



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Roger W. Marshall
Status: Member
State/District: KS01

FILING INFORMATION

Filing Type: Annual Report
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|--------|--------------------------|
| 529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#2 | DC | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| 529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#1 | DC | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| 529 college savings plan ⇒ American Funds ⇒ Capital Income Builder [5P] LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition. | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| 529 college savings plan ⇒ American Funds ⇒ Capital Income Builder [5P] LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition. | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| 529 college savings plan ⇒ American Funds ⇒ Capital World Growth and Income [5P] LOCATION: VA | DC | None | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|---------------|--------------------------|
| DESCRIPTION: DC#2 Funds used for college tuition. | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ College 2021 Fund [5P] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#1 | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ New Perspective Fund [5P] | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition. | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ New Perspective Fund [5P] | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition. | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ New World Fund [5P] | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition. | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ New World Fund [5P] | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition. | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ Short-Term Bond Fund of America [5P] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#1 | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ Short-Term Bond Fund of America [5P] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#2 | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ The Bond Fund of America [5P] | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition. | | | | | |
| 529 college savings plan ⇒ American Funds ⇒ The Growth Fund of America [5P] | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: VA DESCRIPTION: DC#2 Funds used for college tuition. | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------|-------------------|----------------------|--------------------------|
| 529 college savings plan ⇒ American Funds ⇒ The Growth Fund of America [5P] LOCATION: VA DESCRIPTION: DC#1 Funds used for college tuition. | DC | None | Tax-Deferred | | <input type="checkbox"/> |
| 529 college savings plan ⇒ Waddell & Reed ⇒ Invest Ed Growth Portfolio A [5P] LOCATION: AZ DESCRIPTION: DC#1 | DC | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| 529 college savings plan ⇒ Waddell & Reed ⇒ Invest Ed Growth Portolio A [5P] LOCATION: AZ DESCRIPTION: DC#2 | DC | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| American Funds - IRA ⇒ EuroPacific Growth Fund A [MF] DESCRIPTION: IRA | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| American Funds - IRA ⇒ EuroPacific Growth Fund A [OT] DESCRIPTION: IRA | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| American Funds - IRA ⇒ Washington Mutual Investors Fund A [MF] DESCRIPTION: IRA | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| American Funds - IRA ⇒ Washington Mutual Investors Fund A [MF] DESCRIPTION: IRA | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Business Related Entity ⇒ Club West of Great Bend, LLC ⇒ Club West of Great Bend LLC, 4% Interest [OT] DESCRIPTION: Management of Swimming Pool Location: Great Bend, KS | SP | \$1,001 - \$15,000 | Partnership | None | <input type="checkbox"/> |
| Business Related Entity ⇒ Jacam Chemical Company, Inc. ⇒ Canadian Energy Services & Technology Corp., 1% Interest [OT] DESCRIPTION: Parent company of Jacam Chemical LLC Location: Sterling, KS | SP | \$1,001 - \$15,000 | Dividends, S-Corp | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|------------------------------|----------------|-------------------------|-------------------------------------|
| Cash Balances ⇒ Farmers Bank & Trust [BA] | | \$50,001 - \$100,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | JT | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | DC | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: DC#2 | | | | | |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | DC | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: DC#1 | | | | | |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | JT | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | DC | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: DC#2 | | | | | |
| Cash Balances ⇒ Farmers Bank & Trust [BA] | DC | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: DC#1 | | | | | |
| Farmers Enterprises, Inc., 1% Interest [OT] | SP | \$1,000,001 - \$5,000,000 | S - Corp | \$15,001 - \$50,000 | <input type="checkbox"/> |
| DESCRIPTION: Holding company for bank stock - Location: Great Bend, KS | | | | | |
| GBRH Properties 2009, LLC, 14% Interest [RP] | SP | None | Partnership | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| LOCATION: Great Bend, KS, US | | | | | |
| DESCRIPTION: Building, helicopter pad, intangible assets, cash | | | | | |
| Investment holding entities ⇒ Healthcare Ventures Fund IV, LP [OT] | SP | \$50,001 - \$100,000 | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Subscription Agreement in Healthcare Ventures Fund IV, LP | | | | | |
| Investment holding entities ⇒ Healthcare Properties Fund | SP | \$15,001 - \$50,000 | Partnership | \$50,001 - | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
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| 1, LLC ⇒ HPH Building, 2% Interest [OT] DESCRIPTION: Investment – Venture Capital in hospitals Location: Houston, TX | | | | \$100,000 | |
| Investment holding entities ⇒ Healthcare Properties Fund 1, LLC ⇒ Methodist McKinney Hospital Property, 2% Interest [OT] DESCRIPTION: Investment – Venture Capital in hospitals Location: McKinney, TX | SP | \$15,001 - \$50,000 | Partnership | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Investment holding entities ⇒ Healthcare Properties Fund 1, LLC ⇒ Wichita NW Medical Property, 2% Interest [OT] DESCRIPTION: Investment – Venture Capital in hospitals Location: Wichita, KS | SP | \$1,001 - \$15,000 | Partnership | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Investment holding entities ⇒ NCM Bioscience Partners, LLC ⇒ Investment in Open Prairie Ventures II, LP, 3% Interest [OT] DESCRIPTION: Venture Capital Investments - Location: Leawood, KS | SP | \$50,001 - \$100,000 | Partnership | None | <input type="checkbox"/> |
| Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Cash, 20% Interest [OT] DESCRIPTION: Property Management Location: Stafford County | SP | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Land - 720 Acres, Fencing & Equipment Rental, 20% Interest [OT] DESCRIPTION: Property Management Location: Stafford County | SP | \$250,001 - \$500,000 | S-Corp | None | <input type="checkbox"/> |
| Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Royalty Interest, 20% Interest [OT] DESCRIPTION: Property Management Location: Stafford County | SP | \$100,001 - \$250,000 | S-Corp - Royalty Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Royalty Interest, 20% Interest [OT] DESCRIPTION: Property Management Location: Stafford County | SP | \$100,001 - \$250,000 | S-Corp - Royalty Interest | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Working Interest and Equipment, 20% Interest [OT] DESCRIPTION: Property Management Location: Stafford County | SP | \$1,001 - \$15,000 | S-Corp - Working Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Investment holding entities ⇒ Rattlesnake Ranch, Inc. ⇒ Land 75 acres, equipment and building rental, 20% Interest [OT] DESCRIPTION: Real Estate Investment Property Location: Stafford County | | \$15,001 - \$50,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|----------------|--------|--------------------------|
| IVY Dividend Opportunities A (formerly WR Advisors Dividend Opportunitites A), 25% Interest [OT] DESCRIPTION: DC#2 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Dividend Opportunities A (formerly WR Advisors Dividend Opportunitites A), 25% Interest [OT] DESCRIPTION: DC#1 | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A (formerly WR Advisors Energy A), 25% Interest [OT] DESCRIPTION: DC#1 Exchanged, not required to be reported due to transaction under reporting threshold. | DC | \$1 - \$1,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A (formerly WR Advisors Energy A), 25% Interest [OT] DESCRIPTION: DC#2 Exchanged, not required to be reported due to transaction under reporting threshold. | DC | \$1 - \$1,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A [MF] DESCRIPTION: DC#2 | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A [MF] DESCRIPTION: DC#1 | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Natural Resources A [MF] DESCRIPTION: DC#1 | DC | \$1 - \$1,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Natural Resources A [MF] DESCRIPTION: DC#2 | DC | \$1 - \$1,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [MF] DESCRIPTION: DC#2 | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [MF] DESCRIPTION: DC#1 | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Tax-Managed Equity A (formerly WR Advisors Tax-Managed Equity A), 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|-----------------|-------------------------------------|
| DESCRIPTION: DC#2 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Tax-Managed Equity A (formerly WR Advisors Tax-Managed Equity A), 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#1 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Value A (formerly WR Advisors Value A), 25% Interest [OT] | DC | \$1 - \$1,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#2 Exchanged, not required to be reported due to transaction under reporting threshold. | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Value A (formerly WR Advisors Value A), 25% Interest [OT] | DC | \$1 - \$1,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#1 Exchanged, not required to be reported due to transaction under reporting threshold. | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Land 320 acres, building & equipment - Stafford County and 160 acres Reno County, 25% Interest [OT] | DC | \$250,001 - \$500,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#1 - CRP payment. Location: Great Bend, KS | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Land 320 acres, building & equipment - Stafford County and 160 acres Reno County, 25% Interest [OT] | DC | \$250,001 - \$500,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#2 - CRP payment. Location: Great Bend, KS | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-BlackRock Capital Apprec Portfolio, 25% Interest [OT] | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: DC#1; Surrender of policy. | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Loomis Sayles Small Cap Core Portfolio, 25% Interest [OT] | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: DC#1; Surrender of policy. | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Metlife Asset Allocation 100 Portfolio, 25% Interest [OT] | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: DC#1; Surrender of policy. | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Inc Policy - Metlife Asset Allocation 100 Portfolio, 25% Interest [OT] | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: DC#2; Surrender of policy. | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|-----------------------|---------------------------|-----------------------|-------------------------------------|
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy - BlackRock Capital Apprec Portfolio, 25% Interest [OT] DESCRIPTION: DC#2; Surrender of policy. | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy - Loomis Sayles Small Cap Core Portolio, 25% Interest [OT] DESCRIPTION: DC#2; Surrender of policy. | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy - Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OT] DESCRIPTION: DC#2; Surrender of policy. | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OT] DESCRIPTION: DC#1; Surrender of policy. | DC | None | S-Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Note Receivable, 25% Interest [OT] DESCRIPTION: DC#2 Held by Farmers Bank & Trust, Great Bend, KS | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Note Receivable, 25% Interest [OT] DESCRIPTION: DC#1 Held by Farmers Bank & Trust, Great Bend, KS | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Office Building, Furniture & Equipment, 25% Interest [OT] DESCRIPTION: DC#1 - Location: Great Bend, KS | DC | None | Capital Gains, S- Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Office Building, Furniture & Equipment, 25% Interest [OT] DESCRIPTION: DC#2 - Location: Great Bend, KS | DC | None | Capital Gains, S- Corp | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Oil Royalty Interest, 25% Interest [OT] DESCRIPTION: DC#2 - Location: Stafford County | DC | \$15,001 - \$50,000 | S-Corp | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Oil Royalty Interest, 25% Interest [OT] DESCRIPTION: DC#1 - Location: Stafford County | DC | \$15,001 - \$50,000 | S-Corp | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Accumulative A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|--------|-------------------------------------|
| DESCRIPTION: DC#2 - Exchanged April 2016 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Accumulative A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#1 - Exchanged April 2016 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors New Concepts A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#1 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors New Concepts A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#2 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Science and Technology A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#2 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Science and Technology A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#1 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Small Cap A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#1 | | | | | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ WR Advisors Small Cap A, 25% Interest [OT] | DC | \$1,001 - \$15,000 | S-Corp | None | <input type="checkbox"/> |
| DESCRIPTION: DC#2 | | | | | |
| Ionics Life Sciences [PS] | | \$100,001 - \$250,000 | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Subscription agreement in Ionics Life Sciences. | | | | | |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds Cap Wild Growth Inc - R3 [MF] | | None | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: Rollover to Questar Capital IRA account | | | | | |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds GR FD of Amer R3 [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Rollover to Questar Capital IRA account | | | | | |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------|----------------|--------|-------------------------------------|
| Fixed Account [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | | | | |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Growth A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Mutual Global Disc A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Investco Small Cap Growth A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Government Securities R3 [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Value R3 [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Oppenheimer Developing Markets A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Pimco Real Return A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Pimco Total Return A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Templeton Global Bond A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|--------------------|----------------|-------------|-------------------------------------|
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Global Cap App R4 [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Midcap R4 [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Established Val A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Small Co Opportunity A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ Cash [EF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Alternative Return Strategy ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphas ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Exchange Traded FD III Long Short EQTY ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Financials Alphas ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Healthcare Alphas ETF [EF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Japan Alphas ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Growth Opportunity Alphas ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|-----------------|--------------------------|
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphasdex ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Low Duration Opportunities ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Mid Cap Core Alphasdex ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Small Cap Core Alphasdex ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ First Trust Technology Alphasdex ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ Insured Cash Account [MF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mutual Funds ⇒ LPL Financial ⇒ Ishares Iboxx Investment Grade Corp Bond ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital Corporation ⇒ Apple, Inc. [MF] DESCRIPTION: DC#1 | DC | \$1,001 - \$15,000 | Dividends | None | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF] DESCRIPTION: DC#2 | DC | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF] DESCRIPTION: DC#1 | DC | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital Corporation ⇒ McDonalds Corp [MF] DESCRIPTION: DC#2 | DC | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital Corporation ⇒ Pfizer Inc Com [MF] DESCRIPTION: DC#2 | DC | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|-------------------|-------------------------------------|
| Mutual Funds ⇒ Questar Capital Corporation ⇒ Walt Disney Co [MF] DESCRIPTION: DC#2 | DC | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital Corporation ⇒ Walt Disney Co. [MF] DESCRIPTION: DC#1 | DC | \$1,001 - \$15,000 | Dividends | None | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid-Cap Fund Class A [HFMCX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | \$100,001 - \$250,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroders US Small Cap Opportunities Fund Class A [SCUVX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | \$50,001 - \$100,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Intermediate Bond Fund of America Class A [AIBAX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | \$250,001 - \$500,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ New Perspective Fund Class A [ANWPX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | \$100,001 - \$250,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ New World Fund Class A [NEWFX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ The Growth Fund of America Class A [AGTHX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | \$100,001 - \$250,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund Class A [AWSHX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | \$250,001 - \$500,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Scottrade ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: DC#2 | DC | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Mutual Funds ⇒ Scottrade ⇒ Tesla, Inc. (TSLA) [ST] DESCRIPTION: DC#2 | DC | None | Capital Gains | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|-------------------------|----------------------------|----------------------|-------------------------------------|
| Mutual Funds ⇒ Waddell & Reed ⇒ IVY Municipal Bond A (formerly WR Advisors Municipal Bond A) [MF] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Waddell & Reed ⇒ WR Advisors Municipal High Income A [MF] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Oil Working Interest ⇒ MV Purchasing, 5% Interest [OT] DESCRIPTION: Location: Stafford County | SP | \$50,001 - \$100,000 | Oil Working Interest | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Privately Owned Corporation ⇒ Heartland Regional OBGYN, PA, 55% Interest [OT] DESCRIPTION: Medical Services Location: Great Bend, KS | | None | Capital Gains, Corporation | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Rental Real Estate ⇒ Rental Real Estate, 100% Interest [RP] LOCATION: Santa Cruz, CA, US | JT | \$500,001 - \$1,000,000 | Rent | None | <input type="checkbox"/> |
| Stock in privately owned corporation ⇒ Great Bend Coop Assn. ⇒ Great Bend Coop Assn. [OT] DESCRIPTION: Location: Great Bend, KS | | \$1,001 - \$15,000 | Patronage Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Universal Life Policy ⇒ Pacific Life [WU] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ General Account [OT] DESCRIPTION: Union Security Insurance Co. | SP | None | Distribution | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Global Growth Fund [OT] DESCRIPTION: Union Security Ins Co. | SP | None | Distribution | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Growth Opps Fund [OT] DESCRIPTION: Union Security Ins. Co. | SP | None | Distribution | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Midcap Value [OT] DESCRIPTION: Union Security Ins. Co. | SP | None | Distribution | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------|----------------|---------------------|-------------------------------------|
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Small Cap Growth Fund [OT] DESCRIPTION: Union Security Ins. Co. | SP | None | Distribution | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|----------------------|--------------------------|
| Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] DESCRIPTION: DC#2 | DC | 08/27/2018 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] DESCRIPTION: DC#2 | DC | 09/5/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Alibaba Group Holding Limited American Depositary Shares each representing one Ordinary share (BABA) [ST] DESCRIPTION: DC#2 | DC | 10/10/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: DC#2 | DC | 08/3/2018 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: DC#2 | DC | 08/7/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Apple Inc. (AAPL) [ST] DESCRIPTION: DC#2 | DC | 08/7/2018 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Apple Inc. (AAPL) [ST] DESCRIPTION: DC#2 | DC | 08/21/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| GBRH Properties 2009, LLC, 14% Interest [RP] [OI] DESCRIPTION: Sale of holding investment. | SP | 12/31/2018 | S | Over \$50,000,000 | <input type="checkbox"/> |
| Healthcare Ventures Fund IV, LP [OI] DESCRIPTION: Delaware Limited Partnership | | 10/1/2018 | P | \$50,001 - \$100,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|--------------------|-------------------------------------|
| Heartland Regional OBGYN, PA, 55% Interest [OL] LOCATION: Great Bend, KS, US DESCRIPTION: Medical Services Location | | 12/31/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-BlackRock Capital Apprec Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-BlackRock Capital Apprec Portfolio, 25% Interest [OI] DESCRIPTION: DC#2; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Loomis Sayles Small Cap Core Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Loomis Sayles Small Cap Core Portfolio, 25% Interest [OI] DESCRIPTION: DC#2; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Met/Wellington Core Equity Opportunities Portfolio, 25% Interest [OI] DESCRIPTION: DC#2; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Metlife Asset Allocation 100 Portfolio, 25% Interest [OI] DESCRIPTION: DC#1; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ New England Variable Life Ins Policy-Metlife Asset Allocation 100 Portfolio [OI] DESCRIPTION: DC#2; Surrender of policy. | DC | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ | DC | 08/28/2018 | S | \$50,001 - | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|----------------------|-------------------------------------|
| Office Building, Furniture & Equipment, 25% Interest [OI] DESCRIPTION: DC#1 Location: Great Bend, KS | | | | \$100,000 | |
| Investment Holding Entity ⇒ LVMC, Inc. ⇒ Office Building, Furniture & Equipment, 25% Interest [OI] DESCRIPTION: DC#2 - Location: Great Bend, KS | DC | 08/28/2018 | S | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] DESCRIPTION: DC#2 | DC | 08/7/2018 | P | \$1,001 - \$15,000 | |
| Microsoft Corporation (MSFT) [ST] DESCRIPTION: DC#2 | DC | 08/22/2018 | P | \$1,001 - \$15,000 | |
| Microsoft Corporation (MSFT) [ST] DESCRIPTION: DC#2 | DC | 08/27/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds Cap Wild Growth Inc [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ American Funds GR FD of Amer R3 [Mf} [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Fixed Account [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | 08/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Growth A [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Franklin Mutual Global Disc A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Investco Small Cap Growth A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | 08/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|------------|----------|-----------------------|--------------------------|
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Government Securities R3 [MR] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ MFS Value R3 [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Oppenheimer Developing Markets A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Pimco Real Return [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Pimco Total Return A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Templeton Global Bond A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Global Cap PP R4 [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ The Hartford Midcap R4 [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Established Val A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | 08/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|-----------------------|-------------------------------------|
| Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan ⇒ Victory Sycamore Small Co Opportunity A [MF] [MF] DESCRIPTION: Rollover to Questar Capital IRA account | | 08/6/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid-Cap Fund Class A [HFMCX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | 08/10/2018 | P | \$100,001 - \$250,000 | |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroders US Small Cap Opportunities Fund Class A [SCUVX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | 08/10/2018 | P | \$50,001 - \$100,000 | |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Intermediate Bond Fund of America Class A [AIBAX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | 08/10/2018 | P | \$250,001 - \$500,000 | |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ New Perspective Fund Class A [ANWPX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | 08/10/2018 | P | \$100,001 - \$250,000 | |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ New World Fund Class A [NEWFX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | 08/10/2018 | P | \$15,001 - \$50,000 | |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ The Growth Fund of America Class A [AGTHX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | 08/10/2018 | P | \$100,001 - \$250,000 | |
| Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund Class A [AWSHX] [MF] DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account | | 08/10/2018 | P | \$250,001 - \$500,000 | |
| NVIDIA Corporation (NVDA) [ST] DESCRIPTION: DC#2 | DC | 07/24/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Stock in privately owned corporation ⇒ Ionics Life Sciences [PS] DESCRIPTION: Purchase of shares in private corporation | | 10/1/2018 | P | \$100,001 - \$250,000 | |
| Tesla, Inc. (TSLA) [ST] DESCRIPTION: DC#2 | DC | 07/24/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|---------------------|-------------------------------------|
| Tesla, Inc. (TSLA) [ST] DESCRIPTION: DC#2 | DC | 08/3/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Tesla, Inc. (TSLA) [ST] | DC | 08/7/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Tesla, Inc. (TSLA) [ST] DESCRIPTION: DC#2 | DC | 11/5/2018 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Tesla, Inc. (TSLA) [ST] DESCRIPTION: DC#2 | DC | 11/7/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ General Account [VI] DESCRIPTION: Surrender of policy | SP | 08/17/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Global Growth Fund [VI] DESCRIPTION: Surrender of policy | SP | 08/17/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Growth Opps Fund [VI] DESCRIPTION: Surrender of policy | SP | 08/17/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Midcap Value [VI] DESCRIPTION: Surrender of policy | SP | 08/17/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Variable Life Policy ⇒ Union Security Insurance Company ⇒ Small Cap Growth Fund [VI] DESCRIPTION: Surrender of policy | SP | 08/17/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Yield10 Bioscience, Inc. (YTEN) [ST] COMMENTS: Asset purchased 3/14/2018, purchase did not meet reporting threshold. | DC | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|----------------------------|---------------|--------------------|-------------------------|
| | LVMC, Inc. | December 2015 | personal loan | \$15,001 - \$50,000 |
| JT | Farmers Bank & Trust, N.A. | May 2015 | rental real estate | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|---|-------------------------|
| President, Director COMMENTS: Uncompensated position | LVMC, Inc. |
| Director COMMENTS: Uncompensated position | Rattlesnake Ranch, Inc. |
| President, Director COMMENTS: Uncompensated position | Quivira Ranch, Inc. |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---------------|---|--|
| December 2015 | University of Kansas as successor to Great Bend Regional Hospital | Participation in the 401K Plan successor plan from Great Bend Regional Hospital. As of 08/06/2018, 401k was rolled over to IRA account with Questar Capital. |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Source | Trip Details | | | | Inclusions | | |
|----------------------------------|--------------|------------|---|------------------|--------------------------|--------------------------|--------------------------|
| | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Center for Democracy in Americas | 01/24/2018 | 01/28/2018 | New York City - Havana Cuba - Washington DC | 0 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 529 college savings plan (Owner: DC)
LOCATION: KS
- 529 college savings plan ⇒ American Funds (Owner: DC)
LOCATION: VA
- 529 college savings plan ⇒ Waddell & Reed (Owner: DC)
LOCATION: AZ
- American Funds - IRA (Owner: SP)
- American Funds - IRA (Owner: SP)
- American Funds - IRA
- Business Related Entity (Owner: SP)
LOCATION: US
- Business Related Entity ⇒ Club West of Great Bend, LLC (Owner: SP)
LOCATION: Great Bend/Barton, KS, US
- Business Related Entity ⇒ Jacam Chemical Company, Inc. (Owner: SP)
LOCATION: Sterling, KS, US
- Cash Balances (100% Interest) (Owner: DC)
LOCATION: Great Bend/Barton, KS, US
DESCRIPTION: Farmers Bank & Trust
- Cash Balances (100% Interest) (Owner: JT)
LOCATION: Great Bend/Barton, KS, US
DESCRIPTION: Farmers Bank & Trust
- Cash Balances (100% Interest) (Owner: SP)
LOCATION: Great Bend/Barton, KS, US
DESCRIPTION: Farmers Bank & Trust
- Cash Balances (100% Interest)
LOCATION: Great Bend/Barton, KS, US
DESCRIPTION: Farmers Bank & Trust
- Investment holding entities (Owner: SP)
LOCATION: US
- Investment holding entities
LOCATION: US
- Investment holding entities ⇒ Healthcare Properties Fund 1, LLC (Owner: SP)
LOCATION: Leawood, KS, US
- Investment holding entities ⇒ NCM Bioscience Partners, LLC (Owner: SP)
LOCATION: Leawood, KS, US
- Investment holding entities ⇒ Quivira Ranch, Inc. (20% Interest) (Owner: SP)
LOCATION: Stafford County, KS, US
- Investment holding entities ⇒ Rattlesnake Ranch, Inc. (20% Interest)
LOCATION: Stafford County, KS, US
- Investment Holding Entity (Owner: DC)

LOCATION: US

- Investment Holding Entity ⇒ LVMC, Inc. (Owner: DC)
LOCATION: Great Bend/Barton, KS, US
- Mutual Funds (Owner: SP)
LOCATION: US
- Mutual Funds (Owner: DC)
LOCATION: US
- Mutual Funds
LOCATION: US
- Mutual Funds ⇒ Great Bend Regional Hospital 401K Plan
- Mutual Funds ⇒ LPL Financial (Owner: SP)
- Mutual Funds ⇒ Questar Capital Corporation (Owner: DC)
- Mutual Funds ⇒ Questar Capital Corporation (Owner: SP)
- Mutual Funds ⇒ Questar Capital IRA Account
- Mutual Funds ⇒ Scottrade (Owner: DC)
- Mutual Funds ⇒ Waddell & Reed (Owner: SP)
- Oil Working Interest (Owner: SP)
LOCATION: US
- Privately Owned Corporation
LOCATION: US
- Rental Real Estate (100% Interest) (Owner: JT)
LOCATION: US
- Stock in privately owned corporation
LOCATION: Great Bend/Barton, KS, US
- Stock in privately owned corporation ⇒ Great Bend Coop Assn.
LOCATION: Great Bend/Barton, KS, US
- Universal Life Policy
LOCATION: US
- Variable Life Policy (Owner: SP)
- Variable Life Policy ⇒ Union Security Insurance Company (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Roger W. Marshall , 08/13/2019