Filing ID #10027608

Financial Disclosure Report

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Filer Information

Name: Hon. David Schweikert
Status: Member
State/District: AZ06

Filing Information

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 08/13/2019

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
457(B) PLAN ⇒ BARON GR INST (BGRIX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
457(B) PLAN ⇒ PTNM R6 (PEQSX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
529 ⇒ AZ PORTFOLIO 2033 (FIDELITY INDEX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
BUSINESS HOLDINGS ⇒ SHERIDAN EQUITIES HOLDINGS LLC [OL] LOCATION: FOUNTAIN HILLS, AZ, US DESCRIPTION: REAL ESTATE BUSINESS		$250,001 - $500,000	None		☐
BUSINESS HOLDINGS ⇒ SHERIDAN EQUITIES LLC [OL] LOCATION: FOUNTAIN HILLS, AZ, US DESCRIPTION: REAL ESTATE BUSINESS		$1,001 - $15,000	None		☐
INSURANCE ⇒ SFT CORE BOND C2 [MF] DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS. PREVIOUSLY LISTED AS "STADV BOND C2."	SP	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
INSURANCE ⇒ SFT INDEX 500 C2 [MF] DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS PREVIOUSLY LISTED AS "SFTADV IDX 500 C2"	SP	$1,001 - $15,000	None		☐
INSURANCE ⇒ SFT IVY GROWTH [MF] DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS.	SP	$15,001 - $50,000	None		☐
IRA ⇒ VT EQUITY INCOME [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
IRA ⇒ VT GROWTH OPP [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
SESC - 401(K) ⇒ BlackRock LifePath Index 2030 [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
457(B) PLAN ⇒ BARON GR INST (BGRIX) [MF] DESCRIPTION: Reinvestment of capital gains		11/29/2018	P	$1,001 - $15,000	
457(B) PLAN ⇒ LOOMIS VAL N [MF] DESCRIPTION: Rebalancing of funds executed by manager.		08/31/2018	S	$15,001 - $50,000	☐
457(B) PLAN ⇒ LOOMIS VAL N [MF] DESCRIPTION: Reinvestment of Capital Gains		07/24/2018	P	$1,001 - $15,000	
457(B) PLAN ⇒ PTNM R6 (PEQSX) [MF] DESCRIPTION: Reinvestment of Capital Gains		12/26/2018	P	$1,001 - $15,000	
457(B) PLAN ⇒ PTNM R6 (PEQSX) [MF] DESCRIPTION: Rebalancing of funds executed by manager.		08/31/2018	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
INSURANCE ⇒ SFT CORE BOND C2 [MF] Description: Reallocation of funds executed by manager.	SP	11/30/2018	P	$1,001 - $15,000	
INSURANCE ⇒ SFT MTG SEC C2 [MF] Description: Previously listed as "SFTADV MTG SEC C2." Reallocation of funds executed by manager	SP	11/30/2018	S	$1,001 - $15,000	☐
SESC - 401(K) ⇒ BlackRock Adv Small Cap Growth (CSGEX) [MF] Description: Reallocation of funds executed by manager.	SP	03/15/2018	P	$50,001 - $100,000	
SESC - 401(K) ⇒ BlackRock Adv Small Cap Growth (CSGEX) [MF] Description: Asset sold and rolled over into new 401k	SP	04/2/2018	S	$50,001 - $100,000	☐
SESC - 401(K) ⇒ BlackRock Advantage Large Cap Val Inv A (MDLVX) [MF] Description: Asset sold and rolled over into new 401k plan.	SP	04/2/2018	S	$15,001 - $50,000	☐
SESC - 401(K) ⇒ BlackRock Global Allocation Inv A (MDLOX) [MF] Description: Asset sold and rolled over into new 401k plan.	SP	04/2/2018	S	$15,001 - $50,000	☐
SESC - 401(K) ⇒ BlackRock International Inv A (MDILX) [MF] Description: Asset sold and rolled over into new 401k plan.	SP	04/2/2018	S	$15,001 - $50,000	☐
SESC - 401(K) ⇒ Blackrock LifePath Index 2030 [MF] Description: Employee/Employer Contributions to 401k Plan	SP	12/26/2018	P	$1,001 - $15,000	
SESC - 401(K) ⇒ BlackRock LifePath Index 2030 [MF] Description: Employee/Employer Contributions to 401k Plan	SP	03/12/2018	P	$1,001 - $15,000	
SESC - 401(K) ⇒ BlackRock LifePath Index 2030 [MF] Description: Rollover funds from previous 401k	SP	04/3/2018	P	$100,001 - $250,000	
SESC - 401(K) ⇒ BlackRock LifePath Index 2030 [MF] Description: Employee/Employer Contributions to 401k Plan	SP	10/31/2018	P	$1,001 - $15,000	
SESC - 401(K) ⇒	SP	11/14/2018	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
BlackRock LifePath Index 2030 [MF] DESCRIPTION: Employee/Employer Contributions to 401k Plan					
SESC - 401(K) ⇒ BlackRock Small Cap Growth II Inv A (MDSWX) [MF] DESCRIPTION: Reallocation of funds executed by manager	SP	03/15/2018	S	$50,001 - $100,000	☐
SESC - 401(K) ⇒ BlackRock US Government Bond Inv A (CIGAX) [MF] DESCRIPTION: Asset sold and rolled over into new 401k plan.	SP	04/2/2018	S	$1,001 - $15,000	☐

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Schedule C: Earned Income

Source	Type	Amount
SCOTTSDALE EYE SURGERY	SPOUSE SALARY	N/A
PUBLIC SAFETY PERSONNEL RETIREMENTSYSTEM	RETIREMENT	$47,191.32

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	CANYON STATE CREDIT UNION	JAN 2017	HOME EQUITY LOAN	$50,001 - $100,000
	SALLIE MAE/NAVIENT	JAN 2004	STUDENT LOANS	$15,001 - $50,000
	CANYON STATE CU MASTERCARD	12/31/2018	REVOLVING CHARGE ACCOUNT	$10,000 - $15,000
	CHASE BANK - SH	12/31/2018	REVOLVING CHARGE ACCOUNT	$15,001 - $50,000
	WELLS FARGO BANK	MAR 2013	RESIDENTIAL MORTGAGE	$250,001 - $500,000

Schedule E: Positions

Position	Name of Organization
MANAGING MEMBER	SHERIDAN EQUITIES LLC
MANAGING MEMBER	SHERIDAN EQUITIES HOLDINGS LLC

Schedule F: Agreements

Date	Parties To	Terms of Agreement
November 2010	SHERIDAN EQUITIES HOLDINGS	SHERIDAN EQUITIES HOLDNGS LLC HAS AN AGREEMENT WITH SWARTZ & BROUGH FOR A PORTION OF RESIDENT EQUITY ON THE PARTNERSHIP FORMALLY MANAGED/PARTICIAPTED IN BY SHERIDAN EQUITIES. NOW CONTROLLED BY SWARTZ & BROUGH UPON THE COMPLETION ISSUE.
January 2004	ME AND MARICOPA COUNTY	COUNTY SPONSORED 527 PLAN.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 457(B) PLAN
- 529
  Location: AZ
- BUSINESS HOLDINGS
  Location: US
- INSURANCE (Owner: SP)
- IRA (Owner: SP)
- SESC - 401(K) (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Comments

For all SESC - 401(k) sale transactions, we have been unable to confirm the dates for these transactions due to poor reports for the managing brokerage firm. We selected 04/02/2018 as the date of these transactions. It is the day before the funds rolled into the new 401(k) plan.

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David Schweikert , 08/13/2019