Filing ID #10040617

Financial Disclosure Report

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Filer Information

Name: Hon. Mike Levin

Status: Member

State/District: CA49

Filing Information

Filing Type: Amendment Report

Filing Year: 2019

Filing Date: 06/1/2021

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑
Fidelity IRA ⇒ Cash Account [BA]	SP	$50,001 - $100,000	Tax-Deferred		☐
Fidelity IRA ⇒ Fidelity Capital & Income Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund [MF]	SP	$250,001 - $500,000	Tax-Deferred		☑
Fidelity IRA ⇒ Fidelity International Sustainability Index Fund [MF]		$15,001 - $50,000	Tax-Deferred		☑
Fidelity IRA ⇒ Fidelity OTC Portfolio [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Fidelity IRA ⇒ Fidelity Real Estate Income Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Fidelity IRA ⇒	SP	$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Select Biotechnology Portfolio [MF]					
Fidelity IRA ⇒ Fidelity Select Environmental and Alternative Energy Portfolio [MF]		$100,001 - $250,000	Tax-Deferred		☑
Fidelity IRA ⇒ Fidelity Sustainability Bond Index Fund [MF]		$50,001 - $100,000	Tax-Deferred		☑
Fidelity Roth IRA ⇒ Fidelity Freedom 2040 Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
US Bank [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
Vanguard 529 #1 ⇒ Vanguard Aggressive Growth Portfolio [MF]	DC	$1,001 - $15,000	Tax-Deferred		☐
Vanguard 529 #1 ⇒ Vanguard Growth Index Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☐
Vanguard 529 #1 ⇒ Vanguard Mid-Cap Index Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☐
Vanguard 529 #2 ⇒ Vanguard Aggressive Growth Portfolio [MF]	DC	$1,001 - $15,000	Tax-Deferred		☐
Vanguard 529 #2 ⇒ Vanguard Growth Index Portfolio [MF]	DC	$1,001 - $15,000	Tax-Deferred		☐
Vanguard 529 #2 ⇒ Vanguard Mid-Cap Index Portfolio [MF]	DC	$1,001 - $15,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	07/31/2019	P	$1,001 - $15,000	
Fidelity 401(k) ⇒	SP	11/20/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
BlackRock LifePath Index 2040 Fund [MF]					
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	12/4/2019	P	$1,001 - $15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	12/18/2019	P	$1,001 - $15,000	
Fidelity IRA ⇒ AMG Yacktman Focused Fund Class N (YAFFX) [MF]		11/12/2019	S	$15,001 - $50,000	☐
Fidelity IRA ⇒ Fidelity Contrafund (FCNTX) [MF]	SP	05/6/2019	S	$100,001 - $250,000	☐
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	05/10/2019	P	$1,001 - $15,000	
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	05/6/2019	P	$15,001 - $50,000	
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	05/6/2019	P	$100,001 - $250,000	
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	12/30/2019	P	$1,001 - $15,000	
Fidelity IRA ⇒ Fidelity International Sustainability Index Fund (FNIDX) [MF]		11/13/2019	P	$15,001 - $50,000	
Fidelity IRA ⇒ Fidelity Low-Priced Stock Fund (FLPSX) [MF]	SP	05/6/2019	S	$15,001 - $50,000	☐
Fidelity IRA ⇒ Fidelity Low-Priced Stock Fund (FLPSX) [MF]		05/6/2019	S	$15,001 - $50,000	☐
Fidelity IRA ⇒ Fidelity OTC Portfolio (FOCPX) [MF]	SP	09/13/2019	P	$1,001 - $15,000	
Fidelity IRA ⇒ Fidelity OTC Portfolio (FOCPX) [MF]	SP	12/20/2019	P	$1,001 - $15,000	
Fidelity IRA ⇒ Fidelity Select Biotechnology Portfolio (FBIOX) [MF]	SP	12/20/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity IRA ⇒ Fidelity Select Environmental and Alternative Energy Portfolio (FSLEX) [MF]		05/6/2019	P	$15,001 - $50,000	
Fidelity IRA ⇒ Fidelity Select Environmental and Alternative Energy Portfolio (FSLEX) [MF]		11/11/2019	P	$50,001 - $100,000	
Fidelity IRA ⇒ Fidelity Sustainability Bond Index Fund (FNDSX) [MF]		11/13/2019	P	$15,001 - $50,000	
Fidelity IRA ⇒ Fidelity Sustainability Bond Index Fund (FNDSX) [MF]		11/13/2019	P	$15,001 - $50,000	
Fidelity IRA ⇒ Heartland Value Plus Fund Investor Class (HRVIX) [MF]		11/12/2019	S	$15,001 - $50,000	☐
Fidelity IRA ⇒ Oakmark International Fund Investor Class (OAKIX) [MF]		11/12/2019	S	$15,001 - $50,000	☐
Fidelity Roth IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	05/6/2019	P	$1,001 - $15,000	
Fidelity Roth IRA ⇒ Fidelity Low-Priced Stock Fund (FLPSX) [MF]	SP	05/6/2019	S	$1,001 - $15,000	☐

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Schedule C: Earned Income

Source	Type	Amount
House of Representatives	Salary	$87,000.00
Irvine Company	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Chase Bank	May 2018	Mortgage on Primary Residence	$500,001 - $1,000,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Fidelity 401(k) (Owner: SP)
- Fidelity IRA
- Fidelity IRA (Owner: SP)
- Fidelity Roth IRA (Owner: SP)
- Vanguard 529 #1 (Owner: DC)
  Location: NV
- Vanguard 529 #2 (Owner: DC)
  Location: NV

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mike Levin , 06/1/2021