FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Cindy Axne

Status: Member State/District: IA03

FILING INFORMATION

Filing Type: Amendment Report

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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Cindy and John Axne Joint Account ⇒ Alphabet Inc Class C Capital Stock (GOOG) [ST]	JT	\$1,001 - \$15,000	None	
Cindy and John Axne Joint Account ⇒ Facebook, Inc Class A (FB) [ST]	JT	\$1,001 - \$15,000	None	
Cindy and John Axne Joint Account ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	None	
Cindy Axne IRA \Rightarrow Alphabet Inc Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	Tax-Deferred	V
Cindy Axne IRA ⇒ American Intl Group Inc (AIG.WS) [OT] DESCRIPTION: Other Equities		\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒ American Tower Corporation New REIT (AMT) [RE]		\$1,001 - \$15,000	Tax-Deferred	П
Cindy Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]		\$1,001 - \$15,000	Tax-Deferred	

Asset	Owner Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Cindy Axne IRA ⇒ Bank of America Corporation (BAC) [ST]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒ Becton, Dickinson and Company (BDX) [ST]	\$1,001 - \$15,000	Tax-Deferred	▽
Cindy Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	\$1,001 - \$15,000	Tax-Deferred	V
Cindy Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]	\$1,001 - \$15,000	Tax-Deferred	▽
Cindy Axne IRA ⇒ CarMax Inc (KMX) [ST]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒ Cerner Corporation (CERN) [ST]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA \Rightarrow Clearbridge All Cap Growth ETF (CACG) [EF]	\$1,001 - \$15,000	Tax-Deferred	V
Cindy Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒ Doubleline Flexible Income Fund Class N/L (DFLEX) [MF]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒ Doubleline Opportunistic Credit (DBL) [MF]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA \Rightarrow Facebook, Inc Class A (FB) [ST]	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>
Cindy Axne IRA ⇒ Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒ FIMM Government Portfolio Money Market Inst (FRGXX) [MF]	\$1,001 - \$15,000	Tax-Deferred	
Cindy Axne IRA ⇒	\$1,001 - \$15,000	Tax-Deferred	

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. :	>
Illinois Tool Works Inc. (ITW) [ST]					
Cindy Axne IRA ⇒ IShares TR Short Treas BD (SHV) [EF]		\$1,001 - \$15,000	Tax-Deferred	Г	
Cindy Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]		\$1,001 - \$15,000	Tax-Deferred	Г	
Cindy Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Tax-Deferred	Б	<u>~</u>
Cindy Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Tax-Deferred	Б	<u>~</u>
Cindy Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]		\$1,001 - \$15,000	Tax-Deferred	Г	
Cindy Axne IRA ⇒ Markel Corporation (MKL) [ST]		\$1,001 - \$15,000	Tax-Deferred	Б	▽
Cindy Axne IRA ⇒ Moody's Corporation (MCO) [ST]		\$1,001 - \$15,000	Tax-Deferred	Г	
Cindy Axne IRA ⇒ Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Tax-Deferred	Γ	
Cindy Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]		\$1,001 - \$15,000	Tax-Deferred	Г	
Cindy Axne IRA \Rightarrow PGIM Strategic Bond Fund Class Z N/L (PUCZX) [MF]		\$1,001 - \$15,000	Tax-Deferred	Б	<u>~</u>
Cindy Axne IRA ⇒ PGIM Ultra Short Bond (PULS) [MF]		\$1,001 - \$15,000	Tax-Deferred	Г	
Cindy Axne IRA ⇒ RJ Bank Deposit Program [BA]		\$1,001 - \$15,000	Tax-Deferred	Γ	
Cindy Axne IRA ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]		\$1,001 - \$15,000	Tax-Deferred	Б	<u>~</u>
Cindy Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]		\$1,001 - \$15,000	Tax-Deferred	Г	

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000	ο?
Cindy Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]		\$1,001 - \$15,000	Tax-Deferred	<u> </u>	
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series	F6.5% Del	ot Classification: Prefe	erred Stock		
Cindy Axne IRA ⇒ Watsco, Inc. (WSO) [ST]		\$1,001 - \$15,000	Tax-Deferred	П	
Cindy Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]		\$1,001 - \$15,000	Tax-Deferred	V	
College Savings Iowa 529 Plan - 2 ⇒ Aggressive Growth Age-Based Track: Blended Growth Portfolio [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Moderate Growth [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		
Creation Agents LLC [OL]	SP	\$100,001 - \$250,000	None		
LOCATION: West Des Moines, IA, US DESCRIPTION: Blended growth Digital Design Firm					
Creation Agents LLC 401K ⇒ Alphabet Inc Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	V	
Creation Agents LLC 401K ⇒ American Tower Corporation New REIT (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred	П	
Creation Agents LLC 401K ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>	
Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>	
Creation Agents LLC 401K ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>	
Creation Agents LLC 401K ⇒ CarMax Inc (KMX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Creation Agents LLC 401K ⇒ Cerner Corporation (CERN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	Г
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	፟
Creation Agents LLC 401K ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ DoubleLine Flexible Income Fund Class I N/L (DFLEX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ Doubleline Income Solutions (DSL) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ Facebook, Inc Class A (FB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	፟
Creation Agents LLC 401K ⇒ FIMM Government Portfolio Money Market Inst (FRGXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ Illinois Tool Works Inc. (ITW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ IShares TR Short Treas BD (SHV) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	፟
Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u></u>
Creation Agents LLC 401K ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u></u>
Creation Agents LLC $401K \Rightarrow$ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	П
Creation Agents LLC 401K ⇒	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Markel Corporation (MKL) [ST]				
Creation Agents LLC 401K ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	П
Creation Agents LLC 401K ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	П
Creation Agents LLC 401K ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	П
Creation Agents LLC 401K ⇒ PGIM Strategic Bond Fund Class Z N/L (PUCZX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	V
Creation Agents LLC 401K ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred	
Creation Agents LLC 401K ⇒ Principal Blue Chip Fund Instl CL (PBCKX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	V
Creation Agents LLC 401K ⇒ Progressive Corporation (PGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	V
Creation Agents LLC 401K ⇒ RJ Bank Deposit Program [BA]	SP	\$15,001 - \$50,000	Tax-Deferred	П
Creation Agents LLC 401K ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	V
Creation Agents LLC 401K ⇒ Stryker Corporation (SYK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	V
Creation Agents LLC 401K ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	П
Creation Agents LLC 401K ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>
Creation Agents LLC 401K ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	\$1,001 - \$15,000	Tax-Deferred	V
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series	F6.5% Deb	ot Classification: Prefe	rred Stock	
Creation Agents LLC 401K ⇒	SP	\$1,001 - \$15,000	Tax-Deferred	Г

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Watsco, Inc. (WSO) [ST]					
Creation Agents LLC 401K ⇒ Wells Fargo & Company (WFC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<u></u>
Iowa State Bank Account - Checking [BA]	JT	\$1,001 - \$15,000	None		
John Axne IRA ⇒ Alphabet Inc Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<u></u>
John Axne IRA ⇒ American Tower Corporation New REIT (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<u></u>
John Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<u></u>
John Axne IRA ⇒ CarMax Inc (KMX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Cerner Corporation (CERN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA \Rightarrow DoubleLine Flexible Income Fund Class I N/L (DFLEX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		П
I					

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
John Axne IRA ⇒ Doubleline Opportunistic Credit (DBL) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	П
John Axne IRA ⇒ Facebook, Inc Class A (FB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA \Rightarrow Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA \Rightarrow IShares TR Short Treas BD (SHV) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	V
John Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>
John Axne IRA \Rightarrow Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA ⇒ Markel Corporation (MKL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>
John Axne IRA ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	
John Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	П
John Axne IRA ⇒ PGIM Strategic BondFund Class ZN/L (PUCZX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<u>~</u>
John Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Axne IRA ⇒ Progressive Corporation (PGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		V
John Axne IRA ⇒ RJ Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA \Rightarrow SS&C Technologies Holdings, Inc. (SSNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		▽
John Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA \Rightarrow US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		~
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series	s F6.5% Del	ot Classification: Prefe	rred Stock		
John Axne IRA ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ American Funds Am Balanced R4 [MF]		\$15,001 - \$50,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Dodge & Cox Intl Stock Fund (DODFX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program \Rightarrow Ivy High Income Fund I [MF]		\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program \Rightarrow Templeton Global Bond Fund Adv [MF]		\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Victory Integrity Sm-Cp Value Fnd Y [MF]		\$15,001 - \$50,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Virtus Crdx Lg-Cp Val Eqty Fd I [MF]		\$15,001 - \$50,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Voya Fixed Plus III [MF]		\$50,001 - \$100,000	Tax-Deferred		

Asset	Owner Value of Asset	Income Type(s)	Income Tx. > \$1,000?
State of Iowa Deferred Comp Program ⇒ Voya Intermediate Bond Port S [MF]	\$1,001 - \$15,000	Tax-Deferred	П

^{*} Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit $\underline{ \text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$

SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Cindy Axne IRA ⇒ 3M Company (MMM) [ST]	11/22/2019	S	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Alphabet Inc Class C Capital Stock (GOOG) [ST]	05/31/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Becton, Dickinson and Company (BDX) [ST]	04/23/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	04/23/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Biglari Holdings Inc. Class B (BH) [ST]	02/28/2019	S	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]	02/15/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	04/23/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Covetrus, Inc. (CVET) [ST]	02/6/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Dow Inc. (DOW) [ST]	05/10/2019	S	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Dow Inc. (DOW) [ST]	03/21/2019	E	\$1,001 - \$15,000	
DESCRIPTION: Received shares of Dow Inc. (DOW) in exchange for D	owDuPont Inc. (DWDP) as as	a result of a	a merger.	
Cindy Axne IRA ⇒ DuPont de Nemours, Inc. (DD) [ST]	11/22/2019	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cindy Axne IRA ⇒ DuPont de Nemours, Inc. (DD) [ST]		06/3/2019	E	\$1,001 - \$15,000	
DESCRIPTION: Received shares of DuPont de Nemours, Inc. (DD) in e	exchange fo	r DowDuPont Inc. (D'	WDP) as	as a result of a merge	r.
Cindy Axne IRA ⇒ Enstar Group Limited - Ordinary Shares (ESGR) [ST]		05/20/2019	S	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Facebook, Inc Class A (FB) [ST]		01/18/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]		07/18/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		03/13/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Markel Corporation (MKL) [ST]		04/11/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Markel Corporation (MKL) [ST]		04/23/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]		12/26/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]		08/8/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]		09/6/2019	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ The Kraft Heinz Company (KHC) [ST]		04/9/2019	S	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]		04/2/2019	P	\$1,001 - \$15,000	
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series	F6.5% Debt	Classification: Prefer	red Stock	ζ	
Cindy Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]		09/9/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ 3M Company (MMM) [ST]	SP	11/22/2019	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Creation Agents LLC 401K ⇒ Alphabet Inc Class C Capital Stock (GOOG) [ST]	SP	05/31/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	04/23/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	02/15/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	02/15/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Colgate-Palmolive Company (CL) [ST]	SP	12/31/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Covetrus, Inc. (CVET) [ST]	SP	02/8/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	11/22/2019	S	\$1,001 - \$15,000	Г
Creation Agents LLC 401K ⇒ DuPont de Nemours, Inc. (DD) [ST] DESCRIPTION: Received shares of DuPont de Nemours, Inc. (DD) in e	SP	06/3/2019	E	\$1,001 - \$15,000	,
Creation Agents LLC 401K ⇒	SP SP	05/21/2019	S	\$1,001 - \$15,000	
Enstar Group Limited - Ordinary Shares (ESGR) [ST]					
Creation Agents LLC 401K ⇒ Facebook, Inc Class A (FB) [ST]	SP	01/18/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	02/4/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST]	SP	07/18/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST]	SP	12/31/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	03/13/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Creation Agents LLC 401K ⇒ Markel Corporation (MKL) [ST]	SP	12/31/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Markel Corporation (MKL) [ST]	SP	04/11/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	12/26/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Principal Blue Chip Fund Instl CL (PBCKX) [MF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Progressive Corporation (PGR) [ST]	SP	11/6/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	SP	08/8/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Stryker Corporation (SYK) [ST]	SP	12/31/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	10/4/2019	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	04/2/2019	P	\$1,001 - \$15,000	
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
Creation Agents LLC 401K ⇒ Wells Fargo & Company (WFC) [ST]	SP	09/9/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ 3M Company (MMM) [ST]	SP	11/22/2019	S	\$1,001 - \$15,000	П
John Axne IRA ⇒ Alphabet Inc Class C Capital Stock (GOOG) [ST]	SP	05/31/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	04/23/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Biglari Holdings Inc. Class B (BH) [ST]	SP	03/4/2019	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	02/15/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Covetrus, Inc. (CVET) [ST]	SP	02/6/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Dow Inc. (DOW) [ST]	SP	05/10/2019	S	\$1,001 - \$15,000	Г
John Axne IRA ⇒ Dow Inc. (DOW) [ST]	SP	03/21/2019	E	\$1,001 - \$15,000	
Description: Received shares of Dow Inc. (DOW) in exchange for Do	owDuPont	Inc. (DWDP) as as a re	esult of a	merger.	
John Axne IRA ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	11/22/2019	S	\$1,001 - \$15,000	П
John Axne IRA ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	06/3/2019	E	\$1,001 - \$15,000	
DESCRIPTION: Received shares of DuPont de Nemours, Inc. (DD) in ex	xchange for	r DowDuPont Inc. (DV	WDP) as	as a result of a merge	r.
John Axne IRA \Rightarrow Enstar Group Limited - Ordinary Shares (ESGR) [ST]	SP	05/21/2019	S	\$1,001 - \$15,000	П
John Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	07/18/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	03/13/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Markel Corporation (MKL) [ST]	SP	04/11/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	12/26/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Progressive Corporation (PGR) [ST]	SP	11/6/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	SP	08/8/2019	P	\$1,001 - \$15,000	
John Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	04/2/2019	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Amount Type	Cap. Gains > \$200?
DESCRIPTION: US Bancord DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock			

^{*} Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE C: EARNED INCOME

Source	Туре	Amount
Creation Agents, LLC	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement		
May 2017	Creation Agents, LLC & Cynthia Axne	Leave of Absence		
April 2005	Cynthia Axne and the State of Iowa - 457 Employee Deferred Compensation Program	Defined contribution plan that provides service retirement benefits.		
Comments: Left State of Iowa employment in 2014				

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Cindy and John Axne Joint Account (Owner: JT)
- o Cindy Axne IRA
- o College Savings Iowa 529 Plan 2 (Owner: JT)

LOCATION: IA

College Savings Iowa 529 Plan -1 (Owner: JT)
LOCATION: IA

Creation Agents LLC 401K (Owner: SP)

John Axne IRA (Owner: SP)

State of Iowa Deferred Comp Program

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

© Yes © No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

C Yes No

COMMENTS

Regarding Schedule A Assets - Any assets listed in last years filing but not this year are part of IRAs that have assets traded in and out of it and were not part of the portfolio during this disclosure period.

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Cindy Axne, 10/8/2021