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Financial Disclosure Report

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Filer Information

Name: Rebecca Walker Grossman
Status: Congressional Candidate
State/District: MA04

Filing Information

Filing Type: Candidate Report
Filing Year: 2019
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
19 Marlborough St LLC ⇒ Investment in Residential Property [RP] Location: Chelsea, MA, US	SP	$50,001 - $100,000	None		
Adaptive Realty Fund 5, LLC ⇒ Investment in Residential Property [RP] Location: Los Angeles, CA, US	SP	$15,001 - $50,000	None		
Bank of America [BA]		$15,001 - $50,000	None		
Bank of America [BA]	JT	$1,001 - $15,000	None		
Bank of America [BA]	SP	$50,001 - $100,000	None		
Betterment Brokerage ⇒ iShares Core MSCI Emerging (IEMG) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	None
Betterment Brokerage ⇒ iShares Core Total US Bond Market ETF (AGG) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Betterment Brokerage ⇒ iShares National AMT-Free Muni Bond ETF (MUB) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	None
Betterment Brokerage ⇒ Schwab International Equity ETF (SCHF) [EF]	JT	$1,001 - $15,000	None		
Betterment Brokerage ⇒ Vanguard Emerging Markets Government Bond ETF (VWOB) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	None
Betterment Brokerage ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	$1,001 - $2,500
Betterment Brokerage ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Betterment Brokerage ⇒ Vanguard Mid-Cap Value ETF (VOE) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	None
Betterment Brokerage ⇒ Vanguard Small-Cap Value ETF (VBR) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	None
Betterment Brokerage ⇒ Vanguard Total International Bond ETF (BNDX) [EF]	JT	$1,001 - $15,000	Dividends	$1 - $200	None
Betterment Brokerage ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	None
Betterment Brokerage ⇒ Vanguard Value ETF (VTV) [EF]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	$1,001 - $2,500
Capital One N.A. [BA]		$1,001 - $15,000	Interest	$1 - $200	$1 - $200
Charles Schwab - IRA Contributory ⇒ Oakmark Global Fund Inv (OAKGX) [MF]		$1,001 - $15,000	Tax-Deferred		
Coinbase ⇒ Bitcoin (BTC) [OT] DESCRIPTION: Digital currency	SP	$15,001 - $50,000	Capital Gains	$2,501 - $5,000	None
Coinbase ⇒	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cash [BA]					
Coinbase ⇒ Ethereum (ETH) [OT] Description: Digital currency	SP	$1,001 - $15,000	None		
E*Trade Securities LLC ⇒ Abbott Laboratories (ABT) [ST]	SP	None	Capital Gains, Dividends	$2,501 - $5,000	$1 - $200
E*Trade Securities LLC ⇒ Adobe Inc. (ADBE) [ST]	SP	$15,001 - $50,000	None		
E*Trade Securities LLC ⇒ Alibaba Group Holding Limited (BABA) [OP] Description: Call Option; Strike Price $110; Expiration 01/18/2019	SP	None	Capital Gains	$5,001 - $15,000	$2,501 - $5,000
E*Trade Securities LLC ⇒ Alleghany Corporation (Y) [ST]	SP	$1,001 - $15,000	Dividends	None	$1 - $200
E*Trade Securities LLC ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$1,001 - $15,000	None		
E*Trade Securities LLC ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	$1,001 - $15,000	None		
E*Trade Securities LLC ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$100,001 - $250,000	None		
E*Trade Securities LLC ⇒ American International Group, Inc. New (AIG) [ST]	SP	None	Capital Gains, Dividends	None	$201 - $1,000
E*Trade Securities LLC ⇒ Apple Inc. (AAPL) [ST]	SP	$100,001 - $250,000	Dividends	$1,001 - $2,500	$1,001 - $2,500
E*Trade Securities LLC ⇒ Apple Inc. (AAPL) [OP] Description: Call Option; Strike Price $115; Expiration 01/19/2018	SP	None	Capital Gains	None	$5,001 - $15,000
E*Trade Securities LLC ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	$1,001 - $15,000	None		
E*Trade Securities LLC ⇒ Beyond Meat Inc. [OP]	SP	$15,001 - $50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Description: Put option; Expires 01/15/2021					
E*Trade Securities LLC ⇒ Boeing Company (BA) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	$1 - $200
E*Trade Securities LLC ⇒ C.R. Bard, Inc. (BCR) [ST]	SP	None	Capital Gains	None	$2,501 - $5,000
E*Trade Securities LLC ⇒ Carnival Corporation (CCL) [ST]	SP	None	Capital Gains, Dividends	None	$201 - $1,000
E*Trade Securities LLC ⇒ Citigroup, Inc. (C) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
E*Trade Securities LLC ⇒ Coca-Cola Company (KO) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
E*Trade Securities LLC ⇒ Comcast Corporation - Class A (CMCSA) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
E*Trade Securities LLC ⇒ General Motors Company (GM) [ST]	SP	None	Capital Gains, Dividends	None	$1,001 - $2,500
E*Trade Securities LLC ⇒ Honeywell International Inc. (HON) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
E*Trade Securities LLC ⇒ Johnson & Johnson (JNJ) [ST]	SP	None	Capital Gains, Dividends	$2,501 - $5,000	$1 - $200
E*Trade Securities LLC ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	SP	None	Capital Gains, Dividends	None	$201 - $1,000
E*Trade Securities LLC ⇒ Micron Technology, Inc. (MU) [ST]	SP	None	Capital Gains	None	$201 - $1,000
E*Trade Securities LLC ⇒ Microsoft Corporation (MSFT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$1 - $200
E*Trade Securities LLC ⇒ Money Market Account [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
E*Trade Securities LLC ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	SP	None	Capital Gains, Dividends	$201 - $1,000	$201 - $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
E*Trade Securities LLC ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	SP	$50,001 - $100,000	None		
E*Trade Securities LLC ⇒ Starbucks Corporation (SBUX) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
E*Trade Securities LLC ⇒ Unilever PLC (UL) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
E*Trade Securities LLC ⇒ Verizon Communications Inc. (VZ) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	$201 - $1,000
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ Diversified Bond Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ International Stock Index Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ Large Company Growth Stock Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ Large Company Stock Index Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ Large Company Value Stock Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ Real Estate REIT Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ Small Company Stock Index Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Empower Retirement - Massachusetts Deferred Compensation Smart Plan ⇒ SMART Real Return Fund [MF]		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity 529 Plan - Dependent # 1 ⇒ MA Aggressive Growth Portfolio (Fidelity Index) [MF]	DC	$50,001 - $100,000	Tax-Deferred		
Fidelity 529 Plan - Dependent # 2 ⇒ MA Aggressive Growth Portfolio (Fidelity Index) [MF]	DC	$50,001 - $100,000	Tax-Deferred		
Fidelity Brokerage ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Fidelity Brokerage ⇒ Fidelity Zero International Index (FZILX) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Fidelity Brokerage ⇒ Fidelity Zero Total Market Index (FZROX) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Fidelity Brokerage ⇒ iShares TR MSCI ACWI ETF (ACWI) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Fidelity Brokerage ⇒ Vaneck Vectors ETF TR High Yld Mun ETF (HYD) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
FSV II, L.P. [HE]	SP	$15,001 - $50,000	Capital Gains	None	$201 - $1,000
DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.					
Goldman Sachs 401(k) Plan ⇒ Concentrated Value Equity - Diamond Hill [MF]		$1,001 - $15,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ International Growth Equity - Harding Loevner [MF]		$1,001 - $15,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ Large Cap Growth Equity - T. Rowe Price [MF]		$1,001 - $15,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ Mid Cap Equity Index - SSgA [MF]		$15,001 - $50,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ Real Estate Equity - Center Square [MF]		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Goldman Sachs 401(k) Plan ⇒ Small Cap Equity Index - SSgA [MF]		$1,001 - $15,000	Tax-Deferred		
Goldman Sachs 401(k) Plan ⇒ Treasury Money Market - Vanguard [BA]		$1,001 - $15,000	Tax-Deferred		
Goldman Sachs Bank USA [BA]	JT	$100,001 - $250,000	Interest	$2,501 - $5,000	$201 - $1,000
Goodwin Procter LLP 401(K) ⇒ Diamond Hill Large Cap Fund Class Y (DHLYX) [MF]		$1,001 - $15,000	Tax-Deferred		
Goodwin Procter LLP 401(K) ⇒ Eaton Vance Atlanta Capital SMID-Cap R6 (ERASX) [MF]		$1,001 - $15,000	Tax-Deferred		
Goodwin Procter LLP 401(K) ⇒ FIAM Blend TD 2045 Q [MF]		$1,001 - $15,000	Tax-Deferred		
Goodwin Procter LLP 401(K) ⇒ FID Contrafund Pool [MF]		$15,001 - $50,000	Tax-Deferred		
Goodwin Procter LLP 401(K) ⇒ Fidelity 500 Index Fund (FXAIX) [MF]		$1,001 - $15,000	Tax-Deferred		
Goodwin Procter LLP 401(K) ⇒ Fidelity Extended Market Index Fund (FSMAX) [MF]		$1,001 - $15,000	Tax-Deferred		
Goodwin Procter LLP 401(K) ⇒ Fidelity Total International Index Fund (FTIHX) [MF]		$1,001 - $15,000	Tax-Deferred		
Grossman Brothers Capital ⇒ Israel Cleantech Ventures (Cayman) I (A), L.P. [HE] DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	$15,001 - $50,000	Capital Gains, Interest	None	$1 - $200
Morgan Stanley - UTMA Dependent # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	DC	$15,001 - $50,000	None		
Morgan Stanley - UTMA Dependent # 1 ⇒ JP Morgan Chase & Co. (JPM) [ST]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Morgan Stanley - UTMA Dependent # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Morgan Stanley - UTMA Dependent # 1 ⇒ New Jersey Transn Trust Fund Auth Rev Transn Sys Ser C [GS] Description: Matures 12/15/2028	DC	$15,001 - $50,000	Interest	None	$201 - $1,000
Morgan Stanley - UTMA Dependent # 1 ⇒ Vanguard Dividend Appreciation (VIG) [EF]	DC	$15,001 - $50,000	Dividends	$1 - $200	None
Morgan Stanley - UTMA Dependent # 1 ⇒ Walmart Inc. (WMT) [ST]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Morgan Stanley - UTMA Dependent # 2 ⇒ 3M Company (MMM) [ST]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Morgan Stanley - UTMA Dependent # 2 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	DC	$15,001 - $50,000	None		
Morgan Stanley - UTMA Dependent # 2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Morgan Stanley - UTMA Dependent # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	DC	$1 - $1,000	None		
Morgan Stanley - UTMA Dependent # 2 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Morgan Stanley - UTMA Dependent # 2 ⇒ New Jersey Transn Trust Fund Auth Rev Transn Sys Ser C [GS] Description: Matures 12/15/2028	DC	$15,001 - $50,000	Interest	None	$201 - $1,000
Morgan Stanley - UTMA Dependent # 2 ⇒ Newport-Mesa Calif Uni Sch Dist [GS] Description: Matures 08/01/2031	DC	$50,001 - $100,000	None		
Morgan Stanley - UTMA Dependent # 2 ⇒ Pepsico, Inc. (PEP) [ST]	DC	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Morgan Stanley - UTMA Dependent # 2 ⇒ US Treasury Bond Fed Strip Interest Payment [GS]	DC	$15,001 - $50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Matures 11/15/2029					
Morgan Stanley - UTMA Dependent # 2 ⇒ Vanguard Dividend Appreciation (VIG) [EF]	DC	$15,001 - $50,000	Dividends	$1 - $200	None
Newton Retirement System [DB]		$1,001 - $15,000	Tax-Deferred		
Nicholas Funds - Rebecca E. Walker Roth IRA ⇒ Nicholas Funds, Inc. (NICSX) [MF]		$15,001 - $50,000	Tax-Deferred		
Private Investment ⇒ Consolidated Marketing Services [OL] LOCATION: Somerville, MA, US DESCRIPTION: e-commerce services company		$500,001 - $1,000,000	None		
Private Investment ⇒ Massachusetts Envelope Company Trust [OL] LOCATION: Somerville, MA, US DESCRIPTION: Marketing Company	SP	Spouse/DC Over $1,000,000	Interest, Ordinary Business Income	$100,001 - $1,000,000	$100,001 - $1,000,000
Promitto I LLC ⇒ Investment in Residential Property [RP] LOCATION: Boston, MA, US	SP	$100,001 - $250,000	None		
Rebecca E Walker - UGMA Account ⇒ Ameren Corporation (AEE) [ST]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Rebecca Walker 1992 Trust ⇒ Morgan Stanley - Brokerage ⇒ Canon, Inc. American Depositary Shares (CAJ) [ST]		$1,001 - $15,000	Dividends	None	$1 - $200
Rebecca Walker 1992 Trust ⇒ Morgan Stanley - Brokerage ⇒ Chevron Corporation (CVX) [ST]		None	Capital Gains, Dividends	$2,501 - $5,000	$201 - $1,000
Rebecca Walker 1992 Trust ⇒ Morgan Stanley - Brokerage ⇒ Colgate-Palmolive Company (CL) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	$201 - $1,000
Rebecca Walker 1992 Trust ⇒ Morgan Stanley - Brokerage ⇒ Exxon Mobil Corporation (XOM) [ST]		None	Capital Gains, Dividends	$1,001 - $2,500	$201 - $1,000
Rebecca Walker 1992 Trust ⇒ Morgan Stanley - Brokerage ⇒		None	Capital Gains, Dividends	$2,501 - $5,000	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Johnson & Johnson (JNJ) [ST]					
Rebecca Walker 1992 Trust ⇒ Morgan Stanley - Brokerage ⇒ Vanguard Dividend Appreciation (VIG) [EF]		$15,001 - $50,000	Dividends	$1 - $200	None
Rebecca Walker 2001 Trust ⇒ Nicholas II Class I (NCTWX) [MF]		$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	$5,001 - $15,000
Rebecca Walker 2001 Trust ⇒ Wells Fargo Savings Account [BA]		$1,001 - $15,000	None		
Rebecca Walker 2001 Trust ⇒ Franklin Templeton Investments Brokerage ⇒ Franklin Mutual Shares Fund Class Z (MUTHX) [MF]		$100,001 - $250,000	Capital Gains, Dividends	$5,001 - $15,000	$5,001 - $15,000
Rebecca Walker 2001 Trust ⇒ Gabelli Funds Brokerage ⇒ Gabelli Value 25 Fund Class A (GABVX) [MF]		$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	$2,501 - $5,000
Rebecca Walker 2001 Trust ⇒ Janus Henderson Investors Brokerage ⇒ Janus Henderson Research Fund Class D (JNRFX) [MF]		$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	$1,001 - $2,500
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Intel Corporation (INTC) [ST]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ International Business Machines Corporation (IBM) [ST]		$15,001 - $50,000	Dividends	$1,001 - $2,500	$1,001 - $2,500
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Morgan Stanley Bank N.A. # [BA]		$1 - $1,000	None		
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Pepsico, Inc. (PEP) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A) [ST]		None	Capital Gains, Dividends	$5,001 - $15,000	$201 - $1,000
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Vanguard Dividend Appreciation (VIG) [EF]		$15,001 - $50,000	Dividends	$1 - $200	None
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Yum China Holdings, Inc. (YUMC) [ST]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage ⇒ Yum! Brands, Inc. (YUM) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	$1 - $200
Rebecca Walker 2001 Trust ⇒ Wells Fargo Brokerage ⇒ Wells Fargo Intrinsic Value Fund (EIVDX) [MF]		$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	$1,001 - $2,500
Robinhood Brokerage ⇒ Cash [BA]	SP	$1,001 - $15,000	None		
US Savings Bonds [GS]		$50,001 - $100,000	Interest	$2,501 - $5,000	$2,501 - $5,000
Vanguard - Massachusetts Envelope 401(K) ⇒ American Funds Europacific Growth R5 (RERFX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ John Hancock Bond Fund Class I (JHBIX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard 500 Index Fund Admiral Class (VFIAX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Emerging Markets Stock Index Inv (VEIEX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Equity Income Fund Investor Shares (VEIPX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Growth Index Fund Investor (VIGRX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard High-Yield Corporate Fund Investor (VWEHX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Mid-Cap Growth Inv (VMGRX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Mid-Cap Value Index Fund Inv (VMVIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Small-Cap Growth Index Fund (VSGAX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Small-Cap Value Index Inv (VSIAX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Massachusetts Envelope 401(K) ⇒ Vanguard Total International Stock Index Adm (VTIAX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard - Rebecca E. Walker UTMA ⇒ New Jersey Muni Money Market [BA]		$100,001 - $250,000	Dividends	$1,001 - $2,500	$1,001 - $2,500
Vanguard - UTMA Dependent # 1 ⇒ Vanguard Federal Money Market Fund [BA]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Vanguard - UTMA Dependent # 1 ⇒ Vanguard Total Intl Stock Index Fund ETF (VXUS) [EF]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Vanguard - UTMA Dependent # 1 ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Vanguard - UTMA Dependent # 2 ⇒ Vanguard Federal Money Market Fund [BA]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Vanguard - UTMA Dependent # 2 ⇒ Vanguard Total International Stock Index Fund ETF (VXUS) [EF]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard - UTMA Dependent # 2 ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Vanguard Brokerage ⇒ SPDR S&P 500 ETF (SPY) [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	$1,001 - $2,500
Vanguard Brokerage ⇒ Vanguard 500 Index Fund Admiral Class (VFIAX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Vanguard Brokerage ⇒ Vanguard Financials ETF (VFH) [EF]	SP	None	Dividends	None	$201 - $1,000
Vanguard Brokerage ⇒ Vanguard Massachusetts Tax Exempt Investor CL (VMATX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Vanguard Brokerage ⇒ Vanguard Municipal Money Market (VMSXX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	None
Vanguard Brokerage ⇒ Vanguard Total International Stock Index Admiral CL (VTIAX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Vanguard Brokerage ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	$201 - $1,000
Vanguard Brokerage ⇒ Vanguard Total Stock Market Index Admiral CL (VTSAX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Vanguard Roth IRA ⇒ KKR & Co. Inc. Class A (KKR) [ST]	SP	$1,001 - $15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ The Blackstone Group Inc. Class A (BX) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard FTSE Europe ETF (VGK) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Real Estate Index ETF (VNQ) [EF]	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Vanguard Roth IRA ⇒ Vanguard S&P Small Cap 600 Growth ETF (VIOG) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Small Cap Value ETF (VBR) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Vanguard Roth IRA ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	SP	$15,001 - $50,000	Tax-Deferred		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
City of Newton	Salary	$9,750.00	$9,750.00
Massachusetts Envelope Company Trust	Spouse Salary	N/A	N/A
Standard Modern Company, Inc.	Spouse Referral Fee	N/A	N/A
Ascensus Trust Company	Spouse Retirement	N/A	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Washington Trust	September 2019	Mortgage held by Promitto I LLC	Spouse/DC Over $1,000,000
SP	Washington Trust	September 2019	Mortgage held by 19 Marlborough LLC	$500,001 - $1,000,000

Schedule E: Positions

Position	Name of Organization
Board Member	Consolidated Marketing Services

Schedule F: Agreements

Date	Parties To	Terms of Agreement
July 2004	Filer and Goldman Sachs	Continuing participation in a defined contribution plan managed by former employer. Employer no longer contributes to the plan.
October 2009	Filer and Goodwin Procter LLP	Continuing participation in a defined contribution plan managed by former employer. Employer no longer contributes to the plan.
April 2015	Filer and Massachusetts Deferred Compensation Smart Plan	Continuing participation in a deferred comp plan that provides retirement benefits. Former employer no longer contributes to the plan.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

None disclosed.

Schedule A Asset Class Details

- 19 Marlborough St LLC (Owner: SP)
  Location: US
- Adaptive Realty Fund 5, LLC (Owner: SP)
  Location: US
- Betterment Brokerage (Owner: JT)
  Location: US
- Charles Schwab - IRA Contributory
- Coinbase (Owner: SP)
  Location: US
- E*Trade Securities LLC (Owner: SP)
  Location: US
- Empower Retirement - Massachusetts Deferred Compensation Smart Plan
- Fidelity 529 Plan - Dependent # 1 (Owner: DC)
  Location: MA
- Fidelity 529 Plan - Dependent # 2 (Owner: DC)
  Location: MA
- Fidelity Brokerage (Owner: SP)
  Location: US
- Goldman Sachs 401(k) Plan
- Goodwin Procter LLP 401(K)
- Grossman Brothers Capital (Owner: SP)
  Location: US
- Morgan Stanley - UTMA Dependent # 1 (Owner: DC)
  Location: US
- Morgan Stanley - UTMA Dependent # 2 (Owner: DC)
  Location: US
- Nicholas Funds - Rebecca E. Walker Roth IRA
- Private Investment (Owner: SP)

Location: US

- Private Investment
  Location: US
- Promitto I LLC (Owner: SP)
  Location: US
- Rebecca E Walker - UGMA Account
  Location: US
- Rebecca Walker 1992 Trust
- Rebecca Walker 1992 Trust ⇒ Morgan Stanley - Brokerage
  Location: US
- Rebecca Walker 2001 Trust
- Rebecca Walker 2001 Trust ⇒ Franklin Templeton Investments Brokerage
  Location: US
- Rebecca Walker 2001 Trust ⇒ Gabelli Funds Brokerage
  Location: US
- Rebecca Walker 2001 Trust ⇒ Janus Henderson Investors Brokerage
  Location: US
- Rebecca Walker 2001 Trust ⇒ Morgan Stanley - Brokerage
  Location: US
- Rebecca Walker 2001 Trust ⇒ Wells Fargo Brokerage
  Location: US
- Robinhood Brokerage (Owner: SP)
  Location: US
- Vanguard - Massachusetts Envelope 401(K) (Owner: SP)
- Vanguard - Rebecca E. Walker UTMA
  Location: US
- Vanguard - UTMA Dependent # 1 (Owner: DC)
  Location: US
- Vanguard - UTMA Dependent # 2 (Owner: DC)
  Location: US
- Vanguard Brokerage (Owner: SP)
  Location: US
- Vanguard Roth IRA (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Rebecca Walker Grossman , 01/22/2020