Filing ID #10037351

Financial Disclosure Report

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Filer Information

Name: Hon. Dan Bishop
Status: Member
State/District: NC09

Filing Information

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/10/2020

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AMERICAN FUNDS EUROPACIFIC GROWTH F2 [MF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
AMERICAN FUNDS EUROPACIFIC GROWTH F2 [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
AMERICAN FUNDS WA MUTUAL INVESTORS F2 [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Ameritrade Institutional IRA-Dan ⇒ Amount held in cash [IH] Description: Amount of IRA held in cash.		$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Dan ⇒ JENSEN FUNDS QUALITY GROWTH J [MF]		$50,001 - $100,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Dan ⇒ MFS FUNDS INTL VALUE I [MF]		$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Dan ⇒ SCHWAB STRATEGIC TR US TIPS ETF [EF]		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameritrade Institutional IRA-Dan ⇒ VANGUARD EXTENDED MKT INDEX ADMIRAL [MF]		$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Dan ⇒ VANGUARD HIGH DIV YLD ETF [EF]		$100,001 - $250,000	Tax-Deferred		☑
Ameritrade Institutional IRA-Dan ⇒ VANGUARD INTER TERM TREAS ETF [EF]		$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Dan ⇒ VANGUARD SM CP VAL ETF [EF]		$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Dan ⇒ VANGUARD SML CP GRW ETF [EF]		$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Dan ⇒ VANGUARD TOTAL STK MKT ETF [EF]		$50,001 - $100,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ Amount held in cash [IH] DESCRIPTION: Amount of IRA held in cash.	SP	$1 - $1,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ DOUBLELINE FUNDS CORE FIXED INCOME I [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ INVESCO FUNDS OPPENHEIMER INTL GROWTH Y [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ MFS FUNDS GROWTH I [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ MFS FUNDS INTL VALUE I [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ MFS FUNDS MA INVESTORS TRUST I [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ SCHWAB STRATEGIC TR US TIPS ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒ VANGUARD SML CP GRW ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Ameritrade Institutional IRA-Jo ⇒	SP	$50,001 -	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
VANGUARD EQTY INCOME ADMIRAL [MF]		$100,000			
Ameritrade Institutional IRA-Jo ⇒ VANGUARD INTER TERM TREAS ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ VANGUARD MID CAP ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ VANGUARD SM CP VAL ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ VANGUARD TOTAL STK MKT ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ WISDOMTREE TRUST US DIVID EX FNCL ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Beneficiary IRA - Jo ⇒ Beneficiary IRA [IH] DESCRIPTION: Held in cash.	SP	$15,001 - $50,000	Interest	$1 - $200	
Beneficiary Roth IRA - Jo ⇒ Beneficiary Roth IRA [IH] DESCRIPTION: Held in cash.	SP	$1,001 - $15,000	Interest	$1 - $200	
Erwin Bishop Capitano & Moss PA 401k Plan ⇒ Vanguard Instl Target Retirement 2030 [MF]		$1,000,001 - $5,000,000	Tax-Deferred		
Erwin Bishop Capitano & Moss PA 401k Plan ⇒ Vanguard Prime Money Market Inv [MF]		$100,001 - $250,000	Tax-Deferred		
GlaxoSmithKline 401k ⇒ Dodge & Cox Large Cap US Equity [MF]	SP	$500,001 - $1,000,000	Tax-Deferred		
GlaxoSmithKline 401k ⇒ Stable Value Fund [MF]	SP	$50,001 - $100,000	Tax-Deferred		
GlaxoSmithKline 401k ⇒ State Street International Equity Index [MF]	SP	$50,001 - $100,000	Tax-Deferred		
House [RP] LOCATION: Holden Beach, NC, US	JT	$500,001 - $1,000,000	Rent	$15,001 - $50,000	
MFS FUNDS INTL NEW DISCOVERY I [MF]	DC	$1,001 - $15,000	Capital Gains,	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
			Dividends		
Northwestern Mutual Variable Life Insurance ⇒ Emerging Markets Equity (MSA/Aberdeen) [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Northwestern Mutual Variable Life Insurance ⇒ Global Real Estate Securities (RIF) [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Northwestern Mutual Variable Life Insurance ⇒ Index 400 Stock (MSA) [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	
Northwestern Mutual Variable Life Insurance ⇒ Index 500 Stock (MSA) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	
Northwestern Mutual Variable Life Insurance ⇒ International Growth (MSA/FIAM LLC) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	
Northwestern Mutual Variable Life Insurance ⇒ Multi Sector Bond (MSA/PIMCO) [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Northwestern Mutual Variable Life Insurance ⇒ Select Bond (MSA/Wells Capital Mgmt) [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Northwestern Mutual Variable Life Insurance ⇒ Small Cap Growth Stock (MSA/Wellington) [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Northwestern Mutual Variable Life Insurance ⇒ Small Cap Value (MSA/T Rowe Price) [MF]		$1,001 - $15,000	Dividends	$1 - $200	
OPPENHEIMERFUNDS INTL GROWTH Y [EF]	JT	None	Capital Gains	$201 - $1,000	✓
PIMCO INVESTMENTS INTL BOND (US DOLLAR-HEDGED) I [MF]	SP	None	Dividends	$201 - $1,000	✓
PIMCO INVESTMENTS INVMT GRADE CREDIT BOND INST [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
PRIMECAP ODYSSEY FUNDS ODYSSEY GROWTH INVESTOR [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	✓
SELECT SECTOR SPDR TRUST ENERGY ETF [EF]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
SELECT SECTOR SPDR TRUST SBI CONS STPLS ETF [EF]	JT	None	Capital Gains	$1,001 - $2,500	☑
SELECT SECTOR SPDR TRUST SBI HEALTHCARE ETF [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
SELECT SECTOR SPDR TRUST SBI INT-INDS ETF [EF]	JT	None	Capital Gains	$2,501 - $5,000	☑
SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF [EF]	JT	None	Capital Gains	$201 - $1,000	☑
SELECT SECTOR SPDR TRUST SBI MATERIALS ETF [EF]	JT	None	Capital Gains	$1,001 - $2,500	☑
SELECT SECTOR SPDR TRUST TECHNOLOGY ETF [EF]	JT	$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	☑
South Street Bank [BA]	JT	$100,001 - $250,000	Interest	$201 - $1,000	☐
South Street Bank - Jo [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐
SPDR GOLD TRUST GOLD SHS ETF [EF]	JT	None	Capital Gains	$1,001 - $2,500	☑
TD Ameritrade FDIC Deposit Acct [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐
TD Ameritrade FDIC Insured Deposit Acct [BA]	DC	$1,001 - $15,000	Interest	$1 - $200	☐
TD Ameritrade FDIC Insured Deposit Acct [BA]	SP	$100,001 - $250,000	Interest	$1 - $200	☐
Vanguard 529 ⇒ Vanguard Aggressive Age-Based Option: Vanguard 10% Stock/90% Bond Portfolio [MF] DESCRIPTION: Dependent child		$100,001 - $250,000	Tax-Deferred		☐
VANGUARD INFLATION PROTECTED SEC ADMRL [MF]	SP	$15,001 - $50,000	Dividends	$1 - $200	☐
VANGUARD S&P 500 ETF SHS [EF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
VANGUARD SM CAP INDEX ADMIRAL [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
VANGUARD SMALL CP ETF [EF]	JT	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
VANGUARD TOTAL STK MKT ETF [EF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
VIRTUS FUNDS SEIX FLOATING RATE HIGH INC I [MF]	SP	None	Dividends	$1,001 - $2,500	☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AMERICAN FUNDS WA MUTUAL INVESTORS F2 [MF] Description: Reinvested.	SP	12/20/2019	P	$1,001 - $15,000	
Ameritrade Institutional IRA-Dan ⇒ VANGUARD HIGH DIV YLD ETF [EF]		12/30/2019	P	$1,001 - $15,000	
OPPENHEIMERFUNDS INTL GROWTH Y [MF]	JT	03/14/2019	S	$15,001 - $50,000	☑
PIMCO INVESTMENTS INTL BOND (US DOLLAR-HEDGED) I [MF]	SP	08/16/2019	S	$15,001 - $50,000	☑
PRIMECAP ODYSSEY FUNDS ODYSSEY GROWTH INVESTOR [MF]	SP	12/17/2019	P	$1,001 - $15,000	
SELECT SECTOR SPDR TRUST SBI CONS STPLS ETF [EF]	JT	03/14/2019	S	$1,001 - $15,000	☑
SELECT SECTOR SPDR TRUST SBI INT-INDS ETF [EF]	JT	03/14/2019	S	$15,001 - $50,000	☑
SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF [EF]	JT	03/14/2019	S	$1,001 - $15,000	☑
SELECT SECTOR SPDR TRUST SBI MATERIALS ETF [EF]	JT	03/14/2019	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
SELECT SECTOR SPDR TRUST TECHNOLOGY ETF [EF]	JT	03/14/2019	S (partial)	$15,001 - $50,000	☑
SPDR GOLD TRUST GOLD SHS ETF [EF]	JT	03/14/2019	S	$15,001 - $50,000	☑
VANGUARD SMALL CP ETF [EF]	JT	03/14/2019	S (partial)	$15,001 - $50,000	☑
VIRTUS FUNDS SEIX FLOATING RATE HIGH INC I [MF]	SP	08/16/2019	S	$15,001 - $50,000	☐
WISDOMTREE TRUST INTL LRGCAP DV ETF [EF]	JT	03/14/2019	S	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Erwin Bishop Capitano & Moss PA	Wages	$50,000.00
NC General Assembly	Legislator Salary	$13,225.55

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BB&T Mortgage	November 2014	Primary Home Mortgage	$100,001 - $250,000

Schedule E: Positions

Position	Name of Organization
Senator	North Carolina Senate
Employee, President, Director	Erwin Bishop Capitano & Moss, PA

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Ameritrade Institutional IRA-Dan
- Ameritrade Institutional IRA-Jo (Owner: SP)
- Beneficiary IRA - Jo (Owner: SP)
  Description: Inherited IRA
- Beneficiary Roth IRA - Jo (Owner: SP)
  Description: Inherited Roth IRA
- Erwin Bishop Capitano & Moss PA 401k Plan
- GlaxoSmithKline 401k (Owner: SP)
- Northwestern Mutual Variable Life Insurance
- Vanguard 529
  Location: NV

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dan Bishop , 08/10/2020