



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Cindy Axne
Status: Member
State/District: IA03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2018
Filing Date: 10/8/2021
Period Covered: 01/01/2017– 12/31/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cindy and John Axne Joint Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$1,001 - \$15,000	None		
Cindy and John Axne Joint Account ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$1,001 - \$15,000	None		
Cindy and John Axne Joint Account ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	None		
Cindy and John Axne Joint Account ⇒ RJ Bank Deposit Program [BA]	JT	\$1,001 - \$15,000	None		
Cindy Axne IRA ⇒ 3M Company (MMM) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
American Intl GroupInc (AIG.WS) [OT]					
DESCRIPTION: Other Equities					
Cindy Axne IRA ⇒ American Tower Corp (AMT) [RE]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Biglari Holdings Inc. Class B (BH) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ CarMax Inc (KMX) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Cerner Corporation (CERN) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Doubleline Flexible Income Fund CL I (DFLEX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Doubleline Opportunistic Credit (DBL) [OT]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Closed End Fund					
Cindy Axne IRA ⇒ DowDuPont Inc. (DWDP) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cindy Axne IRA ⇒ Enstar Group Limited - Ordinary Shares (ESGR) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Facebook, Inc. - Class A (FB) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ iShares TR Short Treas BD (SHV) [EF]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Moody's Corporation (MCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ RJ Bank Deposit Program [BA]		\$15,001 - \$50,000	Tax-Deferred		
Cindy Axne IRA ⇒ The Kraft Heinz Company (KHC) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cindy Axne IRA ⇒ Watsco, Inc. (WSO) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Cindy Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]		\$1,001 - \$15,000	Tax-Deferred		
College Savings Iowa 529 Plan - 2 ⇒ Aggressive Growth Age Based Plan-Blended Growth [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age Based Plan - Moderate Growth [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		
Creation Agents LLC [OL] LOCATION: West Des Moines, IA, US DESCRIPTION: Digital Design Firm	SP	\$100,001 - \$250,000	None		
Creation Agents LLC 401K ⇒ 3M Company (MMM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ American Tower Corp (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ CarMax Inc (KMX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Cerner Corporation (CERN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Colgate-Palmolive Company (CL) [ST]					
Creation Agents LLC 401K ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Doubleline Flexible Income Fund CL I (DFLEX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Doubleline Income Solutions (DSL) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ DowDuPont Inc. (DWDP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Enstar Group Limited - Ordinary Shares (ESGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Illinois Tool Works Inc. (ITW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ iShares TR Short Treas BD (SHV) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
PGIM Ultra Short Bond ETF (PULS) [EF]					
Creation Agents LLC 401K ⇒ RJ Bank Deposit Program [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ The Kraft Heinz Company (KHC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Creation Agents LLC 401K ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Iowa State Bank Account - Checking [BA]	JT	\$1,001 - \$15,000	None		
John Axne IRA ⇒ 3M Company (MMM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ American Tower Corp. (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ CarMax Inc (KMX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Cerner Corporation (CERN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
John Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Doubleline Flexible Income Fund CL I (DFLEX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ DowDuPont Inc. (DWDP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Enstar Group Limited - Ordinary Shares (ESGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ iShares TR Short Treas BD (SHV) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
John Axne IRA ⇒ RJ Bank Deposit Program [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		
John Axne IRA ⇒ The Kraft Heinz Company (KHC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
John Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ American Funds Am Balanced R4 [MF]		\$15,001 - \$50,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Dodge & Cox Intl Stock Fund (DODFX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Ivy High Income Fund I [MF]		\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Templeton Global Bond Fund Adv [MF]		\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Victory Integrity Sm-Cp Value Fnd Y [MF]		\$1,001 - \$15,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Virtus Crdx Lg-Cp Val Eqty Fd I [MF]		\$15,001 - \$50,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Voya Fixed Plus III [MF]		\$50,001 - \$100,000	Tax-Deferred		
State of Iowa Deferred Comp Program ⇒ Voya Intermediate Bond Port S [MF]		\$1,001 - \$15,000	Tax-Deferred		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Creation Agents, LLC	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Community Choice Credit Union	October 2014	Mortgage on personal residence	\$10,000 - \$15,000
COMMENTS: Paid in full July 10, 2018				

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2017	Creation Agents, LLC & Cynthia Axne	Leave of Absence
April 2005	Cynthia Axne and the State of Iowa - 457 Employee Deferred Compensation Program	Defined contribution plan that provides service retirement benefits.
COMMENTS: Left State of Iowa employment in 2014		

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- o Cindy and John Axne Joint Account (Owner: JT)
- o Cindy Axne IRA
- o College Savings Iowa 529 Plan - 2 (Owner: JT)
LOCATION: IA
- o College Savings Iowa 529 Plan -1 (Owner: JT)
LOCATION: IA
- o Creation Agents LLC 401K (Owner: SP)
- o John Axne IRA (Owner: SP)
- o State of Iowa Deferred Comp Program

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Cindy Axne , 10/8/2021