



Filing ID #10026553

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Ms. Zina Spezakis  
**Status:** Congressional Candidate  
**State/District:** NJ09

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2019  
**Filing Date:** 05/2/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset                                                                  | Owner | Value of Asset            | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|------------------------------------------------------------------------|-------|---------------------------|----------------|-------------------------------|-----------------------|
| Brokerage 1 ⇒<br>BlackRock, Inc. (BLK) [ST]                            |       | \$15,001 - \$50,000       | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Brokerage 1 ⇒<br>Microsoft Corporation (MSFT) [ST]                     |       | \$15,001 - \$50,000       | Dividends      | \$201 - \$1,000               | \$201 - \$1,000       |
| Brokerage 1 ⇒<br>Schwab International Equity ETF (SCHF) [ST]           |       | \$15,001 - \$50,000       | Dividends      | None                          | \$1,001 - \$2,500     |
| Brokerage 1 ⇒<br>Schwab International Small-Cap Equity ETF (SCHC) [ST] |       | \$50,001 - \$100,000      | Dividends      | None                          | \$1,001 - \$2,500     |
| Brokerage 1 ⇒<br>Vanguard FTSE Pacific ETF (VPL) [ST]                  |       | \$15,001 - \$50,000       | Dividends      | \$1 - \$200                   | \$2,501 - \$5,000     |
| Brokerage 1 ⇒<br>Vanguard FTSE European ETF (VGK) [ST]                 |       | \$50,001 - \$100,000      | Dividends      | \$201 - \$1,000               | \$2,501 - \$5,000     |
| Brokerage 1 ⇒<br>Vanguard S&P 500 ETF (VOO) [ST]                       |       | \$1,000,001 - \$5,000,000 | Dividends      | \$5,001 - \$15,000            | \$5,001 - \$15,000    |

| <b>Asset</b>                                                          | <b>Owner</b> | <b>Value of Asset</b>   | <b>Income Type(s)</b> | <b>Income Current Year to Filing</b> | <b>Income Preceding Year</b> |
|-----------------------------------------------------------------------|--------------|-------------------------|-----------------------|--------------------------------------|------------------------------|
| Brokerage 1 ⇒<br>Vanguard Small-Cap ETF (VB) [ST]                     |              | \$100,001 - \$250,000   | Dividends             | \$1,001 - \$2,500                    | \$1,001 - \$2,500            |
| Brokerage 2 ⇒<br>Amazon.com, Inc. (AMZN) [ST]                         |              | \$250,001 - \$500,000   | None                  |                                      |                              |
| Brokerage 2 ⇒<br>NextEra Energy, Inc. (NEE) [ST]                      |              | \$1,001 - \$15,000      | Dividends             | \$1 - \$200                          | None                         |
| Brokerage 2 ⇒<br>Schwab U.S. Small-Cap ETF (SCHA) [ST]                |              | \$100,001 - \$250,000   | Dividends             | \$201 - \$1,000                      | \$2,501 - \$5,000            |
| Brokerage 2 ⇒<br>SPDR S&P 500 (SPY) [ST]                              |              | \$50,001 - \$100,000    | Dividends             | \$201 - \$1,000                      | \$2,501 - \$5,000            |
| Brokerage 2 ⇒<br>Tesla, Inc. (TSLA) [ST]                              |              | \$50,001 - \$100,000    | None                  |                                      |                              |
| Brokerage 2 ⇒<br>Vanguard Total Stock Market ETF (VTI) [ST]           |              | \$500,001 - \$1,000,000 | Dividends             | \$2,501 - \$5,000                    | \$5,001 - \$15,000           |
| Brokerage 3 ⇒<br>Siemens AG Ordinary Shares (SMAWF) [ST]              |              | \$15,001 - \$50,000     | None                  |                                      |                              |
| Brokerage 3 ⇒<br>SMA Solar Technology AG Unsponsored ADR (SMTGY) [ST] |              | \$1,001 - \$15,000      | Dividends             | \$201 - \$1,000                      | \$1 - \$200                  |
| Brokerage 4 ⇒<br>Alphabet Inc. - Class A (GOOGL) [ST]                 |              | \$1,001 - \$15,000      | None                  |                                      |                              |
| Brokerage 4 ⇒<br>Amazon.com, Inc. (AMZN) [ST]                         |              | \$100,001 - \$250,000   | None                  |                                      |                              |
| Brokerage 4 ⇒<br>Global X Lithium & Battery Tech ETF (LIT) [ST]       |              | \$1,001 - \$15,000      | Dividends             | \$201 - \$1,000                      | \$201 - \$1,000              |
| Brokerage 4 ⇒<br>Invesco QQQ Trust, Series 1 (QQQ) [ST]               |              | \$15,001 - \$50,000     | Dividends             | \$1 - \$200                          | \$1 - \$200                  |
| Brokerage 4 ⇒<br>NextEra Energy, Inc. (NEE) [ST]                      |              | \$1,001 - \$15,000      | Dividends             | \$1 - \$200                          | \$1 - \$200                  |
| Brokerage 4 ⇒<br>Tesla, Inc. (TSLA) [ST]                              |              | \$50,001 - \$100,000    | None                  |                                      |                              |

| Asset                                                                     | Owner | Value of Asset          | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---------------------------------------------------------------------------|-------|-------------------------|----------------|-------------------------------|-----------------------|
| Brokerage 4 ⇒<br>Vanguard FTSE All-Wld ex-US SmCp Idx ETF (VSS) [ST]      |       | \$50,001 - \$100,000    | Dividends      | \$1 - \$200                   | \$1,001 - \$2,500     |
| Brokerage 4 ⇒<br>Vanguard S&P 500 ETF (VOO) [ST]                          |       | \$100,001 - \$250,000   | Dividends      | \$201 - \$1,000               | \$1,001 - \$2,500     |
| Brokerage 4 ⇒<br>Vanguard Small-Cap ETF (VB) [ST]                         |       | \$50,001 - \$100,000    | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| Brokerage 4 ⇒<br>Vanguard Total Stock Market ETF (VTI) [ST]               |       | \$250,001 - \$500,000   | Dividends      | \$1,001 - \$2,500             | \$2,501 - \$5,000     |
| IRA 1 ⇒<br>Apple Inc. (AAPL) [ST]                                         |       | \$250,001 - \$500,000   | Dividends      | \$1,001 - \$2,500             | \$2,501 - \$5,000     |
| IRA 1 ⇒<br>Cisco Systems, Inc. (CSCO) [ST]                                |       | \$50,001 - \$100,000    | Dividends      | \$1,001 - \$2,500             | \$1,001 - \$2,500     |
| IRA 1 ⇒<br>Dick's Sporting Goods Inc (DKS) [ST]                           |       | \$1,001 - \$15,000      | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| IRA 1 ⇒<br>NextEra Energy, Inc. (NEE) [ST]                                |       | \$15,001 - \$50,000     | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| IRA 1 ⇒<br>SPDR S&P 500 (SPY) [ST]                                        |       | \$500,001 - \$1,000,000 | Dividends      | \$5,001 - \$15,000            | \$2,501 - \$5,000     |
| IRA 1 ⇒<br>Vanguard FTSE All-Wld ex-US SmCp Idx ETF (VSS) [ST]            |       | \$15,001 - \$50,000     | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| IRA 1 ⇒<br>Vanguard S&P 500 ETF (VOO) [ST]                                |       | \$100,001 - \$250,000   | Dividends      | \$201 - \$1,000               | \$1,001 - \$2,500     |
| IRA 1 ⇒<br>Vanguard Small-Cap ETF (VB) [ST]                               |       | \$15,001 - \$50,000     | Dividends      | \$1 - \$200                   | \$201 - \$1,000       |
| IRA 1 ⇒<br>Vestas Wind Systems AS American Depositary Shares (VWDRY) [ST] |       | \$1,001 - \$15,000      | Dividends      | \$1 - \$200                   | \$1 - \$200           |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source                       | Type   | Amount<br>Current Year to<br>Filing | Amount<br>Preceding Year |
|------------------------------|--------|-------------------------------------|--------------------------|
| DFC Allianz Global Investors | salary | N/A                                 | \$153,776.00             |

SCHEDULE D: LIABILITIES

| Owner | Creditor            | Date Incurred | Type               | Amount of<br>Liability   |
|-------|---------------------|---------------|--------------------|--------------------------|
|       | US Bank             | June 2017     | Car loan           | \$50,001 -<br>\$100,000  |
|       | Charles Schwab Bank | 2017          | Pledged Asset Line | \$250,001 -<br>\$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

|                               |
|-------------------------------|
| ◦ Brokerage 1<br>LOCATION: US |
| ◦ Brokerage 2<br>LOCATION: US |
| ◦ Brokerage 3<br>LOCATION: US |
| ◦ Brokerage 4<br>LOCATION: US |
| ◦ IRA 1                       |

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Ms. Zina Spezakis , 05/2/2019