Filing ID #10026091

Financial Disclosure Report

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Filer Information

Name: Hon. Diana DeGette
Status: Member
State/District: CO01

Filing Information

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 08/2/2019

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Charles Schwab Bank [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	✓
Comments: This is a money market fund.					
DeGette IRA ⇒ Charles Schwab Bank [BA]		$1,001 - $15,000	Tax-Deferred		✓
Comments: This is a money market fund.					
DeGette IRA ⇒ DFA Emerging Markets Core Equity [MF]		$1,001 - $15,000	Tax-Deferred		
DeGette IRA ⇒ DFA Global Real Estate Securities [MF]		$1,001 - $15,000	Tax-Deferred		✓
DeGette IRA ⇒ DFA International Core Equity [MF]		$1,001 - $15,000	Tax-Deferred		
DeGette IRA ⇒ DFA US Core Equity 1 [MF]		$15,001 - $50,000	Tax-Deferred		✓
DeGette IRA ⇒ iShares Core US Aggregate Bond EFT [MF]		$15,001 - $50,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Equity interest in Dentons US LLP [OL] Location: Denver, CO, US Description: Spouse's equity interest in his law firm.	SP	$15,001 - $50,000	Partnership draw and distributions.	Not Applicable	☐
Spouse IRA ⇒ Charles Schwab Bank [BA] Description: This is a money market fund.	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA Emerging Markets Core Equity [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Spouse IRA ⇒ DFA Global Real Estate Securities [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Spouse IRA ⇒ DFA International Core Equity [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA International Small Co. [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA Two-Year Global Fixed [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA US Large Cap Value [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Spouse IRA ⇒ DFA US Small Cap Value [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse IRA ⇒ IShares Core S&P 500 Index [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse IRA ⇒ iShares Core US Aggregate Bond EFT [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse IRA ⇒ Vanguard Inflation Protected Securities [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Spouse IRA ⇒ Vanguard Short-Term Investment Grade [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse IRA ⇒ Vanguard Small Cap ETF [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Vanguard Small Cap ETF [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Wedge Mid Cap Value [MF]	SP	$100,001 - $250,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account ⇒ Dentons US LLP Cash Balance Plan [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ AM Funds EuroPacific Growth [MF]	SP	$100,001 - $250,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Charles Schwab Bank [BA] COMMENTS: This is a money market fund.	SP	$15,001 - $50,000	Tax-Deferred		
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Emerging Markets Core Equity [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Global Real Estate Securities [MF]	SP	$15,001 - $50,000	Tax-Deferred		
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA International Core Equity [MF]	SP	$50,001 - $100,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA International Small Co. [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA Two-Year Global Fixed [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA US Large Cap Value [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ DFA US Small Cap Value [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ iShares Core S&P 500 Index [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ iShares Core US Aggregate Bond EFT [MF]	SP	$100,001 - $250,000	Tax-Deferred		☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Metropolitan West Total Return Bond I [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard 500 Index Admiral [MF]	SP	$250,001 - $500,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard Inflation Protected Securities [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Vanguard Short-Term Investment Grade [MF]	SP	$50,001 - $100,000	Tax-Deferred		☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account ⇒ Wells Fargo Stable Return Fund [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
TIAA-CREF Intelligent Life VUL ⇒ DFA VA Global Bond Portfolio [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA International Small Portfolio [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA International Value Portfolio [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA Short-Term Fixed Income [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA US Large Value Portfolio [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ DFA VA US Targeted Value [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
TIAA-CREF Intelligent Life VUL ⇒ Templeton Developing Markets Securities Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Fixed Rate Account [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Life International Equity Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TIAA-CREF Intelligent Life VUL ⇒ TIAA-CREF Life Stock Index Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wells Fargo Bank West, N.A. [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DeGette IRA ⇒ DFA Emerging Markets Core Equity [MF]		06/5/2018	P	$1,001 - $15,000	
DeGette IRA ⇒ DFA Global Real Estate Securities [MF]		06/5/2018	P	$1,001 - $15,000	
DeGette IRA ⇒ DFA International Small Co. [MF]		06/4/2018	S	$1,001 - $15,000	☐
DeGette IRA ⇒ DFA US Core Equity 1 [MF]		06/5/2018	P	$1,001 - $15,000	
DeGette IRA ⇒ DFA US Targeted Value [MF]		06/4/2018	S	$1,001 - $15,000	☐
DeGette IRA ⇒ iShares Core US Aggregate Bond EFT [MF]		06/5/2018	P	$1,001 - $15,000	
Spouse IRA ⇒ DFA Emerging Markets Core Equity [MF]	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse IRA ⇒ DFA International Core Equity [MF]	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐
Spouse IRA ⇒ DFA International Small Co. [MF]	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐
Spouse IRA ⇒ DFA Two Year Global Fixed [MF]	SP	06/5/2018	P	$15,001 - $50,000	
Spouse IRA ⇒ DFA US Large Cap Value [MF]	SP	06/4/2018	S (partial)	$15,001 - $50,000	☐
Spouse IRA ⇒ DFA US Small Cap Value [MF]	SP	06/4/2018	S (partial)	$15,001 - $50,000	☐
Spouse IRA ⇒ iShares Core S&P 500 Index [MF]	SP	06/5/2018	P	$15,001 - $50,000	
Spouse IRA ⇒ iShares Core US Aggregate Bond ETF [MF]	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐
Spouse IRA ⇒ Vanguard Short Term Investment Grade [MF]	SP	06/5/2018	P	$15,001 - $50,000	
Spouse IRA ⇒ Vanguard Small Cap ETF [MF]	SP	06/4/2018	S (partial)	$15,001 - $50,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ AM Funds EuroPacific Growth [MF]	SP	02/7/2018	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Artisan Mid Cap Value Investor [MF]	SP	02/7/2018	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Artisan Mid Cap Value Investor [MF]	SP	02/21/2018	S	$100,001 - $250,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA Emerging Markets Core Equity [MF]	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DFA International Core Equity [MF]					
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA International Small Co. [MF]	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA Two Year Global Fixed [MF]	SP	06/5/2018	P	$15,001 - $50,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA US Large Cap Value [MF]	SP	06/4/2018	S (partial)	$15,001 - $50,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ DFA US Small Cap Value [MF]	SP	06/4/2018	S (partial)	$1,001 - $15,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ iShares Core S&P 500 Index [MF]	SP	06/5/2018	P	$15,001 - $50,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Metropolitan West Total Return Bond I [MF]	SP	02/7/2018	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Vanguard 500 Index Admiral [MF]	SP	02/7/2018	P	$1,001 - $15,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Vanguard Short Term Investment Grade [MF]	SP	06/5/2018	P	$15,001 - $50,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Vanguard Small Cap ETF [MF]	SP	06/4/2018	S (partial)	$15,001 - $50,000	☐
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Wedge Mid Cap Value [MF]	SP	02/22/2018	P	$100,001 - $250,000	
Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K ⇒ Wells Fargo Stable Return Fund [MF]	SP	02/7/2018	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account ⇒ Dentons US LLP Cash Balance Plan [MF]	SP	01/31/2018	P	$15,001 - $50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Dentons US LLP	Spouse income from his law firm	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Home Mortgage	May 2017	Mortgage on Denver home	$250,001 - $500,000
JT	Wells Fargo Home Mortgage	January 2015	Mortgage on D.C. townhouse	$250,001 - $500,000
JT	GreenSky	October 2018	Payments for new energy-efficient furnace/AC system	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
U.S. Association of Former Members of Congress	02/17/2018	02/25/2018	Washington, D.C. - Tokyo - Sapporo - Washington, D.C.	1	✓	✓	

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
Aspen Institute Congressional Program	08/15/2018	08/19/2018	Portland, OR - Vancouver - Denver	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- DeGette IRA
  Description: Diana DeGette's IRA
- Spouse IRA (Owner: SP)
  Description: Lino Lipinsky's IRA
- Spouse's Law Firm Retirement Accounts (Owner: SP)
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's 401K (Owner: SP)
  Description: Spouse's 401K
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's Cash Balance Account (Owner: SP)
- Spouse's Law Firm Retirement Accounts ⇒ Spouse's Profit-Sharing Account (Owner: SP)
  Description: Spouse's Profit-Sharing Account
- TIAA-CREF Intelligent Life VUL (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ● No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ● No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ● No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Diana DeGette , 08/2/2019