Filing ID #10026417

Financial Disclosure Report

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Filer Information

Name: Hon. Frank Pallone Jr.
Status: Member
State/District: NJ06

Filing Information

Filing Type: Annual Report
Filing Year: 2018
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
29 Morrell St., Long Branch, NJ (Half Interest) [RP] Location: Long Branch, NJ, US		$250,001 - $500,000	None		
516 Broadway, Long Branch, NJ (Half Interest) [RP] Location: Long Branch, NJ, US		$250,001 - $500,000	None		
517 Broadway, Long Branch, NJ (Half Interest) [RP] Location: Long Branch, NJ, US		$250,001 - $500,000	None		
518 Broadway, Long Branch, NJ (Half Interest) [RP] Location: Long Branch , NJ, US		$250,001 - $500,000	None		
Chase Bank Account, Long Branch, NJ [BA]		$1,001 - $15,000	None		
Congressional Federal Credit Union [BA]	JT	$15,001 - $50,000	None		
DC3 UTMA ⇒ Rose Marie Hospodor IRA Trust [EQ]	DC	$50,001 - $100,000	Excepted/Blind Trust	$5,001 - $15,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DC3 UTMA ⇒ Wells Fargo Way2Save [BA]	DC	$1,001 - $15,000	Interest	$1 - $200	☐
WELLS FARGO ADVISORS ⇒ Wells Fargo - Bank Deposit Sweep [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT] DESCRIPTION: Unit Investment Trust - Equity Trusts	SP	None	Dividends, Return of Capital	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2018-1Q [OT] DESCRIPTION: Unit Investment Trust - Equity Trusts	SP	$50,001 - $100,000	Dividends, Return of Capital	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Altria Group, Inc. (MO) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Chevron Corporation (CVX) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Citigroup, Inc. (C) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Dominion Energy, Inc. (D) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Philip Morris International Inc (PM) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ The Kraft Heinz Company (KHC) [ST]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Verizon Communications Inc. (VZ) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Wells Fargo Bank Deposit Sweep [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE REAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	None	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Emerging Markets Fund CL S (REMSX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	$100,001 - $250,000	Capital Gains, Dividends	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX) [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	$100,001 - $250,000	Capital Gains, Dividends	$15,001 - $50,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT GRADE BD CLASS S (RFATX) [MF]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Financial Select Sector SPDR (XLF) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Industrial Select Sector SPDR (XLI) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STRATEGY NO K-1 (PDBC) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ INVESCO TR II EMERGING MKTS SOVEREIGN DEBT (PCY) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
DESCRIPTION: Formerly PowerShares Emerging Markets Soverign Debt (PCY)					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ) [EF]	SP	None	None		☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays MBS Bond Fund (MBB) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES CORE MSCI EMERGING MARKETS (IEMG) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx $ High Yield Corporate Bond ETF (HYG) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares JP Morgan Emerging Markets Bond Fund (EMB) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES RUSSELL 2000 (IWM) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Short Treasury Bond (SHV) [EF]	SP	$1 - $1,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Tip Bond (TIP) [EF]	SP	None	Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV) [EF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR DJ Wilshire Global Real Estate ETF (RWO) [EF]	SP	None	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P 500 Trust (SPY) [MF]	SP	None	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Financial (XLF) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Industrial (XLI) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD GLOBAL EX-U.S. REAL ESTATE (VNQI) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO) [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD SHORT TERM CORP BD (VCSH) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Total Bond Market (BND) [MF]	SP	None	None		☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Wells Fargo Bank Sweep Account [BA]	SP	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: IRA ⇒ American Funds Washington Mututal FD F2 (WMFFX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ AMG Funds Timesquare Mid-Cap Growth Fund Premier (TQMIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ CARILON SER TR EAGLE SMALL CAP GROWTH FD CL I (HSIIX) [MF] DESCRIPTION: Formerly Eagle Small Cap Growth Fund CL I (HSIIX)	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ DWS Enhanced Commodity Strategy Fund INST (SKIRX) [MF] DESCRIPTION: Formerly Deutsche Secs TR Enhanced Community Strat FD INSTL Class (SKIRX)	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ General Electric Company (GE) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX) [MF]	SP	$1 - $1,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Harbor Fund International Fund Instl (HAINX) [MF]	SP	None	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ MFS SER TR I VALUE FD CL I (MEIIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ MFS SER TR X Ermerging Markets Debt Fund Class I (MEDIX) [EQ]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE INTL FD OVERSEAS STK FD (TROSX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE REAL ESTATE FUND INC (TRREX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
WELLS FARGO ADVISORS: IRA ⇒ Texas Instruments Incorporated (TXN) [ST]	SP	$50,001 - $100,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Unilever PLC (UL) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Victory Sycamore Small Co Opportunities Fund CL I (VSOIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
WELLS FARGO ADVISORS: IRA ⇒ Voya Funds Voya Large CAP Growth Fund Class I (PLCIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: IRA ⇒ Walt Disney Company (DIS) [ST]	SP	$15,001 - $50,000	Tax-Deferred		
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo FDS TR Core Bond Fund Class INST (MBFIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin Cust Dyna Tech CL A (FKDNX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin CUST DYNA TECH CL A (FKDNX) [MF]		$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX) [MF]		$1,001 - $15,000	Tax-Deferred		
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Aberdeen FDS Emerging Markets Fund Institutional Class (ABEMX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ BARON INVT FUNDS TRUST SMALL CAP FUND INSTL SHARES CLASS I (BSFIX) [MF]	DC	$1,001 - $15,000	Capital Gains	$201 - $1,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Dodge & Cox Funds International Stock Fund (DODFX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ DWS Enhanced Commodity Strategy Fund INST (SKIRX) [MF]	DC	$1 - $1,000	Dividends	$1 - $200	
DESCRIPTION: Formerly Deutsche SECS TR Enhanced Commodity STRAT FD INSTL Class (SKIRX)					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	DC	None	Capital Gains	$1,001 - $2,500	☑
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ JP Morgan Core Bond Fund Select (WOBDX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR I VALUE FD CL I (MEIIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I (MEDIX) [MF]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ OAKMARK EQUITY AND FUND ADVISOR CLASS (OAYBX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ T ROWE PRICE INTL FD OVERSEAS STK FD (TROSX) [MF]	DC	$1,001 - $15,000	Capital Gains	$1 - $200	☑
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ T ROWE PRICE REAL ESTATE FUND INC. (TRREX) [MF]	DC	$1 - $1,000	Capital Gains, Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Verizon Communications Inc. (VZ) [ST]	DC	$1,001 - $15,000	Dividends	$1 - $200	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Sycamore Small CO OPPTY FD CL I (VSOIX)	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
[MF]					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Virtus Asset TR CEREDEX MID CAP Value Equity Fund Class I (SMVTX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Virtus Funds Virtus Vontobel EMG MKTS OPPRTNTIES F Class I (HIEMX) [MF]	DC	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☐
Wells Fargo Bank - Checking Account [BA]	SP	$1,001 - $15,000	None		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT] Location: US Description: Unit Investment Trust - Equity Trusts	SP	03/19/2018	S	$100,001 - $250,000	☐
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2018-1Q [OT] Location: US Description: Unit Investment Trust - Equity Trusts	SP	03/22/2018	P	$100,001 - $250,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE REAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	01/12/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE REAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	04/13/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE REAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	07/13/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Emerging Markets Fund CL S (REMSX) [MF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒	SP	04/5/2018	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Russell Emerging Markets Fund CL S (REMSX) [MF]			(partial)		
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	04/5/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX) [MF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	04/5/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	07/26/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	04/5/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	04/5/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	10/12/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	07/13/2018	S (partial)	$954.75	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	07/26/2018	S (partial)	$1,001 - $15,000	✓
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STRATEGY NO K-1 (PDBC) [EF]	SP	09/11/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclay 1-3 Year Credit Bond Fund (CSJ) [EF]	SP	04/4/2018	S	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclys MBS Bond Fund (MBB) [EF]	SP	09/11/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES CORE MSCI EMERGINGMARKETS (IEMG) [EF]	SP	09/11/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 (IVV) [EF]	SP	01/5/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 (IVV) [EF]	SP	10/22/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	09/11/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	04/4/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares JP MorganEmerging Markets Bond Fund (EMB) [EF]	SP	06/15/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES RUSSELL 2000 (IWM) [EF]	SP	06/15/2018	S (partial)	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES RUSSELL 2000 (IWM) [EF]	SP	01/5/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Short Treasury Bond (SHV) [EF]	SP	09/11/2018	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Tip Bond (TIP) [EF]	SP	09/11/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY) [EF]	SP	09/11/2018	S (partial)	$932.58	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health CareFund (XLV) [EF]	SP	09/11/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR DJ Wilshire Global Real Estate ETF (RWO) [EF]	SP	06/15/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P 500 Trust (SPY) [EF]	SP	06/15/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P 500 Trust (SPY) [EF]	SP	09/11/2018	S	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	09/11/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD GLOBAL EX-U.S. REAL ESTATE (VNQI) [EF]	SP	06/15/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD GLOBAL EX-U.S. REAL ESTATE (VNQI) [EF]	SP	10/22/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [EF]	SP	01/5/2018	S (partial)	$1,001 - $15,000	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [EF]	SP	09/11/2018	S (partial)	$999.51	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [EF]	SP	10/22/2018	S (partial)	$754.11	☑
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intermediate-Term Corporate Bond (VCIT) [EF]	SP	04/4/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [EF]	SP	06/15/2018	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [EF]	SP	10/22/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD SHORT TERM CORP BD (VCSH) [EF]	SP	04/4/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD SHORT TERM CORP BD (VCSH) [EF]	SP	09/11/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ VANGUARD SHORT TERM CORP BD (VCSH) [EF]	SP	06/15/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Total Bond Market (BND) [EF]	SP	01/5/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	SP	08/15/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX) [MF]	SP	02/6/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX) [MF]	SP	02/6/2018	S (partial)	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE INTL FD OVERSEAS STK FD (TROSX) [MF]	SP	08/15/2018	P	$15,001 - $50,000	
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE REAL ESTATE FUND INC (TRREX) [MF]	SP	02/6/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo FDS TR Core Bond Fund Class INST (MBFIX) [MF]	SP	02/6/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: ROTH IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX) [MF]	SP	02/6/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: ROTH IRA ⇒ Harbor Fund International Fund Instl (HAINX) [MF]	SP	08/15/2018	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	DC	08/15/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	DC	08/15/2018	S	$1,001 - $15,000	☐
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ T ROWE PRICE INTL FD OVERSEAS STK FD (TROSX) [MF]	DC	08/15/2018	P	$1,001 - $15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ T ROWE PRICE INTL FD OVERSEAS STK FD (TROSX) [MF]	DC	08/15/2018	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Oceans Cove	Sales Income (SP)	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citi Mortgage Inc., San Antonio, TX	November 2016	Mortgage on Real Estate, Washington, DC	$100,001 - $250,000
JT	TB Bank, Washington, DC	May 2018	Home Equity Loan on Real Estate, Washington, DC	$250,001 - $500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	Mortgage on Real Estate, Long Branch, NJ	$15,001 - $50,000
SP	Citi Master Card	December 2016	Revolving Credit	$15,001 - $50,000
	Congressional Federal Credit Union	August 2018	Revolving Credit	$10,000 - $15,000
	CitiBank, St. Louis, MO	February 2008	Home Equity Loan on Real Estate, Washington, DC	$250,001 - $500,000

Schedule E: Positions

Position	Name of Organization
Officer, Director	F & J Realty, LLC

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- DC3 UTMA (Owner: DC)
- WELLS FARGO ADVISORS (Owner: JT)
  Location: US
- WELLS FARGO ADVISORS: GST TRUST 1 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 3 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 2 (Owner: SP)
- WELLS FARGO ADVISORS: IRA (Owner: SP)
- WELLS FARGO ADVISORS: ROTH IRA
- WELLS FARGO ADVISORS: ROTH IRA (Owner: SP)
- WELLS FARGO ADVISORS: UMTA NJ (3) (Owner: DC)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

◉ Yes ○ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Frank Pallone Jr., 05/14/2019