Filing ID #10029964

Financial Disclosure Report

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Filer Information

Name: David Buchwald
Status: Congressional Candidate
State/District: NY17

Filing Information

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 02/12/2020
Period Covered: 01/01/2019– 01/31/2020

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	$1 - $200
Description: Checking & Savings account					
Bank of America - DC #1 [BA]	DC	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
Description: Savings account					
Bank of America - DC #2 [BA]	DC	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
Description: Savings account					
Davis Polk & Wardwell LLP [OT]	SP	Spouse/DC Over $1,000,000	Partnership Income	Spouse/DC Over $1,000,000	Spouse/DC Over $1,000,000
Description: Law firm interest					
Davis Polk & Wardwell LLP - 401k Plan ⇒ Fidelity Freedom 2045 Fund Class K6 (FJTKX) [MF]	SP	$100,001 - $250,000	Tax-Deferred		
Davis Polk & Wardwell LLP - HR 10 Plan ⇒ Fidelity Freedom 2050 Fund Class K6 (FZTKX) [MF]	SP	$100,001 - $250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Investment in Residential Property [RP] Location: White Plains, NY, US		$250,001 - $500,000	Rent	$15,001 - $50,000	$15,001 - $50,000
New York 529 College Savings Program - DC #1 ⇒ Growth Stock Index Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		
New York 529 College Savings Program - DC #2 ⇒ Growth Stock Index Portfolio [MF]	DC	$50,001 - $100,000	Tax-Deferred		
New York State Deferred Compensation Plan ⇒ International Equity Fund - Index [MF]		$15,001 - $50,000	Tax-Deferred		
New York State Deferred Compensation Plan ⇒ MSIF Emerging Markets IS [MF]		$1,001 - $15,000	Tax-Deferred		
New York State Deferred Compensation Plan ⇒ NYS DCB Equity Index U/A [MF]		$50,001 - $100,000	Tax-Deferred		
New York State Deferred Compensation Plan ⇒ NYS DCB Russell 2500 Index U/A [MF]		$15,001 - $50,000	Tax-Deferred		
New York State Deferred Compensation Plan ⇒ NYS DCB US Debt Index U/A [MF]		$15,001 - $50,000	Tax-Deferred		
Stetson Capital Fund II LP [OL] Location: New York, NY, US Description: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	$50,001 - $100,000	None		
Vanguard Brokerage ⇒ New York Municipal Money Market Fund (VYFXX) [MF]	JT	$100,001 - $250,000	Dividends	$2,501 - $5,000	$201 - $1,000
Vanguard Brokerage ⇒ Vanguard Intermediate-Term Tax-Exempt Adm (VWIUX) [MF]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Brokerage ⇒ Vanguard Intermediate-Term Tax-Exempt Inv Fund (VWITX) [MF]	JT	None	Dividends	$201 - $1,000	None
Vanguard Brokerage ⇒ Vanguard Prime Money Market Fund (VMMXX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Vanguard Brokerage ⇒ Vanguard Total International Stock Index Fund (VTIAX) [MF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	$1,001 - $2,500
Vanguard Brokerage Account ⇒ Vanguard Total International Stock Index Fund (VTIAX) [MF]		$250,001 - $500,000	Capital Gains, Dividends	$5,001 - $15,000	$5,001 - $15,000
Vanguard Brokerage Account ⇒ Vanguard Total Stock Market Index Fund (VTSAX) [MF]		$500,001 - $1,000,000	Dividends	$5,001 - $15,000	$5,001 - $15,000
Vanguard Rollover IRA ⇒ Vanguard Total Bond Market Index Fund (VBTLX) [MF]		$100,001 - $250,000	Tax-Deferred		
Vanguard Rollover IRA ⇒ Vanguard Total International Bond Index Fund (VTABX) [MF]		$15,001 - $50,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Global ESG Select Stock Fund (VESGX) [MF]		$50,001 - $100,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Total International Stock Index Fund (VTIAX) [MF]		$15,001 - $50,000	Tax-Deferred		
Vanguard UGMA/UTMA Brokerage Account - DC #1 ⇒ Vanguard Prime Money Market Investor CL (VMMXX) [MF]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Vanguard UGMA/UTMA Brokerage Account - DC #2 ⇒ Vanguard LifeStrategy Growth Investor CL (VASGX) [MF]	DC	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	$1,001 - $2,500
Vanguard UGMA/UTMA Brokerage	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Account - DC #2 ⇒ Vanguard Prime Money Market Investor CL (VMMXX) [MF]					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
New York State Assembly	Salary	$103,793.98	$66,958.33

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

Position	Name of Organization
Director & Vice-President	Citizens For The Charitable Contribution Deduction, Inc.

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

None disclosed.

Schedule A Asset Class Details

- Davis Polk & Wardwell LLP - 401k Plan (Owner: SP)
- Davis Polk & Wardwell LLP - HR 10 Plan (Owner: SP)
- New York 529 College Savings Program - DC #1 (Owner: DC)
  Location: NY
- New York 529 College Savings Program - DC #2 (Owner: DC)
  Location: NY
- New York State Deferred Compensation Plan
- Vanguard Brokerage (Owner: JT)
  Location: US
- Vanguard Brokerage Account
  Location: US

- Vanguard Rollover IRA
- Vanguard Roth IRA
- Vanguard UGMA/UTMA Brokerage Account - DC #1 (Owner: DC)
  LOCATION: US
- Vanguard UGMA/UTMA Brokerage Account - DC #2 (Owner: DC)
  LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

( ) Yes (•) No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

( ) Yes (•) No

COMMENTS

The reporting period covers 1/1/2018-1/31/2020

CERTIFICATION AND SIGNATURE

[x] I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: David Buchwald , 02/12/2020