Filing ID #10035451

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Abigail Spanberger
Status: Member
State/District: VA07

Filing Information

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 05/8/2020

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Capital One Money Market [BA]	JT	$50,001 - $100,000	Interest	$1,001 - $2,500	☐
Capital One Savings [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
L3Harris 401k ⇒ Fidelity Lifecycle Fund 2045 [MF]	SP	$500,001 - $1,000,000	Tax-Deferred		☑
Shares of Restricted Stock ⇒ Harris Special Stock Grant [SA] Description: Harris Special Stock Grant	SP	$1,001 - $15,000	None		☐
The Advisory Board Company 401(k) ⇒ WF TRGT 2045 CIT E3 [MF]		$250,001 - $500,000	Tax-Deferred		☑
USAA Checking [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐
Virginia 529 ⇒ 2024 Portfolio [MF] Description: DC1	SP	$50,001 - $100,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Virginia 529 ⇒ 2027 Portfolio [MF] Description: DC1	SP	$15,001 - $50,000	Tax-Deferred		☑
Virginia 529 ⇒ 2027 Portfolio [MF] Description: DC2	SP	$15,001 - $50,000	Tax-Deferred		☑
Virginia 529 ⇒ 2030 Portfolio [MF] Description: DC2	SP	$15,001 - $50,000	Tax-Deferred		☑
Virginia 529 ⇒ 2030 Portfolio [MF] Description: DC3	SP	$1,001 - $15,000	Tax-Deferred		☑
Virginia 529 ⇒ 2033 Portfolio [MF] Description: DC3	SP	$1,001 - $15,000	Tax-Deferred		☑
Wealthfront Roth IRA ⇒ iShares iBoxx USD Investment Grade Corporate Bond ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Roth IRA ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Roth IRA ⇒ RBC US Government Money Market Fund [MF]	SP	$1 - $1,000	Tax-Deferred		☐
Wealthfront Roth IRA ⇒ Vanguard Dividend Appreciation [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Roth IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Wealthfront Roth IRA ⇒ Vanguard FTSE Emerging Markets ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Roth IRA ⇒ Vanguard REIT Index Fund [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Roth IRA ⇒ Vanguard Total Stock Market ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Wealthfront Traditional IRA ⇒ iShares iBoxx USD Investment Grade Corporate Bond ETF [EF]	SP	$1 - $1,000	Tax-Deferred		☐
Wealthfront Traditional IRA ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF [EF]	SP	$1 - $1,000	Tax-Deferred		☐
Wealthfront Traditional IRA ⇒ RBC US Government Money Market Fund [MF]	SP	$1 - $1,000	Tax-Deferred		☐
Wealthfront Traditional IRA ⇒ Vanguard Dividend Appreciation [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Traditional IRA ⇒ Vanguard FTSE Emerging Markets ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Traditional IRA ⇒ Vanguard REIT Index Fund [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront Traditional IRA ⇒ Vanguard Total Stock Market ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
L3Harris 401k ⇒ Fidelity Bond Index Fund [MF]	SP	03/11/2020	P	$250,001 - $500,000	
L3Harris 401k ⇒ Fidelity Bond Index Fund [MF]	SP	05/5/2020	S	$250,001 - $500,000	☐
L3Harris 401k ⇒ Fidelity Index Equity Fund [MF]	SP	03/11/2020	S (partial)	$250,001 - $500,000	☐
L3Harris 401k ⇒ Fidelity Index Equity Fund [MF]	SP	03/19/2020	S	$100,001 - $250,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
L3Harris 401k ⇒ Fidelity Index Equity Fund [MF]	SP	05/5/2020	S	$1,001 - $15,000	☐
L3Harris 401k ⇒ Fidelity Lifecycle Fund 2045 [MF]	SP	05/5/2020	P	$500,001 - $1,000,000	
L3Harris 401k ⇒ Fidelity Stable Value Fund [MF]	SP	03/19/2020	P	$100,001 - $250,000	
L3Harris 401k ⇒ Fidelity Stable Value Fund [MF]	SP	05/5/2020	S	$100,001 - $250,000	☐
Shares of Restricted Stock ⇒ L3Harris Special Stock Grant [SA]	SP	02/28/2020	P	$1,001 - $15,000	
DESCRIPTION: Spouse was granted a Restricted Unit Award of L3Harris Technologies, Inc common stock as part of his compensation pursuant to the provisions of Harris Corporation 2015 Equity Incentive Plan. These restricted units will vest in February 2023					
The Advisory Board Company 401(k) ⇒ Fidelity Stable Value Fund [MF]		03/19/2020	P	$250,001 - $500,000	
The Advisory Board Company 401(k) ⇒ Fidelity Stable Value Fund [MF]		05/5/2020	S	$250,001 - $500,000	☐
The Advisory Board Company 401(k) ⇒ WF TRGT 2045 CIT E3 [MF]		03/19/2020	S	$250,001 - $500,000	☐
The Advisory Board Company 401(k) ⇒ WF TRGT 2045 CIT E3 [MF]		05/5/2020	P	$250,001 - $500,000	
Virginia 529 ⇒ 2024 Portfolio [MF]	SP	12/2/2019	P	$1,001 - $15,000	
Virginia 529 ⇒ 2027 Portfolio [MF]	SP	12/2/2019	P	$1,001 - $15,000	
Virginia 529 ⇒ 2027 Portfolio [MF]	SP	12/2/2019	P	$1,001 - $15,000	
Virginia 529 ⇒ 2030 Portfolio [MF]	SP	12/2/2019	P	$1,001 - $15,000	
Virginia 529 ⇒ 2030 Portfolio [MF]	SP	12/2/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Virginia 529 ⇒ 2033 Portfolio [MF]	SP	12/2/2019	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
L3Harris Technologies, Inc	spouse salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PennyMac	August 2016	Mortgage on Home	$250,001 - $500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- L3Harris 401k (Owner: SP)
- Shares of Restricted Stock (Owner: SP)
  Location: US
  Description: Restricted stock options granted as part of spouse's compensation from his employer.

- The Advisory Board Company 401(k)
- Virginia 529 (Owner: SP)
  Location: VA
- Wealthfront Roth IRA (Owner: SP)
- Wealthfront Traditional IRA (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Abigail Spanberger , 05/8/2020