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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT

FORM B
For New Members, Candidates, and New Employees

Name: Stephen Marks **Daytime Telephone:** ______

FILER STATUS			
	☑ New Member of or Candidate for U.S. House of Representatives Candidates – Date of Election: 8/28/18	State: Florida District: 27	☑ Check if Amendment
	☐ New Officer or Employee Employing Office: ______	Staff Filer Type (If Applicable): Shared ☐ Principal Assistant ☐	Period Covered: January 1, ______ to ______.

(Office Use Only)

A $200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

Question	Yes	No	Question	Yes	No
A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than $1,000 at the end of the reporting period? or b. Receive more than $200 in unearned income from any reportable asset during the reporting period?	☑	☐	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	☐	☑
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of $200 or more during the reporting period?	☑	☐	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	☐	☑
D. Did you, your spouse, or your dependent child have any reportable liability (more than $10,000) at any point during the reporting period?	☐	☑	J. Did you receive compensation of more than $5,000 from a single source in the current year and two prior years?	☑	☐

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

Question	Yes	No
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	☐	☑
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? *Do not answer "yes" unless you have first consulted with the Committee on Ethics.*	☐	☑

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Stephen Marks | Page 2 of 8

BLOCK A — Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use only ticker symbols).

For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.

For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over $5,000, list every financial institution where there is more than $1,000 in interest-bearing accounts.

For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.

For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."

*Column M is for assets held by your spouse or dependent child in which you have no interest.

Columns: A None; B $1-$1,000; C $1,001-$15,000; D $15,001-$50,000; E $50,001-$100,000; F $100,001-$250,000; G $250,001-$500,000; H $500,001-$1,000,000; I $1,000,001-$5,000,000; J $5,000,001-$25,000,000; K $25,000,001-$50,000,000; L Over $50,000,000; M Spouse/DC Asset over $1,000,000*

BLOCK C — Type of Income

Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.

Columns: NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; TAX-DEFERRED; Other Type of Income (Specify: e.g., Partnership Income or Farm Income)

BLOCK D — Amount of Income

For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.

*Column XII is for assets held by your spouse or dependent child in which you have no interest.

Current Year / Preceding Year columns: I None; II $1-$200; III $201-$1,000; IV $1,001-$2,500; V $2,501-$5,000; VI $5,001-$15,000; VII $15,001-$50,000; VIII $50,001-$100,000; IX $100,001-$1,000,000; X $1,000,001-$5,000,000; XI Over $5,000,000; XII Spouse/DC Income over $1,000,000*

SP, DC, JT	Block A	EIF	Block B	Block C	Current Year	Preceding Year
Examples:	Mega Corp Stock		E: x	DIVIDENDS: x	II: x; VIII: X	III: x; IX: X
	Simon & Schuster		Indefinite	Royalties	V: x	VI: x
	ABC Hedge Fund	x	G: x	Partnership Income	VI: x	VII: x
	IRA Wells Fargo Advisors		E: X			
	Fixed Income Sec Wells Fargo		F: ~~X~~; H: X	DIVIDENDS: X		
	Condo Tamarac FL		F: X			
	Inheritance Probate		F: X			
	PB County Property		H: X			

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: Stephen Marks

BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income: Current Year												BLOCK D Amount of Income: Preceding Year											
SP, DC, JT	ASSET NAME	EIF	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset over $1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	XII Spouse/DC Income over $1,000,000*

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Stephen Marks

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling $200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding $1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was $27,765. The 2018 limit is $28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

	Source (include date of receipt for honoraria)	Type	Amount: Current Year to Filing	Amount: Preceding Year
Examples:	ABC Trade Association, Baltimore, MD (July 15)	Honorarium	$0	$500
	State of Maryland	Salary	$20,000	$76,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	$0	$1,000
	Ontario County Board of Education	Spouse Salary	N/A	N/A

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Stephen Marks | Page 5 of 8

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period.** **New Members:** *Members are required to report all liabilities secured by real property including mortgages on their personal residence.* **Exclude:** *Any mortgage on your personal residence* (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a ***revolving charge account*** (*i.e.*, credit card) only if the balance at the close of the reporting period exceeded $10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000	K Over $1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/96	Mortgage on Rental Property, Dover, DE				x							

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Stephen Marks |

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE J – COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and <u>two</u> prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than $5,000. **Exclude**: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services

Use additional sheets if more space is required.

LOAN: SEE NEXT PAGE FOR loans made to my account

FILER NOTES
(Optional)

Name: Stephen Marks

NOTE NUMBER	NOTES
1	Personal loan by my-self of $200,000 to my campaign 4/2018
2	Personal loan by myself of $250,000 to my campaign 7/2018

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Stephen Marks | Page 8 of 8

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

THIS INSTRUMENT PREPARED BY AND IS TO BE RECORDED AND RETURNED TO:

LAWRENCE E. BLACKE, ESQ.
3326 North East 33rd Street
Fort Lauderdale, Florida 33308-7110
(954) 566-5070

Property Appraisers Parcel I.D. (Folio) Number): 4941 05 BF 0040

TRUSTEES' DEED
(Testate)

THIS INDENTURE Made the 18th day of MAY, 2018, by and between LAWRENCE BRUCE MARKS whose address is 113 Muir Lane, Chapel Hill, NC 27514 and STEPHEN MARKS whose address is 9587 Weldon Circle, Unit #104, Tamarac, FL 33321, as Successor Co-Trustees of the Hyman and Helen Marks Revocable Trust Dated October 15, 2016 and LAWRENCE BRUCE MARKS, Individually, first parties, to STEPHEN MARKS, a single man, whose address is 9587 Weldon Circle, Unit #104, Tamarac, FL 33321, second party.

WITNESSETH: That the said first parties, for and in consideration of the sum of $10.00 in hand paid by the said second party, the receipt whereof is hereby acknowledged, do hereby remise, release and quit-claim unto the said second party forever, any and all rights, title and interest, claims and demands which the said first parties have in and to the following described lots, pieces or parcels of land, all situate, lying and being in the County of Broward, State of Florida, to-wit:

> Unit 104, of WELDON CONDOMINIUM B., according to the Declaration of Condominium thereof, as recorded in Official Records Book 24071, Page 433, and any amendments thereto, of the Public Records of Broward County Florida.
> Also known as: 9587 Weldon Circle, Unit #104, Tamarac, FL 33321

TOGETHER with all the tenements, hereditaments and appurtenances thereto belonging or in anywise appertaining.

To have and to hold the same together with all and singular the appurtenances thereunto belonging or in anywise appertaining, and all the estate, right, title, interest, lien, equity and claim whatsoever of the said first party, ether in law or equity, or the only proper use, benefit and behoof of the said second party forever.

IN WITNESS WHEREOF, the said first parties have signed and sealed these presents the day and year first above written.

Signed, sealed and delivered in our presence:

WITNESSES:

Print Name: REBECCA A. MOORE

Dana R Bell
Print Name: Dana R. Bell

Lawrence Bruce Marks (L.S.)
LAWRENCE BRUCE MARKS, Individually and as Successor Co-Trustee
113 Muir Lane
Chapel Hill, NC 27514

STATE OF NORTH CAROLINA)
COUNTY OF Orange)

I HEREBY CERTIFY that on this day, before me, an officer duly authorized to take acknowledgments, personally appeared LAWRENCE BRUCE MARKS, Individually and as Successor Co-Trustee, to me known to be the persons described in and who executed the foregoing instrument and he acknowledged before me, under oath, that he executed the same.

WITNESS my hand and official seal in the County and State last aforesaid this 18th day of May, 2018.

(SEAL) PATRICIA D. SUITS NOTARY PUBLIC ALAMANCE COUNTY, NC

Patricia D Suits
Notary Public - STATE OF NORTH CAROLINA
Print Name: Patricia D. Suits
My commission expires: May 25, 2022

Signed, sealed and delivered in our presence:

WITNESSES:

Print Name: Carolyn Seckinger

Print Name: SUSAN LUNDIN

(L.S.)
STEPHEN MARKS, Successor Co-Trustee
9587 Weldon Circle, #104
Tamarac, FL 33321

STATE OF FLORIDA)
COUNTY OF Broward)

I HEREBY CERTIFY that on this day, before me, an officer duly authorized to take acknowledgments, personally appeared STEPHEN MARKS, as Successor Co-Trustee, to me known to be the persons described in and who executed the foregoing instrument and he acknowledged before me, under oath, that he executed the same.

WITNESS my hand and official seal in the County and State last aforesaid this 17th day of May, 2018.

(SEAL)

Notary Public - STATE OF FLORIDA
Print Name: SUSAN R DYER

SUSAN R. DYER
MY COMMISSION # GG 159516
EXPIRES: November 8, 2021
Bonded Thru Notary Public Underwriters

WELLS FARGO ADVISORS

SNAPSHOT [redacted]

Current period ending June 30, 2018

ACCOUNT NAME: STEPHEN MARKS BENE IRA
HYMAN MARKS (DECD)
WFCS AS CUSTODIAN

ACCOUNT NUMBER: [redacted]

Your Financial Advisor:
RICHMAN/GROSS
Phone: 917-351-2000 / 800-223-0610

ONE PENN PLAZA
27TH FLOOR
NEW YORK NY 10119

STEPHEN MARKS BENE IRA
HYMAN MARKS (DECD)
WFCS AS CUSTODIAN

IAMARAC FL 33321-0940

If you have more than one account with us, why not link them and receive summary information for your entire household? Contact Your Financial Advisor for more details.

Message from Wells Fargo Advisors

DID YOU KNOW YOU CAN RECEIVE YOUR TRADE CONFIRMATIONS AND TAX INFORMATION ONLINE? *PLEASE CONTACT YOUR FINANCIAL ADVISOR FOR MORE INFORMATION AND THE* STEPS YOU CAN TAKE TO HELP MAKE THIS HAPPEN.

WELLS FARGO ADVISORS

SNAPSHOT [redacted]

STEPHEN MARKS BENE IRA
HYMAN MARKS (DECD)
WFCS AS CUSTODIAN

JUNE 1, 2018 - JUNE 30, 2018
ACCOUNT NUMBER: [redacted]

Progress summary

	THIS PERIOD	THIS YEAR
Opening value	**$55,581.29**	**$69,234.08**
Cash deposited	0.00	10.85
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-13,002.41
Securities withdrawn	0.00	0.00
Change in value	207.63	-453.60
Closing value	**$55,788.92**	**$55,788.92**

Portfolio summary

	ASSET TYPE	PREVIOUS VALUE ON MAY 31	%	CURRENT VALUE ON JUN 30	%	ESTIMATED ANN. INCOME
ASSETS	Cash and sweep balances	286.92	0.52	406.65	0.73	1
	Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
	Fixed income securities	22,781.69	40.99	22,781.31	40.83	376
	Mutual funds	32,512.68	58.50	32,600.96	58.44	929
	Asset value	**$55,581.29**	**100%**	**$55,788.92**	**100%**	**$1,306**

WELLS FARGO | ADVISORS

SNAPSHOT [redacted]

Current period ending June 30, 2018

ACCOUNT NAME: STEPHEN MARKS

ACCOUNT NUMBER: [redacted]

Your Financial Advisor:
RICHMAN/GROSS
Phone: 917-351-2000 / 800-223-0610

ONE PENN PLAZA
27TH FLOOR
NEW YORK NY 10119

STEPHEN MARKS
TAMARAC FL 33321-0940

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News

GET MORE DONE IN LESS TIME WITH WELLS FARGO MOBILE DEPOSIT. DEPOSIT CHECKS INTO YOUR BROKERAGE ACCOUNT WITH CASH SERVICES USING THE WELLS FARGO MOBILE APP ON YOUR MOBILE DEVICE. IT'S FAST, EASY, AND SECURE.

WELLS FARGO ADVISORS

SNAPSHOT [redacted]

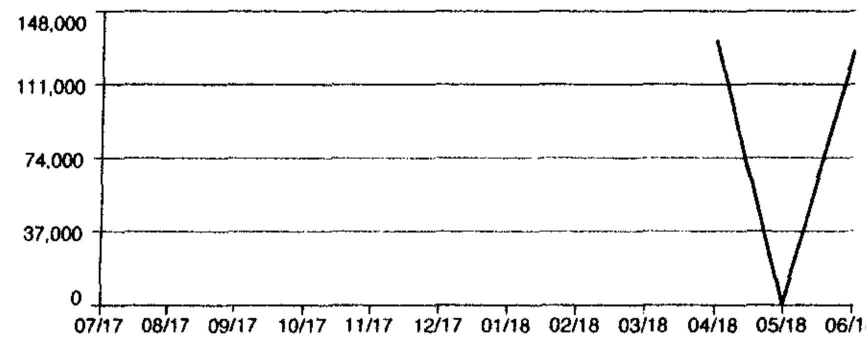
STEPHEN MARKS

JUNE 1, 2018 - JUNE 30, 2018
ACCOUNT NUMBER [redacted]

Progress summary

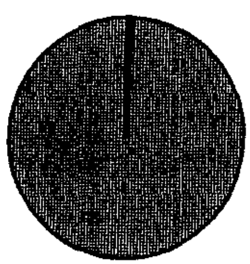
	THIS PERIOD	THIS YEAR
Opening value	**$29.19**	**$0.00**
Cash deposited	127,766.58	355,575.01
Securities deposited	0.00	304,969.50
Cash withdrawn	-37.03	-532,843.42
Securities withdrawn	0.00	0.00
Change in value	-21.91	35.74
Closing value	**$127,736.83**	**$127,736.83**

Value over time



Portfolio summary

CURRENT



	ASSET TYPE	PREVIOUS VALUE ON MAY 31	%	CURRENT VALUE ON JUN 30	%	ESTIMATED ANN. INCOME
ASSETS	Cash and sweep balances	-126,979.70	0.00	752.07	0.59	2
	Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
	Fixed income securities	127,008.89	100.00	126,984.76	99.41	2,223
	Mutual funds	0.00	0.00	0.00	0.00	0
	Asset value	**$29.19**	**100%**	**$127,736.83**	**100%**	**$2,225**

Negative values are not included in percent calculations.

WELLS FARGO | ADVISORS

SNAPSHOT [redacted] PROBATE

Current period ending June 30, 2018

ACCOUNT NAME: THE HYMAN AND HELEN MARKS REVO
C/O LAWRENCE MARKS TR
LAWRENCE MARKS TTEE ET AL
U/A DTD 10/15/2016 (Stephen Marks)

ACCOUNT NUMBER: [redacted]

Electronic Delivery

THE HYMAN AND HELEN MARKS REVO
C/O LAWRENCE MARKS TR
LAWRENCE MARKS TTEE ET AL
U/A DTD 10/15/2016
113 MUIR LANE
CHAPEL HILL NC 27514-1452

Your Financial Advisor:
RICHMAN/GROSS
Phone: 917-351-2000 / 800-223-0610

ONE PENN PLAZA
27TH FLOOR
NEW YORK NY 10119

If you have more than one account with us, why not link them and receive summary information for your entire household? Contact Your Financial Advisor for more details.

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WELLS FARGO ADVISORS

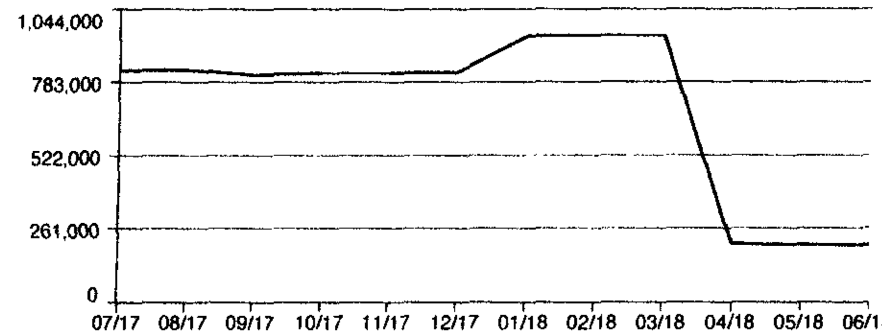
SNAPSHOT [redacted]

THE HYMAN AND HELEN MARKS REVO
C/O LAWRENCE MARKS TR
LAWRENCE MARKS TTEE ET AL, stephen Marks
U/A DTD 10/15/2016
JUNE 1, 2018 - JUNE 30, 2018
ACCOUNT NUMBER [redacted]

Progress summary

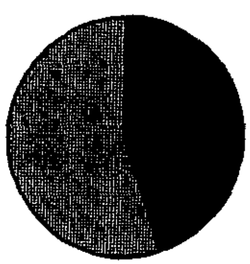
	THIS PERIOD	THIS YEAR
Opening value	**$203,381.78**	**$815,938.54**
Cash deposited	0.00	144,676.76
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-61,681.22
Securities withdrawn	0.00	-696,780.20
Change in value	-1,828.94	-601.04
Closing value	**$201,552.84**	**$201,552.84**

Value over time



Portfolio summary

CURRENT



	ASSET TYPE	PREVIOUS VALUE ON MAY 31	%	CURRENT VALUE ON JUN 30	%	ESTIMATED ANN. INCOME
ASSETS	Cash and sweep balances	90,413.78	44.46	90,428.64	44.87	181
	Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
	Fixed income securities	112,968.00	55.54	111,124.20	55.13	3,780
	Mutual funds	0.00	0.00	0.00	0.00	0
	Asset value	**$203,381.78**	**100%**	**$201,552.84**	**100%**	**$3,961**