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Financial Disclosure Report

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Filer Information

Name: Hon. Richard W. Allen
Status: Member
State/District: GA12

Filing Information

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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
1015 Broad Street LLC [RP] Location: Augusta, GA, US		$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	✓
Bank of America Corporation (BAC) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	
Charles Schwab IRA ⇒ 3M Company (MMM) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Charles Schwab IRA ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Charles Schwab IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$15,001 - $50,000	Tax-Deferred		✓
Charles Schwab IRA ⇒ Amgen Inc. (AMGN) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Charles Schwab IRA ⇒ BB&T Corporation (BBT) [ST]	SP	None	Tax-Deferred		✓
Charles Schwab IRA ⇒	SP	$1,001 - $15,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Carnival Corporation (CCL) [ST]					
Charles Schwab IRA ⇒ Charles Schwab US MC [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Cintas Corporation (CTAS) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Dominion Energy, Inc. (D) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ FedEx Corporation (FDX) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Intuit Inc. (INTU) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Marriott International - Class A (MAR) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Morgan Stanley Bank 3%21 [BA]		$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Paychex, Inc. (PAYX) [ST]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Putnam Short Duration [MF]		None	Tax-Deferred		☑
Charles Schwab IRA ⇒ Putnam Short Duration [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Charles Schwab IRA ⇒ Schwab Emerging Markets Equity ETF (SCHE) [ST]		$1,001 - $15,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Schwab International Equity ETF (SCHF) [ST]		$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Schwab Money Market (SchwabCash) [BA]	SP	$1,001 - $15,000	Tax-Deferred		☐
Charles Schwab IRA ⇒ Schwab Money Market (SchwabCash) [BA]		$1,001 - $15,000	Tax-Deferred		☐
Charles Schwab IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]		$100,001 - $250,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [ST]		$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [ST]		$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Schwab US Dividend [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]		$50,001 - $100,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Technology Select Sector [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ United Technologies Corporation (UTX) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ US Treasury Bill19 [GS]	SP	$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ US Treasury Bill19 [GS]		$15,001 - $50,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Verizon Communications Inc. (VZ) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Charles Schwab IRA ⇒ Walmart Inc. (WMT) [ST]	SP	None	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Charles Schwab IRA ⇒ Walt Disney Company (DIS) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Greenway Messenger LLC, 5% Interest [HE]	JT	$100,001 - $250,000	None		☐
DESCRIPTION: Investment Company formed for the purpose of investing in Isommune LLC a Delaware company; Greenway messenger is based in Bowdon, GA					
Inherited IRA - 2016 ⇒ AB Funds AB Income Fund Advisor Class Share ACGYX [MF]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ Allianzgi NFJ David & Int Prem Strategy Fund NFJ [MF]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ American Capital, Ltd. (ACAS) [ST]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ American Express 1.4 [BA]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ Bank of America 7.25% Series L Convertible 12/31/49 BAC'L [AB]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ Blackrock Enhanced Capital and Income FD CII [MF]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ Deutsche Bank 805% PFD Cont Cap TR V [AB]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ Eaon Vance Enhanced Enhanced Income Fund EOI [MF]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ Eaon Vance Enhanced Equity Income Fund II EOS [MF]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒ Eaon Vance Tax-Managed Global Diversified Equity Inc EXG [MF]	SP	None	Tax-Deferred		☑
Inherited IRA - 2016 ⇒	SP	None	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Exxon Mobil Corporation (XOM) [ST]					
Inherited IRA - 2016 ⇒ GDL Fund Common Shares Ben Int GDI [MF]	SP	None	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ General Elec Cap Corp Medium Term Internotes [AB]	SP	None	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Guggenheim Enhanced Eqty Strategy Fund GGE [MF]	SP	None	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Income Fund Amer Inc Class A AMECX [MF]	SP	None	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Investment Co America Clas A AIVSX [MF]	SP	None	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Nuveen S&P 500 Buy-Write Inc BXMX [MF]	SP	None	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Realty Income Corp [EF]	SP	None	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Capital One Bank 1.5% [BA]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab International Equity ETF (SCHF) [ST]	SP	$15,001 - $50,000	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]	SP	$50,001 - $100,000	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab U.S. Mid Cap ETF (SCHM) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]	SP	$50,001 - $100,000	Tax-Deferred		✓
Inherited IRA - 2016 ⇒ Charles Schwab IRA	SP	$1,001 - $15,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Investment Account ⇒ Wells Farge & Co 3.1% [BA]					
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Wells Fargo & CO 1.6% [BA]	SP	$1,001 - $15,000	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ AQMIX AQR MANAGED FUTURES STRAGEDY CL Ir [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ Atlas Enhanced Access Fund LP Class A [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ Columbia Marisco Focused Equity Class A [MF]	SP	None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ DBLTX DFA DOUBLELINE TOTAL RETURN BOND CL [CS] Description: IRA		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ DFCEX DFA EMERGING MARKETS CORE EQUITY [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ DFIEX DFA INTL CORE EQUITY CL Ir [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ DFQTX DFA U S CORE EQUITY 2 INSTL CL r [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ Large US Equity [MF]	SP	None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ PONPX PIMPCO INCOME CL Ir [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ Prin Fin Gp Inc Stk SEP Acct [MF]	SP	None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ QCELX AQR LARGE CAP MULTI STYLE CL Ir [MF]		None	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LPL Financial Retirement Account ⇒ QSMLX AQR SMALL CAP MULTI STYLE CL Ir [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ QSPIX AQR STYLE PREMIA ALTERNATIVE CL Ir [MF]		None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ Short Term Fixed Income [MF]	SP	None	Tax-Deferred		☑
LPL Financial Retirement Account ⇒ Small/Mid US Equity [MF]	SP	None	Tax-Deferred		☑
Pershing Advisor Solutions LLC ⇒ Pershing Government Account [GS]		$100,001 - $250,000	Tax-Deferred		☑
Personal Home in DC [RP] Location: Washington, DC, US		$1,000,001 - $5,000,000	None		☐
RAWA Leasing Equipment [RP] Location: Augusta, GA, US		$100,001 - $250,000	Rent	$50,001 - $100,000	☐
RW Allen & Associates INC, 100% Interest [OL] Location: Augusta, GA, US Description: Salary; Distribution;	SP	$5,000,001 - $25,000,000	Distribution; Salary;	$100,001 - $1,000,000	☐
RW Allen Construction LLC, 20% Interest [OL] Location: Augusta, GA, US Description: Salary; Distribution;	SP	$5,000,001 - $25,000,000	Salary; Distribution;	$100,001 - $1,000,000	☐
RW Allen LLC, 40% Interest [OL] Location: Augusta, GA, US Description: No Income for 2018	SP	$5,000,001 - $25,000,000	None		☐
South State Bank [BA]	JT	$100,001 - $250,000	Interest	$1 - $200	☐
Synovus Financial Corp. (SNV) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Total System Services, Inc. (TSS) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Wells Fargo Bank [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
1015 Broad Street LLC [RP] Location: Augusta, GA, US Description: Rental Property; 100% Ownership;		Monthly	E	$1,001 - $15,000	
Charles Schwab IRA ⇒ 3M Company (MMM) [ST]	SP	01/10/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	01/10/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Amgen Inc. (AMGN) [ST]	SP	01/10/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ BB&T Corporation (BBT) [ST]	SP	04/27/2018	S	$15,001 - $50,000	☑
Charles Schwab IRA ⇒ Carnival Corporation (CCL) [ST]	SP	01/29/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Charles Cshwab US MC [EF]	SP	01/10/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Cintas Corporation (CTAS) [ST]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒	SP	01/10/2018	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Costco Wholesale Corporation (COST) [ST]					
Charles Schwab IRA ⇒ Dominion Energy, Inc. (D) [ST]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ FedEx Corporation (FDX) [ST]	SP	01/10/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Intuit Inc. (INTU) [ST]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	01/10/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Marriott International - Class A (MAR) [ST]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Morgan Stanley Bank 3%21 [BA]		05/29/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Paychex, Inc. (PAYX) [ST]	SP	05/23/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Putnam Short Duration [MF]	SP	04/27/2018	P	$50,001 - $100,000	
Charles Schwab IRA ⇒ Putnam Short Duration [MF]		02/27/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Putnam Short Duration [MF]		08/21/2018	S	$15,001 - $50,000	☐
Charles Schwab IRA ⇒ Schwab Emerging Markets Equity ETF (SCHE) [ST]		02/14/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Schwab International Equity ETF (SCHF) [ST]		02/7/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]		01/29/2018	P	$100,001 - $250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Charles Schwab IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [ST]		02/8/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [ST]		02/14/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Schwab US Dividend [EF]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]		01/29/2018	P	$50,001 - $100,000	
Charles Schwab IRA ⇒ Technology Select Sector [EF]	SP	01/10/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ United Technologies Corporation (UTX) [ST]	SP	01/10/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ US Treasury Bill19 [GS]	SP	08/21/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ US Treasury Bill19 [GS]		08/21/2018	P	$15,001 - $50,000	
Charles Schwab IRA ⇒ Verizon Communications Inc. (VZ) [ST]	SP	01/29/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Walmart Inc. (WMT) [ST]	SP	05/23/2018	S	$1,001 - $15,000	☐
Charles Schwab IRA ⇒ Walmart Inc. (WMT) [ST]	SP	01/25/2018	P	$1,001 - $15,000	
Charles Schwab IRA ⇒ Walt Disney Company (DIS) [ST]	SP	01/10/2018	P	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ AB Funds AB Income Fund Advisor Class Share ACGYX [MF]	SP	01/3/2018	S	$1,001 - $15,000	☐
Inherited IRA - 2016 ⇒ Allianzgi NFJ David Int & Prem Strategy Fund NFJ [MF]	SP	01/10/2018	S	$1,001 - $15,000	☐
Inherited IRA - 2016 ⇒ American Capital, Ltd. (ACAS) [ST]	SP	01/10/2018	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited IRA - 2016 ⇒ American Express 1.4 [BA]	SP	12/3/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Bank of America 7.25% Series L Convertible 12/31/49 BAC'L [AB]	SP	01/10/2018	S	$15,001 - $50,000	
Inherited IRA - 2016 ⇒ Blackrock Enhanced Capital and Income FD CII [MF]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Deutsche Bank 805% PFD Cont Cap TR V [AB]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Eaon Vance Enhanced Enhanced Income Fund EOI [MF]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Eaon Vance Enhanced Equity Income Fund II EOS [MF]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Eaon Vance Tax-Managed Global Diversified Equity Inc EXG [MF]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ GDL Fund Common Shares Ben Int GDI [MF]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ General Elec Cap Corp Medium Term Internotes [AB]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Guggenheim Enhanced Eqty Strategy Fund GGE [MF]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Income Fund Amer Inc Class A AMECX [MF]	SP	01/10/2018	S	$50,001 - $100,000	
Inherited IRA - 2016 ⇒ Investment Co America Clas A AIVSX [MF]	SP	01/10/2018	S	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Nuveen S&P 500 Buy-Write Inc BXMX [MF]	SP	01/10/2018	S	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited IRA - 2016 ⇒ Realty Income Corp [EF]	SP	01/10/2018	S	$1,001 - $15,000	☐
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Capital One Bank 1.5% [BA]	SP	11/25/2018	P	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab International Equity ETF (SCHF) [ST]	SP	05/23/2018	P	$15,001 - $50,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]	SP	01/10/2018	P	$50,001 - $100,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab U.S. Mid Cap ETF (SCHM) [ST]	SP	01/23/2018	P	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]	SP	01/10/2018	P	$50,001 - $100,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Wells Fargo & Co 1.6% [BA]	SP	03/29/2018	P	$1,001 - $15,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Wells Fargo & Co 3.1% [BA]	SP	12/4/2018	P	$1,001 - $15,000	
LPL Financial Retirement Account ⇒ AQMIX AQR MANAGED FUTURES STRATEGY CL Ir [MF]		01/29/2018	S	$15,001 - $50,000	☐
LPL Financial Retirement Account ⇒ Atlas Enhanced Access fund LP Class A [MF]		08/1/2018	S	$100,001 - $250,000	☑
LPL Financial Retirement Account ⇒ Columbia Marisco Focused Equity Class A [MF]	SP	01/10/2018	S	$1,001 - $15,000	☐
LPL Financial Retirement Account ⇒ DBLTX DFA DOUBLELINE TOTAL RETURN BOND CL [CS] Description: IRA		01/29/2018	S	$50,001 - $100,000	☐
LPL Financial Retirement Account ⇒		02/6/2018	S	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DFCEX DFA EMERGING MARKETS CORE EQUITY [MF]					
LPL Financial Retirement Account ⇒ DFIEX DFA INTL CORE EQUITY CL Ir [MF]		02/12/2018	S	$15,001 - $50,000	☐
LPL Financial Retirement Account ⇒ DFQTX DFA U S CORE EQUITY 2 INSTL CL r [MF]		02/23/2018	S	$50,001 - $100,000	☑
LPL Financial Retirement Account ⇒ Large US Equity [MF]	SP	01/10/2018	S	$1,001 - $15,000	☐
LPL Financial Retirement Account ⇒ PONPX PIMPCO INCOME CL Ir [MF]		01/29/2018	S	$50,001 - $100,000	☐
LPL Financial Retirement Account ⇒ Prin Fin Gp Inc Stk SEP Acct [MF]	SP	01/10/2018	S	$1,001 - $15,000	☐
LPL Financial Retirement Account ⇒ QCELX AQR LARGE CAP MULTI STYLE CL Ir [MF]		02/2/2018	S	$50,001 - $100,000	☑
LPL Financial Retirement Account ⇒ QSMLX AQR SMALL CAP MULTI STYLE CL Ir [MF]		02/6/2018	S	$15,001 - $50,000	☑
LPL Financial Retirement Account ⇒ QSPIX AQR STYLE PREMIA ALTERNATIVE CL Ir [MF]		01/29/2018	S	$50,001 - $100,000	☑
LPL Financial Retirement Account ⇒ Short Term Fixed Income [MF]	SP	01/10/2018	S	$1,001 - $15,000	☐
LPL Financial Retirement Account ⇒ Small/Mid US Equity [MF]	SP	01/10/2018	S	$1,001 - $15,000	☐
Pershing Advisor Solutions LLC ⇒ Pershing Government Account [MF]		08/1/2018	P	$100,001 - $250,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
RW Allen & Associates	Spouse	N/A
RW Allen Construction LLC	Spouse	N/A

Source	Type	Amount
Inherited IRA	Spouse	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo, Augusta, Ga	10/2010	Mortgage on Primary Residence, Augusta, Ga	$100,001 - $250,000
	South State Bank Augusta, GA	12/2014	Mortgage on Secondary Residence, Washington, D.C.	$500,001 - $1,000,000
	South State Bank Augusta, GA	11/2008	Mortgage on Commercial Rental Property, Broad Street, Augusta, GA	$50,001 - $100,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Charles Schwab IRA (Owner: SP)
- Charles Schwab IRA
- Inherited IRA - 2016 (Owner: SP)
- Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account (Owner: SP)
- LPL Financial Retirement Account
- LPL Financial Retirement Account (Owner: SP)
- Pershing Advisor Solutions LLC
  Description: Money Market Fund

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Richard W. Allen , 05/15/2019