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Financial Disclosure Report

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Filer Information

Name: Hon. Madeleine Dean

Status: Member

State/District: PA04

Filing Information

Filing Type: Annual Report

Filing Year: 2019

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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Advanced Tiered Interest Checking [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	$15,001 - $50,000	Dividends, Interest	$1,001 - $2,500	☑
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]		$1,001 - $15,000	Dividends, Interest	$1 - $200	☑
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class S [MF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class S [MF]		$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]		$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☑
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	☑
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]		$1,001 - $15,000	Dividends, Interest	$1 - $200	☑
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	$1,001 - $15,000	Dividends, Interest	$1 - $200	☑
Bank of America Wealth Management MMS Money Market Savings [BA]	JT	$1 - $1,000	Interest	$1 - $200	☐
BB&T Bank Checking [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
Jadeland Pacific Ltd., 24% Interest [OL] LOCATION: Road Town, VG DESCRIPTION: International bicycle distribution	SP	None	None		☐
MAD4PA PAC [DO] DESCRIPTION: Loan to campaign	JT	$100,001 - $250,000	None		☐
New York Life Universal Life Insurance Policy [WU]		$1,001 - $15,000	None		☐
New York Life Universal Life Insurance Policy [WU]	SP	$15,001 - $50,000	None		☐
Pennsylvania 529 Guaranteed Savings Plan [5P] LOCATION: PA		$1,001 - $15,000	Tax-Deferred		☐
Pennsylvania State Employee Retirement System Defined Benefit Plan [PE]		$100,001 - $250,000	None		☐
Pennsylvania State Employees' Deferred Compensation Plan ⇒ International Company Stock Index Fund [MF]		$15,001 - $50,000	Tax-Deferred		☐
Pennsylvania State Employees' Deferred Compensation Plan ⇒ Short-Term Investment Fund [MF]		$1,001 - $15,000	Tax-Deferred		☐
Pennsylvania State Employees' Deferred Compensation Plan ⇒ Stable Value Fund [MF]		$15,001 - $50,000	Tax-Deferred		☐
Pennsylvania State Employees' Deferred Compensation		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Plan ⇒ U.S. Bond Fund Index [MF]					
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Large Company Stock Index Fund [MF]		$15,001 - $50,000	Tax-Deferred		☐
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Small/Mid Company Stock Index Fund [MF]		$15,001 - $50,000	Tax-Deferred		☐
Stoker Strategies, LLC, 99% Interest [OL] LOCATION: Jenkintown, PA, US DESCRIPTION: Consulting	SP	$50,001 - $100,000	None		☐
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ CREF Bond Market 2 [FN]		$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ CREF Stock R2 [FN]		$50,001 - $100,000	Tax-Deferred		☐
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ TIAA Real Estate [FN]		$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ TIAA Traditional [FN]		$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ Dodge & Cox Stock Fund [MF]		$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ Prudential Total Return Bond Fund - Class Z [MF]		$15,001 - $50,000	Tax-Deferred		☐
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ T. Rowe Price Growth Stock Fund [MF]		$50,001 - $100,000	Tax-Deferred		☐
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ TIAA Traditional [FN]		$50,001 - $100,000	Tax-Deferred		☐
Wells Fargo Platinum Savings [BA]	JT	$1 - $1,000	Interest	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Wells Fargo Portfolio Checking [BA]	JT	$1 - $1,000	Interest	$1 - $200	☐
Wells Fargo Preferred Checking [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
401(k) ⇒ American Funds Growth Fund of America Class R-6 [MF]	SP	08/2/2019	S	$100,001 - $250,000	☐
401(k) ⇒ Vanguard Windsor II Fund Admiral Shares [MF]	SP	08/2/2019	S	$100,001 - $250,000	☐
401(k) ⇒ Wells Fargo/Blackrock International Equity Index CIT Class F [MF]	SP	08/2/2019	S	$50,001 - $100,000	☐
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	08/31/2019	P	$250,001 - $500,000	
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	10/31/2019	S (partial)	$250,001 - $500,000	☐
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	12/31/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]		10/31/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]	SP	10/3/2019	P	$50,001 - $100,000	
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]		10/3/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	10/3/2019	P	$15,001 - $50,000	
Bank of America IRA ⇒		10/3/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares Core S&P Small-Cap [EF]					
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	10/3/2019	P	$15,001 - $50,000	
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]		10/3/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus [MF]	SP	10/2/2019	P	$15,001 - $50,000	
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class S [MF]		10/2/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]	SP	10/2/2019	P	$15,001 - $50,000	
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]		10/2/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF [EF]	SP	10/3/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF [EF]		10/3/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	10/3/2019	P	$15,001 - $50,000	
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]		10/3/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	10/3/2019	P	$15,001 - $50,000	
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]		10/3/2019	P	$1,001 - $15,000	
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	10/3/2019	P	$50,001 - $100,000	
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]		10/3/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	10/3/2019	P	$15,001 - $50,000	
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]		10/3/2019	P	$1,001 - $15,000	
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	10/31/2019	P	$1,001 - $15,000	
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]		10/31/2019	P	$1,001 - $15,000	
Merrill Lynch IRA ⇒ American Funds Growth Fund of America Class F-1 [MF]	SP	10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch IRA ⇒ American Funds Growth Fund of America Class F-1 [MF]		10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch IRA ⇒ Columbia Mid Cap Value Fund Class A [MF]		10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch IRA ⇒ Davis New York Venture Fund Class A [MF]		10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch IRA ⇒ Oppenheimer International Diversified Fund Class A [MF]		10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch IRA ⇒ Royce Pennsylvania Mutual Fund Consultant Class [MF]		10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch IRA ⇒ Victory Munder Mid-Cap Core Growth Fund Class A [MF]		10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch Roth IRA ⇒ American Funds Growth Fund of America Class F-1 [MF]	SP	10/2/2019	S	$1,001 - $15,000	☐
Merrill Lynch Roth IRA ⇒ American Funds Growth Fund of America Class F-1 [MF]		10/2/2019	S	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Stoker Strategies, LLC	Spouse Salary	N/A
Advanced Sports, Inc.	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	TIAA	March 2018	Group supplemental retirement annuity loan	$15,001 - $50,000
JT	Wells Fargo	June 2013	Mortgage on primary residence	$250,001 - $500,000
JT	Wells Fargo	May 2015	Mortgage on secondary residence	$500,001 - $1,000,000
JT	BB&T Bank	March 2018	Revolving line of credit	$100,001 - $250,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
American Israel Education Foundation	08/4/2019	08/12/2019	Philadelphia, PA - Jerusalem, Israel - Tiberias, Israel - Tel Aviv, Israel - Philadelphia, PA	0	☑	☑	☑

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 401(k) (Owner: SP)
- Bank of America IRA (Owner: SP)
- Bank of America IRA
- Bank of America Roth IRA (Owner: SP)
- Bank of America Roth IRA
- Merrill Lynch IRA
- Merrill Lynch IRA (Owner: SP)
- Merrill Lynch Roth IRA
- Merrill Lynch Roth IRA (Owner: SP)
- Pennsylvania State Employees' Deferred Compensation Plan
- TIAA-CREF
- TIAA-CREF ⇒ La Salle University DC and TDA Plan
- TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Madeleine Dean , 08/13/2020