Filing ID #10026007

Financial Disclosure Report

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Filer Information

Name: James Daniel Bishop
Status: Congressional Candidate
State/District: NC09

Filing Information

Filing Type: Candidate Report
Filing Year: 2019
Filing Date: 04/19/2019
Period Covered: 01/01/2018– 03/31/2019

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
AMERICAN FUNDS EUROPACIFIC GROWTH F2 CL [MF]	SP	$15,001 - $50,000	Dividends	None	$201 - $1,000
AMERICAN FUNDS EUROPACIFIC GROWTH F2 CL [MF]	DC	$1,001 - $15,000	Dividends	None	$1 - $200
AMERICAN FUNDS WA MUTUAL INVSTRS FD CL F2 [MF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	$2,501 - $5,000
Ameritrade Institutional IRA-Dan ⇒ JENSEN QUALITY GROWTH J [MF]		$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Dan ⇒ MFS INTERNATIONAL VALUE I [MF]		$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Dan ⇒ SCHWAB STRATEGIC TR US TIPS ETF [EF]		$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Dan ⇒ VANGUARD EXTENDED MMKT INDEX ADMIRAL [MF]		$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ameritrade Institutional IRA-Dan ⇒ VANGUARD HIGH DIV YLD ETF [EF]		$100,001 - $250,000	Tax-Deferred		
Ameritrade Institutional IRA-Dan ⇒ VANGUARD INTER TERM TREAS ETF [EF]		$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Dan ⇒ VANGUARD SM CP VAL ETF [EF]		$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Dan ⇒ VANGUARD SML CP GRW ETF [EF]		$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Dan ⇒ VANGUARD TOTAL STK MKT ETF [EF]		$50,001 - $100,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ DOUBLELINE CORE FIXED INCOME I [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ MFS GROWTH I [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ MFS INTERNATIONAL VALUE I [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ MFS MASS INVESTORS TRUST I [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ OPPENHEIMER INTL GR FD CL Y [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ SCHWAB STRATEGIC TR US TIPS ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ VANGUARD EQUITY INCOME ADMIRAL [MF]	SP	$50,001 - $100,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ VANGUARD INTER TERM TREAS ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ VANGUARD MID CAP ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒	SP	$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
VANGUARD SM CP VAL ETF [EF]					
Ameritrade Institutional IRA-Jo ⇒ VANGUARD SML CP GRW ETF [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ VANGUARD TOTAL STK MKT ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Ameritrade Institutional IRA-Jo ⇒ WISDOMTREE TRUST US DIVID EX FNCL ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Campaign loan [DO]		$100,001 - $250,000	None		
Erwin Bishop Capitano & Moss PA 401k Plan ⇒ Vanguard Instl Target Retirement 2030 [MF]		$1,000,001 - $5,000,000	Tax-Deferred		
Erwin Bishop Capitano & Moss PA 401k Plan ⇒ Vanguard Prime Money Market Inv [MF]		$50,001 - $100,000	Tax-Deferred		
GlaxoSmithKline 401k ⇒ Dodge & Cox Large Cap US Equity [MF]	SP	$500,001 - $1,000,000	Tax-Deferred		
GlaxoSmithKline 401k ⇒ GIC/Stable Value Fund [MF]	SP	$50,001 - $100,000	Tax-Deferred		
GlaxoSmithKline 401k ⇒ State Street International Equity Index [MF]	SP	$15,001 - $50,000	Tax-Deferred		
House [RP] Location: Holden Beach, NC, US	JT	$250,001 - $500,000	Rent	None	$15,001 - $50,000
MFS INTL NEW DISCOVERY I [MF]	DC	$1,001 - $15,000	Dividends	None	$1 - $200
OPPENHEIMER INTL GR FD CL Y [MF]	JT	None	Dividends	None	$201 - $1,000
PIMCO FUNDS INVEST GRADE CREDIT BD INST [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
PIMCO INTL BD USD HEDGED INST [MF]	SP	$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
PRIMECAP ODYSSEY GR FD [MF]	SP	$15,001 - $50,000	Dividends	None	$201 - $1,000
SELECT SECTOR SPDR TRUST ENERGY ETF [EF]	JT	$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
SELECT SECTOR SPDR TRUST SBI CONS STPLS ETF [EF]	JT	None	Dividends	None	$201 - $1,000
SELECT SECTOR SPDR TRUST SBI HEALTHCARE ETF [EF]	JT	$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
SELECT SECTOR SPDR TRUST SBI INT-INDS ETF [EF]	JT	None	Dividends	None	$201 - $1,000
SELECT SECTOR SPDR TRUST SBI INT-UTILS ETF [EF]	JT	None	Dividends	None	$1 - $200
SELECT SECTOR SPDR TRUST SBI MATERIALS ETF [EF]	JT	None	Dividends	None	$1 - $200
SELECT SECTOR SPDR TRUST TECHNOLOGY ETF [EF]	JT	$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
South State Bank [BA]	JT	$100,001 - $250,000	Interest	$1 - $200	$201 - $1,000
South State Bank - Jo [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	$201 - $1,000
SPDR GOLD TRUST GOLD SHS [EF]	JT	None	Capital Gains	None	$1 - $200
TD Ameritrade FDIC Insured Deposit Acct [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
TD Ameritrade FDIC Insured Deposit Acct [BA]	DC	$1,001 - $15,000	Interest	$1 - $200	$1 - $200
TD Ameritrade FDIC Insured Deposit Acct IDA12 [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
Vanguard 529 ⇒ Vanguard Aggressive Age-Based Option: Vanguard 10% Stock/90% Bond Portfolio [MF]		$100,001 - $250,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
VANGUARD INFLATION-PROTECT SEC ADMIRAL [MF]	SP	$15,001 - $50,000	Dividends	None	$201 - $1,000
VANGUARD S&P 500 ETF SHS [EF]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	$1,001 - $2,500
VANGUARD SMALL CP ETF [EF]	JT	$50,001 - $100,000	Dividends	None	$1,001 - $2,500
VANGUARD SMALL-CAP INDEX ADMIRAL [MF]	SP	$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
VANGUARD TOTAL STK MKT ETF [EF]	DC	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
VIRTUS SEIX FLOATING RATE HIGH INCM I [MF]	SP	$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
WISDOMTREE TRUST INTL LRGCAP DV ETF [EF]	JT	None	Dividends	None	$201 - $1,000

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Erwin Bishop Capitano & Moss PA	Wages	$20,000.00	$265,757.00
NC General Assembly	Legislator salary	$4,257.12	$18,558.84

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citibank, N.A.	July 2012	2nd Home mortgage	$100,001 - $250,000
	Comments: Paid off May 2018. Current balance is zero.			

Schedule E: Positions

Position	Name of Organization
Senator	North Carolina Senate
Employee, President, Director	Erwin Bishop Capitano & Moss, PA

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

Source (Name and Address)	Brief Description of Duties
Certain confidential clients are not reported (Charlotte, NC, US)	Legal services
Comments: Disclosure of client identities is prohibited by Rules 1.6 (including cmt 4) and 1.9 of the North Carolina Rules of Professional Responsibility.	

Schedule A Asset Class Details

- Ameritrade Institutional IRA-Dan
- Ameritrade Institutional IRA-Jo (Owner: SP)
- Erwin Bishop Capitano & Moss PA 401k Plan
- GlaxoSmithKline 401k (Owner: SP)
- Vanguard 529
  Location: NV

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Comments

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: James Daniel Bishop , 04/19/2019