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Financial Disclosure Report

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Filer Information

Name: Hon. Kim Schrier
Status: Member
State/District: WA08

Filing Information

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/12/2020

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
David's IRA ⇒ Apple Inc. (AAPL) [ST]	SP	$250,001 - $500,000	Tax-Deferred		☐
David's IRA ⇒ Money Market [BA]	SP	$15,001 - $50,000	Tax-Deferred		☐
David's IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	$100,001 - $250,000	Tax-Deferred		☐
Gowing Family Trust Primary Account ⇒ Apple Inc. (AAPL) [ST] Description: Sold some shares of AAPL.	JT	$1,000,001 - $5,000,000	Capital Gains, Dividends	$100,001 - $1,000,000	☑
Gowing Family Trust Primary Account ⇒ Cash [BA]	JT	$15,001 - $50,000	None		☐
Gowing Family Trust Primary Account ⇒ Schwab US REIT ETF (SCHH) [EF]	JT	$100,001 - $250,000	Dividends	None	☐
Gowing Family Trust Primary Account ⇒ Vanguard Real Estate ETF (VNQ) [EF]	JT	$15,001 - $50,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Gowing Family Trust Primary Account ⇒ Vanguard Total BND MRKT ETF (BND) [EF]	JT	$100,001 - $250,000	Dividends	None	☐
Gowing Family Trust Secondary Account ⇒ Apple Inc. (AAPL) [ST]	JT	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	☐
Gowing Family Trust Secondary Account ⇒ Cash/Money Market Acct [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐
Gowing Family Trust Secondary Account ⇒ Money Market Mutual Fund (GOCXX) [MF]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Gowing Family Trust Secondary Account ⇒ Tesla, Inc. (TSLA) [ST]	JT	$50,001 - $100,000	None		☐
Kim’s 401(a) with VMMC ⇒ DFA International Social Core EQ Instl (DSCLX) [EF]		$50,001 - $100,000	Tax-Deferred		☐
Kim’s 401(a) with VMMC ⇒ Fidelity Government Cash Reserves (FDRXX) [BA]		$1 - $1,000	Tax-Deferred		☐
Kim’s 401(a) with VMMC ⇒ iShares Core US Aggregate Bond ETF (AGG) [EF]		$50,001 - $100,000	Tax-Deferred		☐
Kim’s 401(a) with VMMC ⇒ Vanguard Scottsdale FDS Intermediate (VCIT) [EF]		$100,001 - $250,000	Tax-Deferred		☐
Kim's 403b - Pre-2009 ⇒ FID Diversified International (FDIVX) [MF]		None	Tax-Deferred		☑
Kim's 403b - Pre-2009 ⇒ FID Dividend Growth (FDGFX) [MF]		None	Tax-Deferred		☑
Kim's 403b - Pre-2009 ⇒ FID Intermed Bond (FTHRX) [MF] Description: Sold all shares.		None	Tax-Deferred		☑
Kim's 403b - Pre-2009 ⇒ FID Low Priced Stk (FLPSX) [MF]		None	Tax-Deferred		☑
Kim's 403b - Pre-2009 ⇒ FID OTC Portfolio (FOCPX) [MF]		None	Tax-Deferred		☑
Kim's 403b with VMMC ⇒		$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Government Cash Reserves (FDRXX) [BA]					
Kim's 403b with VMMC ⇒ VANGUARD INTERMED TRM INVST GR ADMIRAL (VFIDX) [MF]		$250,001 - $500,000	Tax-Deferred		☐
Kim's Roth IRA - 2014 ⇒ Cash [BA]		$1,001 - $15,000	Tax-Deferred		☐
Kim's Roth IRA - 2014 ⇒ DFA Emerging Markets Social Core Equity Portfolio (DFESX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Kim's Roth IRA - 2014 ⇒ DFA International Social Core Equity Portfolio Instl Class (DSCLX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Kim's Roth IRA - 2014 ⇒ Money Market [BA]		$1,001 - $15,000	None		☐
Kim's Roth IRA - 2014 ⇒ Schwab US REIT ETF (SCHH) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Samuel Gowing Trust ⇒ Cash [BA]		$1,001 - $15,000	None		☐
Samuel Gowing Trust ⇒ DFA Emerging Markets Social Core Equity Portfolio (DFESX) [MF] DESCRIPTION: Sold all shares at a loss.		None	Dividends	$201 - $1,000	☑
Samuel Gowing Trust ⇒ DFA International Social Core Equity Portfolio Instl Class (DSCLX) [MF] DESCRIPTION: Several dividend transactions, adding over $1,000. Each did not exceed $1,000. Sold all shares at a loss.		None	Capital Gains, Dividends	$1,001 - $2,500	☑
Samuel Gowing Trust ⇒ DFA US Social Core Equity 2 Portfolio (DFUEX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Samuel Gowing Trust ⇒ iShares Core US Aggregate Bond ETF (AGG) [EF]		$15,001 - $50,000	Dividends	None	☐
Samuel Gowing Trust ⇒ iShares Core US Aggregate Bond ETF (AGG) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Samuel Gowing Trust ⇒ iShares Inc ESC MSCI EM ETF (ESGE) [EF]		$15,001 - $50,000	None		☐
Samuel Gowing Trust ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Samuel Gowing Trust ⇒ TIAA-CREFF Social Choice Equity Fund Inst Cl (TISCX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Samuel Gowing Trust ⇒ Vanguard ESG INTL STK ETF (VSGX) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Samuel Gowing Trust ⇒ Vanguard FTSE Social Index Admiral (VFTAX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Samuel Gowing Trust ⇒ Vanguard FTSE Social Index Fund Investor Shares (VFTSX) [EF] Description: Sold all shares.		None	Capital Gains, Dividends	$201 - $1,000	☑
Samuel Gowing Trust ⇒ Vanguard Real Estate ETF (VNQ) [EF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Stanford Health Care Retirement Savings Plan ⇒ Vanguard Institutional Target Retirement 2030 (FFEGX) [IH]	JT	$1,001 - $15,000	Tax-Deferred		☐
Wells Fargo joint checking account 2018 [BA] Description: Joint checking account with my spouse.	JT	$15,001 - $50,000	None		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Gowing Family Trust Primary Account ⇒ Apple Inc. (AAPL) [ST]	JT	09/12/2019	S (partial)	$50,001 - $100,000	☑
Gowing Family Trust Primary Account ⇒ Apple Inc. (AAPL) [ST]	JT	10/21/2019	S (partial)	$50,001 - $100,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Gowing Family Trust Primary Account ⇒ Vanguard Real Estate ETF (VNQ) [EF]	JT	05/20/2019	P	$1,001 - $15,000	
Gowing Family Trust Primary Account ⇒ Vanguard Total BND MRKT ETF (BND) [EF]	JT	04/18/2019	P	$1,001 - $15,000	
Gowing Family Trust Secondary Account ⇒ Apple Inc. (AAPL) [ST]	JT	03/22/2019	S (partial)	$50,001 - $100,000	☑
Kim's 403b - Pre-2009 ⇒ FID Diversified International (FDIVX) [MF]		04/22/2019	S	$15,001 - $50,000	☑
Kim's 403b - Pre-2009 ⇒ FID Dividend Growth (FDGFX) [MF]		04/22/2019	S	$50,001 - $100,000	☑
Kim's 403b - Pre-2009 ⇒ FID Intermed Bond (FTHRX) [MF]		04/22/2019	S	$15,001 - $50,000	☑
Kim's 403b - Pre-2009 ⇒ FID Low Priced Stk (FLPSX) [MF] DESCRIPTION: Sold all shares.		04/22/2019	S	$50,001 - $100,000	☑
Kim's 403b - Pre-2009 ⇒ FID OTC Portfolio (FOCPX) [MF]		04/22/2019	S	$100,001 - $250,000	☑
Kim's 403b - Pre-2009 ⇒ Fidelity International Index (FSPSX) [MF]		04/22/2019	P	$50,001 - $100,000	
Kim's 403b - Pre-2009 ⇒ Fidelity US Bond Index (FXNAX) [MF]		04/22/2019	P	$250,001 - $500,000	
Samuel Gowing Trust ⇒ DFA Emerging Markets Social Core Equity Portfolio (DFESX) [MF]		12/16/2019	S	$15,001 - $50,000	☑
Samuel Gowing Trust ⇒ DFA International Social Core Equity Portfolio Instl Class (DSCLX) [MF]		12/16/2019	S	$15,001 - $50,000	☑
Samuel Gowing Trust ⇒ iShares Core US Aggregate Bond ETF (AGG) [EF]		12/16/2019	P	$1,001 - $15,000	
Samuel Gowing Trust ⇒ iShares Inc ESG MSCI EM ETF (ESGE) [EF]		12/16/2019	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Samuel Gowing Trust ⇒ Vanguard ESG INTL STK ETF (VSGX) [EF]		12/16/2019	P	$15,001 - $50,000	
Samuel Gowing Trust ⇒ Vanguard FTSE Social Index Fund Investor Shares (VFTSX) [EF]		04/11/2019	S	$1,001 - $15,000	☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	August, 2012	Home mortgage	$100,001 - $250,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
October 2017	Kim Schrier and Virginia Mason Medical Center	Ongoing leave of absence.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Alliance for Health Policy and the Commonwealth Fund	04/5/2019	04/7/2019	Washington, DC - Warrenton, VA - Washington, DC	0	☑	☑	☐

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
American Israel Education Foundation	08/4/2019	08/12/2019	Seattle, WA - Tel Aviv, Israel - Seattle, WA	0	☑	☑	☑

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- David's IRA (Owner: SP)
- Gowing Family Trust Primary Account (Owner: JT)
  Description: Kimberly Schrier Gowing and David Noble Gowing Trustees
- Gowing Family Trust Secondary Account (Owner: JT)
- Kim's 401(a) with VMMC
- Kim's 403b - Pre-2009
- Kim's 403b with VMMC
- Kim's Roth IRA - 2014
- Samuel Gowing Trust
  Description: Trust for son's education
- Stanford Health Care Retirement Savings Plan (Owner: JT)
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Kim Schrier , 08/12/2020