



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Lou Barletta
Status: Member
State/District: PA11

FILING INFORMATION

Filing Type: Terminated Filer Report
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
322 Rocky Road [RP] LOCATION: Hazleton, PA, US	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052430 ⇒ AllianceBernstein Short Duration Government Bond [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone 314052430 ⇒ AXA 2000 Managed Volatility [MF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone 314052430 ⇒ AXA 400 Managed Volatility [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone 314052430 ⇒ AXA 500 Managed Volatility [MF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone 314052430 ⇒ AXA International Managed Volatility [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone 314052430 ⇒ Core Bond Index [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AXA Retirement Cornerstone 314052430 ⇒ Intermediate Government Bond [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AllianceBernstein Short Duration Government Bond [MF]		None	None		<input checked="" type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 2000 Managed Volatility [MF]		None	None		<input checked="" type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 400 Managed Volatility [MF]		None	None		<input checked="" type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 500 Managed Volatility [MF]		None	None		<input checked="" type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA International Managed Volatility [MF]		None	None		<input checked="" type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Core Bond Index [MF]		None	None		<input checked="" type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Intermediate Government Bond [MF]		None	None		<input checked="" type="checkbox"/>
AXA Retirement Cornerstone IRA 314052432 ⇒ AllianceBernstein Short Duration Government Bond [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 2000 Managed Volatility [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 400 Managed Volatility [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA 500 Managed Volatility [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone IRA 314052432 ⇒ AXA International managed Volatility [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone IRA 314052432 ⇒ Core Bond Index [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
AXA Retirement Cornerstone IRA 314052432 ⇒		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intermediate Government Bond [MF]					
LPL 1454-0753 ⇒ Goldman Sachs Insured Cash Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
LPL 1454-0753 ⇒ Vanguard Financials ETF - DNQ (VFH) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 1454-0753 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 1454-0753 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LPL 1454-0753 ⇒ Vanguard Mega Cap Growth ETF (MGK) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 1454-0753 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 1454-0753 ⇒ Wells Fargo Advantage Utilities and High Income Fund (ERH) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 1454-0753 ⇒ Western Asset High Yield Defined Opportunity Fund Inc. (HYI) [ST]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 2259-5364 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LPL 2259-5364 ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LPL 2259-5364 ⇒ Goldman Sachs Insured Cash Account [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 2259-5364 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 2259-5364 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LPL 2259-5364 ⇒ SPDR Select Sector Fund - Technology (XLK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 2259-5364 ⇒ Vanguard Financials ETF - DNQ (VFH) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 2259-5364 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 2259-5364 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LPL 2259-5364 ⇒ Vanguard Mega Cap Growth ETF (MGK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 2259-5364 ⇒ Vanguard Mid-Cap ETF - DNQ (VO) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 2259-5364 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 2259-5364 ⇒ Vanguard Small-Cap ETF - DNQ (VB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Apple Inc. (AAPL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	None	None		<input checked="" type="checkbox"/>
LPL 3847-6317 ⇒ Goldman Sachs Insured Cash Account [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
LPL 3847-6317 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LPL 3847-6317 ⇒ SPDR S&P Dividend ETF (SDY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 3847-6317 ⇒	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Mega Cap Growth ETF (MGK) [ST]					
LPL 3847-6317 ⇒ Vanguard Mid-Cap ETF - DNQ (VO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Vanguard Small-Cap ETF - DNQ (VB) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Vanguard Total International Stock ETF (VXUS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 7934-1633 ⇒ Brighthouse Financial, Inc. (BHF) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Spin-off of MetLife Stock					
LPL 7934-1633 ⇒ Goldman Sachs Insured Cash Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
LPL 7934-1633 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 7934-1633 ⇒ SPDR S&P Dividend ETF (SDY) [ST]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LPL 7934-1633 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LPL 7934-1633 ⇒ Vanguard High Dividend Yield ETF - DNQ (VYM) [ST]	JT	None	None		<input type="checkbox"/>
LPL 7934-1633 ⇒ Vanguard Mega Cap Growth ETF (MGK) [ST]	JT	None	None		<input type="checkbox"/>
LPL 7934-1633 ⇒ Vanguard Mid-Cap ETF - DNQ (VO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 7934-1633 ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LPL 7934-1633 ⇒ Vanguard Small-Cap ETF - DNQ (VB) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LPL 7934-1633 ⇒ Vanguard Small-Cap Growth ETF (VBK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LPL 7934-1633 ⇒ Vanguard Small-Cap Value ETF (VBR) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ocean View Delaware-Vacation Home [RP]	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
LOCATION: Ocean View, DE, US					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AXA Retirement Cornerstone 314052431 ⇒ AllianceBernstein Short Duration Government Bond [MF]		04/16/2018	S (partial)	\$432.00	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AllianceBernstein Short Duration Government Bond [MF]		05/21/2018	S (partial)	\$919.15	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AllianceBernstein Short Duration Government Bond [MF]		08/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 2000 Managed Volatility [MF]		04/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 2000 Managed Volatility [MF]		05/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 2000 Managed Volatility [MF]		08/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 400 Managed Volatility [MF]		04/16/2018	S (partial)	\$255.00	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 400 Managed Volatility [MF]		05/21/2018	S (partial)	\$542.55	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AXA Retirement Cornerstone 314052431 ⇒ AXA 400 Managed Volatility [MF]		08/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 500 Managed Volatility [MF]		04/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 500 Managed Volatility [MF]		05/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA 500 Managed Volatility [MF]		08/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA International Managed Volatility [MF]		04/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA International Managed Volatility [MF]		05/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ AXA International Managed Volatility [MF]		08/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Core Bond Index [MF]		04/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Core Bond Index [MF]		05/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Core Bond Index [MF]		08/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Intermediate Government Bond [MF]		04/16/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Intermediate Government Bond [MF]		05/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AXA Retirement Cornerstone 314052431 ⇒ Intermediate Government Bond [MF]		08/30/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL 1454-0753 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	SP	02/8/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL 2259-5364 ⇒		12/4/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Apple Inc. (AAPL) [ST]					
LPL 2259-5364 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]		10/4/2018	P	\$1,001 - \$15,000	
LPL 2259-5364 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]		02/8/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	03/26/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	JT	01/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LPL 3847-6317 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	01/26/2018	P	\$1,001 - \$15,000	
LPL 3847-6317 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	03/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL 7934-1633 ⇒ SPDR S&P Dividend ETF (SDY) [ST]	JT	07/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LPL 7934-1633 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]	JT	10/4/2018	P	\$1,001 - \$15,000	
LPL 7934-1633 ⇒ Vanguard Small-Cap Growth ETF (VBK) [ST]	JT	10/4/2018	P	\$1,001 - \$15,000	
LPL 7934-1633 ⇒ Vanguard Small-Cap Value ETF (VBR) [ST]	JT	10/4/2018	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	FNCB	May 2015	Mortgage Ocean View Delaware	\$250,001 - \$500,000
	Bank of America	August 2011	Mortgage on 461 Carleton Avenue Hazleton PA	\$15,001 - \$50,000
	FNCB	June 2015	Mortgage Hazleton PA	\$100,001 - \$250,000
	FNCB	May 2015	term loan secured by Rocky Road Hazleton PA	\$500,001 - \$1,000,000
	FNCB	November 2015	2nd lien Ocean View DE and 2nd lien Hazleton PA	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	LB, LLC
Member	National Board of Advisors- Federation for American Immigration Reform

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o AXA Retirement Cornerstone 314052430 (Owner: SP)
- o AXA Retirement Cornerstone 314052431
- o AXA Retirement Cornerstone IRA 314052432
- o LPL 1454-0753 (Owner: SP)
DESCRIPTION: New IRA Account held at LPL Financial
- o LPL 2259-5364
DESCRIPTION: New IRA Account held at LPL Financial

- LPL 3847-6317 (Owner: JT)
LOCATION: US
DESCRIPTION: New Joint Account held at LPL Financial
- LPL 7934-1633 (Owner: JT)
LOCATION: US
DESCRIPTION: New Joint Account held at LPL Financial

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Lou Barletta , 01/24/2019