Filing ID #10026871

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

Filing Information

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 05/15/2019

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Accounts [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐
HSBC Accounts [BA]		$100,001 - $250,000	Interest	$201 - $1,000	☐
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [OT]		$50,001 - $100,000	Dividends	$2,501 - $5,000	☑
Island Federal Credit Union Account [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	☐
Comments: Effective October 1, 2018, the Bay Ridge Federal Credit Union merged with the Island Federal Credit Union.					
Lutheran Medical Center 401(k) ⇒ VALIC Fixed Interest Option [PE]	SP	$1,001 - $15,000	Tax-Deferred		☐
Lutheran Medical Center 401(k) ⇒ Vanguard Equity Income Adm [OT]	SP	$1,001 - $15,000	Tax-Deferred		☑
Lutheran Medical Center 401(k) ⇒ Vanguard Inst Tg Rtm 35 Inst [OT]	SP	$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Lutheran Medical Center 401(k) ⇒ Vanguard Ttl Bd Mkt Idx Ins [OT]	SP	$1,001 - $15,000	Tax-Deferred		☑
Merrill Edge Guided Investing Traditional IRA ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☑
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Growth ETF (IUSG) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Value ETF (IUSV) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES U.S. Treasury Bond ETF (GOVT) [GS]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard Mortgage-Backed SEC (VMBS) [AB]		$1,001 - $15,000	Dividends	$1 - $200	☐
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Merrill Edge Investment Account-Non Retirement ⇒ SPDR US DVDND ARISTOCRAT ETF (SDY) [EF]	JT	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard REIT ETF (VNQ) [EF]	JT	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
New York State Pension [PE]		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]		$50,001 - $100,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]	SP	$15,001 - $50,000	None		☐
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option/Conservative Age Based Option [5P] LOCATION: NY		$50,001 - $100,000	Tax-Deferred		☑
NY 529 Direct Plan (Dependent Child 2) Moderate Age		$50,001 -	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Based Option/Conservative Age Based Option [5P] LOCATION: NY		$100,000			
Russell Lifepoint Balanced Strategy Fund Class C (Northwestern Mutual) [MF]		$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Transamerica Custodial Traditional IRA Money Market Account [BA]	SP	$1,001 - $15,000	None		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [OT] LOCATION: US		08/30/2018	S (partial)	$1,001 - $15,000	☐
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [OT] LOCATION: US		12/24/2018	S (partial)	$50,001 - $100,000	☐
Lutheran Medical Center 401(k) ⇒ JHancock3 Disciplined Value 1 [OT] LOCATION: NY, US DESCRIPTION: Effective February 1, 2018, TIAA commenced management of the Lutheran Medical Center 401K retirement plan without input from the account holder, and funds were reinvested in Vanguard Ttl Bd Mkt Indx Ins; Vanguard Inst Tg Rtm 35 Inst; and Vanguard Equity Income Adm.	SP	02/1/2018	S	$1,001 - $15,000	☐
Lutheran Medical Center 401(k) ⇒ PIMCO Total Ret. Instl. [OT] LOCATION: NY, US DESCRIPTION: Effective February 1, 2018, TIAA commenced management of the Lutheran Medical Center 401K retirement plan without input from the account holder, and funds were reinvested in Vanguard Ttl Bd Mkt Indx Ins; Vanguard Inst Tg Rtm 35 Inst; and Vanguard Equity Income Adm.	SP	02/1/2018	S	$1,001 - $15,000	☐
Lutheran Medical Center 401(k) ⇒ Vanguard Equity Income Adm [OT] LOCATION: NY, US DESCRIPTION: Effective February 1, 2018, TIAA commenced management of the Lutheran Medical Center 401K retirement plan without input from the account holder, and funds were reinvested in Vanguard Ttl Bd Mkt Indx Ins; Vanguard Inst Tg Rtm 35 Inst; and Vanguard Equity Income Adm.	SP	02/1/2018	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Lutheran Medical Center 401(k) ⇒ Vanguard Inst Tg Rtm 35 Inst [OT] Location: NY, US Description: Effective February 1, 2018, TIAA commenced management of the Lutheran Medical Center 401K retirement plan without input from the account holder, and funds were reinvested in Vanguard Ttl Bd Mkt Indx Ins; Vanguard Inst Tg Rtm 35 Inst; and Vanguard Equity Income Adm.	SP	02/1/2018	P	$1,001 - $15,000	
Lutheran Medical Center 401(k) ⇒ Vanguard Ttl Bd Mkt Idx Ins [OT] Location: NY, US Description: Effective February 1, 2018, TIAA commenced management of the Lutheran Medical Center 401K retirement plan without input from the account holder, and funds were reinvested in Vanguard Ttl Bd Mkt Indx Ins; Vanguard Inst Tg Rtm 35 Inst; and Vanguard Equity Income Adm.	SP	02/1/2018	P	$1,001 - $15,000	
Merrill Edge Guided Investing Traditional IRA ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [ST]		09/24/2018	P	$1,001 - $15,000	
Merrill Edge Guided Investing Traditional IRA ⇒ iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD) [ST]		09/24/2018	S	$1,001 - $15,000	☐
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [ST]	JT	08/23/2018	P	$15,001 - $50,000	
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard Real Estate ETF (VNQ) [ST]	JT	08/21/2018	S (partial)	$15,001 - $50,000	☐
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] Location: NY, US		08/3/2018	P	$1,001 - $15,000	
Russell Lifepoint Balanced Strategy Fund Class C (Northwestern Mutual) [OT] Location: US Description: Mutual Fund Asset Type	JT	06/15/2018	S	$1,001 - $15,000	☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Worksite Medical Service PC	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PHH Mortgage Services	July 2015	Primary Residence Mortgage	$250,001 - $500,000
	BB&T	January 2017	Mortgage on Washington, DC Residence	$50,001 - $100,000
	Capitol Hill Tower Housing Corporation	January 2017	Share of Coop Mortgage on Washington, DC Residence	$100,001 - $250,000
	HSBC	August 2016	Home Equity Line of Credit	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Center for Sustainable Development (CEDESO)	07/30/2018	08/2/2018	New York City - Santo Domingo, DR - New York City	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- HSBC Brokerage Account
  Location: US

- Lutheran Medical Center 401(k) (Owner: SP)
- Merrill Edge Guided Investing Traditional IRA
- Merrill Edge Investment Account-Non Retirement (Owner: JT)
  LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
( ) Yes (•) No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
( ) Yes (•) No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
( ) Yes (•) No

CERTIFICATION AND SIGNATURE

[x] I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 05/15/2019