Financial Disclosure Report

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Filer Information

Name: Hon. Frank Pallone Jr.
Status: Member
State/District: NJ06

Filing Information

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/12/2020

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
29 Morrell St., Long Branch, NJ (Half Interest) [RP] Location: Long Branch, NJ, US		$250,001 - $500,000	None		
Chase Bank Account, Long Branch, NJ [BA]		$15,001 - $50,000	None		
Congressional Federal Credit Union [BA]	JT	$1,001 - $15,000	None		
DC3 UTMA ⇒ Rose Marie Hospodor IRA Trust [EQ]	DC	$50,001 - $100,000	Excepted/Blind Trust	$5,001 - $15,000	
DC3 UTMA ⇒ Wells Fargo Way2Save [BA]	DC	$15,001 - $50,000	Interest	$1 - $200	
F&J Pallone Realty, LLC - 516 Broadway, Long Branch, NJ (Half Interest) [RP] Location: Long Branch, NJ, US		$250,001 - $500,000	Rent	$15,001 - $50,000	
F&J Pallone Realty, LLC - 517 Broadway, Long Branch, NJ (Half Interest) [RP] Location: Long Branch, NJ, US		$250,001 - $500,000	Rent	$5,001 - $15,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
F&J Pallone Realty, LLC - 518 Broadway, Long Branch, NJ (Half Interest) [RP] LOCATION: Long Branch, NJ, US		$250,001 - $500,000	Rent	$15,001 - $50,000	☐
WELLS FARGO ADVISORS ⇒ Wells Fargo - Bank Deposit Sweep [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2018-1Q [OT] DESCRIPTION: Unit Investment Trust - Equity Trusts	SP	None	Dividends, Return of Capital	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY PORTFOLIO SER 2019-2 C [OT] DESCRIPTION: Unit Investment Trust - Equity Trusts	SP	$50,001 - $100,000	Dividends, Return of Capital	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Altria Group, Inc. (MO) [ST]	SP	None	Capital Gains, Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Chevron Corporation (CVX) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Citigroup, Inc. (C) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Dominion Energy, Inc. (D) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Philip Morris International Inc (PM) [ST]	SP	$1,001 - $15,000	Capital Gains, Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ The Kraft Heinz Company (KHC) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Verizon Communications Inc. (VZ) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Wells Fargo Bank Deposit Sweep [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE REAS INSTRS FD	SP	$1,001 - $15,000	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
INSTL CL (FTIXX) [MF]					
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Emerging Markets Fund CL S (REMSX) [MF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX) [MF]	SP	None	Capital Gains	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX) [MF]	SP	None	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	$100,001 - $250,000	Capital Gains, Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT COMPANY OPPORTUNISTIC CREDIT FUND CLASS S (RGCSX) [MF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	None	Capital Gains, Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT GRADE BD CLASS S (RFATX) [MF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	$50,001 - $100,000	Dividends	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Financial Select Sector SPDR (XLF) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒	SP	$1,001 - $15,000	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
GOLDMAN SACHS ETF TR ETF ACTIVEBETA U.S. LARGE CAP EQUITY FUND (GSLC) [EF]					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STRATEGY NO K-1 (PDBC) [EF]	SP	None	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ INVESCO TR II EMERGING MKTS SOVEREIGN DEBT (PCY) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays MBS Bond Fund (MBB) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES CORE MSCI EMERGING MARKETS (IEMG) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx $ High Yield Corporate Bond ETF (HYG) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES RUSSELL 2000 (IWM) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Short Treasury Bond (SHV) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒	SP	$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
SPDR Select Sector Fund - Financial (XLF) [ST]					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	None	Capital Gains, Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Industrial (XLI) [ST]	SP	None	Capital Gains, Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Global ex-U.S. Real Estate ETF (VNQI) [ST]	SP	None	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO) [MF]	SP	None	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Mid-Cap ETF (VO) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [MF]	SP	None	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD SHORT TERM CORP BD (VCSH) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Wells Fargo Bank Sweep Account [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐
WELLS FARGO ADVISORS: IRA ⇒ American Funds Washington Mututal FD F2 (WMFFX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ AMG Funds Timesquare Mid-Cap Growth Fund Premier (TQMIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX) [MF]	SP	None	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ CARILON SER TR EAGLE SMALL CAP GROWTH FD CL I (HSIIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ DODGE & COX FDS INTL STOCK FD (DODFX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ DWS Enhanced Commodity Strategy Fund INST (SKIRX) [MF]	SP	None	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	$50,001 - $100,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ General Electric Company (GE) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX) [MF]	SP	$1 - $1,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX) [MF]	SP	None	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ INVESCO OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y (ODVYX) [MF] DESCRIPTION: Formerly Oppenheimer Developing Markets CL Y (ODVYX).	SP	$15,001 - $50,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒	SP	$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX) [MF]					
WELLS FARGO ADVISORS: IRA ⇒ MFS SER TR I VALUE FD CL I (MEIIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ MFS SER TR X Ermerging Markets Debt Fund Class I (MEDIX) [EQ]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	SP	None	Tax-Deferred		✓
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE INTL FD OVERSEAS STK FD (TROSX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE REAL ESTATE FUND INC (TRREX) [MF]	SP	None	Tax-Deferred		✓
WELLS FARGO ADVISORS: IRA ⇒ Texas Instruments Incorporated (TXN) [ST]	SP	$50,001 - $100,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Unilever PLC (UL) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Victory Sycamore Small Co Opportunities Fund CL I (VSOIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
WELLS FARGO ADVISORS: IRA ⇒ VIRTUS ASSET TR CEREDEX MID CAP VALUE EQUITY FUND CL I (SMVTX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
WELLS FARGO ADVISORS: IRA ⇒ Voya Funds Voya Large CAP Growth Fund Class I (PLCIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Walt Disney Company (DIS) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo FDS TR Core Bond Fund Class INST (MBFIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Westinghouse Air Brake Technologies Corporation (WAB) [ST]	SP	$1 - $1,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin Cust Dyna Tech CL A (FKDNX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin CUST DYNA TECH CL A (FKDNX) [MF]		$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX) [MF]		$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ ABERDEEN FDS EMERGING MARKETS FD CL A (GEGAX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: Formerly Aberdeen FDS Emerging Markets Fund Instiitutional Class (ABEMX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ American Century Growth Fund CL A (TCRAX) [MF]	DC	$1,001 - $15,000	Capital Gains	$201 - $1,000	
DESCRIPTION: Formerly American Century Mutual Funds Growth Fund Instl (TWGIX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ BARON ASSET FUND SMALL CAP FD (BSCFX) [MF]	DC	$1,001 - $15,000	Capital Gains	$201 - $1,000	
DESCRIPTION: Formerly Baron Invt FundsTrust Small Cap Fund Instl Shares Class I (BSFIX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Dodge & Cox Funds International Stock Fund (DODFX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ DWS Enhanced Commodity Strategy Fund A (SKNRX) [MF]	DC	$1 - $1,000	Dividends	$1 - $200	
DESCRIPTION: Formerly DWS Enhanced Commodity Strategy Fund INST (SKIRX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ EATON VANCE SER TR II INCOME FD BOSTON CL A (EVIBX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Formerly Eaton Vance SER II Income Fund Boston CL I (EIBIX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARBOR FD CAP APPRECIATION FD INV CL (HCAIX) [MF]	DC	$1,001 - $15,000	Capital Gains	$201 - $1,000	
DESCRIPTION: Formerly Harbor Fund Capital Appreciation Fund Instl (HACAX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARRIS ASSOC INVT TR OAKMARK EQUITY & INCOME FD CL I (OAKBX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	
DESCRIPTION: Formerly Oakmrak Equity and Fund Advisory Class (OAYBX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARTFORD MUT FDS INC MIDCAP FD CL A (HFMCX) [MF]	DC	$1,001 - $15,000	Capital Gains	$1 - $200	
DESCRIPTION: Formerly Hartford Mutl Fds Inc Midcap Fund Class I (HFMIX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ JP MORGAN TR II CORE BD FD CL A (PGBOX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DESCRIPTION: Formerly JP Morgan Core Bond Fund Select (WOBDX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR I VALUE FUND CL A (MEIAX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DESCRIPTION: Formerly MFS SER TR I Value FD Cl I (MEIIX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS A (MEDAX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: Formerly MFS SER TR X Emerging MKTS Debt FD Class I (MEDIX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ PRINCIPAL INVS FD GLOBAL REAL ESTATE CLASS A (POSAX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	
DESCRIPTION: Formerly Principal Funds Inc Global Real Estate Secs Fund Instl Class (POSIX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ T ROWE PRICE INTL FD OVERSEAS STK FD (TROSX) [MF]	DC	$1,001 - $15,000	Capital Gains	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ T ROWE PRICE REAL ESTATE FUND INC. (TRREX) [MF]	DC	$1 - $1,000	Capital Gains, Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Verizon Communications Inc. (VZ) [ST]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒	DC	$1,001 - $15,000	Capital Gains,	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
VICTORY SYCAMORE SMALL CO OPPORTUNITY FD CL A (SSGSX) [MF] DESCRIPTION: Formerly Victory Sycamore Small C OPPTY FD CL I (VSOIX).			Dividends		
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ VIRTUS ASSET TR CEREDEX MID CAP VALUE EQUITY FUND CLASS A (SAMVX) [MF] DESCRIPTION: Formerly Virtus Asset TR Ceredex Mid Cap Value Equity Fund Class (SMVTX).	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ VIRTUS FUNDS VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES CL A (HEMZX) [MF] DESCRIPTION: Formerly Virtus Funds Virtus Vontobel EMG MKTS Opportunities F Class I (HIEMX).	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Wells Fargo Bank - Checking Account [BA]	SP	$1,001 - $15,000	None		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse GST Trust #1 ⇒ Altria Group, Inc. (MO) [ST] DESCRIPTION: SP	SP	02/4/2019	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2018-1Q [OT] LOCATION: US DESCRIPTION: Unit Investment Trust - Equity Trusts	SP	06/17/2019	S	$50,001 - $100,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY PORTFOLIO SER 2019-2 C [OT] LOCATION: US DESCRIPTION: Unit Investment Trusts - Equity Trusts	SP	06/18/2019	P	$50,001 - $100,000	
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Philip Morris International Inc (PM) [ST]	SP	04/10/2019	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE REAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	10/24/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL EMERGING MARKETS FUND CL S (REMSX) [MF]	SP	10/23/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MUTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	10/23/2019	P	$15,001 - $50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	04/12/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX) [MF]	SP	10/23/2019	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment co Commodity Strategies Fund CL S (RCCSX) [MF]	SP	10/12/2019	S	$15,001 - $50,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Instractructure Fund CL S (RGISX) [MF]	SP	10/23/2019	S	$15,001 - $50,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	10/23/2019	P	$15,001 - $50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVESTMENT COMPANY OPPORTUNISTIC CREDIT FUND CLASS S (RGCSX) [MF]	SP	10/23/2019	P	$50,001 - $100,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	10/23/2019	S	$50,001 - $100,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT GRADE BD CLASS S (RFATX) [MF]	SP	07/12/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	01/11/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	10/11/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒	SP	10/23/2019	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Russell Strategic Bond Fund CL S (RFCTX) [MF]			(partial)		
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	10/23/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ GOLDMAN SACHS ETF TR ETF ACTIVEBETA U.S. LARGE CAP EQUITY FUND (GSLC) [EF]	SP	07/17/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Invesco Emerging Markets Sovereign Debt ETF (PCY) [ST]	SP	04/8/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Invesco Optimum Yield Diversified Commodity Strategy No K-1 ETF (PDBC) [ST]	SP	07/17/2019	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	07/17/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	02/4/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	03/8/2019	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	01/7/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	08/22/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST]	SP	01/7/2019	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST]	SP	11/21/2019	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [ST]	SP	01/7/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [ST]	SP	07/17/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒	SP	02/4/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares Core U.S. Aggregate Bond ETF (AGG) [ST]					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [ST]	SP	04/8/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [ST]	SP	11/21/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB) [ST]	SP	04/8/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	02/4/2019	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Short Treasury Bond ETF (SHV) [ST]	SP	03/8/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Short Treasury Bond ETF (SHV) [ST]	SP	11/21/2019	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	07/17/2019	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Industrial (XLI) [ST]	SP	08/22/2019	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]	SP	07/17/2109	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]	SP	07/17/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]	SP	08/22/2019	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Global ex-U.S. Real Estate ETF (VNQI) [ST]	SP	07/17/2019	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	SP	11/21/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒	SP	01/7/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Vanguard Mid-Cap ETF (VO) [ST]					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Real Estate ETF (VNQ) [ST]	SP	07/17/2019	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX) [MF]	SP	03/19/2019	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ DODGE & COX FDS INTL STOCK FD (DODFX) [MF]	SP	03/19/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: IRA ⇒ DODGE & COX FDS INTL STOCK FD (DODFX) [MF]	SP	08/6/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: IRA ⇒ DWS Enhanced Commodity Strategy Fund INST (SKIRX) [MF]	SP	08/6/2019	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX) [MF]	SP	02/21/2019	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ INVESCO OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y (ODVYX) [MF]	SP	08/6/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX) [MF]	SP	08/6/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: IRA ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	SP	08/6/2019	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE REAL ESTATE FUND INC (TRREX) [MF]	SP	08/6/2019	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ Victory Sycamore Small Co Opportunities Fund CL I (VSOIX) [MF]	SP	08/6/2019	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: IRA ⇒ VIRTUS ASSET TR CEREDEX MID CAP VALUE EQUITY FUND CL I (SMVTX) [MF]	SP	02/21/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: IRA ⇒ VIRTUS ASSET TR CEREDEX MID CAP VALUE EQUITY FUND CL I (SMVTX) [MF]	SP	08/6/2019	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Oceans Cove	Sales Income (SP)	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citi Mortgage Inc., San Antonio, TX	November 2016	Mortgage on Real Estate, Washington, DC	$100,001 - $250,000
JT	TB Bank, Washington, DC	May 2018	Home Equity Loan on Real Estate, Washington, DC	$250,001 - $500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	Mortgage on Real Estate, Long Branch, NJ	$15,001 - $50,000
SP	Citi Master Card	December 2016	Revolving Credit	$15,001 - $50,000
	Congressional Federal Credit Union	August 2018	Revolving Credit	$15,001 - $50,000

Schedule E: Positions

Position	Name of Organization
Officer, Director	F&J Pallone Realty, LLC

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
Government of the Republic of Armenia	09/28/2019	10/5/2019	New York, NY - Yerevan, Armenia - New York, NY	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- DC3 UTMA (Owner: DC)
- Spouse GST Trust #1 (Owner: SP)
- WELLS FARGO ADVISORS (Owner: JT)
  Location: US
- WELLS FARGO ADVISORS: GST TRUST 1 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 3 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 2 (Owner: SP)
- WELLS FARGO ADVISORS: IRA (Owner: SP)
- WELLS FARGO ADVISORS: ROTH IRA
- WELLS FARGO ADVISORS: ROTH IRA (Owner: SP)
- WELLS FARGO ADVISORS: UMTA NJ (3) (Owner: DC)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
◉ Yes ○ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Frank Pallone Jr., 08/12/2020