



Filing ID #10028420

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Lisa Sparks
Status: Congressional Candidate
State/District: CA45

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 09/6/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
23 Seclusion, Irvine, CA 92618 [RP] LOCATION: Irvine, CA, US	JT	\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000	\$50,001 - \$100,000
50 Hillside Drive [RP] LOCATION: Mammoth Lakes, CA, US	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
ABF Sm Cap Value R6 [IH] DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.		\$15,001 - \$50,000	Tax-Deferred		
AF EuroPac Growth R6 [IH] DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.		\$15,001 - \$50,000	Tax-Deferred		
AF New Perspect R6 [IH] DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.		\$15,001 - \$50,000	Tax-Deferred		
Apache Corp [IP]		\$1,001 - \$15,000	Mineral Royalties	\$1 - \$200	\$1 - \$200
Centennial Land Company [IP]		\$1,001 - \$15,000	Mineral Royalties	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Chapman University 401(a) and 403(b) [IH]		\$500,001 - \$1,000,000	Tax-Deferred		
Chapman University Retirement Fund [IH]	SP	Spouse/DC Over \$1,000,000	Tax-Deferred		
Chevron USA, Inc. [IP]		\$1,001 - \$15,000	Mineral Royalties	\$1 - \$200	\$1 - \$200
Circle 9 Resources [IP]		\$1,001 - \$15,000	Mineral Royalties	\$201 - \$1,000	\$201 - \$1,000
Citation Oil and Gas [IP]		\$1,001 - \$15,000	Mineral Royalties	\$1 - \$200	\$1 - \$200
Conoco Philips [IP]		\$1,001 - \$15,000	Mineral Royalties	None	\$1 - \$200
DCP Operating [IP]		\$1,001 - \$15,000	Mineral Royalties	None	\$1 - \$200
Devon Energy [IP]		\$1,001 - \$15,000	Mineral Royalties	\$1 - \$200	\$1 - \$200
Energen Resources [IP]		\$15,001 - \$50,000	Mineral Royalties	\$201 - \$1,000	\$201 - \$1,000
Fid 500 Index [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Fid Contrafund K [IH]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Fid Freedom 2030 K [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Fid Gov Cash Reserve [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Fid Intl Index [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Fid Low Priced Stk K [IH]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Fid Puritan K [IH]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fid Strategic Income [IH]		\$100,001 - \$250,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Fourpoint Energy [IP]		\$1,001 - \$15,000	Mineral Royalties	\$1 - \$200	\$1 - \$200
Hess Corp [IP]		\$1,001 - \$15,000	Mineral Royalties	None	\$1 - \$200
Kinder Morgan [IP]		\$15,001 - \$50,000	Mineral Royalties	\$1,001 - \$2,500	\$1,001 - \$2,500
Marathon Oil [IP]		\$1,001 - \$15,000	Mineral Royalties	None	\$1 - \$200
Personal Checking & Savings Account [BA]		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	\$5,001 - \$15,000
Plains Marketing [IP]		\$1,001 - \$15,000	Mineral Royalties	None	\$1 - \$200
PRMCP Odyssey Growth [IH]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: This investment is a sub-component of the previously listed Chapman Retirement Account.					
Stephens and Johnson [IP]		\$15,001 - \$50,000	Mineral Royalties	\$1,001 - \$2,500	\$2,501 - \$5,000
Wagner Oil [IP]		\$15,001 - \$50,000	Mineral Royalties	\$201 - \$1,000	\$201 - \$1,000
XTO Energy [IP]		\$15,001 - \$50,000	Mineral Royalties	\$201 - \$1,000	\$1,001 - \$2,500

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Chapman University	Salary	\$142,406.28	\$213,609.42
Orange County Board of Education	Salary/Monthly Stipend	\$4,500.88	\$2,813.00
Chapman University	Spouse Salary	\$833,070.03	\$1,250,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Bank	2013	Mortgage on 23 Seclusion Property	\$1,000,001 - \$5,000,000
JT	Wells Fargo Bank	April 2019	Mortgage on Irvine Residence	\$250,001 - \$500,000
	American Express	Ongoing	revolving charge account liability for various expenses	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Trustee	Orange County Board of Education
Dean	Chapman University
Board Member	Susan G Komen Foundation
Editor	Communication Research Reports

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Lisa Sparks , 09/6/2019