



Filing ID #10028862

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Kate Schroder
Status: Congressional Candidate
State/District: OH01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 11/5/2019
Period Covered: 01/01/2018– 10/31/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Akebia Therapeutics, Inc. (AKBA) [ST]	SP	\$1 - \$1,000	None		
Alaska Air Group, Inc. (ALK) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Alexion Pharmaceuticals, Inc. (ALXN) [ST]	SP	\$1 - \$1,000	None		
Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$1,001 - \$15,000	None		
DESCRIPTION: Merrill Lynch Edge Investment Account					
Anthem, Inc. (ANTM) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Apple Inc. (AAPL) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	SP	\$1 - \$1,000	None		
Caterpillar, Inc. (CAT) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
CHAI, Inc. 401(k) Plan at Fidelity ⇒		\$100,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Targeted Emerging Markets Factor ETF (FDEM) [ST]		\$250,000			
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Fidelity Targeted International Factor ETF (FDEV) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Fidelity Total Bond ETF (FBND) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vang Intm BD Idx ADM [CS]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Bond asset class portion of Fidelity 401k					
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vang St. INV GR [CS]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: Bond asset class portion of Fidelity 401k					
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vang Target Ret 2040 [MF]		\$100,001 - \$250,000	Dividends	\$1 - \$200	\$1 - \$200
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vanguard S&P Mid-Cap 400 ETF (IVOO) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
CHAI, Inc. 401(k) Plan at Fidelity ⇒ Vanguard Small-Cap ETF (VB) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Chesapeake Energy Corporation (CHK) [ST]	SP	\$1 - \$1,000	None		
Citibank checking account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Citibank Savings Account [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
City of Cincinnati Retirement System Pension [DB]	SP	\$100,001 - \$250,000	Tax-Deferred		
Congressional Federal Credit Union [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Facebook, Inc. - Class A (FB) [ST]	SP	\$1 - \$1,000	None		
Fifth Third Checking Account [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
First Data Corporation Class A (FDC) [ST]	SP	\$1 - \$1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fitbit, Inc. Class A (FIT) [ST]	SP	\$1 - \$1,000	Dividends	None	None
Ford Motor Company (F) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
General Electric Company (GE) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
GoPro, Inc. - Class A (GPRO) [ST]	SP	\$1 - \$1,000	None		
Harley-Davidson, Inc. (HOG) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
HCP, Inc. (HCP) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
Infosys Limited American Depositary Shares (INFY) [ST]	SP	\$1 - \$1,000	None		
JAJ 529 Education Account [5P] LOCATION: OH	DC	\$15,001 - \$50,000	Tax-Deferred		
Kroger Company (KR) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Marriott International - Class A (MAR) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Microsoft Corporation (MSFT) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
Mutual Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	Not Applicable
Mutual Fund - IRA rollover with USAA ⇒ USCGX [IH]		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
Mutual Fund - IRA rollover with USAA ⇒ USCGX [IH]		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Mutual Fund - IRA rollover with USAA ⇒ USCRX [IH]		\$1,001 - \$15,000	Dividends	None	\$1 - \$200
Mutual Fund - IRA rollover with USAA ⇒ USCRX [IH]		\$1,001 - \$15,000	Capital Gains	None	\$201 - \$1,000
Mutual Fund - IRA rollover with USAA ⇒ USIBX [IH]		\$15,001 - \$50,000	Capital Gains	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Mutual Fund - IRA rollover with USAA ⇒ USIBX [IH]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Netflix, Inc. (NFLX) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Netflix, Inc. (NFLX) [ST]	SP	\$1 - \$1,000	None		
New York Times Company (NYT) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
Nexstar Media Group, Inc. - Class A (NXST) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	None
Northwestern Life Insurance JSJ [WU]	SP	\$15,001 - \$50,000	None		
Northwestern Life Insurance PJJ [WU]	DC	\$1 - \$1,000	None		
Northwestern Mutual Life Insurance JAJ [WU]	DC	\$1,001 - \$15,000	None		
PJJ 529 Education Account [5P] LOCATION: OH	DC	\$15,001 - \$50,000	Tax-Deferred		
Quotient Technology Inc. (QUOT) [ST]	SP	\$1 - \$1,000	None		
Roth IRA with USAA [IH]		\$1,001 - \$15,000	Tax-Deferred		
Schlumberger N.V. (SLB) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Starbucks Corporation (SBUX) [ST]	SP	\$1 - \$1,000	None		
Stitch Fix, Inc. - Class A (SFIX) [ST]	SP	\$1 - \$1,000	None		
T. Rowe Price Group, Inc. (TROW) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Tesla, Inc. (TSLA) [ST]	SP	\$1 - \$1,000	None		
Twitter, Inc. (TWTR) [ST]	SP	\$1 - \$1,000	None		
Twitter, Inc. (TWTR) [ST]	SP	\$1 - \$1,000	None		
USAA checking account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
USAA Savings Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	\$1 - \$200
Visa Inc. (V) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Walt Disney Company (DIS) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Zynga Inc. - Class A (ZNGA) [ST]	SP	\$1 - \$1,000	Dividends	None	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Clinton Health Access Initiative, Inc.	salary	\$90,121.08	\$167,101.39
City of Cincinnati	spouse salary	\$124,776.00	\$162,535.13

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	American Education Services	May 2002	Student loan	\$10,000 - \$15,000
SP	Navient	May 2004	Student loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member of Board of Health	City of Cincinnati Board of Health
Employee	Clinton Health Access Initiative, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- CHAI, Inc. 401(k) Plan at Fidelity
- Mutual Fund - IRA rollover with USAA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Kate Schroder , 11/5/2019