Filing ID #10024979

Financial Disclosure Report

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Filer Information

Name: Sean Colin Frame
Status: Congressional Candidate
State/District: CA04

Filing Information

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 01/1/2020
Period Covered: 01/01/2017– 12/31/2018

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
529 Mutual Funds ⇒ Agressive Track Equity [5P] Location: CA Description: Funds are split between this and Aggressive track Index for Everet's 529		$15,001 - $50,000	Tax-Deferred		
529 Mutual Funds ⇒ Agressive Track Equity Index [5P] Location: CA Description: Funds are split between this and Aggressive track for Everet's 529		$15,001 - $50,000	Tax-Deferred		
529 Mutual Funds ⇒ Short Term Index [5P] Location: CA		$15,001 - $50,000	Tax-Deferred		
529 Mutual Funds ⇒ Short Term Portfolio [5P] Location: CA Description: Funds split between "Short Term Portfolio" and "Short Term Index" by Charles Schwab (Fund Manager)		$15,001 - $50,000	Tax-Deferred		
Adtalem Global Education Inc. (ATGE) [ST]	SP	$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Adtalem Global Education Inc. (ATGE) [ST]	SP	$1,001 - $15,000	None		
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Baird Core Plus Inst [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Dodge & Cox Balanced [MF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	$201 - $1,000
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Fidelity 500 Index [MF]	SP	$15,001 - $50,000	Dividends	$2,501 - $5,000	$2,501 - $5,000
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Prudential Income [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN ⇒ Vanguard MidCap Index [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
American Fundamental INV A [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
American New Perspective [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Bank of America Checking [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	$1 - $200
Bank of America Savings [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	$1 - $200
Chase Business Checking [BA] DESCRIPTION: Business Checking Account		$1,001 - $15,000	None		
Ellyn's Rollover IRA ⇒ Fidelity Contrafund [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Ellyn's Rollover IRA ⇒ Fidelity Mid Cap Stock [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Ellyn's Rollover IRA ⇒ Fidelity Telecom and Utilities Fund [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ellyn's Rollover IRA ⇒ Putnum International Capital Opportunities Cl A [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Ellyn's Rollover IRA ⇒ Putnum International Value Fund Class A [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
General Electric Company (GE) [ST]	SP	$1 - $1,000	Dividends	$1 - $200	$1 - $200
Hartford Schroders US: SM: SCUX [MF]	DC	$1,001 - $15,000	Dividends	$1,001 - $2,500	$1,001 - $2,500
Description: One of two mutual funds held for Everet's college expenses.					
Marriott 401k Plan ⇒ Balanced Fund [MF]	SP	$1,001 - $15,000	None		
Marriott 401k Plan ⇒ Dodge & Cox Stock Portfolio [MF]	SP	$1,001 - $15,000	None		
Marriott 401k Plan ⇒ NT Collective S&P 500 Index [MF]	SP	$1,001 - $15,000	None		
Marriott 401k Plan ⇒ T. Rowe Price Mid-Cap Growth [MF]	SP	$1,001 - $15,000	None		
Merck & Company, Inc. Common Stock (MRK) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Microsoft Corporation (MSFT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	$201 - $1,000
Mutual Fund held in SEP IRA ⇒ ALGER SPECTRA FUND CL A : SPECX [MF]		$1,001 - $15,000	Tax-Deferred		
Mutual Fund held in SEP IRA ⇒ BECKER VALUE EQUITY FUND: BVEFX [MF]		$1,001 - $15,000	Tax-Deferred		
Mutual Fund held in SEP IRA ⇒ DRIEHAUS EMRG MKTS GWTH: DREGX [MF]		$1,001 - $15,000	Tax-Deferred		
Mutual Fund held in SEP IRA ⇒ VANGUARD WELLESLEY ? INCOME FD INVESTOR SHARE: VWINX [MF]		$1,001 - $15,000	Tax-Deferred		
Schwab Brokerage Sweep Account [BA]		$15,001 - $50,000	Interest	$1 - $200	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Schwab Regular IRA ⇒ Schwab IRA Sweep [BA]		$1,001 - $15,000	Interest	$1 - $200	$1 - $200
Schwab ROTH IRA ⇒ Oracle Corporation (ORCL) [ST]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Schwab ROTH IRA ⇒ Schwab ROTH IRA Sweep [BA]		$1,001 - $15,000	Interest	$1 - $200	$1 - $200
Sempra Energy (SRE) [ST]	SP	$1 - $1,000	Dividends	$1 - $200	$1 - $200
SEP IRA Bank Sweep [BA]		$1 - $1,000	Interest	$1 - $200	$1 - $200
T Rowe Price Growth Stock FD INV:PRGFX [MF]	DC	$1,001 - $15,000	Dividends	$201 - $1,000	$1,001 - $2,500
Description: This is the other mutual fund held in a custodial account for Everet.					
Wells Fargo & Company (WFC) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
El Dorado County Office of Education	Salary	$18,944.00	$18,944.00
Rescue Union School District	Spouse Income	N/A	N/A
System One Holdings	Contract Salary	N/A	$31,250.00
Charles Schwab	SEP Distribution	N/A	$15,365.00
Placerville Union Schoo District	School Board Stpend	$2,640.00	$2,640.00
Madrona Vineyards	Spouse Income	N/A	N/A
Frame by Frame Productions	Sole Proprietorship Business	$89,816.00	$89,816.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Small Business Administration	March 2012	Small Business Loan	$50,001 - $100,000
	Wells Fargo	March 2012	Small Business Line of Credit	$10,000 - $15,000
	Bank of America	Revolving	Credi Card	$10,000 - $15,000
	Discover Card	Revolving	Credi Card	$10,000 - $15,000
	Citibank	Revolving	Credi Card	$10,000 - $15,000
	Citibank	Revolving	Credi Card	$10,000 - $15,000
	American Express	Revolving	Business Credit Card	$15,001 - $50,000
	Schools Credit Union	Revolving	Credit Line	$10,000 - $15,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

Source (Name and Address)	Brief Description of Duties
Blue Mountain Enterprises (Vacaville, CA, US)	Video Production Services
Gaea Global Technologies, Inc (San Francisco, CA, US)	Video Production Services
LifeSci Public Relations (New York, NY, US)	Video Production Services
Sacramento County Office of Education (Sacramento, CA, US)	Video Production Services
Sierra Forward (Roseville, CA, US)	Communications Consulting

Schedule A Asset Class Details

- 529 Mutual Funds (Owner: DC)
  Location: CA
- ADTALEM GLOBAL EDUCATION, INC. RETIREMENT PLAN (Owner: SP)
- Ellyn's Rollover IRA (Owner: SP)
- Marriott 401k Plan (Owner: SP)

- Mutual Fund held in SEP IRA
  Description: These are the mutual funds in my retirement account.
- Schwab Regular IRA
- Schwab ROTH IRA

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sean Colin Frame , 01/1/2020