Filing ID #10035092

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Anthony Brindisi
Status: Member
State/District: NY22

Filing Information

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/12/2020

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
American Capital Income Builder [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	
American Capital Worth Growth Inc. [MF]		$1,001 - $15,000	Dividends	$1 - $200	
American EuroPac Growth [MF]		$1,001 - $15,000	Dividends	$1 - $200	
American Growth Fund of America [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Americu Credit Union [BA]		$15,001 - $50,000	Interest	$1 - $200	
Bank of Utica [BA]	SP	$1,001 - $15,000	Interest	$201 - $1,000	
Bank of Utica [BA]	DC	$1,001 - $15,000	Interest	$1 - $200	
Bank of Utica [BA]	DC	$1,001 - $15,000	Interest	$1 - $200	
Bank of Utica [BA]		$1,001 - $15,000	Interest	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
CGM Focus Fund [IP]	SP	$1,001 - $15,000	Tax-Deferred		
Fidelity Govt MMKT Capital Reserves [MF]		$1,001 - $15,000	None		
Gap, Inc. (GPS) [ST]		$1 - $1,000	Dividends	$1 - $200	
General Electric Company (GE) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
New York State Pension [PE]		Undetermined	None		
New York State Teachers' Retirement System [PE]	SP	Undetermined	None		
NY Life Insurance Company [WU]		$15,001 - $50,000	None		
NYS 529 Conservative Age Based Option Plan [5P] Location: NY	DC	$50,001 - $100,000	Tax-Deferred		
NYS 529 Conservative Age Based Option Plan [5P] Location: NY	DC	$50,001 - $100,000	Tax-Deferred		
Procter & Gamble Company (PG) [ST]		$1,001 - $15,000	Interest	$201 - $1,000	
SP Prudential Variable Life Policy ⇒ Fixed Rate Option [BA]	SP	$1,001 - $15,000	None		
SP Prudential Variable Life Policy ⇒ PSF Govt Money Market [BA]	SP	$1 - $1,000	None		
Thrift Savings Plan ⇒ Am. Funds EuroPac Growth [MF]		$1,001 - $15,000	Tax-Deferred		
Thrift Savings Plan ⇒ Fidelity Advisor Levrgd [MF]		$1,001 - $15,000	Tax-Deferred		
Thrift Savings Plan ⇒ Hartford Small Co. HLS [MF]		$1,001 - $15,000	Tax-Deferred		
Thrift Savings Plan ⇒ Mass Mutual Fixed Account [BA]		$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Thrift Savings Plan ⇒ T. Rowe Price Group, Inc. (TROW) [ST]		$15,001 - $50,000	Tax-Deferred		☐
Walt Disney Company (DIS) [ST]		$1 - $1,000	Dividends	$1 - $200	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

None disclosed.

Schedule C: Earned Income

Source	Type	Amount
Brindisi, Murad, Brindisi & Pearlman LLP	Salary	$3,000.00
Mohawk Valley Community College	Spouse Salary	$60,000.00

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
November 2011	Anthony Brindisi and New York State Employee Retirement System	NYS Pension

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- SP Prudential Variable Life Policy (Owner: SP)
- Thrift Savings Plan
  Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Anthony Brindisi , 08/12/2020