERTISING CO NEW CL A Trade:43574 Bkt:05	LANAR-264867		-237.000	\$8.482	2,016.34
12/18/19	12/23/19 SOLD			COMPAGNIE DE SAINT-GOBAIN ADR Trade:73839 Bkt:05	CODVY-0747R9		-75.000	\$86.193	6,467.88
12/18/19	12/23/19 SOLD			SINOPEC CORP UNSOLICITED Trade:43565 Bkt:05	SINV-408219		-21.000	\$46.505	1,036.66
12/18/19	12/23/19 SOLD			ORFILA GROUP AG UNSOLICITED Trade:43565 Bkt:05	ORF-078947		-240.000	\$39.298	9,424.05
12/18/19	12/23/19 SOLD			USF GROUP AG UNSOLICITED Trade:43565 Bkt:05	USF-078947		-2,336.000	\$11.962	27,820.99
12/18/19	12/23/19 SOLD			TOTAL S.A. FRANCE SPON ADR Trade:77918 Bkt:05	TOT-470326		-399.000	\$62.653	19,028.67
12/18/19	12/23/19 SOLD			TAKEDA PHARMACEUTICAL CO LTD SPON ADR Trade:77929 Bkt:05	TAK-470326		-446.000	\$82.023	19,028.67
12/18/19	12/23/19 SOLD			ORANGE SPON ADR UNSOLICITED Trade:17827 Bkt:05	ORAN-466049		-475.000	\$14.745	6,994.42
12/18/19	12/23/19 SOLD			SHANTON GROUP AG ADR UNSOLICITED Trade:17828 Bkt:05	SHGANV-4471K9		-1,610.000	\$13.866	22,306.90
12/18/19	12/23/19 SOLD			REPSOL SA SPON ADR UNSOLICITED Trade:17839 Bkt:05	REP-401186		-2,442.000	\$15.810	32,362.98
12/18/19	12/23/19 SOLD			REVALUT SA UNSPONSORED ADR Trade:17841 Bkt:05	RNLSTY-3025F8		-1,330.000	\$9.090	12,850.14
12/18/19	12/23/19 SOLD			PUBLICIS GROUPE S.A. NEW SPON ADR Trade:17837 Bkt:05	PUBGOV-3606R4		-2,730.000	\$10.927	29,890.91
12/18/19	12/23/19 SOLD			MISBAY INTL LTD SPONS ADR JAPAN ADR Trade:17821 Bkt:05	MSBANV-3356Z2		-1,526.000	\$12.470	19,016.38
12/18/19	12/23/19 SOLD			NOKIA CORP SPONS ADR FINLAND ADR Trade:17820 Bkt:05	NOK-3356Z2		-5,278.000	\$3.422	18,064.38
12/18/19	12/23/19 SOLD			MUTSUSHIHI JFY FINANCIAL GROUP INC SPON ADR Trade:17831 Bkt:05	MUFG-306LC0		-1,097.000	\$6.426	9,026.04
12/18/19	12/23/19 SOLD			MATRIKS & SPENCER GROUP INC SPON ADR Trade:17844 Bkt:05	MANSKY-2487S3		-3,890.000	\$5.390	20,912.77
12/18/19	12/23/19 SOLD			KINGFISHER PLC NEW SPON ADR Trade:17835 Bkt:05	KCFHY-2489P0		-5,087.000	\$5.551	28,237.39
12/18/19	12/23/19 SOLD			J. SAINSBURY PLC SPON ADR Trade:17822 Bkt:05	JSAUY-241A05		-1,726.000	\$11.580	19,886.67
12/18/19	12/23/19 SOLD			IMPERIAL BRANDS PLC SPON ADR Trade:17820 Bkt:05	IMBRY-221CP6		-498.000	\$23.030	11,466.82
12/18/19	12/23/19 SOLD			HONDA MOTOR CO ADR JAPAN ADR Trade:17818 Bkt:05	HMC-212377		-767.000	\$23.782	18,225.26
12/18/19	12/23/19 SOLD			HSBC HOLDINGS PLC NEW GB SPON ADR Trade:17833 Bkt:05	H8BC-2109V1		-396.000	\$39.762	15,674.43
12/18/19	12/23/19 SOLD			EMEREAER S A SPON ADR UNSOLICITED Trade:17823 Bkt:05	ERL-1852J0		-1,469.000	\$17.733	25,872.34
12/18/19	12/23/19 SOLD			FIRST PAC CO LTD SPONS ADR ADR Trade:17847 Bkt:05	FPMFY-1824J2		-5,026.000	\$1.540	8,242.97

12/10/18	12/12/18 SOLD	ENEL CHILE SA SPON ADR UNSOLICITED	Trade#17934	Bkt:85	ENIC-149819	1	-3,520.000	\$4,931	18,017.79
12/10/18	12/12/18 SOLD	ENI SPA IT SPON ADR UNSOLICITED	Trade#17928	Bkt:85	E-1369021	1	-735.000	\$3,172	22,178.11
12/10/18	12/12/18 SOLD	CEMEX S.A.B. DE C.V. SPON ADR	Trade#17928	Bkt:85	CK-089223	1	-6,395.000	\$3,543	22,657.66
12/10/18	12/12/18 SOLD	CHINA MOBILE LTD SPON ADR	Trade#17924	Bkt:85	GH-082453	1	-683.000	\$97,953	21,367.27
12/10/18	12/12/18 SOLD	CAREFOUR SA SPON ADR UNSOLICITED	Trade#17940	Bkt:85	CRFRY-008L.Q1	1	-9,684.000	\$3,303	32,573.19
12/10/18	12/12/18 SOLD	BIC SA ADR UNSOLICITED	Trade#17935	Bkt:85	BICEY-046C39	1	-300.000	\$34,090	10,225.82
12/10/18	12/12/18 SOLD	BARCLAYS PLC ADR UNSOLICITED	Trade#17932	Bkt:85	BCS-042620	1	-1,814.000	\$5,695	18,207.75
12/10/18	12/12/18 SOLD	AEGION NV ADR NY SHS NETHERLANDS ADR	Trade#17925	Bkt:85	AEGD14214	1	-4,595.000	\$4,452	20,323.67
12/09/18	12/11/18 SOLD	TOTAL S.A. FRANCE SPON ADR	Trade#17908	Bkt:85	TO1-476335	1	-940.000	\$62,602	49,491.96
12/09/18	12/11/18 SOLD	ROPER TECHNOLOGIES INC UNSOLICITED	Trade#16762	Bkt:85	ROP-446122	1	-45.000	\$348,196	16,069.49
12/09/18	12/11/18 SOLD	PROGRESSIVE CORP OHIO UNSOLICITED	Trade#16766	Bkt:85	PRG-394940	1	-377.000	\$73,225	27,065.26
12/09/18	12/11/18 SOLD	PINNACLE WEST CAP CORP UNSOLICITED	Trade#16767	Bkt:85	PWN-367613	1	-162.000	\$66,770	13,036.77
12/09/18	12/11/18 SOLD	PHILIP MORRIS INTL INC UNSOLICITED	Trade#16777	Bkt:85	PM-364548	1	-199.000	\$63,630	16,642.05
12/09/18	12/11/18 SOLD	OCCIDENTAL PETROLEUM CRP UNSOLICITED	Trade#17593	Bkt:85	OKY-346497	1	-613.000	\$37,485	22,877.83
12/09/18	12/11/18 SOLD	M & T BANK CORP UNSOLICITED	Trade#16763	Bkt:85	MTC-478237	1	-98.000	\$166,290	14,633.23
12/09/18	12/11/18 SOLD	MARATHON PETROLEUM CO UNSOLICITED	Trade#16761	Bkt:85	MRC-4729613	1	-343.000	\$59,279	14,404.45
12/09/18	12/11/18 SOLD	EXELON CORP UNSOLICITED	Trade#16763	Bkt:85	DOX-173801	1	-255.000	\$63,150	13,652.97
12/09/18	12/11/18 SOLD	EOG RESOURCES INC UNSOLICITED	Trade#16749	Bkt:85	EOG-1426C1	1	-321.000	\$44,916	14,418.26
12/09/18	12/11/18 SOLD	DARDEN RESTAURANTS INC UNSOLICITED	Trade#16764	Bkt:85	EOG-121258	1	-169.000	\$74,215	14,026.29
12/09/18	12/11/18 SOLD	EXPEORTERS INTL WASH INC UNSOLICITED	Trade#16769	Bkt:85	DRI-1175A1	1	-121.000	\$118,765	14,370.27
12/09/18	12/11/18 SOLD	DIAGEO PLC NEWGB SPON ADR	Trade#16743	Bkt:85	DEO-116750	1	-202.000	\$73,484	14,645.46
12/09/18	12/11/18 SOLD	COLUMBIA SPORTSWEAR CO UNSOLICITED	Trade#16765	Bkt:85	CMA-103373	1	-213.000	\$71,672	14,721.39
12/09/18	12/11/18 SOLD	CHEVRON CORP UNSOLICITED	Trade#16759	Bkt:85	CXL-065842	1	-146.000	\$91,810	13,403.98
12/09/18	12/11/18 SOLD	CISCO SYSTEMS INC UNSOLICITED	Trade#16749	Bkt:85	CXA-002693	1	-398.000	\$117,679	45,384.52
12/09/18	12/11/18 SOLD	CISCO SYSTEMS INC UNSOLICITED	Trade#16749	Bkt:85	CSCO-091430	1	-41.000	\$44,260	15,614.21
12/09/18	12/11/18 SOLD	GENERAL MOTORS CO UNSOLICITED	Trade#16750	Bkt:85	GMA-086123	1	-278.000	\$43,985	12,227.58
11/29/18	11/27/18 SOLD	JOHNSON & JOHNSON COM UNSOLICITED	Trade#163416	Bkt:85	JNL-239801	1	-382.000	\$35,442	13,882.82
11/29/18	11/27/18 SOLD	EXELON CORP UNSOLICITED	Trade#16351	Bkt:85	EXC-1425C1	1	-42.000	\$137,379	8,517.31
11/29/18	11/25/18 SOLD	CENTERPOINT ENERGY INC (HLDGS CO)	Trade#16337	Bkt:85	CNP-078719	1	-310.000	\$44,223	13,708.76
11/29/18	11/25/18 SOLD	SYSCO CORP UNSOLICITED	Trade#16337	Bkt:85	SRY-446117	1	-1,533.000	\$24,462	32,466.31
11/14/18	11/16/18 SOLD	DAI NIPPON PRINTING CO SPON ADR	Trade#16369	Bkt:85	DNP-LY-119J43	1	-209.000	\$80,339	37,469.31
11/14/18	11/16/18 SOLD	VALEO ENERGY CORP NEW UNSOLICITED	Trade#16365	Bkt:85	VLO-468482	1	-606.000	\$13,708	16,780.44
11/14/18	11/16/18 SOLD	RELJANCE STEEL & ALUMINUM CO	Trade#16346	Bkt:85	RS-363487	1	-216.000	\$100,879	21,789.50
10/16/18	10/18/18 SOLD	HONEYWELL INTL INC UNSOLICITED	Trade#16346	Bkt:85	HON-212440	1	-146.000	\$112,626	18,472.31
10/16/18	10/18/18 SOLD	PUBLIC SERVICE ENTERPRISE GROUP INC	Trade#164794	Bkt:85	UTX-469403	1	-89.000	\$178,391	15,769.47
10/16/18	10/18/18 SOLD	WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade#16325	Bkt:85	PEG-365531	1	-74.000	\$142,298	10,629.95
10/06/18	10/04/18 SOLD	WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade#16325	Bkt:85	ETH-129468	1	-282.000	\$91,536	17,968.17
10/06/18	10/04/18 SOLD	ROYAL DUTCH SHELL PLC A SPON ADR	Trade#16369	Bkt:85	ETH-0611P1	1	-61.000	\$130,501	7,980.42
10/02/18	10/02/18 SOLD	TARGET CORP UNSOLICITED	Trade#17064	Bkt:85	RDSA-406349	1	-133.000	\$56,775	7,551.07
09/29/18	09/30/18 SOLD	WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade#16339	Bkt:85	TGT-462162	1	-141.000	\$106,502	14,675.46
09/29/18	09/30/18 SOLD	VERIZON COMMUNICATIONS INC	Trade#16365	Bkt:85	DG-129468	1	-44.000	\$130,671	6,748.39
09/29/18	09/30/18 SOLD	TOTAL S.A. FRANCE SPON ADR	Trade#16365	Bkt:85	VZ-4625A3	1	-10.000	\$90,291	6,022.90
09/29/18	09/30/18 SOLD	TARGET CORP UNSOLICITED	Trade#17062	Bkt:85	TOT-470325	1	-12.000	\$51,030	6,123.36
09/29/18	09/30/18 SOLD	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	Trade#17111	Bkt:85	TGT-462162	1	-1.000	\$106,526	106.52
09/29/18	09/30/18 SOLD	TEXAS INSTRUMENTS UNSOLICITED	Trade#17117	Bkt:85	TSM-461AF4	1	-9.000	\$46,446	409.00
09/29/18	09/30/18 SOLD	SABRE CORP UNSOLICITED	Trade#17064	Bkt:85	TXN-463587	1	-1.000	\$127,440	127.46
09/29/18	09/30/18 SOLD	REGIONAL FINANCIAL CORP UNSOLICITED	Trade#17102	Bkt:85	SAIR-4068R9	1	-17.000	\$22,940	389.97
09/29/18	09/30/18 SOLD	PRIZER INC UNSOLICITED	Trade#17065	Bkt:85	RF-3682NO	1	-13.000	\$16,020	208.25
09/29/18	09/30/18 SOLD	OLD REPUBLIC INTL CORP UNSOLICITED	Trade#17067	Bkt:85	PE-370011	1	-7.000	\$26,080	282.55
09/29/18	09/30/18 SOLD	OCCIDENTAL PETROLEUM CRP UNSOLICITED	Trade#17090	Bkt:85	GRY-560162	1	-12.000	\$23,390	280.31
09/29/18	09/30/18 SOLD	MAGNA INTL INC CL A SUB VTG CANADA ORD	Trade#17090	Bkt:85	QRY-546497	1	-5.000	\$44,888	324.43
09/29/18	09/30/18 SOLD	LAMAR ADVERTISING CO NEW CL A	Trade#17097	Bkt:85	LAGN-254687	1	-17.000	\$52,425	581.21
09/29/18	09/30/18 SOLD	ASBIE INC COM UNSOLICITED	Trade#17093	Bkt:85	AAEV-460LD1	1	-1.000	\$73,420	411.98
09/29/18	09/30/18 SOLD	JP MORGAN CHASE & CO UNSOLICITED	Trade#17092	Bkt:85	KIM-247628	1	-25.000	\$30,313	597.90
09/29/18	09/30/18 SOLD	LYONDELLBASELL INDUSTRIES NV BHS - A -	Trade#17113	Bkt:85	JPM-241JP2	1	-4.000	\$117,635	707.50
09/29/18	09/30/18 SOLD	HUNTINGTON BANCSHARES UNSOLICITED	Trade#17104	Bkt:85	LYB-259C21	1	-6.000	\$96,046	434.72
09/29/18	09/30/18 SOLD	HOME DEPOT INC UNSOLICITED	Trade#17104	Bkt:85	HBA-216976	1	-31.000	\$14,340	444.53
09/29/18	09/30/18 SOLD	GILEAD SCIENCES INC UNSOLICITED	Trade#17091	Bkt:85	HD-2116S4	1	-2.000	\$229,190	459.16
09/29/18	09/30/18 SOLD	ENBRIDGE INC CAD UNSOLICITED	Trade#17098	Bkt:85	C-2046274	1	-4.000	\$69,395	416.36
09/29/18	09/30/18 SOLD	BROADCOM INC CAD UNSOLICITED	Trade#17101	Bkt:85	GILD-166990	1	-4.000	\$63,966	266.95
09/29/18	09/30/18 SOLD	COCA COLA EUROPEAN PARTNERS ELR	Trade#17106	Bkt:85	ENB-1723C2	1	-18.000	\$35,420	966.71
09/29/18	09/30/18 SOLD	CUMMINS INC UNSOLICITED	Trade#17093	Bkt:85	AVCO-1662D8	1	-1.000	\$273,210	273.21
09/29/18	09/30/18 SOLD	CITIZENS FINANCIAL GROUP INC	Trade#17106	Bkt:85	CCER-1185J8	1	-8.000	\$55,916	447.32
09/29/18	09/30/18 SOLD	CROWN CASTLE INTL CORP REIT	Trade#17106	Bkt:85	CML-117013	1	-4.000	\$180,735	642.93
09/29/18	09/30/18 SOLD	CISCO SYSTEMS INC UNSOLICITED	Trade#17115	Bkt:85	CCI-114762	1	-5.000	\$140,306	704.02
09/29/18	09/30/18 SOLD	CENTERPOINT ENERGY INC (HLDGS CO)	Trade#17118	Bkt:85	CFG-094625	1	-7.000	\$35,460	339.94
09/29/18	09/30/18 SOLD				CNP-078719	1	-15.000	\$30,463	408.93

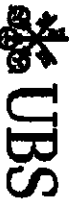
08/28/18	08/30/18 SOLD	BRIMOR PTY GROUP INC REIT	Trade#21078	Blot:85	-10.000	\$18,916	189.14
08/28/18	08/30/18 SOLD	EATON CORP PLC UNSOLICITED	Trade#21088	Blot:85	-4.000	\$82,842	389.12
08/28/18	08/30/18 SOLD	BCE INC NEW CAD UNSOLICITED	Trade#21079	Blot:85	-7.000	\$48,475	339.26
08/28/18	08/30/18 SOLD	AES CORP UNSOLICITED	Trade#21110	Blot:85	-13.000	\$16,182	209.88
08/18/18	08/18/18 SOLD	PRIZER INC UNSOLICITED	Trade#28550	Blot:85	-484.000	\$36,828	17,824.88
08/13/18	08/17/18 SOLD	LOCKHEED MARTIN CORP UNSOLICITED	Trade#94270	Blot:85	-9.000	\$388,836	3,070.62
08/13/18	08/17/18 SOLD	AGILENT TECHNOLOGIES INC UNSOLICITED	Trade#94569	Blot:85	-22.000	\$77,740	1,770.24
08/13/18	08/17/18 SOLD	LANAB WESTON HLDGS INC UNSOLICITED	Trade#96118	Blot:85	-25.000	\$72,131	1,803.23
08/13/18	08/17/18 SOLD	KANSAS CITY STNH NEW UNSOLICITED	Trade#94034	Blot:85	-14.000	\$133,013	1,862.16
08/13/18	08/17/18 SOLD	CROWN CASTLE INTL CORP REIT	Trade#92416	Blot:85	-12.000	\$136,020	1,632.21
08/13/18	08/17/18 SOLD	CELANESE CORP NEW UNSOLICITED	Trade#93032	Blot:85	-27.000	\$128,350	3,411.38
08/13/18	08/17/18 SOLD	EATON CORP PLC UNSOLICITED	Trade#93432	Blot:85	-50.000	\$87,087	4,384.28
08/13/18	08/17/18 SOLD	COMCAST CORP NEW CL A UNSOLICITED	Trade#92310	Blot:85	-87.000	\$48,915	4,081.54
08/13/18	08/17/18 SOLD	AT&T INC UNSOLICITED	Trade#92877	Blot:85	-91.000	\$37,780	3,437.94
08/13/18	08/17/18 SOLD	TC ENERGY CORP CAD UNSOLICITED	Trade#96069	Blot:85	-323.000	\$50,137	16,183.76
08/08/18	08/10/18 SOLD	PACKAGING CORP OF AMERICA	Trade#90889	Blot:85	-154.000	\$100,230	15,435.10
08/27/18	08/28/18 SOLD	TARGET CORP UNSOLICITED	Trade#95284	Blot:85	-115.000	\$108,085	12,197.21
08/27/18	08/28/18 SOLD	PHILIP MORRIS INTL INC UNSOLICITED	Trade#93989	Blot:85	-164.000	\$127,001	11,807.91
08/22/18	08/28/18 SOLD	MERCK & CO INC NEW COM UNSOLICITED	Trade#95323	Blot:85	-85.000	\$88,875	7,384.25
08/21/18	08/23/18 SOLD	TARGET CORP UNSOLICITED	Trade#23229	Blot:85	-319.000	\$102,043	22,346.98
08/18/18	08/21/18 SOLD	GENL ELECTRIC CO UNSOLICITED	Trade#48648	Blot:85	-678.000	\$83,848	8,846.88
08/18/18	08/20/18 SOLD	VERIZON COMMUNICATIONS INC	Trade#95983	Blot:85	-257.000	\$85,323	14,474.74
08/18/18	08/20/18 SOLD	ROYAL DUTCH SHELL PLC CL A SPON ADR	Trade#98241	Blot:85	-333.000	\$85,402	15,164.92
08/12/18	08/14/18 SOLD	METLIFE INC UNSOLICITED	Trade#02139	Blot:85	-291.000	\$36,182	10,228.88
08/08/18	08/13/18 SOLD	PRIZER INC UNSOLICITED	Trade#98948	Blot:85	-288.000	\$82,803	14,070.43
08/08/18	08/13/18 SOLD	US BANCORP DEL (NEW) UNSOLICITED	Trade#93008	Blot:85	-328.000	\$13,439	7,086.89
08/08/18	08/13/18 SOLD	TELEFONICA BRASIL SA SPON ADR	Trade#92785	Blot:85	-168.000	\$104,244	17,304.08
08/02/18	08/13/18 SOLD	ALLSTATE GROUP UNSOLICITED	Trade#97428	Blot:85	-521.000	\$16,407	8,679.34
08/02/18	08/12/18 SOLD	SCHNEIDER ELECT SE UNSPONSORED ADR	Trade#75608	Blot:85	-265.000	\$48,407	14,574.88
08/01/18	08/05/18 SOLD	TOTAL S.A. FRANCE SPON ADR	Trade#95101	Blot:85	-109.000	\$183,108	14,808.28
08/01/18	08/05/18 SOLD	KIA CORP UNSOLICITED	Trade#98846	Blot:85	-285.000	\$61,287	13,685.90
08/01/18	08/05/18 SOLD	TOTAL S.A. FRANCE SPON ADR	Trade#03897	Blot:85	-35.000	\$214,888	7,620.92
08/01/18	08/05/18 SOLD	APPLE INC UNSOLICITED	Trade#94892	Blot:85	-104.000	\$83,860	8,700.48
07/30/18	08/01/18 SOLD	MERCK & CO INC NEW COM UNSOLICITED	Trade#90871	Blot:85	-40.000	\$111,879	14,991.80
07/30/18	08/01/18 SOLD	WALMART INC UNSOLICITED	Trade#98109	Blot:85	-134.000	\$207,981	8,318.08
07/28/18	07/31/18 SOLD	WALMART INC UNSOLICITED	Trade#04728	Blot:85	-169.000	\$112,827	18,984.88
07/28/18	07/31/18 SOLD	US BANCORP DEL (NEW) UNSOLICITED	Trade#98538	Blot:85	-48.000	\$85,821	4,172.13
07/28/18	07/31/18 SOLD	TARGET CORP UNSOLICITED	Trade#97371	Blot:85	-542.000	\$13,640	7,501.01
07/28/18	07/31/18 SOLD	TELEFONICA BRASIL SA SPON ADR	Trade#92883	Blot:85	-16.000	\$103,392	1,664.23
07/28/18	07/31/18 SOLD	RELANCE STEEL & ALUMINIUM CO	Trade#99148	Blot:85	-81.000	\$88,413	3,883.13
07/28/18	07/31/18 SOLD	MEDTRONIC CORP UNSOLICITED	Trade#98710	Blot:85	-54.000	\$102,688	6,564.92
07/28/18	07/31/18 SOLD	KIA CORP UNSOLICITED	Trade#98891	Blot:85	-27.000	\$140,225	3,756.89
07/28/18	07/31/18 SOLD	JP MORGAN CHASE & CO UNSOLICITED	Trade#96931	Blot:85	-45.000	\$116,430	5,239.24
07/28/18	07/31/18 SOLD	HOST HOTELS & RESORTS INC (REIT)	Trade#23234	Blot:85	-789.000	\$17,828	13,806.91
07/28/18	07/31/18 SOLD	BROADCOM INC UNSOLICITED	Trade#98857	Blot:85	-5.000	\$302,060	1,610.22
07/28/18	07/31/18 SOLD	AT&T INC UNSOLICITED	Trade#98916	Blot:85	-98.000	\$34,185	3,282.66
07/28/18	07/31/18 SOLD	ALLSTATE CORP UNSOLICITED	Trade#97735	Blot:85	-34.000	\$102,802	3,489.41
07/28/18	07/31/18 SOLD	KIA CORP UNSOLICITED	Trade#97078	Blot:85	-289.000	\$140,178	38,304.81
07/22/18	07/24/18 SOLD	QUEST DIAGNOSTICS INC UNSOLICITED	Trade#91457	Blot:85	-83.000	\$97,985	8,132.81
07/18/18	07/23/18 SOLD	NOKIA CORP SPONS ADR FINLAND ADR	Trade#92181	Blot:85	-5,387.000	\$5,189	27,063.71
07/18/18	07/23/18 SOLD	KANSAS CITY STNH NEW UNSOLICITED	Trade#07086	Blot:85	-130.000	\$117,101	15,888.34
07/18/18	07/23/18 SOLD	HONEYWELL INTL INC UNSOLICITED	Trade#94048	Blot:85	-221.000	\$88,735	17,842.15
07/18/18	07/23/18 SOLD	MENCKE & CO INC NEW COM UNSOLICITED	Trade#98281	Blot:85	-307.000	\$64,821	16,737.47
07/18/18	07/23/18 SOLD	MONDELEZ INTL INC UNSOLICITED	Trade#29178	Blot:85	-189.000	\$71,229	13,461.83
07/18/18	07/23/18 SOLD	COMERICA INC UNSOLICITED	Trade#13283	Blot:85	-388.000	\$36,108	13,815.26
07/18/18	07/23/18 SOLD	CITIZENS FINANCIAL GROUP INC	Trade#47871	Blot:85	-388.000	\$36,140	13,815.26
07/18/18	07/23/18 SOLD	GENERAL MOTORS CO UNSOLICITED	Trade#16489	Blot:85	-47.000	\$67,007	16,780.50
07/18/18	07/23/18 SOLD	CISCO SYSTEMS INC UNSOLICITED	Trade#97175	Blot:85	-47.000	\$274,646	12,817.59
07/08/18	07/11/18 SOLD	BROADCOM INC UNSOLICITED	Trade#77308	Blot:85	-78.000	\$201,071	15,883.21
07/08/18	07/11/18 SOLD	APPLE INC UNSOLICITED	Trade#70838	Blot:85	-326.000	\$43,882	17,719.74
07/08/18	07/08/18 SOLD	ROYAL DUTCH SHELL PLC CL A SPON ADR	Trade#93822	Blot:85	-508.000	\$34,982	16,137.88
07/08/18	07/08/18 SOLD	INTL PAPER CO UNSOLICITED	Trade#91784	Blot:85	-622.000	\$47,889	24,882.98
07/08/18	07/08/18 SOLD	KOHL'S CORP UNSOLICITED	Trade#93671	Blot:85	-326.000	\$43,887	14,310.19
08/27/18	07/01/18 SOLD	ROCHE HLDG LTD SPONS ADR SWITZ ADR	Trade#97216	Blot:85	-85.000	\$178,088	16,137.88
08/27/18	07/01/18 SOLD	RAYTHEON CO NEW UNSOLICITED	Trade#04588	Blot:85	-1,023.000	\$25,803	26,088.82
08/27/18	07/01/18 SOLD	CONAGRA BRANDS, INC. UNSOLICITED	Trade#93508	Blot:85	-321.000	\$45,287	14,638.88
08/14/18	08/18/18 SOLD	BRISTOL MYERS SQUIBB CO UNSOLICITED	Trade#78985	Blot:85	-880.000	\$84,285	42,414.81
08/08/18	08/10/18 SOLD	ONEOK INC NEW UNSOLICITED	Trade#09410	Blot:85	-431.000	\$48,088	30,872.37
		BBAT CORP UNSOLICITED	Trade#03382	Blot:85			

06/05/19	06/07/19	SOLD	OCCIDENTAL PETROLEUM CRP UNSOLICITED	Trade#07899	Bkt:85	027,000	\$47,170	13,095.76
06/04/19	06/04/19	SOLD	HOST HOTELS & RESORTS INC (REIT)	Trade# 44789	Bkt:85	-883,000	\$18,015	15,907.18
06/31/19	06/30/19	SOLD	FOOT LOCKER INC UNSOLICITED	Trade#06621	Bkt:85	-255,000	\$40,332	10,284.42
06/30/19	06/30/19	SOLD	ABB LTD SPON ADR UNSOLICITED	Trade#06334	Bkt:85	-1,170,000	\$18,357	21,477.13
06/22/19	06/22/19	SOLD	WALMART PISC LEVEL, 1 ADR PROGRAM (RUS)A SPON	Trade#21749	Bkt:85	-469,000	\$8,431	6,148.29
06/21/19	06/23/19	SOLD	OCCIDENTAL PETROL ELM CRP UNSOLICITED	Trade#17748	Bkt:85	-169,000	\$53,497	9,040.80
06/21/19	06/23/19	SOLD	MONDELEZ INTL INC UNSOLICITED	Trade#30792	Bkt:85	-112,000	\$52,111	5,835.28
06/21/19	06/23/19	SOLD	LOCKHEED MARTIN CORP UNSOLICITED	Trade#30791	Bkt:85	-13,000	\$343,737	4,488.48
06/21/19	06/23/19	SOLD	KANSAS CITY ETHN NEW UNSOLICITED	Trade#30798	Bkt:85	-36,000	\$122,902	4,297.98
06/21/19	06/23/19	SOLD	KONEVEYELL INTL INC UNSOLICITED	Trade#30799	Bkt:85	-21,000	\$189,710	3,693.85
06/21/19	06/23/19	SOLD	CHICO SYSTEMS INC UNSOLICITED	Trade#30793	Bkt:85	-59,000	\$58,412	3,328.23
06/08/19	06/13/19	SOLD	BEAT CORP UNSOLICITED	Trade#63534	Bkt:85	-328,000	\$48,381	15,957.76
06/08/19	06/10/19	SOLD	ANGEN INC UNSOLICITED	Trade#54314	Bkt:85	-163,000	\$172,950	26,173.89
06/03/19	06/07/19	SOLD	WALT DISNEY CO (HOLDING CO) BENEVEY CORP	Trade#81074	Bkt:85	-151,000	\$134,077	20,724.78
06/30/19	06/02/19	SOLD	TARGET CORP UNSOLICITED	Trade#28904	Bkt:85	-138,000	\$77,117	10,724.78
06/30/19	06/02/19	SOLD	TEXAS INSTRUMENTS UNSOLICITED	Trade#16834	Bkt:85	-83,000	\$117,146	8,722.84
06/29/19	06/01/19	SOLD	UMPQUA HOLDINGS CORP OR UNSOLICITED	Trade#83465	Bkt:85	-423,000	\$17,825	6,156.23
06/29/19	06/01/19	SOLD	UMPQUA HOLDINGS CORP OR UNSOLICITED	Trade#83465	Bkt:85	-289,000	\$10,700	5,043.00
06/29/19	06/01/19	SOLD	CARNIVAL CORP NEW/PAIRED STOCK	Trade#30407	Bkt:85	-488,000	\$54,787	28,735.60
06/29/19	06/01/19	SOLD	UMPQUA HOLDINGS CORP OR UNSOLICITED	Trade#83100	Bkt:85	-157,000	\$17,291	2,714.64
06/29/19	06/01/19	SOLD	HP INC UNSOLICITED	Trade#51275	Bkt:85	-1,075,000	\$18,382	20,636.01
06/29/19	06/01/19	SOLD	TESCO PLC SPONS ADR UNITED KINGDOM	Trade#55493	Bkt:85	-755,000	\$9,695	7,319.80
06/24/19	06/29/19	SOLD	TORONTO DOMINION BK NEW CANADA CAD	Trade#99915	Bkt:85	-414,000	\$55,514	22,982.27
06/24/19	06/29/19	SOLD	CONAGRA BRANDS, INC. UNSOLICITED	Trade#23773	Bkt:85	-389,000	\$31,027	11,448.63
06/16/19	06/18/19	SOLD	ANTHEM INC UNSOLICITED	Trade#58289	Bkt:85	-62,000	\$243,782	11,448.63
06/16/19	06/18/19	SOLD	DANIELI SANUKO CO LTD SPON ADR	Trade#01392	Bkt:85	-287,000	\$47,489	12,678.40
06/02/19	06/02/19	SOLD	AEGION NV ADR N Y SHS NETHERLANDS ADR	Trade#81106	Bkt:85	-1,593,000	\$4,789	7,639.13
06/27/19	06/27/19	SOLD	LUKOIL PISC SPON ADR UNSOLICITED	Trade#65429	Bkt:85	-97,000	\$89,973	7,637.55
06/27/19	06/27/19	SOLD	HP INC UNSOLICITED	Trade#54841	Bkt:85	-212,000	\$118,160	25,049.61
06/27/19	06/27/19	SOLD	ANTHEM INC UNSOLICITED	Trade#43787	Bkt:85	-1,358,000	\$18,989	16,079.09
06/27/19	06/27/19	SOLD	AEGION NV ADR N Y SHS NETHERLANDS ADR	Trade#71343	Bkt:85	-57,000	\$282,040	28,767.67
06/27/19	06/27/19	SOLD	AEGION NV ADR N Y SHS NETHERLANDS ADR	Trade#23164	Bkt:85	-2,152,000	\$4,745	10,210.89
06/22/19	06/27/19	SOLD	AEGION NV ADR N Y SHS NETHERLANDS ADR	Trade#81106	Bkt:85	-1,177,000	\$4,545	7,539.13
06/22/19	06/27/19	SOLD	UNTD TECHNOLOGIES CORP UNSOLICITED	Trade#89035	Bkt:85	-141,000	\$124,747	6,700.49
06/22/19	06/27/19	SOLD	ABBOTT LABS UNSOLICITED	Trade#87827	Bkt:85	-201,000	\$78,499	17,779.78
06/21/19	06/29/19	SOLD	LUKOIL PISC SPON ADR UNSOLICITED	Trade#85729	Bkt:85	-51,000	\$89,988	4,688.31
06/20/19	06/22/19	SOLD	SEAT CORP UNSOLICITED	Trade#34220	Bkt:85	-315,000	\$46,787	16,397.71
06/16/19	06/21/19	SOLD	SIX FLAG ENTERTAINMENT CORP	Trade#81510	Bkt:85	-518,000	\$50,610	26,163.79
06/14/19	06/18/19	SOLD	BOE INC NEW/CAD UNSOLICITED	Trade#15957	Bkt:85	-343,000	\$43,772	10,636.33
06/13/19	06/15/19	SOLD	ENBRIDGE INC CAD UNSOLICITED	Trade#01860	Bkt:85	-272,000	\$58,989	10,082.77
06/13/19	06/14/19	SOLD	CME GROUP INC CAD UNSOLICITED	Trade#01860	Bkt:85	-241,000	\$170,987	10,082.77
06/13/19	06/14/19	SOLD	CME GROUP INC CAD UNSOLICITED	Trade#01860	Bkt:85	-240,000	\$170,987	11,178.33
06/13/19	06/14/19	SOLD	CME GROUP INC CAD UNSOLICITED	Trade#01860	Bkt:85	-560,000	\$30,287	16,943.48
06/13/19	06/14/19	SOLD	GAP INC UNSOLICITED	Trade#70767	Bkt:85	-555,000	\$30,038	16,870.70
06/13/19	06/14/19	SOLD	GAP INC UNSOLICITED	Trade#62203	Bkt:85	-79,000	\$57,423	4,536.36
06/29/19	06/29/19	SOLD	PUBLIC SERVICE ENTERPRISE GROUP INC	Trade#22102	Bkt:85	-40,000	\$91,283	4,473.29
06/29/19	06/29/19	SOLD	MEDTRONIC PLC UNSOLICITED	Trade#22314	Bkt:85	-72,000	\$53,242	3,893.35
06/29/19	06/29/19	SOLD	INTEL CORP UNSOLICITED	Trade#22282	Bkt:85	-169,000	\$51,210	3,893.35
06/29/19	06/29/19	SOLD	ROYAL DUTCH SHELL PLC CL A SPON ADR	Trade#22601	Bkt:85	-165,000	\$91,101	11,790.70
06/29/19	06/29/19	SOLD	ENERGY CORP NEW/UNSOLICITED	Trade#44802	Bkt:85	-221,000	\$74,821	16,635.16
06/29/19	06/29/19	SOLD	ABBOTT LABS UNSOLICITED	Trade#41446	Bkt:85	-304,000	\$51,101	15,534.63
06/29/19	06/29/19	SOLD	ABBOTT LABS UNSOLICITED	Trade#41446	Bkt:85	-221,000	\$74,821	16,635.16
06/29/19	06/29/19	SOLD	LUKOIL PISC SPON ADR UNSOLICITED	Trade#44134	Bkt:85	-83,000	\$82,213	4,357.20
06/29/19	06/29/19	SOLD	LUKOIL PISC SPON ADR UNSOLICITED	Trade#44134	Bkt:85	-272,000	\$119,072	32,397.03
06/29/19	06/29/19	SOLD	LUKOIL PISC SPON ADR UNSOLICITED	Trade#44134	Bkt:85	-253,000	\$60,483	11,782.37
06/29/19	06/29/19	SOLD	ABBOTT LABS UNSOLICITED	Trade#41446	Bkt:85	-270,000	\$49,385	13,333.78
06/29/19	06/29/19	SOLD	ABBOTT LABS UNSOLICITED	Trade#41446	Bkt:85	-171,000	\$86,072	8,068.78
06/29/19	06/29/19	SOLD	VERIZON COMMUNICATIONS INC	Trade#22680	Bkt:85	-45,000	\$99,191	4,497.37
06/29/19	06/29/19	SOLD	ABBOTT LABS UNSOLICITED	Trade#67157	Bkt:85	-10,000	\$101,680	1,016.79
06/29/19	06/29/19	SOLD	KLA -TENOR CORP UNSOLICITED	Trade#25842	Bkt:85	-42,000	\$13,540	688.67
06/29/19	06/29/19	SOLD	HUNTINGTON BANCSHARES UNSOLICITED	Trade#25844	Bkt:85	-8,884,000	\$44,246	383,078.47
06/29/19	06/29/19	SOLD	ISHARES MSCI ACWI EX US ETF	Trade#07295	Bkt:85	-4,766,971	\$53,104	430,971.89
06/29/19	06/29/19	SOLD	ISHARES SELECT DIVID ETF UNSOLICITED	Trade#25830	Bkt:85	-4,015,000	\$119,947	499,535.70
06/29/19	06/29/19	SOLD	ISHARES RUSSELL 1000 VALUE ETF	Trade#04145	Bkt:85	-11,000	\$21,820	241.11
06/29/19	06/29/19	SOLD	HP INC UNSOLICITED	Trade#25982	Bkt:85	-8,000	\$25,641	205.11
06/29/19	06/29/19	SOLD	GAP INC UNSOLICITED	Trade#25861	Bkt:85	-64,000	\$36,983	1,941.87
06/29/19	06/29/19	SOLD	ENBRIDGE INC CAD UNSOLICITED	Trade#25829	Bkt:85	-3,000	\$287,735	903.20
06/29/19	06/29/19	SOLD	BROADCOM INC CAD UNSOLICITED	Trade#25832	Bkt:85	-10,000	\$35,070	350.89
06/29/19	06/29/19	SOLD	FIDELITY NATIONAL FINANCIAL IN COM	Trade#25844	Bkt:85	-3,000	\$114,410	343.22
06/29/19	06/29/19	SOLD	CROWN CASTLE INTL CORP REIT	Trade#25832	Bkt:85	-3,000		

01/28/18	01/30/18 SOLD	GISCO SYSTEMS INC UNSOLICITED Trade#25898 Bkt:85
01/28/18	01/30/18 SOLD	ONE GROUP INC UNSOLICITED Trade#25840 Bkt:85
01/28/18	01/30/18 SOLD	CENTERPOINT ENERGY INC (HLDG CO) Trade#25989 Bkt:85
01/28/18	01/30/18 SOLD	BANK OF AMERICA CORP UNSOLICITED Trade#58789 Bkt:85
01/28/18	01/30/18 SOLD	AES CORP UNSOLICITED Trade#25848 Bkt:85
01/28/18	01/30/18 SOLD	TOTAL S.A. FRANCE SPON ADR Trade#25835 Bkt:85
01/28/18	01/30/18 SOLD	TARGET CORP UNSOLICITED Trade#25899 Bkt:85
01/28/18	01/30/18 SOLD	TATIANA SEMICONDUCTOR MFG CO LTD ADR Trade#25847 Bkt:85
01/28/18	01/30/18 SOLD	TORONTO DOMINION BK NEW CANADA CAD Trade#25831 Bkt:85
01/28/18	01/30/18 SOLD	PFIZER INC UNSOLICITED Trade#25864 Bkt:85
01/28/18	01/30/18 SOLD	OLD REM BLC INTL CORP UNSOLICITED Trade#25880 Bkt:85
01/28/18	01/30/18 SOLD	NEGRA CORP SPONS ADR FINLAND ADR Trade#25880 Bkt:85
01/28/18	01/30/18 SOLD	LAMAR ADVERTISING CO NEW EL A Trade#25848 Bkt:85
01/28/18	01/30/18 SOLD	SABRE CORP UNSOLICITED Trade#25891 Bkt:85
01/28/18	01/30/18 SOLD	RESCORP FINANCIAL CORP UNSOLICITED Trade#25845 Bkt:85
01/28/18	01/30/18 SOLD	QUALCOMM INC UNSOLICITED Trade#25849 Bkt:85
01/28/18	01/30/18 SOLD	TORONTO DOMINION BK NEW CANADA CAD Trade#44678 Bkt:85
01/28/18	01/30/18 SOLD	ENGINE SPON ADR UNSOLICITED Trade#75524 Bkt:85
01/28/18	01/30/18 SOLD	DIGITAL REALTY TRUST INC REIT Trade#47901 Bkt:85
01/17/18	01/22/18 SOLD	VF CORP UNSOLICITED Trade#19870 Bkt:85
01/17/18	01/22/18 SOLD	BATTERY CORP NEW UNSOLICITED Trade#44460 Bkt:85
01/16/18	01/17/18 SOLD	RESIDEO TECHNOLOGIES INC UNSOLICITED Trade#49131 Bkt:85
01/16/18	01/17/18 SOLD	GARRETT MOTION INC UNSOLICITED Trade#44044 Bkt:85
01/16/18	01/17/18 SOLD	ROCHE HLDG LTD SPONS ADR SWITZ ADR Trade#25827 Bkt:85
01/04/18	01/09/18 SOLD	PEMBINA PIPELINE CORP CAD Trade#31781 Bkt:85

05/01/18	05/01/18	GISCO SYSTEMS INC	1	-18.000	\$45.651	684.76
05/01/18	05/01/18	ONE GROUP INC	1	-4.000	\$184.980	739.55
05/01/18	05/01/18	CENTERPOINT ENERGY INC	1	-22.000	\$29.983	639.18
05/01/18	05/01/18	BANK OF AMERICA CORP	1	-773.000	\$28.828	5,108.24
05/01/18	05/01/18	AES CORP	1	-40.000	\$18.423	636.81
05/01/18	05/01/18	TOTAL S.A. FRANCE	1	-12.000	\$53.641	643.98
05/01/18	05/01/18	TARGET CORP	1	-6.000	\$72.041	482.25
05/01/18	05/01/18	TATIANA SEMICONDUCTOR	1	-12.000	\$37.413	448.65
05/01/18	05/01/18	TORONTO DOMINION BK	1	-0.000	\$86.166	380.88
05/01/18	05/01/18	PFIZER INC	1	-23.000	\$90.725	913.67
05/01/18	05/01/18	OLD REM BLC INTL CORP	1	-20.000	\$18.690	399.79
05/01/18	05/01/18	NEGRA CORP	1	-51.000	\$8.480	382.88
05/01/18	05/01/18	LAMAR ADVERTISING CO	1	-0.000	\$74.220	446.31
05/01/18	05/01/18	SABRE CORP	1	-36.000	\$22.673	683.42
05/01/18	05/01/18	RESCORP FINANCIAL	1	-36.000	\$15.690	589.79
05/01/18	05/01/18	QUALCOMM INC	1	-10.000	\$50.403	594.02
05/01/18	05/01/18	TORONTO DOMINION BK	1	-227.000	\$54.278	12,821.22
05/01/18	05/01/18	ENGINE SPON ADR	1	-314.000	\$16.867	4,887.88
05/01/18	05/01/18	DIGITAL REALTY TRUST	1	-105.000	\$104.610	17,280.46
05/01/18	05/01/18	VF CORP	1	-177.000	\$72.220	12,782.66
05/01/18	05/01/18	BATTERY CORP NEW	1	-185.000	\$98.227	18,813.89
05/01/18	05/01/18	RESIDEO TECHNOLOGIES	1	-33.000	\$30.750	684.74
05/01/18	05/01/18	GARRETT MOTION INC	1	-20.000	\$13.129	282.58
05/01/18	05/01/18	ROCHE HLDG LTD	1	-450.000	\$32.482	14,821.12
05/01/18	05/01/18	PEMBINA PIPELINE CORP	1	-411.000	\$31.512	12,861.08

SCHEDULE 12



UBS Financial Services Inc.
214 E Monticaste Drive
Suite 1-A
Johnson City TN 37601-2509

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Retirement Account Portfolio Management Program December 2019

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions, if your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in important information about your statement at the end of this document.

Your return objective:
Current income & capital appreciation

Your risk profile:
Primary - Moderate
Investment eligibility consideration - None selected

Account name: CLARINDA P ROE
Friendly account name: Clarinda IRA
Account type: Individual Retirement Account
Account number:

Value of your account

	on November 29 (\$)	on December 31 (\$)
Your assets	546,922.72	557,254.38
Your liabilities	0.00	0.00
Value of your account	546,922.72	557,254.38

Change in the value of your account

	December 2019 (\$)	Year to date (\$)
Opening account value	546,922.72	\$270,217.86
Withdrawals and fees, including investments transferred out	0.00	-1,913.65
Dividend and interest income	3,557.48	9,439.60
Change in value of outside assets	4,004.89	236,597.91
Change in market value	2,769.29	42,912.66
Closing account value	557,254.38	557,254.38

As a service to you, your portfolio value of \$557,254.38 includes the following assets that are not held by UBS:

Insurance Products \$236,597.91

Information about these assets not held by UBS, including their value, was provided by an external source for which UBS is not responsible. These assets are not covered by SIPC. See the section "Your assets for details."

- Your account instructions**
- Your account is managed by your Financial Advisor under the Portfolio Management Program.
 - UBS Financial Services Inc. is your IRA custodian.
 - Your account cost basis default closing method is FIFO, First In, First Out.



Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: Clarinda PRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 W&P FINANCIAL GROUP
 423-528-7144/800-729-4848

Cash activity summary

See Account activity tab for details. Balances in your Sweep Options are included in the opening and closing balances. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SIPC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2019 (\$)	Year to date (\$)
Opening balances	\$5,914.81	\$4,726.82
Additions		
Dividend and interest income	3,557.48	9,439.60
Proceeds from investment transactions	0.00	68,676.75
Total additions	\$3,557.48	\$78,116.35
Subtractions		
Professional management fees and related services	0.00	-1,913.65
Funds withdrawn for investments bought	-2,356.30	-73,813.53
Total subtractions	-\$2,356.30	-\$75,727.18
Net cash flow	\$1,201.18	\$2,389.17
Closing balances	\$7,115.99	\$7,115.99

Dividend and interest income earned

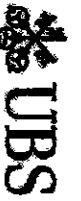
For purposes of this statement, tangibility of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client's monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2019 (\$)	Year to date (\$)
Dividends	3,556.47	9,246.14
Interest	1.01	62.69
Total current year	\$3,557.48	\$9,308.83
Prior year adjustment	0.00	130.77
Total dividend & interest	\$3,557.48	\$9,439.60

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses	Unrealized gains and losses (\$)
	December 2019 (\$)	Year to date (\$)
Short term	0.00	2,616.81
Long term	0.00	34,748.67
Total	\$0.00	\$37,365.48



Portfolio Management Program
December 2019

Account name: CLARINDA P ROE
Friendly account name: Clarinda IRA
Account type: Individual Retirement Account
Account number: 1111

Your Financial Advisor
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Deposit balance Nov 7	\$5,800.57
Closing UBS Bank USA Deposit balance Dec 5	\$5,915.82
Number of days in interest period	29
Average daily balance	\$5,910.87
Interest earned	\$1.01
Annual percentage yield earned	0.22%

Retirement services information

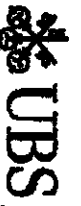
2019 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unperfected Private Investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2020. This 2019 year-end value of your IRA (or the updated value, if applicable) will be furnished to the Internal Revenue Service.

December 31 value	\$557,254.38

Beneficiaries you've named for this account

Our records indicate that a Custom beneficiary document is on file for this account. Please contact your Financial advisor for further information.



Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: Clarinda Roe
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Standard Branch deposit account balances and money market mutual fund sweep balances.

See the important information about your statement at the end of this document for details about these balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	5,914.81	7,115.99					250,000.00

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Standard Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (and) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (and) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (and) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES EDGE MSCI MIN VOL USA ETF									
Symbol: USMV									
Trade date: May 2, 19	305,000	59.439	18,128.18	18,128.18	65.600	20,008.00	1,879.82	1,879.82	ST
EAC: 3376 Current yield: 1.88%									
ISHARES EDGE MSCI MULTIFACTOR INTL ETF									
Symbol: INTF									
Trade date: Jun 6, 18	1,000	28.590	29.59	29.59	27.050	27.05	-2.54		LT

continued next page



Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: Clarinda IRA
 Account type: Individual Retirement Account
 Account number: ...

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Closed and funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jun 7, 18	855,000	28.555	25,289.84	25,289.84	27.050	23,127.75	-2,162.19		LT
Trade date: Feb 14, 19	105,000	25.760	2,704.80	2,704.80	27.050	2,840.25	135.45		ST
Trade date: May 2, 19	7,000	26.508	185.56	185.56	27.050	189.35	3.79		ST
EAI: \$957 Current yield: 3.65%									
Security total	968,000	29.122	28,189.89	28,189.89		26,184.40	-2,005.49	-2,005.49	
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF									
Symbol: SPROV									
Trade date: Oct 16, 14	551,000	26.361	14,536.37	14,536.37	31.350	17,273.85	2,737.48		LT
Trade date: Nov 18, 15	88,000	26.536	2,335.20	2,335.20	31.350	2,758.80	423.60		LT
Trade date: May 27, 16	19,000	25.926	492.61	492.61	31.350	595.65	103.04		LT
Trade date: Jul 5, 16	38,000	24.627	960.49	960.49	31.350	1,222.85	262.16		LT
Trade date: Jan 23, 17	16,000	26.470	423.52	423.52	31.350	501.60	78.08		LT
Trade date: May 16, 17	110,000	29.229	3,215.29	3,215.29	31.350	3,448.50	233.21		LT
Trade date: Feb 14, 19	37,000	28.447	1,052.54	1,052.54	31.350	1,159.95	107.41		ST
EAI: \$843 Current yield: 3.13%									
Security total	860,000	28.763	23,016.02	23,016.02		26,961.00	3,944.98	3,944.98	
SPDR S&P MIDCAP 400 ETF TR									
Symbol: MDY									
Trade date: Oct 16, 14	32,000	236.345	7,563.04	7,563.04	375.380	12,012.16	4,449.12		LT
Trade date: Nov 18, 15	16,000	260.010	4,160.16	4,160.16	375.380	6,006.08	1,845.92		LT
Trade date: Jul 5, 16	1,000	268.920	268.92	268.92	375.380	375.38	106.46		LT
Trade date: May 16, 17	2,000	314.485	628.97	628.97	375.380	750.76	121.79		LT
EAI: \$257 Current yield: 1.34%									
Security total	51,000	247.472	12,621.09	12,621.09		19,144.38	6,523.29	6,523.29	
VANGUARD GROWTH ETF									
Symbol: VUG									
Trade date: Oct 16, 14	56,000	93.716	5,435.56	5,435.56	182.170	10,565.86	5,130.30		LT
Trade date: Aug 26, 15	68,000	99.678	6,877.84	6,877.84	182.170	12,569.73	5,691.89		LT
Trade date: Jul 5, 16	68,000	106.953	7,272.87	7,272.87	182.170	12,387.56	5,114.69		LT
Trade date: Jan 23, 17	1,000	114.540	114.54	114.54	182.170	182.17	67.63		LT

continued next page



Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: Clarinda Roe
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (long gain or loss (\$))	Investment return (\$)	Holding period
EAT: \$341 Current yield: 0.96%									
Security total	196,000	100.514	19,700.81	19,700.81		35,705.32	16,004.51	16,004.51	
Total			\$101,656.99	\$101,656.99		\$128,003.10	\$26,346.11	\$26,346.11	
Total estimated annual income: \$2,774									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It's not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (long) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized long gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (long gain or loss (\$))	Investment return (\$)	Holding period

MFS VALUE FUND CLASS I

Symbol: MEIX

Trade date: Feb 8, 12

Trade date: Nov 6, 13

Trade date: Oct 16, 14

Trade date: Jul 5, 16

Trade date: May 16, 17

Total reinvested

EAT: \$520 Current yield: 1.93%

Security total

NEUBERGER BERMAN GENESIS INSTITUTIONAL

Symbol: NBGX

Trade date: Mar 27, 13

Trade date: Jul 5, 16

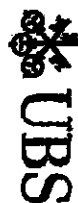
Trade date: Oct 21, 16

Trade date: May 16, 17

Total reinvested

34,436	24,078	829.16	829.16	44.680	1,538.61	709.45			LT
258,871	32,509	8,415.79	8,415.79	44.680	11,566.35	3,150.56			LT
9,556	32,389	309.58	309.58	44.680	427.05	117.47			LT
98,485	34,509	3,406.58	3,406.58	44.680	4,400.31	993.73			LT
4,556	38,307	178.36	178.36	44.680	208.03	29.67			LT
195,856	34,935	6,842.23	6,842.23	44.680	8,750.84	1,908.61			
601,862	33,200	13,138.47	13,138.47	19,981.70	26,891.19	6,909.49			13,751.72
67,920	56,040	3,806.26	3,806.26	58.490	3,972.65	166.39			LT
18,666	53,430	997.34	997.34	58.490	1,091.77	94.43			LT
46,173	55,199	2,548.74	2,548.74	58.490	2,700.66	151.92			LT
3,150	59,146	186.31	186.31	58.490	184.24	-2.07			LT
196,326	54,638	10,726.97	10,726.97	58.490	11,483.09	756.12			

continued next page



Portfolio Management Program

Account name: CLARINDA P ROE
Friendly account name: Clarinda Roe
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-428-7144/800-729-4848

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (%)	Holding period
EAT: \$48. Current yield: 0.22%	332,235	54.978	7,538.65	18,265.82		19,432.42	1,166.79	11.893.76	
Security total									

VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I

Symbol: HIEMX

Trade date: Aug 15, 14

Trade date: Oct 16, 14

Trade date: May 27, 16

Trade date: Jan 23, 17

Trade date: Feb 14, 19

Total reinvested

EAT: \$159 Current yield: 1.70%

Security total	808,261	9.817	7,182.19	7,944.35		9,346.96	1,402.60	2,164.76	
Total			\$27,860.31	\$46,191.67		\$55,670.57	\$9,478.88	\$27,810.25	
Total estimated annual income:			\$721						

Fixed Income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

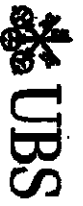
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

(Unrealized flow) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (net) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (%)	Holding period
ISHARES 1-3 YR TREAS BOND ETF									
Symbol: SHY									
Trade date: Jan 23, 17	8,000	84.520	676.16	676.16	84.630	677.04	0.88		LT
Trade date: May 16, 17	3,000	84.523	253.57	253.57	84.630	253.89	0.32		LT
Trade date: Oct 24, 17	109,000	84.289	9,187.58	9,187.58	84.630	9,224.67	37.09		LT

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Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: Clarinda IRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Fixed Income, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (%)	Holding period
Trade date: Apr 11, 18	36,000	83.440	3,003.84	3,003.84	84.630	3,046.68	42.84		LT
Trade date: Apr 11, 18	28,000	83.440	2,336.32	2,336.32	84.630	2,369.64	33.32		LT
Trade date: May 2, 19	75,000	83.857	6,289.33	6,289.39	84.630	6,347.25	57.92		ST
EAI: \$464 Current yield: 2.12%									
Security total	259,000	83.964	21,746.80	21,746.80		21,919.17	172.37	172.37	

ISHARES SHORT-TERM CORPORATE BOND ETF

Symbol: ICSB									
Trade date: Jan 16, 19	38,000	51.820	1,968.16	1,969.16	53.630	2,037.84	68.78		ST
Trade date: Jan 16, 19	11,000	51.820	570.02	570.02	53.630	589.93	19.91		ST
Trade date: Feb 14, 19	12,000	52.167	626.25	626.25	53.630	643.56	17.31		ST
Trade date: May 2, 19	25,000	52.567	1,314.69	1,314.69	53.630	1,340.75	26.06		ST
EAI: \$141 Current yield: 3.06%									
Security total	86,000	52.094	4,480.12	4,480.12		4,612.18	132.06	132.06	

ISHARES 3-7 YEAR TREAS BOND ETF

Symbol: IEI									
Trade date: Oct 24, 17	19,000	122.980	2,336.62	2,336.62	125.750	2,389.25	52.63		LT
Trade date: Feb 14, 19	18,000	121.657	2,189.84	2,189.84	125.750	2,263.50	73.66		ST
Trade date: May 2, 19	15,000	122.348	1,835.22	1,835.22	125.750	1,886.25	51.03		ST
EAI: \$131 Current yield: 2.00%									
Security total	52,000	122.340	6,361.68	6,361.68		6,539.00	177.32	177.32	

ISHARES FLOATING RATE BOND ETF

Symbol: FLOT									
Trade date: Apr 18, 17	137,000	50.842	6,965.39	6,965.39	50.920	6,976.04	10.65		LT
Trade date: May 16, 17	9,000	50.840	457.56	457.56	50.920	458.28	0.72		LT
Trade date: Jan 16, 19	79,000	50.580	3,996.61	3,996.61	50.920	4,022.68	26.07		ST
Trade date: Jan 16, 19	70,000	50.580	3,541.30	3,541.30	50.920	3,564.40	23.10		ST
Trade date: Jan 16, 19	34,000	50.580	1,720.06	1,720.06	50.920	1,731.28	11.22		ST
Trade date: Feb 14, 19	50,000	50.740	2,537.00	2,537.00	50.920	2,546.00	9.00		ST
Trade date: May 2, 19	155,000	50.868	7,884.55	7,884.55	50.920	7,892.60	8.05		ST

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Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: Clarinda IRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Fixed Income, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (day) gain or loss (\$)	Investment return (\$)	Holding period
EAT: \$756 Current yield: 2.78%									
Security total	534,000	50.754	27,102.47	27,102.47		27,191.28	88.81	88.81	
Total			\$59,691.07	\$59,691.07		\$60,261.63	\$570.56	\$570.56	
Total estimated annual Income: \$1,492									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

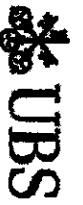
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (day) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (day) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (day) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK FLOATING RATE INCOME									
PORTFOLIO: INSTITUTIONAL									
Symbol: BFRUX									
Trade date: Jul 5, 16	642,408	10.000	6,424.08	6,424.08	10.030	6,443.35	19.27		LT
Trade date: Oct 21, 16	222,697	10.170	2,264.83	2,264.83	10.030	2,233.65	-31.18		LT
Trade date: Jan 23, 17	11,280	10.250	115.42	115.42	10.030	112.94	-2.48		LT
Trade date: May 16, 17	58,485	10.240	598.89	598.89	10.030	586.60	-12.29		LT
Trade date: Feb 14, 19	79,639	9.920	790.02	790.02	10.030	798.78	8.76		ST
Trade date: May 2, 19	445,330	10.050	4,475.57	4,475.57	10.030	4,466.66	-8.91		ST
Total reinvested	208,287	10.083		2,101.47	10.030	2,088.32	-13.15		
EAT: \$839 Current yield: 5.01%									
Security total	1,668,026	10.054	14,666.81	16,770.23		16,730.30	-39.93	2,061.49	
BLACKROCK HIGH YIELD BOND									
PORTFOLIO: INSTITUTIONAL									
Symbol: BHYX									
Trade date: Jan 23, 17	724,132	7.679	5,561.33	5,561.33	7.770	5,626.51	65.18		LT

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Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: CLARINDA PRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 W&P FINANCIAL GROUP
 423-828-7144/800-729-4848

Your assets - Fixed Income - Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: May 16, 17	39,391	7.803	307.25	307.25	7.770	306.07	-1.18		LT
Trade date: Jul 10, 18	156,841	7.640	1,198.27	1,198.27	7.770	1,218.65	20.38		LT
Trade date: Sep 18, 18	124,151	7.670	\$52.24	952.24	7.770	964.65	12.41		LT
Trade date: Feb 14, 19	135,524	7.460	1,011.01	1,011.01	7.770	1,053.02	42.01		ST
Trade date: May 2, 19	506,245	7.620	3,857.59	3,857.59	7.770	3,933.52	75.93		ST
Total reinvested	277,644	7.655		2,125.49	7.770	2,157.29	31.80		
EAT: \$864 Current yield: 5.66%									
Security total	1,963,928	7.644	12,887.69	15,013.18		15,259.72	246.53	2,372.02	

TEMPLETON GLOBAL BOND ADV

Symbol: TGBAX

Trade date: Oct 13, 09	7,849	12.641	99.23	99.23	10.670	83.75	-15.48		LT
Trade date: Mar 10, 11	111,281	13.549	1,507.78	1,507.78	10.670	1,187.36	-320.42		LT
Trade date: Jun 1, 11	78,770	13.898	1,094.77	1,094.77	10.670	840.47	-254.30		LT
Trade date: Jul 11, 11	4,994	13.898	68.41	68.41	10.670	53.28	-16.13		LT
Trade date: Jul 11, 11	2,109	13.885	29.31	29.31	10.670	22.51	-6.80		LT
Trade date: Mar 27, 13	319,585	13.419	4,287.95	4,287.95	10.670	3,409.33	-878.62		LT
Trade date: Nov 6, 13	24,490	13.080	320.35	320.35	10.670	261.31	-59.04		LT
Trade date: Aug 15, 14	184,488	13.270	2,448.16	2,448.16	10.670	1,968.49	-479.67		LT
Trade date: Nov 18, 15	77,653	11.899	924.07	924.07	10.670	828.56	-95.51		LT
Trade date: May 27, 16	36,900	11.310	417.34	417.34	10.670	393.72	-23.62		LT
Trade date: Jan 23, 17	17,042	11.789	200.92	200.92	10.670	181.84	-19.08		LT
Trade date: Feb 14, 19	72,054	11.569	833.66	833.66	10.670	768.82	-64.84		ST
Trade date: May 2, 19	54,137	11.419	618.24	618.24	10.670	577.64	-40.60		ST
Total reinvested	661,347	12.294		8,130.80	10.670	7,056.57	-1,074.23		
EAT: \$1,130 Current yield: 6.41%									
Security total	1,652,639	12.696	12,851.19	20,981.99		17,633.65	-3,348.34	4,782.46	
Total			\$40,407.69	\$52,785.45		\$49,623.67	-\$3,161.79	\$9,215.98	
Total estimated annual income: \$2,833									



Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
 Friendly account name: Clarinda IRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets (continued)

Other

Mutual funds

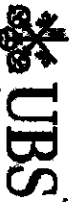
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(Unrealized) *they gain or loss* is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on the date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
JANUS HENDERSON BALANCED									
FUND CLASS I SHARES									
Symbol: JBALX									
Trade date: Jul 5, 16	431,886	28.579	12,343.30	12,343.30	36.860	15,919.32	3,576.02		LT
Trade date: Oct 21, 16	6,947	28.999	201.46	201.46	36.860	256.07	54.61		LT
Trade date: May 16, 17	7,183	31.048	223.02	223.02	36.860	264.77	41.75		LT
Total reinvested	96,076	32.287		3,102.02	36.860	3,541.36	439.34		
EAI: \$372 Current yield: 1.85%									
Security total	542,092	29.275	12,767.78	15,869.80		19,981.51	4,111.72	7,213.74	



Portfolio Management Program

December 2019

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 Friendly account name: Clarinda IRA
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 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Other (continued)

Assets held outside UBS Financial Services Inc.

These assets are held outside UBS Financial Services Inc. and are included in your statement as a service to you. Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc. does not guarantee the accuracy of the information and is not responsible for it. The value shown is not necessarily the value you would realize from the issuer if you sold the assets. These assets are not covered by SIPC.

Annuities

Holding	Death benefit (\$)	Living benefit (\$)	Surrender value (\$)	Expiration date	Total premium (\$)	Total withdrawal (\$)	Value (\$)
OHIO NATIONAL ONCORE PREMIER AS OF 12/30/2019 CONTRACT NBR: E1589478 RATE - VARIABLE ISSUE DATE: 08/31/2005	236,597.91		236,944.39	Sep 1 2012	140,988.00	0.00	236,597.91

PORTFOLIOS: BNY Mellon Appreciation Portfolio - 15.10% / Janus Henderson Balanced VT - 14.80% / TOPSIR Managed Risk Growth ETF - 9.80% / PIMCO Total Return Portfolio - 8.60% / JPMorgan Insurance Trust Mid Cap Value - 8.00% / Fidelity VIP Mid Cap Portfolio - 5.20% / ON BlackRock Advantage Large Cap Core - 5.20% / QS Legg Mason Dynamic Multi-Strategy VT - 5.00% / PIMCO Real Return Portfolio - 4.10% / Janus Henderson Overseas VT - 3.70%.

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		7,115.99	1.28%	7,115.99		
Equities	Closed end funds & Exchange traded products	128,003.10		101,656.99	2,774.00	26,346.11
	Mutual funds	55,670.57		46,191.67	721.00	9,478.89
	Total equities	183,673.67	32.96%	147,848.66	3,495.00	35,824.99
Fixed Income	Closed end funds & Exchange traded products	60,261.63		59,691.07	1,492.00	570.56
	Mutual funds	49,623.67		52,765.45	2,833.00	-3,141.79
	Total fixed income	109,885.30	19.72%	112,456.52	4,325.00	-2,571.23
Other	Mutual funds	19,981.51		15,869.80	372.00	4,111.72
	Annuities	236,597.91				
	Total other	256,579.42	46.04%	15,869.80	372.00	4,111.72
Total		\$557,254.38	100.00%	\$289,290.97	\$8,192.00	\$37,365.48



Portfolio Management Program
December 2019

Account name: CLARINDA P ROE
Friendly account name: Clarinda IRA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4648

Account activity this month

Dividend and Interest Income	Date	Activity	Description	Amount (\$)
Dividends	Dec 2	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/29/19 SYMBOL: BFRX	58.73
	Dec 2	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/29/19 SYMBOL: BHYX	68.31
	Dec 6	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON 259 SYMBOL: SHY	34.74
	Dec 6	Dividend	ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON 86 SYMBOL: IGSB	11.69
	Dec 6	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON 52 SYMBOL: IEI	9.47
	Dec 6	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 534 SYMBOL: FLOT	51.76
	Dec 17	Dividend	NEUBERGER BERMAN GENESIS INSTITUTIONAL SYMBOL: NBGX	39.69
	Dec 17	Lt Cap Gain	NEUBERGER BERMAN GENESIS INSTITUTIONAL LONG TERM CAPITAL GAIN SYMBOL: NBGX	1,080.21
	Dec 18	Lt Cap Gain	JANUS HENDERSON BALANCED FUND CLASS I SHARES LONG TERM CAPITAL GAIN AS OF 12/17/19 SYMBOL: JBALX	198.60
	Dec 18	Dividend	JANUS HENDERSON BALANCED FUND CLASS I SHARES AS OF 12/17/19 SYMBOL: JBALX	163.08
	Dec 18	Dividend	TEMPLETON GLOBAL BOND ADV SYMBOL: TGBAX	169.70
	Dec 20	Dividend	ISHARES EDGE MSCI MIN VOL USA ETF PAID ON 305 SYMBOL: USMV	117.04
	Dec 20	Dividend	MFS VALUE FUND CLASS I AS OF 12/19/19 SYMBOL: MFIKX	105.47
	Dec 20	Lt Cap Gain	MFS VALUE FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/19/19 SYMBOL: MFIKX	327.42
	Dec 20	Dividend	ISHARES EDGE MSCI MULTIFACTOR INTL ETF PAID ON 968 SYMBOL: INTF	423.23
	Dec 23	St Cap Gain	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I SHORT TERM CAPITAL GAIN AS OF 12/20/19 SYMBOL: HIERAX	13.27

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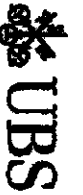
Portfolio Management Program
December 2019

Account name: CLARINDA P ROE
Fidelity account name: Clarinda IRA
Account type: Individual Retirement Account
Account number:

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WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Dividends (continued)			
Dec 23	LI Cap Gain	VIRTUS VONTOTEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I LONG TERM CAPITAL GAINS OF 12/20/19 SYMBOL: HIEHXX	18.99
Dec 23	Dividend	VIRTUS VONTOTEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I AS OF 12/20/19 SYMBOL: HIEHXX	155.85
Dec 24	St Cap Gain	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAINS AS OF 12/23/19 SYMBOL: BHVXX	16.97
Dec 26	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	35.36
Dec 26	Dividend	ISHARES SHORT-TERM CORPORATE BOND ETF PAID ON SYMBOL: KGSB	11.48
Dec 26	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	9.20
Dec 26	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	51.11
Dec 30	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	99.51
Dec 30	Dividend	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF PAID ON SYMBOL: SPDW	345.64
Total dividends			\$3,856.47
Dec 6	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/05/19	1.01
Total interest			\$1.01
Total dividend and interest income			\$3,857.48



Portfolio Management Program

December 2019

Account name: CLARINDA P ROE
Friendly account name: Clarinda Roe
Account type: Individual Retirement Account
Account number:

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WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions

For more information about the price/quote shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 2	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.93 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BRFX	5.914				-58.73	
Dec 2	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.67 NAV ON 11/29/19 AS OF 11/29/19 SYMBOL: BHYX	8.906				-68.31	
Dec 17	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL LT CAP GAINS REINVESTED AT 57.89 NAV ON 12/16/19 SYMBOL: NBGX	18.314				-1,060.21	
Dec 17	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL DIVIDEND REINVESTED AT 57.89 NAV ON 12/16/19 SYMBOL: NBGX	0.686				-39.89	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES LT CAP GAINS REINVESTED AT 36.50 NAV ON 12/17/19 AS OF 12/17/19 SYMBOL: JBALX	4.333				-158.60	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES DIVIDEND REINVESTED AT 36.60 NAV ON 12/17/19 AS OF 12/17/19 SYMBOL: JBALX	4.456				-163.09	
Dec 18	Reinvestment	TEMPLETON GL BAL BOND ADV DIVIDEND REINVESTED AT 10.50 NAV ON 12/16/19 SYMBOL: TGBAX	16.162				-169.70	
Dec 20	Reinvestment	MFS VALUE FUND CLASS I DIVIDEND REINVESTED AT 44.35 NAV ON 12/19/19 AS OF 12/19/19 SYMBOL: MFIKX	2.378				-105.47	

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Portfolio Management Program

December 2019

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 W&P FINANCIAL GROUP
 423-928-7144/800-728-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 20	Reinvestment	MFS VALUE FUND CLASS I LT CAP GAINS REINVESTED AT 44.35 NAV ON 12/19/19 AS OF 12/19/19 SYMBOL: MEIX	7.383				-327.42	
Dec 23	Reinvestment	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I ST CAP GAINS REINVESTED AT 11.57 NAV ON 12/20/19 AS OF 12/20/19 SYMBOL: HEMX	1.147				-13.27	
Dec 23	Reinvestment	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I DIVIDEND REINVESTED AT 11.57 NAV ON 12/20/19 AS OF 12/20/19 SYMBOL: HEMX	13.470				-155.85	
Dec 23	Reinvestment	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I LT CAP GAINS REINVESTED AT 11.57 NAV ON 12/20/19 AS OF 12/20/19 SYMBOL: HEMX	1.641				-18.99	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.77 NAV ON 12/23/19 AS OF 12/23/19 SYMBOL: BHYX	2.184				-16.97	
Total							-52,356.30	

Date	Activity	Description	Amount (\$)
Nov 29	Balance forward		\$5,914.81
Dec 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/05/19	1.01
Dec 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	107.80
Dec 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	540.27
Dec 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	107.15
Dec 31	Deposit	UBS BANK USA DEPOSIT ACCOUNT	445.15
Dec 31	Closing UBS Bank USA Deposit Account		57,115.99



Entry Date	Settle Date	Activity	Description	Symbol/Security#	Type	Quantity	Price/Share	Amount
05/02/19	05/03/19	BOUGHT	ISHARES EDGE MSCI MULTIFACTOR INTL ETF	TRD#74997 Bkt:85	1	7.000	\$20.508	-182.56
05/02/19	05/03/19	BOUGHT	ISHARES EDGE MSCI MIN VOL USA ETF	TRD#75019 Bkt:85	1	305.000	\$59.440	-18,129.18
05/02/19	05/03/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	TRD#74897 Bkt:85	1	165.000	\$50.868	-7,894.56
05/02/19	05/03/19	BOUGHT	ISHARES 3-7 YEAR TREAS BOND ETF	TRD#74993 Bkt:85	1	16.000	\$1,835.22	-1,835.22
05/02/19	05/03/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	TRD#74995 Bkt:85	1	25.000	\$62.588	-1,514.69
05/02/19	05/03/19	BOUGHT	ISHARES 1-3 YR TREAS BOND ETF	TRD#75011 Bkt:85	1	75.000	\$53.888	-4,289.33
05/02/19	05/03/19	BOUGHT	TEMPLETON GL BAL BOND ADV FBOID 91221865905	TRD#75013 Bkt:37	1	54.197	\$11.420	-618.24
05/02/19	05/03/19	BOUGHT	BLACKROCK HIGH YIELD BOND	TRD#75013 Bkt:37	1	508.246	\$7.620	-3,867.59
05/02/19	05/03/19	BOUGHT	BLACKROCK FLOATING RATE INCOME	TRD#75017 Bkt:37	1	446.350	\$10.060	-4,475.67
02/14/19	02/19/19	BOUGHT	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	TRD#75301 Bkt:85	1	37.000	\$28.447	-1,052.84
02/14/19	02/19/19	BOUGHT	ISHARES EDGE MSCI MULTIFACTOR INTL ETF	TRD#753271 Bkt:85	1	105.000	\$25.760	-2,704.80
02/14/19	02/19/19	BOUGHT	ISHARES 3-7 YEAR TREAS BOND ETF	TRD#753271 Bkt:85	1	80.000	\$50.740	-2,537.00
02/14/19	02/19/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	TRD#753271 Bkt:85	1	18.000	\$121.658	-2,189.84
02/14/19	02/19/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	TRD#753271 Bkt:85	1	12.000	\$62.187	-762.25
02/14/19	02/19/19	BOUGHT	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES	TRD#75322 Bkt:37	1	72.004	\$11.670	-833.86
02/14/19	02/19/19	BOUGHT	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES	TRD#75322 Bkt:37	1	38.179	\$10.700	-419.21
02/14/19	02/19/19	BOUGHT	BLACKROCK FLOATING RATE INCOME	TRD#753078 Bkt:37	1	135.624	\$7.460	-1,011.01
02/14/19	02/19/19	BOUGHT	BLACKROCK FLOATING RATE INCOME	TRD#753078 Bkt:37	1	79.638	\$9.920	-790.02
01/16/19	01/18/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	TRD#75465 Bkt:85	1	34.000	\$50.590	-1,720.06
01/16/19	01/18/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	TRD#75465 Bkt:85	1	70.000	\$50.590	-3,541.30
01/16/19	01/18/19	BOUGHT	ISHARES FLOATING RATE BOND ETF	TRD#753275 Bkt:85	1	79.000	\$50.590	-3,996.61
01/16/19	01/18/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	TRD#753275 Bkt:85	1	38.000	\$51.820	-1,969.16
01/16/19	01/18/19	BOUGHT	ISHARES SHORT-TERM CORPORATE BOND ETF	TRD#753277 Bkt:85	1	11.000	\$51.820	-570.02
05/02/19	05/03/19	SOLD	VANGUARD GROWTH ETF DE	TRD#75023 Bkt:85	1	-7.000	\$161.902	-1,133.64
05/02/19	05/03/19	SOLD	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	TRD#75013 Bkt:85	1	-12.000	\$29.722	-356.66
05/02/19	05/03/19	SOLD	ENERGY SELECT SECTOR SPDR FUND ETF	TRD#75026 Bkt:85	1	-89.000	\$63.812	-5,679.18
05/02/19	05/03/19	SOLD	FINANCIAL SELECT SECTOR SPDR FUND ETF	TRD#75027 Bkt:85	1	-223.000	\$27.780	-6,194.81
05/02/19	05/03/19	SOLD	VIRTECO QQQ TRUST ETF DE	TRD#75009 Bkt:85	1	-34.000	\$187.762	-6,383.79
05/02/19	05/03/19	SOLD	VIRTECO QQQ TRUST ETF DE	TRD#75009 Bkt:85	1	-23.939	\$11.440	-273.86
05/02/19	05/03/19	SOLD	JANUS HENDERSON BALANCED FUND CLASS I SHARES	TRD#750243 Bkt:37	1	-6.780	\$34.190	-231.73
05/02/19	05/03/19	SOLD	JANUS HENDERSON BALANCED FUND CLASS I SHARES	TRD#750243 Bkt:37	1	-14.954	\$41.250	-616.84
05/02/19	05/03/19	SOLD	MFS VALUE FUND CLASS I BFOID 9122186546	TRD#75044 Bkt:37	1	-11.210	\$68.050	-768.83
05/02/19	05/03/19	SOLD	NEUBERGER BERMAN GENESIS INSTITUTIONAL	TRD#75058 Bkt:37	1	-22.000	\$150.229	-3,305.00
02/14/19	02/19/19	SOLD	VANGUARD GROWTH ETF DE	TRD#753308 Bkt:85	1	-111.000	\$66.250	-7,366.44
02/14/19	02/19/19	SOLD	ENERGY SELECT SECTOR SPDR FUND ETF	TRD#753004 Bkt:85	1	-346.000	\$25.991	-8,992.66
02/14/19	02/19/19	SOLD	FINANCIAL SELECT SECTOR SPDR FUND ETF	TRD#753268 Bkt:85	1	-8.000	\$171.340	-1,371.08
02/14/19	02/19/19	SOLD	SPDR S&P MIDCAP 400 ETF TR	TRD#753285 Bkt:85	1	-1.000	\$346.144	-346.13
02/14/19	02/19/19	SOLD	INVERSCO QQQ TRUST ETF DE	TRD#753290 Bkt:85	1	-43.000	\$171.340	-7,366.44
02/14/19	02/19/19	SOLD	INVERSCO QQQ TRUST ETF DE	TRD#753290 Bkt:85	1	-8.000	\$63.882	-511.06
02/14/19	02/19/19	SOLD	JANUS HENDERSON BALANCED FUND CLASS I SHARES	TRD#753078 Bkt:37	1	-45.999	\$32.770	-1,506.08
02/14/19	02/19/19	SOLD	MFS VALUE FUND CLASS I BFOID 90451258381	TRD#753078 Bkt:37	1	-13.583	\$63.882	-867.57
02/14/19	02/19/19	SOLD	NEUBERGER BERMAN GENESIS INSTITUTIONAL	TRD#753078 Bkt:37	1	-28.337	\$63.882	-1,812.30
01/16/19	01/18/19	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	TRD#753278 Bkt:85	1	-5.000	\$171.290	-856.45
01/16/19	01/18/19	SOLD	ISHARES 1-3 YR TREAS BOND ETF	TRD#753278 Bkt:85	1	-48.000	\$323.600	-15,532.80
01/16/19	01/18/19	SOLD	BLACKROCK HIGH YIELD BOND	TRD#75723 Bkt:37	1	-273.231	\$7.370	-2,013.71
01/16/19	01/18/19	SOLD	BLACKROCK HIGH YIELD BOND	TRD#75723 Bkt:37	1	-236.694	\$7.370	-1,744.36
01/16/19	01/18/19	SOLD	BLACKROCK FLOATING RATE INCOME	TRD#75723 Bkt:37	1	-358.796	\$9.890	-3,540.39