



Filing ID #10029935

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Lisa Scheller  
**Status:** Congressional Candidate  
**State/District:** PA07

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2019  
**Filing Date:** 11/27/2019  
**Period Covered:** 01/01/2018– 10/31/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset                                                                                                                                                 | Owner | Value of Asset             | Income Type(s)                     | Income Current Year to Filing | Income Preceding Year     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----------------------------|------------------------------------|-------------------------------|---------------------------|
| Baupost Limited Partnership 1983 A-1 [OT]<br><br>DESCRIPTION: n/a                                                                                     |       | \$5,000,001 - \$25,000,000 | Capital Gains, Dividends, Interest | \$100,001 - \$1,000,000       | \$100,001 - \$1,000,000   |
| Baupost Limited Partnership 1983 C-1 [OT]<br><br>DESCRIPTION: n/a                                                                                     |       | \$5,000,001 - \$25,000,000 | Capital Gains, Dividends, Interest | \$1,000,001 - \$5,000,000     | \$1,000,001 - \$5,000,000 |
| Clause IV ⇒<br>Baupost Limited Partnership 1983 C-1 [OL]<br><br>LOCATION: Boston, MA, US<br>DESCRIPTION: n/a                                          |       | \$5,000,001 - \$25,000,000 | Capital Gains, Dividends, Interest | \$100,001 - \$1,000,000       | \$100,001 - \$1,000,000   |
| Clause IV ⇒<br>Silberline Limited (UK Limited Corp) [OL]<br><br>LOCATION: Leven, GB<br>DESCRIPTION: Engaged in the business of manufacturing pigment. |       | \$1,001 - \$15,000         | Business Income                    | \$1 - \$200                   | \$201 - \$1,000           |

| Asset                                                                                                                                                | Owner | Value of Asset              | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----------------------------|----------------|-------------------------------|-----------------------|
| Clause IV ⇒ Silberline Manufacturing Co. [OL]                                                                                                        |       | \$25,000,001 - \$50,000,000 | None           |                               |                       |
| LOCATION: Tamaqua, PA, US<br>DESCRIPTION: Engaged in the business of manufacturing pigment.                                                          |       |                             |                |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ 3M Company (MMM) [ST]                                                                                       |       | \$1,001 - \$15,000          | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ AbbVie Inc. (ABBV) [ST]                                                                                     |       | \$15,001 - \$50,000         | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]                                                            |       | \$15,001 - \$50,000         | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Adobe Inc. (ADBE) [ST]                                                                                      |       | \$50,001 - \$100,000        | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Agilent Technologies, Inc. (A) [ST]                                                                         |       | \$1,001 - \$15,000          | Dividends      | \$1 - \$200                   | \$1 - \$200           |
| Clause IV ⇒ Investment House Clause IV ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]                                                                       |       | \$1,001 - \$15,000          | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] |       | \$15,001 - \$50,000         | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Alphabet Inc. - Class A (GOOGL) [ST]                                                                        |       | \$15,001 - \$50,000         | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]                                                           |       | \$15,001 - \$50,000         | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Amazon.com, Inc. (AMZN) [ST]                                                                                |       | \$50,001 - \$100,000        | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Apple Inc. (AAPL) [ST]                                                                                      |       | \$50,001 - \$100,000        | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Autodesk, Inc. (ADSK) [ST]                                                                                  |       | \$15,001 - \$50,000         | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒                                                                                                             |       | \$15,001 - \$50,000         | Dividends      | \$201 -                       | \$201 -               |

| Asset                                                                                              | Owner | Value of Asset      | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|----------------------------------------------------------------------------------------------------|-------|---------------------|----------------|-------------------------------|-----------------------|
| Automatic Data Processing, Inc. (ADP) [ST]                                                         |       |                     |                | \$1,000                       | \$1,000               |
| Clause IV ⇒ Investment House Clause IV ⇒ Biogen Inc. (BIIB) [ST]                                   |       | \$1,001 - \$15,000  | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Bioverativ Inc. (BIVV) [ST]                               |       | None                | Capital Gains  | None                          | \$1,001 - \$2,500     |
| Clause IV ⇒ Investment House Clause IV ⇒ Booking Holdings Inc. (BKNG) [ST]                         |       | \$15,001 - \$50,000 | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Bristol-Myers Squibb Company (BMY) [ST]                   |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Carnival Corporation (CCL) [ST]                           |       | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Celgene Corporation (CELG) [ST]                           |       | \$1,001 - \$15,000  | Capital Gains  | None                          | \$2,501 - \$5,000     |
| Clause IV ⇒ Investment House Clause IV ⇒ Charles River Laboratories International, Inc. (CRL) [ST] |       | \$15,001 - \$50,000 | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Church & Dwight Company, Inc. (CHD) [ST]                  |       | \$15,001 - \$50,000 | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Cisco Systems, Inc. (CSCO) [ST]                           |       | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000               | None                  |
| Clause IV ⇒ Investment House Clause IV ⇒ Clorox Company (CLX) [ST]                                 |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Constellation Brands, Inc. (STZ) [ST]                     |       | \$1,001 - \$15,000  | Dividends      | \$1 - \$200                   | None                  |
| Clause IV ⇒ Investment House Clause IV ⇒ Corning Incorporated (GLW) [ST]                           |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Costco Wholesale Corporation (COST) [ST]                  |       | \$15,001 - \$50,000 | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Cummins Inc. (CMI) [ST]                                   |       | \$15,001 - \$50,000 | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |

| Asset                                                                                       | Owner | Value of Asset        | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---------------------------------------------------------------------------------------------|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Clause IV ⇒ Investment House Clause IV ⇒ Delta Air Lines, Inc. (DAL) [ST]                   |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Deluxe Corporation (DLX) [ST]                      |       | None                  | Dividends      | None                          | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ DowDuPont Inc. (DWDP) [ST]                         |       | None                  | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Ecolab Inc. (ECL) [ST]                             |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Eli Lilly and Company (LLY) [ST]                   |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Facebook, Inc. - Class A (FB) [ST]                 |       | \$100,001 - \$250,000 | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ FedEx Corporation (FDX) [ST]                       |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ HP Inc. (HPQ) [ST]                                 |       | None                  | Dividends      | \$201 - \$1,000               | \$1 - \$200           |
| Clause IV ⇒ Investment House Clause IV ⇒ Intel Corporation (INTC) [ST]                      |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | None                  |
| Clause IV ⇒ Investment House Clause IV ⇒ Intercontinental Exchange Inc. (ICE) [ST]          |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Intuit Inc. (INTU) [ST]                            |       | \$50,001 - \$100,000  | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ iShares Nasdaq Biotechnology Index Fund (IBB) [ST] |       | \$15,001 - \$50,000   | Dividends      | \$1 - \$200                   | \$1 - \$200           |
| Clause IV ⇒ Investment House Clause IV ⇒ Johnson & Johnson (JNJ) [ST]                       |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ JP Morgan Chase & Co. (JPM) [ST]                   |       | \$15,001 - \$50,000   | Dividends      | \$201 - \$1,000               | None                  |

| Asset                                                                                                    | Owner | Value of Asset       | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|----------------------------------------------------------------------------------------------------------|-------|----------------------|--------------------------|-------------------------------|-----------------------|
| Clause IV ⇒ Investment House Clause IV ⇒ McKesson Corporation (MCK) [ST]                                 |       | None                 | Capital Gains, Dividends | None                          | \$5,001 - \$15,000    |
| Clause IV ⇒ Investment House Clause IV ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]                       |       | \$15,001 - \$50,000  | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Meta Financial Group, Inc. (CASH) [ST]                          |       | \$1,001 - \$15,000   | Dividends                | \$1 - \$200                   | None                  |
| Clause IV ⇒ Investment House Clause IV ⇒ Microsoft Corporation (MSFT) [ST]                               |       | \$50,001 - \$100,000 | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Norfolk Southern Corporation (NSC) [ST]                         |       | \$15,001 - \$50,000  | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Novartis AG (NVS) [ST]                                          |       | \$15,001 - \$50,000  | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Omnicom Group Inc. (OMC) [ST]                                   |       | None                 | Capital Gains, Dividends | None                          | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Oracle Corporation (ORCL) [ST]                                  |       | None                 | Capital Gains, Dividends | None                          | \$2,501 - \$5,000     |
| Clause IV ⇒ Investment House Clause IV ⇒ Paychex, Inc. (PAYX) [ST]                                       |       | \$15,001 - \$50,000  | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ PayPal Holdings, Inc. (PYPL) [ST]                               |       | \$15,001 - \$50,000  | None                     |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ PepsiCo, Inc. (PEP) [ST]                                        |       | \$1,001 - \$15,000   | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST] |       | None                 | Dividends                | None                          | \$2,501 - \$5,000     |
| Clause IV ⇒ Investment House Clause IV ⇒ Scotts Miracle-Gro Company (SMG) [ST]                           |       | \$15,001 - \$50,000  | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Six Flags Entertainment Corporation New (SIX) [ST]              |       | \$1,001 - \$15,000   | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒                                                                 |       | \$15,001 - \$50,000  | None                     |                               |                       |

| Asset                                                                                       | Owner | Value of Asset             | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---------------------------------------------------------------------------------------------|-------|----------------------------|----------------|-------------------------------|-----------------------|
| Square, Inc. Class A (SQ) [ST]                                                              |       |                            |                |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ SWGXX [MF]                                         |       | \$1,001 - \$15,000         | Dividends      | \$1 - \$200                   | \$1 - \$200           |
| Clause IV ⇒ Investment House Clause IV ⇒ Texas Instruments Incorporated (TXN) [ST]          |       | \$15,001 - \$50,000        | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ United Parcel Service, Inc. (UPS) [ST]             |       | \$15,001 - \$50,000        | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Visa Inc. (V) [ST]                                 |       | \$15,001 - \$50,000        | Dividends      | \$1 - \$200                   | \$1 - \$200           |
| Clause IV ⇒ Investment House Clause IV ⇒ Waste Management, Inc. (WM) [ST]                   |       | \$15,001 - \$50,000        | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Wells Fargo Bank N.A. Savings Account [BA]         |       | \$500,001 - \$1,000,000    | Interest       | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Whirlpool Corporation (WHR) [ST]                   |       | \$15,001 - \$50,000        | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ XPO Logistics, Inc. (XPO) [ST]                     |       | \$15,001 - \$50,000        | None           |                               |                       |
| Clause IV ⇒ Investment House Clause IV ⇒ Yum China Holdings, Inc. (YUMC) [ST]               |       | \$1,001 - \$15,000         | Dividends      | \$1 - \$200                   | \$1 - \$200           |
| Clause IV ⇒ Investment House Clause IV ⇒ Yum! Brands, Inc. (YUM) [ST]                       |       | \$15,001 - \$50,000        | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Clause IV ⇒ Investment House Clause IV ⇒ Zoetis Inc. Class A (ZTS) [ST]                     |       | \$15,001 - \$50,000        | Dividends      | \$1 - \$200                   | \$1 - \$200           |
| Ernest Scheller Jr. 2012 ⇒ Silberline Manufacturing Co. [OL]                                |       | \$5,000,001 - \$25,000,000 | None           |                               |                       |
| LOCATION: Tamaqua, PA, US<br>DESCRIPTION: Engaged in the business of manufacturing pigment. |       |                            |                |                               |                       |
| Ernest Scheller Jr. 2012 ⇒ Wells Fargo Bank N.A. Checking Account [BA]                      |       | \$250,001 - \$500,000      | Interest       | \$1 - \$200                   | \$1 - \$200           |

| Asset                                                                                                                                     | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|-------------------------------------------------------------------------------------------------------------------------------------------|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Ernest Scheller Jr. 2012 ⇒ Wells Fargo Bank N.A. Savings Account [BA]                                                                     |       | \$100,001 - \$250,000 | Interest                 | \$1 - \$200                   | \$1 - \$200           |
| International Business Machines Corporation (IBM) [ST]                                                                                    |       | \$1,001 - \$15,000    | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Investment House Individual ⇒ 3M Company (MMM) [ST]                                                                                       |       | \$50,001 - \$100,000  | Dividends                | \$1,001 - \$2,500             | \$2,501 - \$5,000     |
| Investment House Individual ⇒ AbbVie Inc. (ABBV) [ST]                                                                                     |       | None                  | Capital Gains, Dividends | \$5,001 - \$15,000            | \$2,501 - \$5,000     |
| Investment House Individual ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]                                                            |       | \$100,001 - \$250,000 | Dividends                | \$1,001 - \$2,500             | \$2,501 - \$5,000     |
| Investment House Individual ⇒ Adobe Inc. (ADBE) [ST]                                                                                      |       | \$100,001 - \$250,000 | None                     |                               |                       |
| Investment House Individual ⇒ AECOM (ACM) [ST]                                                                                            |       | \$100,001 - \$250,000 | None                     |                               |                       |
| Investment House Individual ⇒ Agilent Technologies, Inc. (A) [ST]                                                                         |       | \$15,001 - \$50,000   | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Investment House Individual ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] |       | \$250,001 - \$500,000 | None                     |                               |                       |
| Investment House Individual ⇒ Allergan plc Ordinary Shares (AGN) [ST]                                                                     |       | None                  | Capital Gains, Dividends | \$201 - \$1,000               | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Alphabet Inc. - Class A (GOOGL) [ST]                                                                        |       | \$250,001 - \$500,000 | None                     |                               |                       |
| Investment House Individual ⇒ Amazon.com, Inc. (AMZN) [ST]                                                                                |       | \$250,001 - \$500,000 | None                     |                               |                       |
| Investment House Individual ⇒ American Airlines Group, Inc. (AAL) [ST]                                                                    |       | \$250,001 - \$500,000 | Capital Gains, Dividends | \$2,501 - \$5,000             | \$5,001 - \$15,000    |
| Investment House Individual ⇒ Amgen Inc. (AMGN) [ST]                                                                                      |       | \$100,001 - \$250,000 | Dividends                | \$2,501 - \$5,000             | \$2,501 - \$5,000     |

| Asset                                                                                   | Owner | Value of Asset        | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|-----------------------------------------------------------------------------------------|-------|-----------------------|----------------|-------------------------------|-----------------------|
| Investment House Individual ⇒ Apple Inc. (AAPL) [ST]                                    |       | None                  | Dividends      | None                          | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Autodesk, Inc. (ADSK) [ST]                                |       | \$100,001 - \$250,000 | Capital Gains  | None                          | \$15,001 - \$50,000   |
| Investment House Individual ⇒ Automatic Data Processing, Inc. (ADP) [ST]                |       | \$100,001 - \$250,000 | Dividends      | \$2,501 - \$5,000             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Booking Holdings Inc. (BKNG) [ST]                         |       | \$100,001 - \$250,000 | None           |                               |                       |
| Investment House Individual ⇒ Bristol-Myers Squibb Company (BMY) [ST]                   |       | None                  | Dividends      | \$1,001 - \$2,500             | \$2,501 - \$5,000     |
| Investment House Individual ⇒ Carnival Corporation (CCL) [ST]                           |       | \$100,001 - \$250,000 | Dividends      | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investment House Individual ⇒ Charles River Laboratories International, Inc. (CRL) [ST] |       | \$100,001 - \$250,000 | None           |                               |                       |
| Investment House Individual ⇒ Church & Dwight Company, Inc. (CHD) [ST]                  |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Cisco Systems, Inc. (CSCO) [ST]                           |       | \$100,001 - \$250,000 | Dividends      | \$2,501 - \$5,000             | \$201 - \$1,000       |
| Investment House Individual ⇒ Clorox Company (CLX) [ST]                                 |       | \$100,001 - \$250,000 | Dividends      | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investment House Individual ⇒ Constellation Brands, Inc. (STZ) [ST]                     |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | None                  |
| Investment House Individual ⇒ Corning Incorporated (GLW) [ST]                           |       | \$50,001 - \$100,000  | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Costco Wholesale Corporation (COST) [ST]                  |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Cummins Inc. (CMI) [ST]                                   |       | \$100,001 - \$250,000 | Dividends      | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investment House Individual ⇒                                                           |       | \$50,001 -            | Dividends      | \$1,001 -                     | \$2,501 -             |

| Asset                                                                                | Owner | Value of Asset        | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------------------------------------------------------|-------|-----------------------|----------------|-------------------------------|-----------------------|
| CVS Health Corporation (CVS) [ST]                                                    |       | \$100,000             |                | \$2,500                       | \$5,000               |
| Investment House Individual ⇒ Delta Air Lines, Inc. (DAL) [ST]                       |       | \$50,001 - \$100,000  | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Deluxe Corporation (DLX) [ST]                          |       | \$50,001 - \$100,000  | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Ecolab Inc. (ECL) [ST]                                 |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Facebook, Inc. - Class A (FB) [ST]                     |       | \$250,001 - \$500,000 | None           |                               |                       |
| Investment House Individual ⇒ FedEx Corporation (FDX) [ST]                           |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Goldman Sachs Group, Inc. (GS) [ST]                    |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ HP Inc. (HPQ) [ST]                                     |       | None                  | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Illumina, Inc. (ILMN) [ST]                             |       | \$15,001 - \$50,000   | None           |                               |                       |
| Investment House Individual ⇒ Intel Corporation (INTC) [ST]                          |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | None                  |
| Investment House Individual ⇒ Intercontinental Exchange Inc. (ICE) [ST]              |       | \$250,001 - \$500,000 | Dividends      | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investment House Individual ⇒ International Business Machines Corporation (IBM) [ST] |       | None                  | Dividends      | None                          | \$201 - \$1,000       |
| Investment House Individual ⇒ Intuit Inc. (INTU) [ST]                                |       | \$100,001 - \$250,000 | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ iShares Nasdaq Biotechnology Index Fund (IBB) [ST]     |       | \$100,001 - \$250,000 | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Investment House Individual ⇒ Johnson & Johnson (JNJ) [ST]                           |       | \$50,001 - \$100,000  | Dividends      | \$1,001 - \$2,500             | \$2,501 - \$5,000     |

| Asset                                                                       | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|-----------------------------------------------------------------------------|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Investment House Individual ⇒ JP Morgan Chase & Co. (JPM) [ST]              |       | \$100,001 - \$250,000 | Dividends                | \$2,501 - \$5,000             | None                  |
| Investment House Individual ⇒ Mastercard Incorporated (MA) [ST]             |       | \$100,001 - \$250,000 | Dividends                | \$201 - \$1,000               | \$201 - \$1,000       |
| Investment House Individual ⇒ McKesson Corporation (MCK) [ST]               |       | None                  | Dividends                | None                          | \$1 - \$200           |
| Investment House Individual ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]     |       | \$100,001 - \$250,000 | Dividends                | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Merck & Company, Inc. Common Stock (MRK) [ST] |       | \$100,001 - \$250,000 | Dividends                | \$2,501 - \$5,000             | \$2,501 - \$5,000     |
| Investment House Individual ⇒ Microsoft Corporation (MSFT) [ST]             |       | \$100,001 - \$250,000 | Dividends                | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| Investment House Individual ⇒ Monsanto Co [OP]<br>DESCRIPTION: 61166W101    |       | None                  | Capital Gains            | None                          | \$5,001 - \$15,000    |
| Investment House Individual ⇒ Norfolk Southern Corporation (NSC) [ST]       |       | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000             | \$15,001 - \$50,000   |
| Investment House Individual ⇒ NVIDIA Corporation (NVDA) [ST]                |       | \$50,001 - \$100,000  | Dividends                | \$1 - \$200                   | None                  |
| Investment House Individual ⇒ Omnicom Group Inc. (OMC) [ST]                 |       | None                  | Capital Gains, Dividends | None                          | \$5,001 - \$15,000    |
| Investment House Individual ⇒ Oracle Corporation (ORCL) [ST]                |       | None                  | Dividends                | None                          | \$201 - \$1,000       |
| Investment House Individual ⇒ Paychex, Inc. (PAYX) [ST]                     |       | \$250,001 - \$500,000 | Dividends                | \$5,001 - \$15,000            | \$5,001 - \$15,000    |
| Investment House Individual ⇒ PayPal Holdings, Inc. (PYPL) [ST]             |       | \$100,001 - \$250,000 | None                     |                               |                       |
| Investment House Individual ⇒ Pepsico, Inc. (PEP) [ST]                      |       | \$100,001 - \$250,000 | Dividends                | \$2,501 - \$5,000             | \$2,501 - \$5,000     |

| Asset                                                                                            | Owner | Value of Asset           | Income Type(s)              | Income Current Year to Filing | Income Preceding Year  |
|--------------------------------------------------------------------------------------------------|-------|--------------------------|-----------------------------|-------------------------------|------------------------|
| Investment House Individual ⇒<br>QUALCOMM Incorporated (QCOM) [ST]                               |       | \$100,001 -<br>\$250,000 | Dividends                   | \$2,501 -<br>\$5,000          | \$2,501 -<br>\$5,000   |
| Investment House Individual ⇒<br>Quest Diagnostics Incorporated (DGX) [ST]                       |       | None                     | Dividends                   | None                          | \$201 -<br>\$1,000     |
| Investment House Individual ⇒<br>Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST] |       | None                     | Capital Gains               | None                          | \$1,001 -<br>\$2,500   |
| Investment House Individual ⇒<br>SAP SE ADS (SAP) [ST]                                           |       | \$100,001 -<br>\$250,000 | Dividends                   | \$1,001 -<br>\$2,500          | \$201 -<br>\$1,000     |
| Investment House Individual ⇒<br>SCHWAB PA MUNI MONEY MARKET - SWEXX [BA]                        |       | \$50,001 -<br>\$100,000  | Interest                    | \$1 - \$200                   | \$201 -<br>\$1,000     |
| Investment House Individual ⇒<br>Scotts Miracle-Gro Company (SMG) [ST]                           |       | \$100,001 -<br>\$250,000 | Dividends                   | \$1,001 -<br>\$2,500          | \$2,501 -<br>\$5,000   |
| Investment House Individual ⇒<br>Six Flags Entertainment Corporation New (SIX) [ST]              |       | \$50,001 -<br>\$100,000  | Dividends                   | \$5,001 -<br>\$15,000         | \$5,001 -<br>\$15,000  |
| Investment House Individual ⇒<br>Square, Inc. Class A (SQ) [ST]                                  |       | \$50,001 -<br>\$100,000  | Capital Gains               | None                          | \$5,001 -<br>\$15,000  |
| Investment House Individual ⇒<br>Texas Instruments Incorporated (TXN) [ST]                       |       | \$100,001 -<br>\$250,000 | Capital Gains,<br>Dividends | \$2,501 -<br>\$5,000          | \$15,001 -<br>\$50,000 |
| Investment House Individual ⇒<br>United Airlines Holdings, Inc. (UAL) [ST]                       |       | \$50,001 -<br>\$100,000  | None                        |                               |                        |
| Investment House Individual ⇒<br>Visa Inc. (V) [ST]                                              |       | \$100,001 -<br>\$250,000 | Dividends                   | \$201 -<br>\$1,000            | None                   |
| Investment House Individual ⇒<br>Waste Management, Inc. (WM) [ST]                                |       | \$100,001 -<br>\$250,000 | Dividends                   | \$2,501 -<br>\$5,000          | \$2,501 -<br>\$5,000   |
| Investment House Individual ⇒<br>XPO Logistics, Inc. (XPO) [ST]                                  |       | \$50,001 -<br>\$100,000  | None                        |                               |                        |
| Investment House Individual ⇒<br>Zoetis Inc. Class A (ZTS) [ST]                                  |       | \$100,001 -<br>\$250,000 | Dividends                   | \$201 -<br>\$1,000            | \$201 -<br>\$1,000     |

| Asset                                                                                                                                                | Owner | Value of Asset       | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----------------------|----------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>AAPL 08/17/2018 \$185 Call [OP]<br><br>DESCRIPTION: Call                                                    |       | None                 | Capital Gains  | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒<br>AAPL 09/21/2018 \$185 Call [OP]<br><br>DESCRIPTION: Call                                                    |       | None                 | Capital Gains  | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST] |       | \$15,001 - \$50,000  | None           |                               |                       |
| Investment House Individual Special ⇒<br>Alphabet Inc. - Class C Capital Stock (GOOG) [ST]                                                           |       | None                 | Capital Gains  | \$2,501 - \$5,000             | None                  |
| Investment House Individual Special ⇒<br>Amazon.com, Inc. (AMZN) [ST]                                                                                |       | \$50,001 - \$100,000 | Capital Gains  | \$2,501 - \$5,000             | None                  |
| Investment House Individual Special ⇒<br>AMZN 01/17/20 \$1800 Call [OP]<br><br>DESCRIPTION: Call                                                     |       | None                 | Capital Gains  | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒<br>AMZN 04/18/2019 \$1600 Call [OP]<br><br>DESCRIPTION: Call                                                   |       | None                 | Capital Gains  | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒<br>AMZN 10/18/19 \$1950 Call [OP]<br><br>DESCRIPTION: Call                                                     |       | None                 | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>AMZN 10/18/19 \$1990 Call [OP]<br><br>DESCRIPTION: Call                                                     |       | None                 | Capital Gains  | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒<br>BABA 01/17/20 \$120 Call [OP]<br><br>DESCRIPTION: Call                                                      |       | None                 | Capital Gains  | \$2,501 - \$5,000             | None                  |
| Investment House Individual Special ⇒<br>BABA 01/17/20 \$150 Call [OP]<br><br>DESCRIPTION: Call                                                      |       | None                 | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>BABA 01/17/20 \$160 Call [OP]                                                                               |       | None                 | Capital Gains  | \$201 - \$1,000               | None                  |

| Asset                                                                                            | Owner | Value of Asset | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------------------------------------------------------------------|-------|----------------|--------------------------|-------------------------------|-----------------------|
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 01/17/20 \$165 Call [OP]                           |       | None           | Capital Gains            | \$2,501 - \$5,000             | None                  |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 01/17/20 \$170 Call [OP]                           |       | None           | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 01/17/20 \$180 Call [OP]                           |       | None           | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 01/18/2019 \$130 Call [OP]                         |       | None           | Capital Gains            | None                          | \$1,001 - \$2,500     |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 02/15/2019 \$150 Call [OP]                         |       | None           | Capital Gains            | None                          | \$5,001 - \$15,000    |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 04/18/19 \$155 Call [OP]                           |       | None           | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 06/15/2018 \$165 Call [OP]                         |       | None           | Capital Gains            | None                          | \$2,501 - \$5,000     |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 08/17/2018 \$190 Call [OP]                         |       | None           | Capital Gains            | None                          | \$201 - \$1,000       |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>BABA 10/19/2018 \$170 Call [OP]                         |       | None           | Capital Gains            | None                          | \$1,001 - \$2,500     |
| DESCRIPTION: Call                                                                                |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>Banco Bradesco Sa American Depositary Shares (BBD) [ST] |       | None           | Capital Gains, Dividends | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒<br>Banco Macro S.A. ADR (BMA) [ST]                         |       | None           | Capital Gains            | None                          | \$1,001 - \$2,500     |

| Asset                                                                                                           | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|-----------------------------------------------------------------------------------------------------------------|-------|----------------|----------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>Berkshire Hathaway Inc. New (BRK.B) [ST]                               |       | None           | Capital Gains  | \$2,501 - \$5,000             | None                  |
| Investment House Individual Special ⇒<br>Beyond Meat, Inc. - Common stock (BYND) [ST]                           |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>BIIB 10/19/2018 \$280 Call [OP]<br><br>DESCRIPTION: Call               |       | None           | Capital Gains  | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>Cheniere Energy, Inc. (LNG) [ST]                                       |       | None           | Capital Gains  | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>Ctrip.com International, Ltd. - American Depositary Shares (CTRP) [ST] |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>Deere & Company (DE) [ST]                                              |       | None           | Capital Gains  | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>Diamondback Energy, Inc. - Common Stock (FANG) [ST]                    |       | None           | Capital Gains  | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>DLTR 05/18/2018 \$82.50 Call [OP]<br><br>DESCRIPTION: Call             |       | None           | Capital Gains  | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>Dropbox, Inc. - Class A (DBX) [ST]                                     |       | None           | Capital Gains  | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒<br>EEM 12/20/19 \$39 Call [OP]<br><br>DESCRIPTION: Call                   |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>Electronic Arts Inc. (EA) [ST]                                         |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>ENERGY SELECT SECTOR SPDR ETF [EF]                                     |       | None           | Capital Gains  | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒<br>Equifax, Inc. (EFX) [ST]                                               |       | None           | Capital Gains  | \$1,001 - \$2,500             | None                  |

| Asset                                                                                           | Owner | Value of Asset      | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|-------------------------------------------------------------------------------------------------|-------|---------------------|----------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>Facebook, Inc. - Class A (FB) [ST]                     |       | \$15,001 - \$50,000 | Capital Gains  | \$5,001 - \$15,000            | None                  |
| Investment House Individual Special ⇒<br>Farfetch Limited Class A Ordinary Shares (FTCH) [ST]   |       | \$1,001 - \$15,000  | None           |                               |                       |
| Investment House Individual Special ⇒<br>FB 01/17/20 \$120 Call [OP]<br><br>DESCRIPTION: Call   |       | None                | Capital Gains  | \$15,001 - \$50,000           | None                  |
| Investment House Individual Special ⇒<br>FB 01/17/20 \$200 Call [OP]<br><br>DESCRIPTION: Call   |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>FB 01/18/2019 \$145 Put [OP]<br><br>DESCRIPTION: Call  |       | None                | Capital Gains  | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>FB 03/15/19 \$140 Call [OP]<br><br>DESCRIPTION: Call   |       | None                | Capital Gains  | \$5,001 - \$15,000            | None                  |
| Investment House Individual Special ⇒<br>FB 03/20/20 \$170 Call [OP]<br><br>DESCRIPTION: Call   |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>FB 04/18/19 \$155 Call [OP]<br><br>DESCRIPTION: Call   |       | None                | Capital Gains  | \$5,001 - \$15,000            | None                  |
| Investment House Individual Special ⇒<br>FB 06/19/20 \$140 Call [OP]<br><br>DESCRIPTION: Call   |       | None                | Capital Gains  | \$5,001 - \$15,000            | None                  |
| Investment House Individual Special ⇒<br>FB 07/20/2018 \$155 Call [OP]<br><br>DESCRIPTION: Call |       | None                | Capital Gains  | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>FB 07/20/2018 \$185 Call [OP]<br><br>DESCRIPTION: Call |       | None                | Capital Gains  | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒<br>FB 08/17/2018 \$180 Call [OP]                          |       | None                | Capital Gains  | None                          | \$5,001 - \$15,000    |

| Asset                                                                                             | Owner | Value of Asset      | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|---------------------------------------------------------------------------------------------------|-------|---------------------|--------------------------|-------------------------------|-----------------------|
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>FB 09/21/2018 \$170 Call [OP]                            |       | None                | Capital Gains            | None                          | \$2,501 - \$5,000     |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>FB 09/21/2018 \$190 Call [OP]                            |       | None                | Capital Gains            | None                          | \$2,501 - \$5,000     |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>Ferrari N.V. Common Shares (RACE) [ST]                   |       | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200                   | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>Fiat Chrysler Automobiles N.V. Common Shares (FCAU) [ST] |       | None                | Dividends                | \$1,001 - \$2,500             | None                  |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>FXI 01/17/20 \$35 Call [OP]                              |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>GLD 08/16/19 \$135 Put [OP]                              |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Put                                                                                  |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>GLD 09/20/19 \$125 Call [OP]                             |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>GLD 10/18/19 \$130 Call [OP]                             |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>GLD 10/18/19 \$134 Call [OP]                             |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>GLD 12/20/19 \$122 Call [OP]                             |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |
| Investment House Individual Special ⇒<br>GLD 12/20/19 \$130 Call [OP]                             |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                 |       |                     |                          |                               |                       |

| Asset                                                                                              | Owner | Value of Asset | Income Type(s)              | Income Current Year to Filing | Income Preceding Year |
|----------------------------------------------------------------------------------------------------|-------|----------------|-----------------------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>Global Payments Inc. (GPN) [ST]                           |       | None           | Capital Gains,<br>Dividends | \$5,001 -<br>\$15,000         | None                  |
| Investment House Individual Special ⇒<br>Goldman Sachs Group, Inc. (GS) [ST]                       |       | None           | Capital Gains               | \$201 -<br>\$1,000            | None                  |
| Investment House Individual Special ⇒<br>GOOG 01/17/19 \$1250 Call [OP]<br><br>DESCRIPTION: Call   |       | None           | Capital Gains               | \$1,001 -<br>\$2,500          | None                  |
| Investment House Individual Special ⇒<br>GOOG 01/18/2019 \$1050 Call [OP]<br><br>DESCRIPTION: Call |       | None           | Capital Gains               | None                          | \$201 -<br>\$1,000    |
| Investment House Individual Special ⇒<br>GOOG 03/15/2019 \$1150 Call [OP]<br><br>DESCRIPTION: Call |       | None           | Capital Gains               | None                          | \$2,501 -<br>\$5,000  |
| Investment House Individual Special ⇒<br>GOOG 04/18/19 \$1100 Call [OP]<br><br>DESCRIPTION: Call   |       | None           | Capital Gains               | \$2,501 -<br>\$5,000          | None                  |
| Investment House Individual Special ⇒<br>GOOG 06/15/2018 \$1025 Call [OP]<br><br>DESCRIPTION: Call |       | None           | Capital Gains               | None                          | \$201 -<br>\$1,000    |
| Investment House Individual Special ⇒<br>GOOG 06/15/2018 \$1040 Call [OP]<br><br>DESCRIPTION: Call |       | None           | Capital Gains               | None                          | \$2,501 -<br>\$5,000  |
| Investment House Individual Special ⇒<br>GOOG 06/21/18 \$1120 Call [OP]<br><br>DESCRIPTION: Call   |       | None           | Capital Gains               | \$5,001 -<br>\$15,000         | None                  |
| Investment House Individual Special ⇒<br>GOOG 06/21/19 \$1015 Call [OP]<br><br>DESCRIPTION: Call   |       | None           | Capital Gains               | \$2,501 -<br>\$5,000          | None                  |
| Investment House Individual Special ⇒<br>GOOG 06/21/19 \$1090 Call [OP]<br><br>DESCRIPTION: Call   |       | None           | Capital Gains               | \$201 -<br>\$1,000            | None                  |
| Investment House Individual Special ⇒<br>GOOG 07/20/2018 \$1000 Call [OP]                          |       | None           | Capital Gains               | None                          | \$2,501 -<br>\$5,000  |

| Asset                                                                                                                                        | Owner | Value of Asset | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|----------------------------------------------------------------------------------------------------------------------------------------------|-------|----------------|--------------------------|-------------------------------|-----------------------|
| DESCRIPTION: Call                                                                                                                            |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>GOOG 07/20/2018 \$1040 Call [OP]                                                                    |       | None           | Capital Gains            | None                          | \$5,001 - \$15,000    |
| DESCRIPTION: Call                                                                                                                            |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>Gridsum Holding Inc. - American Depositary Shares (GSUM) [ST]                                       |       | None           | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>GRUB 09/20/19 \$65 Call [OP]                                                                        |       | None           | Capital Gains            | \$1,001 - \$2,500             | None                  |
| DESCRIPTION: Call                                                                                                                            |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>Grupo Supervielle S.A. American Depositary Shares each Representing five Class B shares (SUPV) [ST] |       | None           | Capital Gains            | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>GS 01/17/20 \$175 Call [OP]                                                                         |       | None           | Capital Gains            | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                                                            |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>GS 07/20/2018 \$225 Call [OP]                                                                       |       | None           | Capital Gains            | None                          | \$1,001 - \$2,500     |
| DESCRIPTION: Call                                                                                                                            |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>GS 09/21/2018 \$215 Call [OP]                                                                       |       | None           | Capital Gains            | None                          | \$2,501 - \$5,000     |
| DESCRIPTION: Call                                                                                                                            |       |                |                          |                               |                       |
| Investment House Individual Special ⇒<br>GUGGENHEIM [EF]                                                                                     |       | None           | Capital Gains            | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>Invesco QQQ Trust, Series 1 (QQQ) [ST]                                                              |       | None           | Capital Gains, Dividends | \$100,001 - \$1,000,000       | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>iQIYI, Inc. - American Depositary Shares (IQ) [ST]                                                  |       | None           | Dividends                | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>iShares 1-3 Year Treasury Bond ETF (SHY) [ST]                                                       |       | None           | Dividends                | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒<br>iShares 20+ Year Treasury Bond ETF (TLT) [ST]                                                       |       | None           | Capital Gains, Dividends | \$15,001 - \$50,000           | \$201 - \$1,000       |

| Asset                                                                                           | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|-------------------------------------------------------------------------------------------------|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>ISHARES CHINA LARGE CAP ETF [EF]                       |       | None                  | Dividends                | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>iShares Core MSCI Emerging Markets ETF (IEMG) [ST]     |       | None                  | Dividends                | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>iShares Inc iShares MSCI Brazil ETF (EWZ) [ST]         |       | None                  | Capital Gains, Dividends | \$2,501 - \$5,000             | \$15,001 - \$50,000   |
| Investment House Individual Special ⇒<br>iShares Inc iShares MSCI Mexico ETF (EWW) [ST]         |       | \$50,001 - \$100,000  | Capital Gains, Dividends | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒<br>iShares Inc iShares MSCI South Korea ETF (EWY) [ST]    |       | \$50,001 - \$100,000  | None                     |                               |                       |
| Investment House Individual Special ⇒<br>iShares MSCI China ETF (MCHI) [ST]                     |       | None                  | Capital Gains, Dividends | \$1,001 - \$2,500             | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>iShares MSCI Emerging Index Fund (EEM) [ST]            |       | \$100,001 - \$250,000 | Capital Gains            | \$5,001 - \$15,000            | None                  |
| Investment House Individual Special ⇒<br>iShares MSCI Germany Index Fund (EWG) [ST]             |       | \$50,001 - \$100,000  | None                     |                               |                       |
| Investment House Individual Special ⇒<br>Ishares MSCI India ETF (INDA) [ST]                     |       | None                  | Dividends                | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>iShares MSCI Japan Index Fund (EWJ) [ST]               |       | \$50,001 - \$100,000  | Dividends                | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>iShares Nasdaq Biotechnology Index Fund (IBB) [ST]     |       | None                  | Capital Gains, Dividends | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒<br>iShares Preferred and Income Securities ETF (PFF) [ST] |       | None                  | Capital Gains, Dividends | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒<br>iShares Russell 2000 ETF (IWM) [ST]                    |       | None                  | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>iShares Silver Trust (SLV) [ST]                        |       | None                  | Capital Gains            | \$5,001 - \$15,000            | None                  |

| Asset                                                                                                          | Owner | Value of Asset      | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|----------------------------------------------------------------------------------------------------------------|-------|---------------------|--------------------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>iShares TIPS Bond ETF (TIP) [ST]                                      |       | None                | Dividends                | \$201 - \$1,000               | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>iShares Trust iShares MSCI Poland ETF (EPOL) [ST]                     |       | None                | Capital Gains            | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>iShares Trust iShares MSCI Saudi Arabia ETF (KSA) [ST]                |       | None                | Dividends                | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST] |       | None                | Capital Gains, Dividends | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>IWM 08/16/19 \$14 Call [OP]<br>DESCRIPTION: Call                      |       | None                | Capital Gains            | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒<br>IWM 08/16/19 \$153 Put [OP]<br>DESCRIPTION: Put                       |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>JD.com, Inc. - American Depositary Shares (JD) [ST]                   |       | None                | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>Lyft, Inc. - Class A (LYFT) [ST]                                      |       | \$15,001 - \$50,000 | None                     |                               |                       |
| Investment House Individual Special ⇒<br>Mastercard Incorporated (MA) [ST]                                     |       | None                | Capital Gains, Dividends | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>MELI 01/18/2019 \$300 Call [OP]<br>DESCRIPTION: Call                  |       | None                | Capital Gains            | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>MELI 01/18/2019 \$330 Call [OP]<br>DESCRIPTION: Call                  |       | None                | Capital Gains            | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>MELI 09/21/2018 \$300 Call [OP]<br>DESCRIPTION: Call                  |       | None                | Capital Gains            | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒                                                                          |       | None                | Capital Gains            | \$5,001 -                     | None                  |

| Asset                                                                                           | Owner | Value of Asset      | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|-------------------------------------------------------------------------------------------------|-------|---------------------|----------------|-------------------------------|-----------------------|
| MercadoLibre, Inc. (MELI) [ST]                                                                  |       |                     |                | \$15,000                      |                       |
| Investment House Individual Special ⇒<br>Meta Financial Group, Inc. (CASH) [ST]                 |       | None                | Dividends      | \$5,001 - \$15,000            | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒<br>Micron Technology, Inc. (MU) [ST]                      |       | \$15,001 - \$50,000 | None           |                               |                       |
| Investment House Individual Special ⇒<br>MU 01/17/20 \$40 Call [OP]<br><br>DESCRIPTION: Call    |       | None                | Capital Gains  | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒<br>MU 07/20/2018 \$55 Call [OP]<br><br>DESCRIPTION: Call  |       | None                | Capital Gains  | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒<br>Netflix, Inc. (NFLX) [ST]                              |       | \$15,001 - \$50,000 | None           |                               |                       |
| Investment House Individual Special ⇒<br>NFLX 01/17/20 \$270 Call [OP]<br><br>DESCRIPTION: Call |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>NFLX 01/17/20 \$300 Call [OP]<br><br>DESCRIPTION: Call |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>NFLX 01/17/20 \$350 Call [OP]<br><br>DESCRIPTION: Call |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>NFLX 09/20/19 \$360 Put [OP]<br><br>DESCRIPTION: Call  |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>NFLX 10/16/19 \$310 Call [OP]<br><br>DESCRIPTION: Call |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>NFLX 12/20/19 \$290 Call [OP]<br><br>DESCRIPTION: Call |       | None                | Capital Gains  | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒                                                           |       | None                | Capital Gains  | None                          | \$201 -               |

| Asset                                                                                         | Owner | Value of Asset       | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|-----------------------------------------------------------------------------------------------|-------|----------------------|--------------------------|-------------------------------|-----------------------|
| Nine Energy Service, Inc. (NINE) [ST]                                                         |       |                      |                          |                               | \$1,000               |
| Investment House Individual Special ⇒ NVIDIA Corporation (NVDA) [ST]                          |       | None                 | Capital Gains, Dividends | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒ PayPal Holdings, Inc. (PYPL) [ST]                       |       | None                 | Capital Gains            | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒ Petroleo Brasileiro S.A.- Petrobras (PBR) [ST]          |       | None                 | Capital Gains            | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒ Pioneer Natural Resources Company (PXD) [ST]            |       | None                 | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒ ProShares UltraShort Lehman 20 Year Treasury (TBT) [ST] |       | \$50,001 - \$100,000 | Capital Gains, Dividends | \$201 - \$1,000               | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒ PXD 09/21/2018 \$180 Call [OP]<br>DESCRIPTION: Call     |       | None                 | Capital Gains            | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒ PYPL 01/18/2019 \$82.50 Call [OP]<br>DESCRIPTION: Call  |       | None                 | Capital Gains            | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒ QQQ 01/17/20 \$165 Call [OP]<br>DESCRIPTION: Call       |       | None                 | Capital Gains            | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒ QQQ 03/15/19 \$155 Call [OP]<br>DESCRIPTION: Call       |       | None                 | Capital Gains            | \$2,501 - \$5,000             | None                  |
| Investment House Individual Special ⇒ QQQ 03/29/2019 \$150 Call [OP]<br>DESCRIPTION: Call     |       | None                 | Capital Gains            | None                          | \$201 - \$1,000       |
| Investment House Individual Special ⇒ QQQ 04/20/2018 \$156 Call [OP]<br>DESCRIPTION: Call     |       | None                 | Capital Gains            | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒ QQQ 05/18/2018 \$154 Call [OP]                          |       | None                 | Capital Gains            | None                          | \$201 - \$1,000       |

| Asset                                                                    | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------------------------------------------|-------|----------------|----------------|-------------------------------|-----------------------|
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 06/15/2018 \$145 Call [OP]  |       | None           | Capital Gains  | None                          | \$1,001 - \$2,500     |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 06/15/2018 \$154 Call [OP]  |       | None           | Capital Gains  | None                          | \$1,001 - \$2,500     |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 06/15/2018 \$162 Call [OP]  |       | None           | Capital Gains  | None                          | \$1,001 - \$2,500     |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 07/20/2018 \$140 Call [OP]  |       | None           | Capital Gains  | None                          | \$2,501 - \$5,000     |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 09/20/19 \$175 Call [OP]    |       | None           | Capital Gains  | \$1,001 - \$2,500             | None                  |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 10/18/19 \$180 Call [OP]    |       | None           | Capital Gains  | \$1,001 - \$2,500             | None                  |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 10/19/2018 \$180 Put [OP]   |       | None           | Capital Gains  | None                          | \$201 - \$1,000       |
| DESCRIPTION: Put                                                         |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 11/15/19 \$196 Put [OP]     |       | None           | Capital Gains  | \$2,501 - \$5,000             | None                  |
| DESCRIPTION: Put                                                         |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 12/20/19 \$185 Call [OP]    |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>QQQ 12/20/19 \$190 Call [OP]    |       | None           | Capital Gains  | \$2,501 - \$5,000             | None                  |
| DESCRIPTION: Call                                                        |       |                |                |                               |                       |
| Investment House Individual Special ⇒<br>RACE 08/17/2018 \$120 Call [OP] |       | None           | Capital Gains  | None                          | \$201 - \$1,000       |

| Asset                                                                                              | Owner | Value of Asset            | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|----------------------------------------------------------------------------------------------------|-------|---------------------------|--------------------------|-------------------------------|-----------------------|
| DESCRIPTION: Call                                                                                  |       |                           |                          |                               |                       |
| Investment House Individual Special ⇒<br>RCL 12/21/2018 \$105 Call [OP]                            |       | None                      | Capital Gains            | None                          | \$201 - \$1,000       |
| DESCRIPTION: Call                                                                                  |       |                           |                          |                               |                       |
| Investment House Individual Special ⇒<br>SCHWAB MUNICIPAL MONEY FUND - SWXXX [MF]                  |       | \$1,000,001 - \$5,000,000 | Dividends                | \$2,501 - \$5,000             | \$15,001 - \$50,000   |
| Investment House Individual Special ⇒<br>SHOP 07/20/2018 \$120 Call [OP]                           |       | None                      | Capital Gains            | None                          | \$201 - \$1,000       |
| DESCRIPTION: Call                                                                                  |       |                           |                          |                               |                       |
| Investment House Individual Special ⇒<br>Slack Technologies, Inc. Class A (WORK) [ST]              |       | \$1,001 - \$15,000        | None                     |                               |                       |
| Investment House Individual Special ⇒<br>SPDR Gold Trust (GLD) [ST]                                |       | \$15,001 - \$50,000       | Capital Gains            | \$15,001 - \$50,000           | None                  |
| Investment House Individual Special ⇒<br>SPDR S&P 500 (SPY) [ST]                                   |       | \$50,001 - \$100,000      | Capital Gains, Dividends | \$15,001 - \$50,000           | None                  |
| Investment House Individual Special ⇒<br>SPDR S&P Oil & Gas Explor & Product (XOP) [ST]            |       | None                      | Capital Gains            | \$2,501 - \$5,000             | None                  |
| Investment House Individual Special ⇒<br>SPDR S&P Regional Banking ETF (KRE) [ST]                  |       | None                      | Capital Gains, Dividends | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒<br>SPDR Select Sector Fund - Energy Select Sector (XLE) [ST] |       | None                      | Capital Gains            | \$5,001 - \$15,000            | None                  |
| Investment House Individual Special ⇒<br>SPDR Select Sector Fund - Financial (XLF) [ST]            |       | \$100,001 - \$250,000     | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>SPDR Select Sector Fund - Industrial (XLI) [ST]           |       | None                      | Capital Gains, Dividends | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒<br>SPOT 07/19/19 \$130 Call [OP]                             |       | None                      | Capital Gains            | \$2,501 - \$5,000             | None                  |
| DESCRIPTION: Call                                                                                  |       |                           |                          |                               |                       |
| Investment House Individual Special ⇒<br>SPOT 07/20/2018 \$135 Call [OP]                           |       | None                      | Capital Gains            | None                          | \$1,001 - \$2,500     |

| Asset                                                                                                                                                 | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----------------|----------------|-------------------------------|-----------------------|
| DESCRIPTION: Call                                                                                                                                     |       |                |                |                               |                       |
| Investment House Individual Special ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]                                                             |       | None           | Capital Gains  | \$1,001 - \$2,500             | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒ Tencent Music Entertainment Group American Depositary Shares, each representing two Class A Ordinary (TME) [ST] |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
|                                                                                                                                                       |       |                |                |                               |                       |
| Investment House Individual Special ⇒ Tesla, Inc. (TSLA) [ST]                                                                                         |       | None           | Capital Gains  | None                          | \$1,001 - \$2,500     |
| Investment House Individual Special ⇒ The Madison Square Garden Company Class A Common Stock (MSG) [ST]                                               |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
|                                                                                                                                                       |       |                |                |                               |                       |
| Investment House Individual Special ⇒ TLT 01/17/20 \$140 Call [OP]                                                                                    |       | None           | Capital Gains  | \$2,501 - \$5,000             | None                  |
| DESCRIPTION: Call                                                                                                                                     |       |                |                |                               |                       |
| Investment House Individual Special ⇒ TLT 08/16/19 \$130 Call [OP]                                                                                    |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| DESCRIPTION: Call                                                                                                                                     |       |                |                |                               |                       |
| Investment House Individual Special ⇒ TLT 08/16/19 \$132 Put [OP]                                                                                     |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| DESCRIPTION: Put                                                                                                                                      |       |                |                |                               |                       |
| Investment House Individual Special ⇒ TLT 09/20/19 \$133 Put [OP]                                                                                     |       | None           | Capital Gains  | \$201 - \$1,000               | None                  |
| DESCRIPTION: Put                                                                                                                                      |       |                |                |                               |                       |
| Investment House Individual Special ⇒ TSLA 01/18/2019 \$300 Call [OP]                                                                                 |       | None           | Capital Gains  | None                          | \$2,501 - \$5,000     |
| DESCRIPTION: Call                                                                                                                                     |       |                |                |                               |                       |
| Investment House Individual Special ⇒ TSLA 08/16/19 \$220 Put [OP]                                                                                    |       | None           | Capital Gains  | \$1 - \$200                   | None                  |
| DESCRIPTION: Put                                                                                                                                      |       |                |                |                               |                       |
| Investment House Individual Special ⇒ TSLA 09/20/19 \$235 Call [OP]                                                                                   |       | None           | Capital Gains  | \$2,501 - \$5,000             | None                  |
| DESCRIPTION: Call                                                                                                                                     |       |                |                |                               |                       |

| Asset                                                                                             | Owner | Value of Asset        | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|---------------------------------------------------------------------------------------------------|-------|-----------------------|--------------------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>TSLA 10/18/19 \$250 Call [OP]<br><br>DESCRIPTION: Call   |       | None                  | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>Twitter, Inc. (TWTR) [ST]                                |       | None                  | Capital Gains            | \$1,001 - \$2,500             | None                  |
| Investment House Individual Special ⇒<br>TWTR 12/20/19 \$33 Call [OP]<br><br>DESCRIPTION: Call    |       | None                  | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>United Technologies Corporation (UTX) [ST]               |       | None                  | Capital Gains, Dividends | \$2,501 - \$5,000             | None                  |
| Investment House Individual Special ⇒<br>Vail Resorts, Inc. (MTN) [ST]                            |       | None                  | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>VanEck Vectors Gold Miners ETF (GDX) [ST]                |       | \$15,001 - \$50,000   | None                     |                               |                       |
| Investment House Individual Special ⇒<br>Vanguard FTSE Emerging Markets ETF (VWO) [ST]            |       | \$100,001 - \$250,000 | Dividends                | \$1,001 - \$2,500             | \$201 - \$1,000       |
| Investment House Individual Special ⇒<br>Vanguard FTSEEuropean ETF (VGK) [ST]                     |       | None                  | Capital Gains            | None                          | \$15,001 - \$50,000   |
| Investment House Individual Special ⇒<br>VANGUARD TOTAL WORLD STOCK ETF [EF]                      |       | None                  | Capital Gains            | None                          | \$2,501 - \$5,000     |
| Investment House Individual Special ⇒<br>VIX 09/18/19 \$18 Call [OP]<br><br>DESCRIPTION: Call     |       | None                  | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>VIX 09/18/19 \$20 Call [OP]<br><br>DESCRIPTION: Call     |       | None                  | Capital Gains            | \$201 - \$1,000               | None                  |
| Investment House Individual Special ⇒<br>WYNN 04/20/2018 \$160 Call [OP]<br><br>DESCRIPTION: Call |       | None                  | Capital Gains            | None                          | \$5,001 - \$15,000    |
| Investment House Individual Special ⇒<br>Wynn Resorts, Limited (WYNN) [ST]                        |       | None                  | Capital Gains            | None                          | \$1,001 - \$2,500     |

| Asset                                                                                      | Owner | Value of Asset            | Income Type(s)           | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------------------------------------------------------------|-------|---------------------------|--------------------------|-------------------------------|-----------------------|
| Investment House Individual Special ⇒<br>Yandex N.V. - Class A Ordinary Shares (YNDX) [ST] |       | \$1,001 - \$15,000        | None                     |                               |                       |
| Investment House Individual Special ⇒<br>YY Inc. - American Depositary Shares (YY) [ST]    |       | None                      | Capital Gains            | \$1,001 - \$2,500             | None                  |
| Mortgage [DO]                                                                              |       | \$250,001 - \$500,000     | Interest                 | \$5,001 - \$15,000            | \$15,001 - \$50,000   |
| New York Life Survivorship Whole Life Insurance 08 [WU]                                    |       | \$1,000,001 - \$5,000,000 | None                     |                               |                       |
| New York Life Survivorship Whole Life Insurance 84 [WU]                                    |       | \$500,001 - \$1,000,000   | None                     |                               |                       |
| Note Receivable - The Simon Leo Peretz 2010 Trust [DO]                                     |       | \$500,001 - \$1,000,000   | Interest                 | \$15,001 - \$50,000           | \$15,001 - \$50,000   |
| Note Receivable - The Zary Anne Peretz 2010 Trust [DO]                                     |       | \$500,001 - \$1,000,000   | Interest                 | \$15,001 - \$50,000           | \$15,001 - \$50,000   |
| Raymond James ⇒<br>AbbVie Inc. (ABBV) [ST]                                                 |       | \$1,001 - \$15,000        | Capital Gains, Dividends | \$1 - \$200                   | \$1,001 - \$2,500     |
| Raymond James ⇒<br>Altria Group, Inc. (MO) [ST]                                            |       | \$1,001 - \$15,000        | Capital Gains, Dividends | \$1,001 - \$2,500             | \$201 - \$1,000       |
| Raymond James ⇒<br>Amcort plc Ordinary Shares (AMCR) [ST]                                  |       | \$1,001 - \$15,000        | Dividends                | \$1 - \$200                   | None                  |
| Raymond James ⇒<br>American Electric Power Company, Inc. (AEP) [ST]                        |       | None                      | Capital Gains, Dividends | \$201 - \$1,000               | \$1 - \$200           |
| Raymond James ⇒<br>Amgen Inc. (AMGN) [ST]                                                  |       | \$1,001 - \$15,000        | None                     |                               |                       |
| Raymond James ⇒<br>Astrazeneca PLC (AZN) [ST]                                              |       | None                      | Capital Gains, Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Raymond James ⇒<br>AT&T Inc. (T) [ST]                                                      |       | \$1,001 - \$15,000        | Dividends                | \$1 - \$200                   | \$201 - \$1,000       |
| Raymond James ⇒<br>BCE, Inc. (BCE) [ST]                                                    |       | \$1,001 - \$15,000        | Dividends                | \$1 - \$200                   | \$1 - \$200           |

| Asset                                                                                      | Owner | Value of Asset     | Income Type(s)              | Income Current Year to Filing | Income Preceding Year |
|--------------------------------------------------------------------------------------------|-------|--------------------|-----------------------------|-------------------------------|-----------------------|
| Raymond James ⇒<br>BP p.l.c. (BP) [ST]                                                     |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Raymond James ⇒<br>British American Tobacco Industries, p.l.c. Common Stock ADR (BTI) [ST] |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$1 - \$200           |
| Raymond James ⇒<br>Canadian Imperial Bank of Commerce (CM) [ST]                            |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Chevron Corporation (CVX) [ST]                                          |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Coca-Cola Company (KO) [ST]                                             |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Raymond James ⇒<br>Crown Castle International Corp. (CCI) [ST]                             |       | None               | Capital Gains,<br>Dividends | \$1,001 - \$2,500             | \$1 - \$200           |
| Raymond James ⇒<br>Dominion Energy, Inc. (D) [ST]                                          |       | \$1,001 - \$15,000 | Dividends                   | \$201 - \$1,000               | \$1 - \$200           |
| Raymond James ⇒<br>Duke Energy Corporation (DUK) [ST]                                      |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Enbridge Inc (ENB) [ST]                                                 |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | None                  |
| Raymond James ⇒<br>Exxon Mobil Corporation (XOM) [ST]                                      |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>General Mills, Inc. (GIS) [ST]                                          |       | None               | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$1 - \$200           |
| Raymond James ⇒<br>Gilead Sciences, Inc. (GILD) [ST]                                       |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | None                  |
| Raymond James ⇒<br>GlaxoSmithKline PLC (GSK) [ST]                                          |       | \$1,001 - \$15,000 | Dividends                   | \$201 - \$1,000               | \$201 - \$1,000       |
| Raymond James ⇒<br>Huntington Bancshares Incorporated (HBAN) [ST]                          |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$1 - \$200                   | None                  |

| Asset                                                                              | Owner | Value of Asset     | Income Type(s)              | Income Current Year to Filing | Income Preceding Year |
|------------------------------------------------------------------------------------|-------|--------------------|-----------------------------|-------------------------------|-----------------------|
| Raymond James ⇒<br>Invesco Ltd (IVZ) [ST]                                          |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Kimberly-Clark Corporation (KMB) [ST]                           |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$1,001 - \$2,500             | \$1 - \$200           |
| Raymond James ⇒<br>LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST] |       | \$1,001 - \$15,000 | None                        |                               |                       |
| Raymond James ⇒<br>Merck & Company, Inc. Common Stock (MRK) [ST]                   |       | None               | Capital Gains,<br>Dividends | None                          | \$201 - \$1,000       |
| Raymond James ⇒<br>National Grid Transco, PLC National Grid PLC (NGG) [ST]         |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Occidental Petroleum Corporation (OXY) [ST]                     |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$1 - \$200                   | \$201 - \$1,000       |
| Raymond James ⇒<br>Pepsico, Inc. (PEP) [ST]                                        |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$1 - \$200           |
| Raymond James ⇒<br>Pfizer, Inc. (PFE) [ST]                                         |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$1 - \$200                   | \$201 - \$1,000       |
| Raymond James ⇒<br>Philip Morris International Inc (PM) [ST]                       |       | \$1,001 - \$15,000 | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Raymond James ⇒<br>PNC Financial Services Group, Inc. (PNC) [ST]                   |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | None                  |
| Raymond James ⇒<br>PPL Corporation (PPL) [ST]                                      |       | \$1,001 - \$15,000 | Dividends                   | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Procter & Gamble Company (PG) [ST]                              |       | None               | Capital Gains,<br>Dividends | \$1,001 - \$2,500             | \$1 - \$200           |
| Raymond James ⇒<br>Public Service Enterprise Group Incorporated (PEG) [ST]         |       | None               | Capital Gains,<br>Dividends | None                          | \$201 - \$1,000       |
| Raymond James ⇒<br>Public Storage (PSA) [ST]                                       |       | None               | Capital Gains,<br>Dividends | None                          | \$1 - \$200           |

| Asset                                                                                                                  | Owner | Value of Asset            | Income Type(s)              | Income Current Year to Filing | Income Preceding Year |
|------------------------------------------------------------------------------------------------------------------------|-------|---------------------------|-----------------------------|-------------------------------|-----------------------|
| Raymond James ⇒<br>Raymond James Bank Deposit Program [BA]                                                             |       | \$1,001 - \$15,000        | None                        |                               |                       |
| Raymond James ⇒<br>Realty Income Corporation (O) [ST]                                                                  |       | \$1,001 - \$15,000        | Dividends                   | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Regions Financial Corporation (RF) [ST]                                                             |       | \$1,001 - \$15,000        | Dividends                   | \$1 - \$200                   | None                  |
| Raymond James ⇒<br>Royal Dutch Shell PLC Royal Dutch Shell PLC<br>American Depositary Shares (RDS.B) [ST]              |       | \$1,001 - \$15,000        | None                        |                               |                       |
| Raymond James ⇒<br>Southern Company (SO) [ST]                                                                          |       | \$1,001 - \$15,000        | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$1 - \$200           |
| Raymond James ⇒<br>SPDR S&P 500 (SPY) [ST]                                                                             |       | None                      | Capital Gains,<br>Dividends | \$1,001 - \$2,500             | None                  |
| Raymond James ⇒<br>TC Energy Corporation (TRP) [ST]                                                                    |       | \$1,001 - \$15,000        | None                        |                               |                       |
| Raymond James ⇒<br>Total S.A. (TOT) [ST]                                                                               |       | None                      | Capital Gains,<br>Dividends | \$1 - \$200                   | \$201 - \$1,000       |
| Raymond James ⇒<br>United Parcel Service, Inc. (UPS) [ST]                                                              |       | \$1,001 - \$15,000        | Capital Gains,<br>Dividends | \$1 - \$200                   | \$1 - \$200           |
| Raymond James ⇒<br>Ventas, Inc. (VTR) [ST]                                                                             |       | \$1,001 - \$15,000        | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$1 - \$200           |
| Raymond James ⇒<br>Verizon Communications Inc. (VZ) [ST]                                                               |       | \$1,001 - \$15,000        | Capital Gains,<br>Dividends | \$201 - \$1,000               | \$201 - \$1,000       |
| Raymond James ⇒<br>Vodafone Group Plc - American Depositary Shares<br>each representing ten Ordinary Shares (VOD) [ST] |       | \$1,001 - \$15,000        | Dividends                   | \$1 - \$200                   | \$201 - \$1,000       |
| Raymond James ⇒<br>Welltower Inc. (WELL) [ST]                                                                          |       | \$1,001 - \$15,000        | Capital Gains,<br>Dividends | \$1 - \$200                   | \$201 - \$1,000       |
| Rental Property [RP]                                                                                                   |       | \$1,000,001 - \$5,000,000 | Rent                        | \$15,001 - \$50,000           | \$50,001 - \$100,000  |

| Asset                                                                 | Owner | Value of Asset            | Income Type(s)  | Income Current Year to Filing | Income Preceding Year     |
|-----------------------------------------------------------------------|-------|---------------------------|-----------------|-------------------------------|---------------------------|
| LOCATION: Vail, CO, US                                                |       |                           |                 |                               |                           |
| SIBCO Realty Company L.P. [OT]                                        |       | \$500,001 - \$1,000,000   | Rent            | \$50,001 - \$100,000          | \$50,001 - \$100,000      |
| DESCRIPTION: Real Estate Partnership                                  |       |                           |                 |                               |                           |
| Silberline 401k ⇒ Dodge & Cox Income [CS]                             |       | \$50,001 - \$100,000      | Tax-Deferred    |                               |                           |
| DESCRIPTION: DODIX                                                    |       |                           |                 |                               |                           |
| Silberline 401k ⇒ Fidelity International Index [OT]                   |       | \$100,001 - \$250,000     | Tax-Deferred    |                               |                           |
| DESCRIPTION: FSPSX                                                    |       |                           |                 |                               |                           |
| Silberline 401k ⇒ New York Life Anchor (35) [OT]                      |       | \$50,001 - \$100,000      | Tax-Deferred    |                               |                           |
| DESCRIPTION: n/a                                                      |       |                           |                 |                               |                           |
| Silberline 401k ⇒ T. Rowe Price Growth Stock Adv [OT]                 |       | \$500,001 - \$1,000,000   | Tax-Deferred    |                               |                           |
| DESCRIPTION: TRSAX                                                    |       |                           |                 |                               |                           |
| Silberline 401k ⇒ Vanguard Mid-Cap Growth Index Admiral [OT]          |       | \$250,001 - \$500,000     | Tax-Deferred    |                               |                           |
| DESCRIPTION: VMGMX                                                    |       |                           |                 |                               |                           |
| Silberline 401k ⇒ Vanguard Small Cap Growth Index Admiral [OT]        |       | \$250,001 - \$500,000     | Tax-Deferred    |                               |                           |
| DESCRIPTION: VSGAX                                                    |       |                           |                 |                               |                           |
| Silberline 401k ⇒ Vanguard Target Retirement 2025 [OT]                |       | \$100,001 - \$250,000     | Tax-Deferred    |                               |                           |
| DESCRIPTION: VTTVX                                                    |       |                           |                 |                               |                           |
| Silberline Asia Pacific Inc. ⇒ Silberline Asia Pacific Pte. Ltd. [OL] |       | None                      | Business Income | None                          | \$1,000,001 - \$5,000,000 |
| LOCATION: n/a, SG                                                     |       |                           |                 |                               |                           |
| DESCRIPTION: Engaged in the business of manufacturing pigments.       |       |                           |                 |                               |                           |
| Silberline Do Brasil Ltda. [OL]                                       |       | \$1,000,001 - \$5,000,000 | None            |                               |                           |
| LOCATION: Sao Paulo, BR                                               |       |                           |                 |                               |                           |
| DESCRIPTION: Engaged in the business of manufacturing pigment.        |       |                           |                 |                               |                           |

| Asset                                                                                             | Owner | Value of Asset              | Income Type(s)  | Income Current Year to Filing | Income Preceding Year     |
|---------------------------------------------------------------------------------------------------|-------|-----------------------------|-----------------|-------------------------------|---------------------------|
| Silberline Holding Co. ⇒<br>Silberline Pigmentos S. De R.L. De C.V. [OL]                          |       | \$500,001 - \$1,000,000     | Business Income | \$100,001 - \$1,000,000       | \$100,001 - \$1,000,000   |
| LOCATION: Puebla, MX<br>DESCRIPTION: Engaged in the business of manufacturing pigment.            |       |                             |                 |                               |                           |
| Silberline Holding Co. ⇒<br>Silberline Special Effect Pigments India Private Limited [OL]         |       | \$15,001 - \$50,000         | Business Income | \$2,501 - \$5,000             | None                      |
| LOCATION: Mumbai, IN<br>DESCRIPTION: Engaged in business of manufacturing pigment.                |       |                             |                 |                               |                           |
| Silberline Holding Co. ⇒<br>Silberline Yasida Pigments (Jinan) Co. Ltd. [OL]                      |       | \$5,000,001 - \$25,000,000  | Business Income | \$1,000,001 - \$5,000,000     | \$1,000,001 - \$5,000,000 |
| LOCATION: Shandong Province, CN<br>DESCRIPTION: Engaged in the business of manufacturing pigment. |       |                             |                 |                               |                           |
| Silberline Limited (UK Limited Corp) [OL]                                                         |       | \$1,001 - \$15,000          | Business Income | \$1 - \$200                   | \$201 - \$1,000           |
| LOCATION: Leven, GB<br>DESCRIPTION: Engaged in the business of manufacturing pigment.             |       |                             |                 |                               |                           |
| Silberline Manufacturing Co. [OL]                                                                 |       | \$25,000,001 - \$50,000,000 | None            |                               |                           |
| LOCATION: Tamaqua, PA, US<br>DESCRIPTION: Operating entity.                                       |       |                             |                 |                               |                           |
| Wells Fargo Bank, N.A. Account 06 [BA]                                                            |       | \$1,001 - \$15,000          | Interest        | \$1 - \$200                   | None                      |
| Wells Fargo Bank, N.A. Account 96 [BA]                                                            |       | \$500,001 - \$1,000,000     | Interest        | \$1,001 - \$2,500             | \$1 - \$200               |
| Wells Fargo Clearing Services, LLC Account [OT]                                                   |       | \$500,001 - \$1,000,000     | Interest        | \$201 - \$1,000               | \$201 - \$1,000           |
| DESCRIPTION: n/a                                                                                  |       |                             |                 |                               |                           |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

| Source                               | Type         | Amount<br>Current Year to<br>Filing | Amount<br>Preceding Year |
|--------------------------------------|--------------|-------------------------------------|--------------------------|
| Silberline Manufacturing, Inc.       | Salary       | \$341,662.00                        | \$538,072.00             |
| Silberline Limited (UK Limited Corp) | Director Fee | N/A                                 | \$37,684.00              |

**SCHEDULE D: LIABILITIES**

| Owner | Creditor                 | Date Incurred | Type     | Amount of<br>Liability |
|-------|--------------------------|---------------|----------|------------------------|
|       | JPMorgan Chase Bank N.A. | August 2012   | Mortgage | \$15,001 - \$50,000    |

**SCHEDULE E: POSITIONS**

| Position                       | Name of Organization                                      |
|--------------------------------|-----------------------------------------------------------|
| Executive Director             | Silberline Mauritius Limited                              |
| Executive Director             | Silberline Pigment (Suzha)                                |
| Executive Director             | Silberline Yaside Pigment                                 |
| Director                       | Silberline Specialty Effect Pigment India Private Limited |
| Director                       | Aluminum Association                                      |
| Chairman, President & Director | Silberline Manufacturing Co. Inc.                         |
| Chairman                       | Silberline Limited                                        |
| President, Secretary, Director | Silberline do Brasil Limited                              |
| Director                       | Silberline Asia Pacific Pt. Ltd.                          |
| President, Secretary           | Silberline Holding Co.                                    |

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

**SCHEDULE A ASSET CLASS DETAILS**

|                                                                                                                 |
|-----------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>○ Clause IV</li> <li>○ Clause IV ⇒ Investment House Clause IV</li> </ul> |
|-----------------------------------------------------------------------------------------------------------------|

- Ernest Scheller Jr. 2012
- Investment House Individual
- Investment House Individual Special
- Raymond James
- Silberline 401k
- Silberline Asia Pacific Inc.  
LOCATION: US
- Silberline Holding Co.  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Lisa Scheller , 11/27/2019