



Filing ID #10026951

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Ms. Zina Spezakis
Status: Congressional Candidate
State/District: NJ09

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2019
Filing Date: 05/8/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage 1 ⇒ BlackRock, Inc. (BLK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage 1 ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage 1 ⇒ Schwab International Equity ETF (SCHF) [ST]		\$15,001 - \$50,000	Dividends	None	\$1,001 - \$2,500
Brokerage 1 ⇒ Schwab International Small-Cap Equity ETF (SCHC) [ST]		\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
Brokerage 1 ⇒ Vanguard FTSE Pacific ETF (VPL) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$2,501 - \$5,000
Brokerage 1 ⇒ Vanguard FTSE European ETF (VGK) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Brokerage 1 ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage 1 ⇒ Vanguard Small-Cap ETF (VB) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Brokerage 2 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$250,001 - \$500,000	None		
Brokerage 2 ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Brokerage 2 ⇒ Schwab U.S. Small-Cap ETF (SCHA) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Brokerage 2 ⇒ SPDR S&P 500 (SPY) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Brokerage 2 ⇒ Tesla, Inc. (TSLA) [ST]		\$50,001 - \$100,000	None		
Brokerage 2 ⇒ Vanguard Total Stock Market ETF (VTI) [ST]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Brokerage 3 ⇒ Siemens AG Ordinary Shares (SMAWF) [ST]		\$15,001 - \$50,000	None		
Brokerage 3 ⇒ SMA Solar Technology AG Unsponsored ADR (SMTGY) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
Brokerage 4 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$1,001 - \$15,000	None		
Brokerage 4 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$100,001 - \$250,000	None		
Brokerage 4 ⇒ Global X Lithium & Battery Tech ETF (LIT) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Brokerage 4 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage 4 ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Brokerage 4 ⇒ Tesla, Inc. (TSLA) [ST]		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage 4 ⇒ Vanguard FTSE All-Wld ex-US SmCp Idx ETF (VSS) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	\$1,001 - \$2,500
Brokerage 4 ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Brokerage 4 ⇒ Vanguard Small-Cap ETF (VB) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	\$201 - \$1,000
Brokerage 4 ⇒ Vanguard Total Stock Market ETF (VTI) [ST]		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Ecoelectro [PS] DESCRIPTION: stock in private held start up company.		Undetermined	None		
Ecoelectro [OT] DESCRIPTION: Convertible note in start up private company. It is convertible into common stock.		Undetermined	None		
IRA 1 ⇒ Apple Inc. (AAPL) [ST]		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
IRA 1 ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
IRA 1 ⇒ Dick's Sporting Goods Inc (DKS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
IRA 1 ⇒ NextEra Energy, Inc. (NEE) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
IRA 1 ⇒ SPDR S&P 500 (SPY) [ST]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	\$2,501 - \$5,000
IRA 1 ⇒ Vanguard FTSE All-Wld ex-US SmCp Idx ETF (VSS) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
IRA 1 ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
IRA 1 ⇒ Vanguard Small-Cap ETF (VB) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
IRA 1 ⇒ Vestas Wind Systems AS American Depositary Shares (VWDRY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
DFC Allianz Global Investors	salary	N/A	\$153,776.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	US Bank	June 2017	Car loan	\$50,001 - \$100,000
	Charles Schwab Bank	2017	Pledged Asset Line	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board member	Citizens' Climate Lobby
Commissioner	Tenaflly Environmental Commission
Board Member	Ecoelectro, Inc.
Board Member	Tenaflly Nature Center

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

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- Brokerage 1
LOCATION: US
- Brokerage 2
LOCATION: US
- Brokerage 3
LOCATION: US
- Brokerage 4
LOCATION: US
- IRA 1

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Ms. Zina Spezakis , 05/8/2019