Filing ID #10027070

Financial Disclosure Report

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Filer Information

Name: Hon. Edward R. Royce
Status: Member
State/District: CA39

Filing Information

Filing Type: Terminated Filer Report
Filing Year: 2019
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Aberdeen US Small Cap Equity Fund (GSCIX) [IH]	SP	$15,001 - $50,000	family trust	$1,001 - $2,500	
Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
American Century Investments Mutual Fund [MF]	SP	$15,001 - $50,000	family trust	$201 - $1,000	
Description: Spouse inherited fund upon death of family member as part of a family trust.					
CalPERS [DB]	SP	$1,001 - $15,000	None		
Capital One CD [BA]	SP	$1,001 - $15,000	None		
Description: Spouse Inherited in 2018					
Cash Account from Family Trust [BA]	SP	$15,001 - $50,000	family trust	$201 - $1,000	
Description: Spouse inherited upon death of family member.					
Charles Schwab Inherited IRA ⇒ Artisan Intl Value Fund (APDKX) [IH]	SP	$15,001 - $50,000	None		
Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Blackrock Advantage Small Cap Core Inst (BDSIX)	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
[IH]					
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Charles Schwab IRA [IH]	SP	$250,001 - $500,000	Required Min. Distribution	$5,001 - $15,000	
DESCRIPTION: $12,780 - actual required minimum distribution from the IRA. Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Cohen & Steers Real Estate (CSDIX) [IH]	SP	$15,001 - $50,000	None		
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Columbia Overseas Value (COSSX) [IH]	SP	$15,001 - $50,000	family trust	$201 - $1,000	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Delaware Small Cap Core (DCCIX) [IH]	SP	$15,001 - $50,000	family trust	$1,001 - $2,500	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Delware Emerging Markets Fund (DEMIX) [IH]	SP	$15,001 - $50,000	None		
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ DWS Enhanced Cmdy Strat (SKIRX) [IH]	SP	$1,001 - $15,000	family trust	$201 - $1,000	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Glenmede Large Cap Growth (GTILX) [IH]	SP	$1,001 - $15,000	family trust	$1,001 - $2,500	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Goldman Sachs Intl Small Cap Insights (GICIX) [IH]	SP	$1,001 - $15,000	None		
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Goldman Sachs US Equity Insights (GSELX) [IH]	SP	$15,001 - $50,000	family trust	$1,001 - $2,500	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Lazard Intl Equity Portfolio [IH]	SP	$15,001 - $50,000	family trust	$2,501 - $5,000	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒	SP	$15,001 - $50,000	family trust	$2,501 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Oakmark Fund Adv (OAYMX) [IH]				$5,000	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Oppenheimer Intl Small Mid Company (OSMYX) [IH]	SP	$1,001 - $15,000	family trust	$201 - $1,000	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Parnassus Core Equity (PRILX) [IH]	SP	$15,001 - $50,000	None		
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Schwab Cash Reserves (SWSXX) [IH]	SP	$15,001 - $50,000	None		
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Virtus Vontobel Emerging Markets (HIEMX) [IH]	SP	$15,001 - $50,000	family trust	$201 - $1,000	
DESCRIPTION: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Congressional Federal Credit Union (checking/savings account) ⇒ Cash [OT]	JT	$15,001 - $50,000	None		
DESCRIPTION: Checking, Money Market and Savings Accounts					
Fidelity Rollover IRA ⇒ Fidelity Advisor Asset Manager 60% [IH]	SP	$1,001 - $15,000	Tax-Deferred		
DESCRIPTION: Spouse IRA					
Fidelity Rollover IRA ⇒ Fidelity Advisor Europe Fund [IH]	SP	$1,001 - $15,000	Tax-Deferred		
DESCRIPTION: Spouse IRA					
Fidelity Rollover IRA ⇒ Fidelity Advisor High Income Advantage Fund [IH]	SP	$1,001 - $15,000	Tax-Deferred		
DESCRIPTION: Spouse IRA					
Fidelity Rollover IRA ⇒ Fidelity Advisor Large Cap [IH]	SP	$15,001 - $50,000	Tax-Deferred		
DESCRIPTION: Spouse IRA					
Fidelity Rollover IRA ⇒ Fidelity Advisor Mid Cap [IH]	SP	$15,001 - $50,000	Tax-Deferred		
DESCRIPTION: Spouse IRA					
Fidelity Rollover IRA ⇒ Fidelity Advisor Real Estate Income Fund [IH]	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Description: Spouse IRA					
Fidelity Rollover IRA ⇒ Fidelity Advisor Small Cap [IH] Description: Spouse IRA	SP	$15,001 - $50,000	Tax-Deferred		☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Stock Selector Fund [IH] Description: Spouse IRA	SP	$15,001 - $50,000	Tax-Deferred		☐
Fidelity Rollover IRA ⇒ Fidelity Advisor Value Strategy [IH] Description: Spouse IRA	SP	$15,001 - $50,000	Tax-Deferred		☐
Fidelity Rollover IRA ⇒ Fidelity Mid Cap Value [IH] Description: Spouse IRA	SP	$1,001 - $15,000	Tax-Deferred		☐
Nokia Savings/401(K) Plan ⇒ Alcatel Lucent USA, Inc (DBA Nokia) defined benefit plan [FN] Description: Exact amount $491 monthly	SP	$50,001 - $100,000	Retirement Payments	$201 - $1,000	☐
Nokia Savings/401(K) Plan ⇒ Fidelity Mutual Fund - Equity Index Fund [MF] Description: Spouse 401K	SP	$15,001 - $50,000	Tax-Deferred		☐
Nokia Savings/401(K) Plan ⇒ Fidelity Mutual Fund - International Equity Index [MF] Description: Spouse 401K	SP	$15,001 - $50,000	Tax-Deferred		☐
Nokia Savings/401(K) Plan ⇒ Fidelity Mutual Fund - US Large Cap Growth Equity [MF] Description: Spouse 401K	SP	$50,001 - $100,000	Tax-Deferred		☐
Nokia Savings/401(K) Plan ⇒ Fidelity Mutual Fund - US Small Cap Equity Fund [MF] Description: Spouse 401K	SP	$100,001 - $250,000	Tax-Deferred		☐
Nokia Savings/401(K) Plan ⇒ Fidelity Mutual Fund- Bond Fund [MF] Description: Spouse 401K	SP	$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Nokia Savings/401(K) Plan ⇒ Fidelity Mutual Fund -International Equity [MF] Description: Spouse 401K	SP	$15,001 - $50,000	Tax-Deferred		☐
Residential Property #1 [RP] Location: Ontario, CA, US Description: Condo part of distribution of deceased family member's estate. Spouse owns half the value of the condo with her 2 siblings. The full value of the condo is being reported.	SP	$250,001 - $500,000	None		☐
Residential Property #2 [RP] Location: Ontario, CA, US Description: Condo part of distribution of deceased family member's estate. Spouse owns half the value of the condo with her 2 siblings. The full value of the condo is being reported.	SP	$250,001 - $500,000	Rent	$5,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab Inherited IRA ⇒ Aberdeen US Small Cap Equity [IR] Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.	SP	12/26/2018	P	$1,001 - $15,000	
Charles Schwab Inherited IRA ⇒ Blackrock Advantage Small Cap Core Inst [IR] Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.	SP	12/19/2018	P	$1,001 - $15,000	
Charles Schwab Inherited IRA ⇒ Delaware Small Cap Core [IR] Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.	SP	12/26/2018	P	$1,001 - $15,000	
Charles Schwab Inherited IRA ⇒ Delaware Small Cap Core 1 [IR] Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.	SP	12/19/2018	P	$1,001 - $15,000	
Charles Schwab Inherited IRA ⇒ Elenmede Large Cap Growth [IR] Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.	SP	12/19/2018	P	$1,001 - $15,000	
Charles Schwab Inherited IRA ⇒ Goldman Sachs US Equity Insights 1 [IR]	SP	12/17/2018	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Lazard Intl Equity [IR]	SP	12/16/2018	P	$1,001 - $15,000	
Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					
Charles Schwab Inherited IRA ⇒ Oakmark Fund Adv [IR]	SP	12/17/2018	P	$1,001 - $15,000	
Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
MedicusTEK USA	Spouse Director Fees	N/A
US Government	Spouse Income	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Congressional Federal Credit Union	April 2009	Alexandria, VA	$250,001 - $500,000
JT	Congressional Federal Credit Union	March 2014	HELOC on personal residence	$10,000 - $15,000
SP	Chase	2018	Mortgage on residential/rental property held in Trust	$15,001 - $50,000
	Comments: Rental property (house)			
SP	Wells Fargo	2018	Mortgage on residential property - Condo in Trust	$15,001 - $50,000
SP	Chase	2018	HELOC on Condo held in Trust	$15,001 - $50,000
	Comments: Spouse inherited upon death of family member as part of a family trust. Residential property in family trust (condo)			

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Charles Schwab Inherited IRA (Owner: SP)
  Description: Spouse inherited Charles Schwab IRA upon death of family member as part of a family trust.
- Congressional Federal Credit Union (checking/savings account) (Owner: JT)
- Fidelity Rollover IRA (Owner: SP)
  Description: Spouse IRA
- Nokia Savings/401(K) Plan (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Edward R. Royce , 05/15/2019