



Filing ID #10035959

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Alan D. Swain
Status: Congressional Candidate
State/District: NCo2

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2019
Filing Date: 05/15/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
All In Federal Credit Union [BA] DESCRIPTION: All In Credit Union checking and savings accounts	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Bank of America [BA] DESCRIPTION: Bank of America checking and savings account	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
KRER 1 [RE] DESCRIPTION: KRER 1 REIT		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Residence-3004 Adonis Cir, Raleigh, NC 27612 [RP] LOCATION: Raleigh, NC, US DESCRIPTION: Home residence in Raleigh, NC	JT	\$1,000,001 - \$5,000,000	None		
TD Ameritrade Holding Corporation (AMTD) [ST] DESCRIPTION: TD Ameritrade stock account with various stocks	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
TD Ameritrade IRA Account [IH]		\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: TD Ameritrade IRA account with stocks and mutual funds					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Army retired pension	my pension	\$86,004.00	\$84,847.00
Army retired pension	spouse Army pension	\$44,784.00	\$44,146.00
Fairfax County (VA) Supplemental Retirement	spouse pension	\$3,090.00	\$3,075.00
FCPS VRS-Virginia Teacher Pension	spouse teacher pension	\$18,700.00	\$18,600.00
social security	spouse social security	\$27,625.00	\$27,191.00
social security	self	\$2,665.00	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Barclay's	June 2019	credit card	\$15,001 - \$50,000
JT	Service Finance Company	August 2018	20 year finance for solar panels	\$15,001 - \$50,000
JT	BB&T	December 2017	Home mortgaga	\$394,350.00

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Alan D. Swain , 05/15/2020