FILING INFORMATION

Name: Hon. Alexandria Ocasio-Cortez
Status: Member
State/District: NY14

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 09/12/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

<table>
<thead>
<tr>
<th>Asset</th>
<th>Owner</th>
<th>Value of Asset</th>
<th>Income Type(s)</th>
<th>Income</th>
<th>Tx. &gt; $1,000?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Charles Schwab Bank Checking [BA]</td>
<td></td>
<td>$1,001 - $15,000</td>
<td>Interest</td>
<td>$1 - $200</td>
<td></td>
</tr>
<tr>
<td>Charles Schwab One Brokerage [BA]</td>
<td></td>
<td>$1 - $1,000</td>
<td>Interest</td>
<td>$1 - $200</td>
<td></td>
</tr>
<tr>
<td>National Hispanic Institute Inc 401k Plan ⇒ PRUDENTIAL HIGH YIELD Z [MF]</td>
<td></td>
<td>$1,001 - $15,000</td>
<td>Tax-Deferred</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES
<table>
<thead>
<tr>
<th>Owner</th>
<th>Creditor</th>
<th>Date Incurred</th>
<th>Type</th>
<th>Amount of Liability</th>
</tr>
</thead>
<tbody>
<tr>
<td>U.S. Dept. of Education</td>
<td>August 2007 - May 2011</td>
<td>Student Loans</td>
<td>$15,001 - $50,000</td>
<td></td>
</tr>
</tbody>
</table>

**Schedule E: Positions**
None disclosed.

**Schedule F: Agreements**

<table>
<thead>
<tr>
<th>Date</th>
<th>Parties To</th>
<th>Terms of Agreement</th>
</tr>
</thead>
</table>

**Schedule G: Gifts**

<table>
<thead>
<tr>
<th>Source</th>
<th>Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Saikat Chakrabarti (Washington, DC, US)</td>
<td>Swearing-In Dinner, by Velazquez &amp; Sandin Food Service, LLC</td>
<td>$829.18</td>
</tr>
</tbody>
</table>

**Comments:** January 2, 2019, before swearing in.

**Schedule H: Travel Payments and Reimbursements**
None disclosed.

**Schedule I: Payments Made to Charity in Lieu of Honoraria**
None disclosed.

**Schedule A and B Asset Class Details**
- National Hispanic Institute Inc 401k Plan

**Exclusions of Spouse, Dependent, or Trust Information**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?
- Yes ☐  No ☑

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
- Yes ☐  No ☑

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
- Yes ☐  No ☑
CERTIFICATION AND SIGNATURE

☑️ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Alexandria Ocasio-Cortez, 09/12/2020