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Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Brad R. Wenstrup
Status: Member
State/District: OH02

Filing Information

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/13/2020

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Coca-Cola Company (KO) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☐
College Advantage 529 Savings Plan ⇒ Vanguard Aggressive Age-Based Portfolio [5P] Location: OH		$15,001 - $50,000	Tax-Deferred		☐
DC Property with Rental Unit [RP] Location: Washington, DC, US		$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	☐
Fidelity Beneficial IRA ⇒ Fidelity Government Money Market (SPAXX) [MF]		$1,001 - $15,000	Tax-Deferred		☑
Fidelity Beneficial IRA ⇒ IShares S&P 500 Growth ETF (IVW) [EF]		$15,001 - $50,000	Tax-Deferred		☐
Fidelity Rollover IRA ⇒ IRA Bank Account [IH]		$1,001 - $15,000	Tax-Deferred		☐
Fidelity Rollover IRA ⇒ IShares S&P 500 Growth ETF [EF]		$100,001 - $250,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Roth IRA ⇒ IRA Bank Account [IH]		$1 - $1,000	Tax-Deferred		☐
Fidelity Roth IRA ⇒ IShares S&P 500 Growth ETF [EF]		$15,001 - $50,000	Tax-Deferred		☐
Fifth Third Bank Accounts [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
PNC Bank Accounts [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
UBS Brokerage Account ⇒ DFA Emerging Markets Core Equity Fund [MF]		$1,001 - $15,000	Dividends	$1 - $200	☑
UBS Brokerage Account ⇒ Eaton Vance Floating Rate Fund (EIBLX) [MF]		$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☐
UBS Brokerage Account ⇒ First Eagle Overseas Fund Class I (SGOIX) [MF]		$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
UBS Brokerage Account ⇒ Gateway Fund Class Y (GTEYX) [MF]		None	Capital Gains	$1,001 - $2,500	☑
UBS Brokerage Account ⇒ Harding Loevner International Equity Fund (HLMIX) [MF]		$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
UBS Brokerage Account ⇒ Hartford Schroders Emerging Markets [MF]		$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	☑
UBS Brokerage Account ⇒ Invesco Balanced Risk Commodity [MF]		None	Capital Gains	$201 - $1,000	☑
UBS Brokerage Account ⇒ IShares Core MSCI EAFE [EF]		$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
UBS Brokerage Account ⇒ IShares Core S&P Midcap [MF]		$50,001 - $100,000	Dividends	$201 - $1,000	☑
UBS Brokerage Account ⇒ IShares Core S&P Small Cap ETF [MF]		$50,001 - $100,000	Dividends	$201 - $1,000	☐
UBS Brokerage Account ⇒ IShares Edge MSCI USA Quality Factor [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
UBS Brokerage Account ⇒ IShares IBonds Dec 2020 [EF]		$1,001 - $15,000	Dividends	$1 - $200	✓
UBS Brokerage Account ⇒ IShares MSCI ACWI EX US [MF]		$50,001 - $100,000	Dividends	$1,001 - $2,500	
UBS Brokerage Account ⇒ IShares Natl AMT-Free Municipal Bonds (MUB) [EF]		$50,001 - $100,000	Dividends	$1,001 - $2,500	
UBS Brokerage Account ⇒ IShares Russel 1000 Value ETF [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	
UBS Brokerage Account ⇒ IShares Russell 1000 Growth (IWF) [EF]		$50,001 - $100,000	Dividends	$2,501 - $5,000	✓
UBS Brokerage Account ⇒ JP Morgan Strategic Income Opportunities Fund Class S (JSOSX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	
UBS Brokerage Account ⇒ MFS Value Fund [MF]		$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	✓
UBS Brokerage Account ⇒ Neuberger Berman Long Short Fund Class Institutional (NLSIX) [MF]		None	Capital Gains	$201 - $1,000	✓
UBS Brokerage Account ⇒ Pimco All Asset All Authority Fund (PAUPX) [MF]		None	Capital Gains	$2,501 - $5,000	✓
UBS Brokerage Account ⇒ SPDR S&P 500 (SPY) [EF]		$250,001 - $500,000	Capital Gains, Dividends	$15,001 - $50,000	✓
UBS Brokerage Account ⇒ SPDR S&P Dividend (SDY) [EF]		$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	✓
UBS Brokerage Account ⇒ SPDR S&P Midcap 400 (MDY) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	
UBS Brokerage Account ⇒ Thornburg Limited Term Municipal Fund Class A (LTMIX) [MF]		$100,001 - $250,000	Dividends	$2,501 - $5,000	
UBS Brokerage Account ⇒ UBS Bank USA Account [BA]		$1,001 - $15,000	Interest	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
UBS Brokerage Account ⇒ Virtus Multi-Sector Short Term Bond Fund Class I (PIMSX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
UBS Brokerage Account ⇒ Wells Fargo Short Term Municipal Bond Fund (WSBIX) [MF]		$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
UBS Brokerage Account II ⇒ Nuveen Flagship Ohio Municipal Bond Fund CL A [BA]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
UBS Brokerage Account II ⇒ Procter & Gamble Company (PG) [ST]		$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
UBS Brokerage Account II ⇒ UBS Bank Account [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
UBS Rollover IRA ⇒ Comm Services Select Sector [MF]		$15,001 - $50,000	Tax-Deferred		☐
UBS Rollover IRA ⇒ Delaware Small Cap Core I [MF]		$50,001 - $100,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ DFA Emerging Markets [MF]		$1,001 - $15,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ Eaton Vance Floating Rate Fund (EIBLX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
UBS Rollover IRA ⇒ Eaton Vance Short Duration Government Income [MF]		$15,001 - $50,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ First Eagle Overseas Fund Class I (SGOIX) [MF]		$100,001 - $250,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ Harding Loevner International Equity Fund [MF]		$100,001 - $250,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ Hartford Schroders Emerging Markets (SEMNX) [EF]		$50,001 - $100,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ IShares Core MSCI Total Intl [MF]		$100,001 - $250,000	Tax-Deferred		☑
UBS Rollover IRA ⇒		$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IShares Core S&P MidCap [MF]					
UBS Rollover IRA ⇒ IShares Edge MSCI USA Momentum Factor [MF]		$50,001 - $100,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ IShares Edge MSCI USA Quality [MF]		$250,001 - $500,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ JP Morgan Chase Bank MLCD Efficiente 5 [BA]		$50,001 - $100,000	Tax-Deferred		☐
UBS Rollover IRA ⇒ JP Morgan Chase Bank ML-CD Efficiente Plus 5 [MF]		$15,001 - $50,000	Tax-Deferred		☐
UBS Rollover IRA ⇒ JP Morgan Strategic Income Opportunities Fund Class S (JSOSX) [EF]		$15,001 - $50,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ Metropolitan West Total Return Bond Fund (MWTIX) [MF]		$50,001 - $100,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ MFS Total Return Bond Fund (MRBIX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
UBS Rollover IRA ⇒ MFS Value Fund [MF]		$100,001 - $250,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ O'Shaughnessy Market Leaders Value [MF]		$50,001 - $100,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ Pimco Short Term Fund (PTSPX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ SPDR Bloomberg Barclays 1-3 month T-Bill ETF [EF]		$1,001 - $15,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ SPDR S&P 500 (SPY) [EF]		$250,001 - $500,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ T Rowe Price Blue Chip Growth [MF]		$100,001 - $250,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ UBS Bank Account [BA]		$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
UBS Rollover IRA ⇒ Vanguard Small Cap Value ETF [EF]		$50,001 - $100,000	Tax-Deferred		☑
UBS Rollover IRA ⇒ Virtus Newfleet Multisector (PIMSX) [MF]		$15,001 - $50,000	Tax-Deferred		☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Beneficial IRA ⇒ Fidelity Government Money Market (SPAXX) [EF]		04/3/2019	P	$1,001 - $15,000	
Fidelity Beneficial IRA ⇒ IShares S&P 500 Value ETF [EF]		04/3/2019	S	$1,001 - $15,000	☐
UBS Brokerage Account ⇒ 361 Managed Futures Strategy Fund [MF]		01/22/2019	S	$15,001 - $50,000	☐
UBS Brokerage Account ⇒ ALPS / Corecommodity Management [MF]		09/3/2019	S	$15,001 - $50,000	☐
UBS Brokerage Account ⇒ ALPS / Corecommodity Management [EF]		01/31/2019	P	$15,001 - $50,000	
UBS Brokerage Account ⇒ DFA Emerging Markets Core Equity Fund [MF]		12/24/2019	P	$1,001 - $15,000	
UBS Brokerage Account ⇒ First Eagle Overseas Fund [MF]		12/19/2019	P	$1,001 - $15,000	
UBS Brokerage Account ⇒ First Eagle Overseas Fund [MF]		01/22/2019	P	$1,001 - $15,000	
UBS Brokerage Account ⇒ First Eagle Oveseas Fund [MF]		09/3/2019	P	$15,001 - $50,000	
UBS Brokerage Account ⇒ Gateway Fund Class Y [MF]		01/22/2019	S	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
UBS Brokerage Account ⇒ Harding Loevner International Equity Fund [MF]		12/18/2019	P	$1,001 - $15,000	
UBS Brokerage Account ⇒ Harding Loevner International Equity Fund [MF]		01/22/2019	P	$1,001 - $15,000	
UBS Brokerage Account ⇒ Hartford Schroders Emerging Markets [MF]		09/3/2019	S (partial)	$15,001 - $50,000	☑
UBS Brokerage Account ⇒ Invesco Balaced Risk Commodity [MF]		01/22/2019	P	$15,001 - $50,000	
UBS Brokerage Account ⇒ Invesco Balanced Risk Commodity Strategy [MF]		01/31/2019	S	$15,001 - $50,000	☑
UBS Brokerage Account ⇒ IShares Core MSCI EAFE [EF]		03/18/2019	S (partial)	$1,001 - $15,000	☑
UBS Brokerage Account ⇒ IShares Core S&P Midcap [EF]		01/23/2019	P	$15,001 - $50,000	
UBS Brokerage Account ⇒ IShares Edge MSCI USA Quality Factor [MF]		09/4/2019	P	$15,001 - $50,000	
UBS Brokerage Account ⇒ IShares IBonds Dec 2020 Term [EF]		01/23/2019	P	$1,001 - $15,000	
UBS Brokerage Account ⇒ IShares Russell 1000 Growth [EF]		03/18/2018	S (partial)	$1,001 - $15,000	☑
UBS Brokerage Account ⇒ MFS Value Fund [MF]		01/22/2019	P	$15,001 - $50,000	
UBS Brokerage Account ⇒ Neuberger Berman Long Short Fund [MF]		01/22/2019	S	$1,001 - $15,000	☑
UBS Brokerage Account ⇒ Pimco All Asset All Authority Fund [MF]		01/22/2019	S	$15,001 - $50,000	☑
UBS Brokerage Account ⇒ SPDR S&P 500 [EF]		11/14/2019	S (partial)	$15,001 - $50,000	☑
UBS Brokerage Account ⇒ SPDR S&P Dividend [EF]		11/14/2019	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
UBS Rollover IRA ⇒ ALPS / Corecommodity Management [MF]		09/3/2019	S	$100,001 - $250,000	☐
UBS Rollover IRA ⇒ ALPS / Corecommodity Management [MF]		07/22/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ Delaware Small Cap Core I [MF]		01/16/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ DFA Emerging Markets [MF]		12/23/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ Eaton Vance Short Duration Government Income [MF]		07/23/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ Eaton Vance Short Duration Government Income [MF]		05/23/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ First Eagle Overseas Fund [MF]		12/19/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ First Eagle Overseas Fund [MF]		09/3/2019	P	$50,001 - $100,000	
UBS Rollover IRA ⇒ First Eagle Overseas Fund [MF]		07/22/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ First Eagle Overseas Fund [MF]		01/16/2019	S (partial)	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ Harding Loevner International Equity [MF]		12/18/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ Hartford Schroders Emerging Markets [MF]		12/30/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ Hartford Schroders Emerging Markets [MF]		09/3/2019	S (partial)	$50,001 - $100,000	☐
UBS Rollover IRA ⇒ Hartford Schroders Emerging Markets [MF]		07/22/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒		07/23/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IShares Core MSCI Total Intl [MF]					
UBS Rollover IRA ⇒ IShares Core S&P Midcap [EF]		01/16/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ IShares Core S&P Small Cap [MF]		10/24/2019	S	$15,001 - $50,000	☐
UBS Rollover IRA ⇒ IShares Core S&P Small Cap [MF]		07/23/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ IShares Core S&P Small Cap [EF]		01/16/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ IShares Edge MSCI USA Momentum Factor [MF]		07/23/2019	S (partial)	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ IShares Edge MSCI USA Momentum Factor [MF]		03/6/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ IShares Edge MSCI USA Quality Factor [MF]		09/4/2019	P	$15,001 - $50,000	
UBS Rollover IRA ⇒ IShares Edge MSCI USA Quality Factor [EF]		01/17/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ IShares IBonds December 2019 [EF]		12/19/2019	S	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ IShares IBonds December 2020 Term [EF]		05/23/2019	S	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ IShares IBonds December 2020 Term [MF]		01/22/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ IShares MSCI USA Quality Factor [MF]		07/23/2019	S (partial)	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ JP Morgan Strategic Income Opportunities Fund [MF]		07/23/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ Metropolitan West Total Return Bond Fund [MF]		07/23/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
UBS Rollover IRA ⇒ Metropolitan West Total Return Bond Fund [MF]		01/16/2019	S (partial)	$50,001 - $100,000	☐
UBS Rollover IRA ⇒ Metropolitan West Total Return Bond Fund [MF]		01/18/2019	P	$50,001 - $100,000	
UBS Rollover IRA ⇒ MFS Value Fund [MF]		12/20/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ MFS Value Fund [MF]		09/3/2019	P	$15,001 - $50,000	
UBS Rollover IRA ⇒ MFS Value Fund [MF]		07/22/2019	S (partial)	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ MFS Value Fund [MF]		03/5/2019	S (partial)	$15,001 - $50,000	☐
UBS Rollover IRA ⇒ MFS Value Fund [MF]		01/16/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ O'Shaughnessy Market Leaders Value [MF]		12/13/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ O'Shaughnessy Market Leaders Value [MF]		07/22/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ O'Shaughnessy Market Leaders Value [MF]		03/5/2019	S (partial)	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ O'Shaughnessy Market Leaders Value [MF]		01/16/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ Pimco Short Term Fund [MF]		07/23/2019	P	$15,001 - $50,000	
UBS Rollover IRA ⇒ Putnam Short Duration Income Fund [MF]		07/23/2019	S	$15,001 - $50,000	☐
UBS Rollover IRA ⇒ SPDR Bloomberg Barclays 1-3 month T-Bill ETG [EF]		12/24/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ SPDR S&P 500 ETF [EF]		07/23/2019	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
UBS Rollover IRA ⇒ SPDR S&P 500 ETF [EF]		01/2/2019	P	$1,001 - $15,000	
UBS Rollover IRA ⇒ SPDR S&P 500 ETF [EF]		01/17/2019	S (partial)	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ T Rowe Price Blue Chip Growth [MF]		09/3/2019	P	$15,001 - $50,000	
UBS Rollover IRA ⇒ T Rowe Price Blue Chip Growth [MF]		07/22/2019	S (partial)	$1,001 - $15,000	☐
UBS Rollover IRA ⇒ T Rowe Price Blue Chip Growth [MF]		03/5/2019	P	$15,001 - $50,000	
UBS Rollover IRA ⇒ Virtus Newfleet Multisector (PIMSX) [MF]		07/23/2019	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Penny Mac Loan Services LLC	February 2017	Mortgage on DC residence	$500,001 - $1,000,000

Schedule E: Positions

Position	Name of Organization
Member of Advisory Board (uncompensated)	Cincinnati Boys Hope Girls Hope

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- College Advantage 529 Savings Plan
  Location: OH
- Fidelity Beneficial IRA
- Fidelity Rollover IRA
- Fidelity Roth IRA
- UBS Brokerage Account
  Location: US
- UBS Brokerage Account II
- UBS Rollover IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Brad R. Wenstrup , 08/13/2020