



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Adam Schleifer
Status: Congressional Candidate
State/District: NY17

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 04/10/2020
Period Covered: 01/01/2019– 03/26/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Aercap Holdings Var Rate Debt Sec [CS] DESCRIPTION: variable rate debt security		\$1,001 - \$15,000	None		
Allstate Corp Preferred Security [CS] DESCRIPTION: corp security.		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$500,001 - \$1,000,000	None		
Amazon.com, Inc. (AMZN) [ST]		\$100,001 - \$250,000	None		
Amer Fds Europac Growth Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
Amer Fds Inc Fund of America [MF]		\$15,001 - \$50,000	Tax-Deferred		
Amer Fds Inc Growth Fund of America [MF]		\$15,001 - \$50,000	Tax-Deferred		
Amer Fds New Perspective Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Amer Fds Small Cap World Fd [MF]		\$50,001 - \$100,000	Tax-Deferred		
American Century International Opportunities Fund [MF]		\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
Amex Savings [BA]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	\$2,501 - \$5,000
Apple Inc. (AAPL) [ST]		\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
BAC Cap Tr XIV Var. Rate Debt. Sec. [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$201 - \$1,000
DESCRIPTION: variable rate debt security.					
Bank of America Cash [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$2,501 - \$5,000
Blackrock Core Bond Trust Blackrock Core Bond Trust (BHK) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
CBRE Clarion Global Real Estate Income Fund (IGR) [ST]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Citigroup Inc Var. Rate Debt Sec. [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
DESCRIPTION: variable rate debt security					
Dodge & Cox Stock Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		
First Trust NASDAQ Clean Edge Green Energy Index Fund (QCLN) [ST]		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Ford Motor Company (F) [ST]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
General Motors Company (GM) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Gilead Sciences, Inc. (GILD) [ST]		\$1,000,001 - \$5,000,000	Dividends	None	\$15,001 - \$50,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Goldman Sachs Var. Rate Debt Sec. [CS]		\$15,001 - \$50,000	None		
DESCRIPTION: variable rate corp debt security					
Invesco Oppen Global Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
Invesco Oppenheimer International Bond Fund Class C MUTF: OIBCX [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
iShares MSCI EAFE ETF (EFA) [ST]		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
iShares MSCI Emerging Index Fund (EEM) [ST]		\$15,001 - \$50,000	Tax-Deferred		
iShares Russell 2000 Growth ETF (IWO) [ST]		\$250,001 - \$500,000	Dividends	None	\$2,501 - \$5,000
iShares Russell 2000 Growth ETF (IWO) [ST]		\$50,001 - \$100,000	Tax-Deferred		
John Hancock Preferred Income Fund III Preferred Income Fund III (HPS) [ST]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
John Hancock Tax Advantaged Dividend Income Fund Common Shares of Beneficial Interest (HTD) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$15,001 - \$50,000
JPM Cash Deposit [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	\$2,501 - \$5,000
JPM US Res Enhanced Eq Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
JPMorgan Chase & Co. Preferred Security [CS]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
DESCRIPTION: preferred security.					
JPMorgan Managed Income Fund L [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Longleaf Partners Small Cap Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	None	\$5,001 - \$15,000
Northwest Biotherapeutics, Inc. (NWBO) [ST]		\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Regeneron Pharmaceuticals, Inc. (REGN) [ST]		\$25,000,001 - \$50,000,000	None		
DESCRIPTION: Inclusive of Common Stock (REGN) and currently-unmarketable Class-A shares convertible into common stock.					
SPDR Gold Trust (GLD) [ST]		\$15,001 - \$50,000	None		
SPDR S&P 500 (SPY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
SPDR S&P 500 (SPY) [ST]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
T Rowe Price Midcap Growth Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
UBS AG Deposit Account [BA]		None	Interest	None	\$1 - \$200
UBS Bank Business Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
UBS Bank Deposit Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	\$201 - \$1,000
UBS Select Treasury Inst. Fund [BA]		\$1,000,001 - \$5,000,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Vanguard Federal Money Market Fund [MF]		\$1 - \$1,000	Tax-Deferred		
Vanguard FTSE Allword SC ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		
Vanguard Growth Index Fund [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Vanguard Healthcare ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		
Vanguard High Dividend Yield ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		
Vanguard S&P 500 ETF (VOO) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Vanguard S&P 500 Index ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		
Vanguard Small Cap Growth ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Strategic Equity Fund [MF]		\$15,001 - \$50,000	Dividends	None	\$201 - \$1,000
Vanguard Strategic Equity Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
Vanguard Total Bond Market Index Fund [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Vanguard Total Intl Stock [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Vintage 2019 Private Inv. LLC [HE]		\$1,001 - \$15,000	None		
Wells Fargo Income Opportunities Fund Common Shares (EAD) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
United States Department of Justice	Salary	N/A	\$102,966.00
Berlin Rosen Ltd.	spouse salary	N/A	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Adam Schleifer , 04/10/2020