



Filing ID #10026652

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Bruce Westerman  
**Status:** Member  
**State/District:** AR04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 08/12/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Fisher IRA ⇒ Barclays ETN FI Enhanced Europe 50 Exchange Traded Notes Series C (FFEU) [EF]  DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fisher IRA ⇒ Cash within IRA [OT]  DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fisher IRA ⇒ Credit Suisse FI Large Cap Growth Enhanced ETN (FLGE) [EF]  DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fisher IRA ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]  DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fisher IRA ⇒ Invesco S&P 500 Top 50 ETF (XLG) [EF]  DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fisher IRA ⇒		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
ISHARES INC MSCI JPN ETF NEW (EWJ) [EF]				
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ iShares MSCI Europe Financials Sector Index Fund (EUFN) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ iShares MSCI Eurozone ETF (EZU) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ iShares MSCI Global Select Metals & Mining Producers Fund (PICK) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ iShares TR Global Energy ETF (IXC) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ iShares TR Global Tech ETF (IXN) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ SELECT SECTOR SPDR TR CONSUMER (XLP) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ SELECT SECTOR SPDR TR HEALTHCARE (XLV) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ UBS AG UBS AG FI Enhanced Global High Yield ETN due March 3, 2026 (FIHD) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				
Fisher IRA ⇒ Vanguard FTSE European ETF (VGK) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Fisher IRA rolled over from Mid South Engineering PSP 401K				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Morgan Stanley ⇒ ISHARES CORE MSCI EAFE ETF (IEFA) [EF]		\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE MSCI EMERGING (IEMG) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE S&P US GROWTH (IUSG) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE S&P US VALUE (IUSV) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL 2000 GRWTH ETF (IWO) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL 2000 VALUE ETF (IWN) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP G ETF (IWP) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP V ETF (IWS) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ Morgan Stanley Private Bank [OT]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Cash holding within IRA				
State of Arkansas Pension [PE]	SP	Undetermined	Tax-Deferred	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ESOP Plan ⇒ Cash within ESOP [OT]		03/7/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Rollover of cash within ESOP to IRA					
Fisher IRA ⇒ Barclays ETN FI Enhanced Europe 50 ETN Series B (FLEU)		02/1/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[EF]					
Fisher IRA ⇒ Barclays ETN FI Enhanced Europe 50 ETN Series B (FLEU) [EF]		06/13/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fisher IRA ⇒ Barclays ETN FI Enhanced Europe 50 Exchange Traded Notes Series C (FFEU) [EF]		06/13/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ Credit Suisse FI Large Cap Growth Enhanced ETN (FLGE) [EF]		02/2/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		02/1/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]		02/7/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ Invesco S&P 500 Top 50 ETF (XLG) [EF]		09/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fisher IRA ⇒ Invesco S&P 500 Top 50 ETF (XLG) [EF]		02/2/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ iShares Global Comm Services ETF (IXP) [EF]		02/1/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ iShares Global Comm Services ETF (IXP) [EF]		09/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fisher IRA ⇒ iShares Global Energy ETF (IXC) [EF]		03/2/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ iShares Global Energy ETF (IXC) [EF]		07/27/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ iShares Global Tech ETF (IXN) [EF]		02/1/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ iShares Global Tech ETF (IXN) [EF]		03/2/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fisher IRA ⇒ iShares Global Tech ETF (IXN) [EF]		09/26/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ ISHARES INC MSCI JPN ETF NEW (EWJ) [EF]		02/2/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ ISHARES INC MSCI JPN ETF NEW (EWJ) [EF]		10/15/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ iShares MSCI Europe Financials Sector Index Fund (EUFN) [EF]		02/2/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ iShares MSCI Europe Financials Sector Index Fund (EUFN) [EF]		02/7/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ iShares MSCI Eurozone ETF (EZU) [EF]		02/5/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ iShares MSCI Eurozone ETF (EZU) [EF]		10/15/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ iShares MSCI Global Select Metals & Mining Producers Fund (PICK) [EF]		07/6/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ SELECT SECTOR SPDR TR CONSUMER (XLP) [EF]		02/7/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ SELECT SECTOR SPDR TR HEALTHCARE (XLV) [EF]		02/5/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ SELECT SECTOR SPDR TR HEALTHCARE (XLV) [EF]		07/6/2018	S	\$1,001 - \$15,000	
Fisher IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		02/2/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		07/27/2018	S	\$1,001 - \$15,000	
Fisher IRA ⇒ UBS AG UBS AG FI Enhanced Global High Yield ETN due March 3, 2026 (FIHD) [EF]		02/1/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fisher IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		02/5/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ Vanguard FTSE European ETF (VGK) [EF]		02/5/2018	P	\$15,001 - \$50,000	
Fisher IRA ⇒ Vanguard Industrials ETF (VIS) [EF]		02/1/2018	P	\$1,001 - \$15,000	
Fisher IRA ⇒ Vanguard Industrials ETF (VIS) [EF]		03/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Lloyds TSB Group PLC [CS]  DESCRIPTION: Liquidation of asset to cash within ESOP.		01/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW Liquid Asset - 012082 [MF]		01/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW Liquid Asset - 012564 [MF]		01/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW Liquid Asset - 021716 [MF]		01/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW Liquid Asset - 021724 [MF]		01/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Orion Capital Corp [HE]		01/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ PECO ENERGY CAP 5.75% 6/15/33 [CS]  DESCRIPTION: Liquidation of asset to cash within ESOP.		01/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE MSCI EAFE ETF [EF]		06/26/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES CORE MSCI EAFE ETF [EF]		03/8/2018	P	\$15,001 - \$50,000	
Morgan Stanley ⇒ ISHARES CORE MSCI EMERGING [EF]		05/24/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley ⇒ ISHARES CORE MSCI EMERGING [EF]		03/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES CORE S&P U.S. GROWTH [EF]		03/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES CORE S7P U.S. VALUE [EF]		03/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL 2000 GRWTH ETF [EF]		03/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL 2000 VAULE ETF [EF]		03/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP G ETF [EF]		03/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP V ETF [EF]		03/8/2018	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	US BANK	December 2014	Equity Line - Home	\$15,001 - \$50,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Arkansas Forestry Association
Director	Cooper-Anthony Child Advocacy Center

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2011	Spouse and State of Arkansas	Spouse has an agreement with the State of Arkansas for a pension based on prior employment
May 1992	Bruce Westerman and Mid-South Engineering	continued participation in ESOP and 401K held and managed by former employer, Mid-South Engineering until funds were rolled over in 2018.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- ESOP Plan  
LOCATION: US
- Fisher IRA
- Mid South Engineering PSP 401K
- Morgan Stanley

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Bruce Westerman , 08/12/2019