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Page 1 of 1

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(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES

**UNITED STATES HOUSE OF REPRESENTATIVES  
2017 FINANCIAL DISCLOSURE STATEMENT**

**Form A**  
For Use by Members, Officers, and Employees

Name: Vicente Gonzalez Daytime Telephone: (202) 225-2531

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

<b>FILER STATUS</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Texas</u> District: <u>15</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
<b>REPORT TYPE</b>	<input checked="" type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A.</b> Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F.</b> Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>B.</b> Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>G.</b> Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>C.</b> Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>H.</b> Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>D.</b> Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>I.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>E.</b> Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>IPO</b> - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Vicente Gonzalez

Page 2 of 11

BLOCK A			BLOCK B													BLOCK C							BLOCK D												BLOCK E	
Assets and/or Income Sources			Value of Asset													Type of Income							Amount of Income												Transaction	
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p> <p>Column M is for assets held by your spouse or dependent child in which you have no interest.</p>													<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.</p>							<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p> <p>Column XII is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.</p> <p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p> <p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>	
SP, DC, JT	EIF		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with income over \$1,000,000*	
		Examples																																		
		Simon & Schuster																																		
		ABC Hedge Fund	X																																	
		Everbank																																		
		Bank of America																																		
		Royal Bank of Canada																																		
		Bank of China																																		
		Edward Jones																																		

Page 3 of 11

**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Vicente Gonzalez

Page 4 of 11

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income													BLOCK E Transaction							
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	NONE	P	Q	R	S	T	U	V	W	X	Y	Z	AA	AB	AC	AD	AE	SP, DC, JT		
	Spouse Bank																																									
	Bank of America																																									
	V. Gonzalez Law Firm																																									
	-Houston, Texas																																									
	Don Collection																																									
	Rental Properties (5)																																									

# SCHEDULE B - TRANSACTIONS

Name:

Vicente Gonzalez

Page

5 of 11

SP, DC, JT		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction										
SP	Example	Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K
	Example Mega Corp. Stock			X		X	3/9/17		X									
	Villas Martin (Cancun, Mexico)		X				9/2017				X							
	Condo																	
	Up Mountain (San Antonio, TX)		X				8/2017			X								
	Long Island Village Property (Fort Worth, TX)			X			8/2017											
	4-plex Apartments	X					9/2017				X							
	Apartment Complex (Nashville, TX)																	

## Page 6 of 11

**INCOME LIMITS and PROHIBITED INCOME:** The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

**Use additional sheets if more space is required.**

# **SCHEDULE D - LIABILITIES**

Name:

Vicente Gonzalez

Page 7 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
	Master Card	12/2017	Revolving Credit		X									

## **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Duren & Dreda	V. Gonzalez & Assoc. P.C.
Duren & Dreda	Terrant Services LLC
Duren & Dreda	United Colonial LLC
Duren & Dreda	107th Street Suites LLC
Duren & Dreda	210 Grande Colonial LLC
Duren & Dreda	Rechtsanwalt Farm LLC

8 of 11

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (F.G.D.A., 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]



Name: Vicente Gonzalez Page 9 of 11

[illegible]

# **SCHEDULE F -- AGREEMENTS**

Name:

*Vicente Gonzalez*

Page 10 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

# **SCHEDULE G -- GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual; local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
<i>Example:</i> Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400

Name: Vicente Gonzalez Page 11 of 11

[illegible]

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VICENTE GONZALEZ

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### Account Agreement Changes

Please see the "Financial Focus" newsletter included with your statement for important information regarding changes to your account agreement(s).

## Simplified Employee Pension - Guided Solutions Flex Account

**Custodian: Edward D. Jones & Company**

**Portfolio Objective - Account: Balanced Toward Growth**

For more information about the Guided Solutions program go to [www.edwardjones.com/advisorybrochures](http://www.edwardjones.com/advisorybrochures).

### Account Value

**\$316,577.00**

<b>1 Month Ago</b>	\$312,294.78
<b>1 Year Ago</b>	\$227,843.41
<b>3 Years Ago</b>	\$113,429.86
<b>5 Years Ago</b>	\$49,609.06

### Value Summary

	<b>This Period</b>	<b>This Year</b>
Beginning value	\$312,294.78	\$227,843.41
Assets added to account	0.00	54,000.00
Assets withdrawn from account	0.00	0.00
Fees and charges	-303.65	-2,889.41
Change in value	4,585.87	37,623.00
<b>Ending Value</b>	<b>\$316,577.00</b>	

For more information regarding the Value Summary section, please visit [www.edwardjones.com/mystatementguide](http://www.edwardjones.com/mystatementguide).

### Rate of Return

<b>Your Personal Rate of Return for Assets Held at Edward Jones</b>	<b>This Quarter</b>	<b>Year to Date</b>	<b>Last 12 Months</b>	<b>3 Years Annualized</b>	<b>5 Years Annualized</b>
	<b>3.56%</b>	<b>13.70%</b>	<b>13.70%</b>	<b>7.84%</b>	<b>8.12%</b>

### Performance Benchmarks

Large US Cap Equities (S & P 500)	<b>6.65%</b>	<b>21.83%</b>	<b>21.83%</b>	<b>11.40%</b>	<b>15.78%</b>
International Equities (S & P 700)	<b>4.35%</b>	<b>26.64%</b>	<b>26.64%</b>	<b>8.49%</b>	<b>7.92%</b>
Taxable Fixed Income (BarCap Aggregate)	<b>0.39%</b>	<b>3.54%</b>	<b>3.54%</b>	<b>2.24%</b>	<b>2.10%</b>



## Rate of Return (continued)

**Your Personal Rate of Return:** Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

**Performance Benchmarks:** Your Personal Rate of Return should be compared to the return necessary to achieve your financial goals. However, we understand many investors would like to compare their Personal Rate of Return to market indexes. Keep in mind this may not be an accurate comparison, as your Personal Rate of Return incorporates the timing of your specific additions and withdrawals and your specific investment mix, while published returns of market indexes do not.

These market indexes are used as a general measure of market performance for several major asset classes. Market indexes assume reinvestment of all distributions and do not take into account brokerage fees, taxes or investment management fees.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [www.edwardjones.com/rateofreturn](http://www.edwardjones.com/rateofreturn).

## Rate of Return Indexes Disclosure

**S&P 500 Index:** A broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. While many of the stocks are among the largest, this index also includes many relatively small companies. It is a float adjusted capitalization-weighted index (stock price times number of publicly available shares outstanding), calculated on a total return basis with dividends reinvested.

**S&P 700 Index:** The S&P 700 index measures the non-United States component of global equity markets. The index covers all regions included in the S&P Global 1200 (Europe, Japan, Canada, Australia, Asia, and Latin America) except for the United States which is represented by the S&P 500. The index is market-cap weighted and based in U.S. dollars.

**BarCap Aggregate Bond Index:** Measures the performance of government, mortgage-backed, asset-backed and corporate securities with at least one year to maturity.

## Financial Foundation

Reaching your personal financial goals depends on a strong foundation. At Edward Jones, we believe that foundation consists of regular reviews of your goals and their time frames, your comfort with risk (such as market volatility) and the way assets are allocated within your portfolio. Following is a summary of your financial foundation based on your discussions with your financial advisor.

## Retirement Goal for Vicente Gonzalez

### Preparing for Retirement

Risk Tolerance	Planned Retirement	Desired Annual Spending	Retirement Portfolio Objective
Vicente Medium to High	Vicente to Retire at Age 65	\$48,000	Growth Focus

The Desired Annual Spending amount does not include variable expenses or debt payments you may have discussed with your financial advisor.

## Accounts Assigned to your Retirement Goal

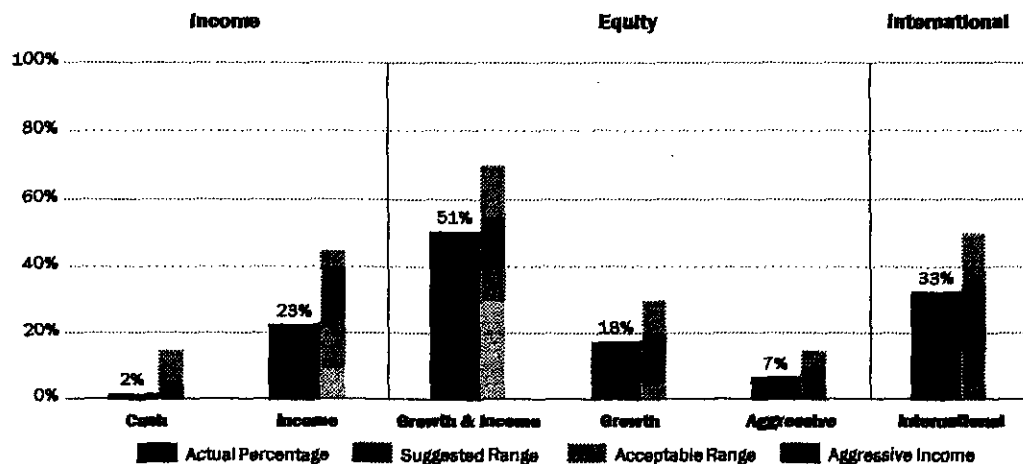
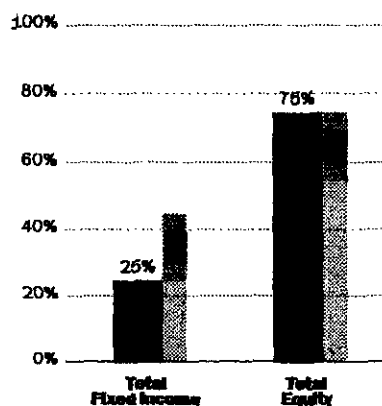
Accounts	Account Holder	Account Number	Portfolio Objective - Account
Simplified Employee Pension Account	Vicente Gonzalez		Balanced Toward Growth
Guided Solutions Flex Account			Last Reviewed 7/11/2017

NOTE: It is important to review your account(s) to keep your investments aligned with your risk tolerance and positioned to achieve your goal. Please contact your financial advisor to update any missing or outdated Financial Foundation information.

## Edward Jones Guided Solutions

### Portfolio Objective: Balanced Toward Growth

Comparing Your Diversification to Your Portfolio Objective





## Asset Details (as of Dec 31, 2017)

Additional details are available at [www.edwardjones.com/etools](http://www.edwardjones.com/etools)

### Assets Held At Edward Jones

	Current Yield/Rate	Beginning Balance	Deposits	Withdrawals	Ending Balance
Retirement Money Market	0.62%*	\$5,500.54	\$8.05	-\$308.79	\$5,199.80

\* The average yield on the money market fund for the past seven days.

Mutual Funds	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
American Capital Income Builder CI F3 Symbol: CFHX Asset Category: Growth & Income	62.80	249.628	14,729.29	947.35	15,676.64
American Developing World Growth and Income CI F3 Symbol: FDWGX Asset Category: Aggressive	11.26	713.835	7,318.21	719.57	8,037.78
American New Perspective CI F3 Symbol: FNPFX Asset Category: Growth & Income	43.15	371.65	13,844.79	2,191.91	16,036.70
American New World CI F3 Symbol: FNWFX Asset Category: Aggressive	66.91	166.514	8,812.40	2,329.05	11,141.45
Columbia Mid Cap Value CI I3 Symbol: CMVYX Asset Category: Growth	13.66	951.305	13,863.74	-868.91	12,994.83
Hartford Mid Cap Fund CI F Symbol: HMDFX Asset Category: Growth	30.86	633.528	16,989.27	2,561.40	19,550.67
Hartford World Bond CI F Symbol: HWDFX Asset Category: Income	10.53	3,166.743	32,624.10	721.70	33,345.80
Invesco Diversified Dividend Fund Symbol: LCEFX Asset Category: Growth & Income	20.36	2,453.83	47,489.20	2,470.78	49,959.98
John Hancock Bond Fund CI R6 Symbol: JHBSX Asset Category: Income	15.93	2,286.249	36,141.30	278.65	36,419.95
John Hancock International Growth CI R6 Symbol: JIGTX Asset Category: Growth & Income	27.70	826.686	21,162.70	1,736.50	22,899.20
JPMorgan Small Cap Growth Fund Class R6 Symbol: JGSMX Asset Category: Growth	18.39	1,026.929	15,100.24	3,784.98	18,885.22

W600161100



## Asset Details (continued)

Mutual Funds	Price	Quantity	Cost Basis	Unrealized Gain/Loss	Value
MFS International Diversification Fund Cl I Symbol: MDIX Asset Category: Growth & Income	19.88	1,312.851	24,457.18	1,642.30	26,099.48
MFS Value Fund Class R6 Symbol: MEIKX Asset Category: Growth & Income	40.56	994.317	36,368.05	3,961.45	40,329.50
<b>Total Account Value</b>					<b>\$316,577.00</b>

Ratings- Ratings from Standard & Poor's (S&P), Moody's and Fitch may be shown for certain securities. S&P requires we inform you: (1) Ratings are NOT recommendations to buy, hold, sell or make any investment decisions and DO NOT address suitability or future performance; (2) S&P DOES NOT guarantee the accuracy, completeness, or availability of any ratings and is NOT responsible for results obtained from the use of any ratings. Certain disclaimers related to its ratings as are more specifically stated at <http://www.standardandpoors.com/disclaimers>.

The bond ratings shown are the highest of several possible credit ratings assigned by S&P, Moody's or Fitch for a particular bond and may reflect factors in addition to the credit quality of the issuer, such as bond insurance or participation in a credit enhancement program. For more details contact your financial advisor.

Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss incurred on the sale or other disposition of a security. Cost basis is not a measure of performance. The cost basis amounts in your statement should not be relied upon for tax preparation purposes. Please refer to your official tax documents for more information about reporting cost basis to the IRS. You should consult your attorney or qualified tax advisor regarding your situation. If you believe any of this cost basis information is inaccurate, please call our Client Relations department.

## Retirement Summary

	This Period	Cumulative
2017 Contributions	\$0.00	\$0.00
2016 Contributions	0.00	0.00
2017 SEP Contributions	0.00	54,000.00
2016 SEP Contributions	0.00	52,000.00

## Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/01	Dividend on John Hancock Bond Fund Cl R6 on 2,279.679 Shares at Daily Accrual Rate		\$104.59
12/01	Reinvestment into John Hancock Bond Fund Cl R6 @ 15.92	6.57	-104.59
12/11	Long Term Capital Gain on Columbia Mid Cap Val Cl I3 on 812.66 Shares @ 2.117		1,720.54
12/11	Dividend on Columbia Mid Cap Val Cl I3 on 812.66 Shares @ 0.101		82.78
12/11	Short Term Capital Gain on Columbia Mid Cap Val Cl I3 on 812.66 Shares @ 0.092		75.33
12/11	Reinvestment into Columbia Mid Cap Val Cl I3 @ 13.55	5.559	-75.33
12/11	Reinvestment into Columbia Mid Cap Val Cl I3 @ 13.55	6.109	-82.78





**Investment and Other Activity by Date (continued)**

<b>Date</b>	<b>Description</b>	<b>Quantity</b>	<b>Amount</b>
12/11	Reinvestment into Columbia Mid Cap Val Cl I3 @ 13.55	126.977	-1,720.54
12/11	Program Fee		-308.79
12/14	Dividend on Invesco Diversified Dividend on 2,417.239 Shares @ 0.155		374.91
12/14	Long Term Capital Gain on Invesco Diversified Dividend on 2,417.239 Shares @ 0.145		352.43
12/14	Short Term Capital Gain on Invesco Diversified Dividend on 2,417.239 Shares @ 0.006		15.47
12/14	Reinvestment into Invesco Diversified Dividend @ 20.30	0.762	-15.47
12/14	Reinvestment into Invesco Diversified Dividend @ 20.30	17.361	-352.43
12/14	Reinvestment into Invesco Diversified Dividend @ 20.30	18.468	-374.91
12/14	Long Term Capital Gain on JPMorgan Small Cap Growth R6 on 956.041 Shares @ 1.323		1,265.33
12/14	Short Term Capital Gain on JPMorgan Small Cap Growth R6 on 956.041 Shares @ 0.006		6.41
12/14	Reinvestment into JPMorgan Small Cap Growth R6 @ 17.94	0.357	-6.41
12/14	Reinvestment into JPMorgan Small Cap Growth R6 @ 17.94	70.531	-1,265.33
12/18	Long Term Capital Gain on Hartford Mid Cap Fund Cl F on 605.617 Shares @ 1.223		740.90
12/18	Short Term Capital Gain on Hartford Mid Cap Fund Cl F on 605.617 Shares @ 0.19		115.12
12/18	Reinvestment into Hartford Mid Cap Fund Cl F @ 30.67	3.754	-115.12
12/18	Reinvestment into Hartford Mid Cap Fund Cl F @ 30.67	24.157	-740.90
12/18	Dividend on John Hancock INTL Growth Cl R6 on 821.932 Shares @ 0.158		129.89
12/18	Reinvestment into John Hancock INTL Growth Cl R6 @ 27.32	4.754	-129.89
12/20	Fee Offset		5.14
12/22	Long Term Capital Gain on MFS Value R6 on 960.668 Shares @ 1.089		1,046.94
12/22	Dividend on MFS Value R6 on 960.668 Shares @ 0.215		207.23
12/22	Short Term Capital Gain on MFS Value R6 on 960.668 Shares @ 0.119		114.36
12/22	Reinvestment into MFS Value R6 @ 40.67	2.812	-114.36
12/22	Reinvestment into MFS Value R6 @ 40.67	5.095	-207.23
12/22	Reinvestment into MFS Value R6 @ 40.67	25.742	-1,046.94
12/22	Long Term Capital Gain on American New Perspective Cl F3 on 351.175 Shares @ 2.179		765.28
12/22	Dividend on American New Perspective Cl F3 on 351.175 Shares @ 0.33		116.13
12/22	Reinvestment into American New Perspective Cl F3 @ 43.05	2.698	-116.13
12/22	Reinvestment into American New Perspective Cl F3 @ 43.05	17.777	-765.28
12/22	Dividend on American New World Cl F3 on 162.786 Shares @ 0.881		143.54
12/22	Long Term Capital Gain on American New World Cl F3 on 162.786 Shares @ 0.638		103.87
12/22	Reinvestment into American New World Cl F3 @ 66.37	1.565	-103.87
12/22	Reinvestment into American New World Cl F3 @ 66.37	2.163	-143.54
12/26	Dividend on Amern Dev World Grw & Incm F3 on 709.661 Shares @ 0.065		46.41
12/26	Reinvestment into Amern Dev World Grw & Incm F3 @ 11.12	4.174	-46.41
12/26	Long Term Capital Gain on American Cap Income Builder F3 on 243.836 Shares @ 0.792		193.14
12/26	Dividend on American Cap Income Builder F3 on 243.836 Shares @ 0.692		168.78

## Investment and Other Activity by Date (continued)

Date	Description	Quantity	Amount
12/26	Reinvestment into American Cap Income Builder F3 @ 62.48	2.701	-168.78
12/26	Reinvestment into American Cap Income Builder F3 @ 62.48	3.091	-193.14
12/28	Dividend on Hartford World Bond CI F on 3,165.86 Shares @ 0.002		9.29
12/28	Reinvestment into Hartford World Bond CI F @ 10.52	0.883	-9.29
12/28	Dividend on MFS INTL Divers Fund CI I on 1,291.644 Shares @ 0.324		419.68
12/28	Reinvestment into MFS INTL Divers Fund CI I @ 19.79	21.207	-419.68

## Retirement Money Market Detail by Date

Beginning Balance on Nov 25				\$5,500.54	
Date	Transaction	Description	Deposits	Withdrawals	Balance
12/12	Withdrawal			-308.79	\$5,191.75
12/21	Deposit		5.14		\$5,196.89
12/29	Income	Dividend on Retirement Money Market for 41 Days @ 0.49%	2.91		\$5,199.80
Total			\$8.05	-\$308.79	
Ending Balance on Dec 31				\$5,199.80	

If there have been changes in your financial situation or investment objectives, or if you wish to restrict certain mutual funds or ETFs, or modify existing restrictions, in your Guided Solutions account, please notify your Edward Jones financial advisor. You may obtain information about the Guided Solutions program by viewing the applicable program brochure at [www.edwardjones.com/advisorybrochures](http://www.edwardjones.com/advisorybrochures).



## About Edward Jones

Edward D. Jones & Co., L.P. is dually registered with the SEC as a broker-dealer and an investment adviser. Edward Jones is also a member of FINRA.

**Statement of Financial Condition** — Edward Jones' statement of financial condition is available for your personal review at [www.edwardjones.com/en\\_US/company/index.html](http://www.edwardjones.com/en_US/company/index.html), at your local branch, or by mail upon written request.

## About Your Account

**Account Information** — Your account agreement(s) contain the conditions that govern your account. Please contact your financial advisor if you have any changes to your financial situation, contact information or investment objectives.

**Account Accuracy** — Please review your statement carefully. If you believe there are errors on your account, you must notify us promptly of your concerns. You may either contact our Client Relations department or your financial advisor. You should re-confirm any oral communication by sending us a letter within 30 days to protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

**Errors or Questions about your Electronic Transfers** — Contact Client Relations at (800) 441-2357.

**Complaints about Your Account** — If you have a complaint please call (800) 441-2357 or send a letter to Edward Jones, Attn: Complaints Dept., 12555 Manchester Rd. St. Louis, MO 63131.

**Pricing** — For the most current prices of the investments you own, contact your financial advisor or visit Online Access.

**Third Parties** — While we believe our pricing information is reliable, some pricing information is provided by third parties and we cannot guarantee its accuracy.

**Systematic and Money Market Transactions** — Additional transaction details may be available upon written request to Edward Jones, Attn: Trade Operations Dept.

**Withholding on Distributions or Withdrawals** — Federal law requires Edward Jones to withhold income tax on distribution(s) from your retirement accounts and other plans unless you elect not to have withholding apply. You may elect a percentage to be withheld from your distribution or not to have the withholding apply by signing and dating the appropriate form and returning it to the address specified on the form. Your election will remain in effect until you change or revoke it by returning another signed and dated form. If you do not return the form by the date your distributions are scheduled to begin, Federal income tax will be withheld. If you do not have enough income tax withheld from your distributions, you may need to pay estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to the tax you owe. State withholding, if applicable, is subject to the state's withholding requirements.

**Fees and Charges** — The "Fees and charges" amount shown in your Value Summary includes all activity fees and margin loan interest, except the dividend reinvestment fee and trade transaction fee. The dividend reinvestment fee is reflected in the detailed activity section of this statement. The "Fees and charges" amount does not include commissions or mark-ups from the purchase of specific investments.

**Fair Market Value for Individual Retirement Accounts** Your fair market value as of December 31st will be reported to the IRS as required by law.

**Rights to Your Money Market Fund, Bank Deposit and Free Credit Balances** — Your free credit balances are payable on demand. You may require us to liquidate your bank deposit or money market fund balance. We will then disburse the proceeds to you or place them in your securities account. Your instructions must be made during normal business hours and are subject to terms and conditions of the account agreement(s).

**Important disclosures and other information relating to your account(s)** are available at [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures).



**Go Green!** Did you know you can receive your statements and other documents online instead of on paper? Visit [www.edwardjones.com/edelivery](http://www.edwardjones.com/edelivery) for more information.

## Contact Information

Client Relations		Online Access			Order Contacts	
Toll Free Phone	Monday – Friday	Online	Account	Access	Edward Jones Personal MasterCard®	
☎ 800-441-2357	7am – 7pm CST	🌐	www.edwardjones.com/access		☎	866-874-6711
201 Progress Parkway		Edward Jones Online Support			Edward Jones Business MasterCard®	
✉	Maryland Heights, MO 63043	☎	800-441-5203		☎	866-874-6712
					Edward Jones VISA Debit Card	
					☎	888-289-6635

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PO Box 218711  
Kansas City, MO 64121-8711

2

UMB BANK NA  
CUST IRA FBO  
VINCENT GONZALEZ

## Quarterly Shareholder Statement

October 1, 2017 - December 31, 2017

Page 1 of 3

**Investor Services:** 1-800-526-7384  
Monday - Friday  
8:00AM - 6:30PM ET

**Website:** GSAMFUNDS.com

**Rep Name:** CORPORATE CLIEN

**Rep Number:** 387T

**Relationship:** AXA ADVISORS, LLC

**Address:** 1 MONY PLZ  
PO BOX 4830  
SYRACUSE NY 13221-4830

000410272, S, 2017123012559

### Investor Highlights

Sign up Today for Online Access & eDelivery! GO TO: [www.gsamfunds.com/accountaccess](http://www.gsamfunds.com/accountaccess)  
Enrollment is simple and you'll have 24/7 access to your account details; plus, subscribe to GSAM's eDelivery service to receive automated notifications when your statement and tax forms are available.

### Portfolio Overview

Total Portfolio Value on December 31, 2017

**\$5,576.34**

Deliver dividend and distribution payments directly to your bank of choice. Contact Investor Services and ask about Automated Clearing House (ACH) dividends to setup this service.

### Summary of Income and Activity

Activity	For the Period	Year-to-Date
Beginning Dollar Value <sup>1</sup>	\$5,366.95	\$4,799.08
+ Purchases/Transfers In	\$0.00	\$0.00
+ Reinvested Divs/ST Cap Gains	\$35.37	\$43.04
+ Reinvested LT Capital Gains	\$224.76	\$224.76
- Redemptions/Transfers Out	-\$10.00	-\$10.00
+/- Change in Value <sup>2</sup>	-\$40.74	\$519.46
Ending Dollar Value	\$5,576.34	\$5,576.34

### Personal Performance<sup>3</sup>

For the Period	Year-to-Date	One Year
4.09%	16.41%	16.41%

<sup>1</sup> The Beginning Dollar Value represents the dollar value of all open and closed accounts displayed on this statement.

<sup>2</sup> Change in Value reflects the impact of appreciation or depreciation of your shares over the specified time period.

<sup>3</sup> Personal Performance is calculated using the Modified Dietz method, a broadly accepted method used to generate estimated personal performance.



PO Box 219711  
Kansas City, MO 64121-9711

## Quarterly Shareholder Statement

October 1, 2017 - December 31, 2017

Page 2 of 3

### Portfolio Summary

Fund Type Fund Name / Fund Number	Market Value 12/31/2017	Percentage of Portfolio	Key
<b>Commercial Paper Money Market Funds</b>			
GS Financial Sq Government Fund A 4023	\$2,373.74	42.57%	
<b>Total</b>	<b>\$2,373.74</b>	<b>42.57%</b>	
<b>Equity Funds</b>			
GS Capital Growth Fund A 807	\$3,202.60	57.43%	
<b>Total</b>	<b>\$3,202.60</b>	<b>57.43%</b>	
<b>Total Portfolio Value</b>	<b>\$5,576.34</b>	<b>100%</b>	

(Please note that percentages are rounded)

> For more information on the performance of the Funds and their holdings, visit our website at [GSAMFUNDS.com](http://GSAMFUNDS.com)

### Retirement Contribution Summary

Contribution Description	Current Year	Prior Year
Your Contributions	\$0.00	\$0.00
Employer Contributions	\$0.00	\$0.00
Rollover	\$0.00	N/A *
<b>Total Contributions for Your Retirement Accounts</b>	<b>\$0.00</b>	<b>\$0.00</b>

\* For Prior Year Rollover Contributions, please contact Investor Services at the phone number located on page one.

### Activity Summary

#### GS Capital Growth Fund A

GSCGX

Account Registration Fund Number Account Number  
UMB BANK NA 807  
CUST IRA FBO  
VINCENT GONZALEZ

Confirm Date	Trade Date	Transaction Description	Shares This Transaction	x	Share Price	=	Dollar Amount	Share Balance
		Beginning Balance			\$29.11		\$2,997.69	102.978
12/05/2017	12/05/2017	2017 Fiduciary Admin Fee	-0.327		\$30.61		-\$10.00	102.651
12/12/2017	12/11/2017	ST CG Reinv 0.2803	1.006		\$28.60		\$28.77	103.657
12/12/2017	12/11/2017	Cap Gain Reinvst 2.1896	7.859		\$28.60		\$224.76	111.516
12/21/2017	12/20/2017	Income Reinvest 0.019	0.073		\$28.86		\$2.12	111.589
		Ending Balance			\$28.70		\$3,202.60	111.589

Year-to-Date Summary	Current Period	Year-to-Date
Purchase/Transfers In	\$0.00	\$0.00
Reinvested Dividends/ST Capital Gains	\$38.89	\$38.89
Reinvested LT Capital Gains	\$224.76	\$224.76
Redemptions/Transfers Out	\$10.00	\$10.00



PO Box 218711  
Kansas City, MO 64121-9711

## Quarterly Shareholder Statement

October 1, 2017 - December 31, 2017

Page 3 of 3

### Activity Summary (continued)

#### GS Financial Sq Government Fund A

FSOXX

Account Registration  
UMB BANK NA  
CUST IRA FBO  
VINCENT GONZALEZ

Fund Number  
4023

Account Number

Confirm Date	Trade Date	Transaction Description	Shares This Transaction	x	Share Price	=	Dollar Amount	Share Balance
		Beginning Balance			\$1.00		\$2,369.26	2,369.260
10/31/2017	10/31/2017	Income Reinvest	1.330		\$1.00		\$1.33	2,370.590
11/30/2017	11/30/2017	Income Reinvest	1.430		\$1.00		\$1.43	2,372.020
12/29/2017	12/29/2017	Income Reinvest	1.720		\$1.00		\$1.72	2,373.740
		Ending Balance			\$1.00		\$2,373.74	2,373.740

Year-to-Date Summary	Current Period	Year-to-Date
Purchase/Transfers In	\$0.00	\$0.00
Reinvested Dividends/ST Capital Gains	\$4.48	\$12.15
Reinvested LT Capital Gains	\$0.00	\$0.00
Redemptions /Transfers Out	\$0.00	\$0.00

### Additional Information

Financial errors or inaccuracies must be reported to us within 6 months of the transaction trade date by contacting Client Services. Errors reported after that time may not be corrected.

Oral communications about account transactions should be reconfirmed in writing including rights under SIPA. Information regarding the person from whom the security was purchased and/or transaction time will be furnished on written request. A financial statement of Goldman Sachs & Co. LLC is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. GS Asset Management ("GSAM"), and Goldman Sachs & Co. LLC, and other affiliated entities are under the common control of the Goldman Sachs Group, Inc.

Texas residents may designate an individual for their Goldman Sachs account or its designee to notify if fund shares may be abandoned. Please contact Investor Services or the Representative on your account to obtain the forms needed to designate an individual for this purpose.

GSAM leverages the resources of Goldman Sachs & Co. LLC subject to legal, internal and regulatory restrictions. Goldman Sachs & Co. LLC is the distributor for the GS Funds and member FINRA, SIPC and NYSE. Please retain this information for your records. If any of the holdings listed on this statement are retirement accounts, please consider the ending dollar value displayed as your 12/31/2017 fair market value. This information will be reported to the Internal Revenue Service along with a copy of your Form 1099-DIV, if applicable, as required by law. Information regarding your distributions will also be reported to your state of residency, where required.

Ask your investment advisor about privileges that may help you reduce your sales charges, including Rights of Accumulation and Statements of Intent, or visit GSAMFUNDS.com for a current prospectus, which contains complete information about the Funds, including investment policies, risk considerations, charges and expenses.





## Goldman Sachs Funds

### Notification of Source of Distributions

Pursuant to Rule 19a-1 under the Investment Company Act of 1940

As noted in the tables provided below, certain Goldman Sachs Funds made distributions in the month listed below. These distributions include realized gains which may be in excess of the Fund's current and accumulated net investment income as calculated in accordance with good accounting practices.

As of October 19, 2017, the estimated sources of these distributions were as follows:

Fund	Net Income	Realized Gain
GS Financial Square Treasury Instruments Fund	97.61%	2.39%
GS Financial Square Treasury Solutions Fund	96.95%	3.05%
GS Financial Square Money Market Fund	99.24%	0.76%
GS Financial Square Prime Obligations Fund	99.27%	0.73%
GS VIT Government Money Market Fund	97.73%	2.27%
GS Financial Square Treasury Obligations Fund	98.12%	1.88%
GS Investor Money Market Fund	99.38%	0.62%
GS Financial Square Federal Instruments Fund	96.14%	3.86%
GS Financial Square Government Fund	98.62%	1.38%

The ultimate composition of these distributions may vary from the estimates provided above due to a variety of factors including future income and expenses, allowance for interest receivable, and realized gains and losses from the purchase and sale of securities and other properties.

Please note that this information is being provided to satisfy certain notice requirements under the Investment Company Act of 1940. Tax reporting information for shareholders of the Funds will not be available until January, 2018. **As a result, shareholders should not use the information provided in this notice for tax reporting purposes.** Should you have any questions, please call a Goldman Sachs Funds client representative at 1-800-526-7384 (for Retail Shareholders) or 1-800-621-2550 (for Institutional Shareholders).

An investment in the Funds is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the Funds.

Goldman Sachs does not provide accounting, tax, or legal advice. Notwithstanding anything in this document to the contrary, and except as required to enable compliance with applicable securities law, you may disclose to any person the US federal and state income tax treatment and tax structure of the transaction and all materials of any kind (including tax opinions and other tax analyses) that are provided to you relating to such tax treatment and tax structure, without Goldman Sachs imposing any limitation of any kind. Investors should be aware that a determination of the tax consequences to them should take into account their specific circumstances and that the tax law is subject to change in the future or retroactively and investors are strongly urged to consult with their own tax advisor regarding any potential strategy, investment or transaction.

SEC19MMOCT17





**Asset  
Management**

## Goldman Sachs Funds

Notification of Source of Distributions

Pursuant to Rule 19a-1 under the Investment Company Act of 1940

As noted in the tables provided below, certain Goldman Sachs Funds made distributions in the month listed below. These distributions include realized gains which may be in excess of the Fund's current and accumulated net investment income as calculated in accordance with good accounting practices.

As of November 17, 2017, the estimated sources of these distributions were as follows:

Fund	Net Income	Realized Gain
GS Financial Square Treasury Instruments Fund	97.83%	2.17%
GS Financial Square Treasury Solutions Fund	97.32%	2.68%
GS Financial Square Money Market Fund	99.84%	0.16%
GS Financial Square Prime Obligations Fund	99.56%	0.44%
GS VIT Government Money Market Fund	98.30%	1.70%
GS Financial Square Treasury Obligations Fund	98.18%	1.82%
GS Investor Money Market Fund	99.94%	0.06%
GS Financial Square Federal Instruments Fund	96.13%	3.87%
GS Financial Square Government Fund	98.90%	1.10%

The ultimate composition of these distributions may vary from the estimates provided above due to a variety of factors including future income and expenses, allowance for interest receivable, and realized gains and losses from the purchase and sale of securities and other properties.

Please note that this information is being provided to satisfy certain notice requirements under the Investment Company Act of 1940. Tax reporting information for shareholders of the Funds will not be available until January, 2018. **As a result, shareholders should not use the information provided in this notice for tax reporting purposes. Should you have any questions, please call a Goldman Sachs Funds client representative at 1-800-526-7384 (for Retail Shareholders) or 1-800-621-2550 (for Institutional Shareholders).**

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SEC19MMNOV17



**Asset  
Management**

## Goldman Sachs Funds

Notification of Source of Distributions

Pursuant to Rule 19a-1 under the Investment Company Act of 1940

As noted in the tables provided below, certain Goldman Sachs Funds made distributions in the month listed below. These distributions include realized gains which may be in excess of the Fund's current and accumulated net investment income as calculated in accordance with good accounting practices.

As of December 18, 2017, the estimated sources of these distributions were as follows:

<u>Fund</u>	<u>Net Income</u>	<u>Realized Gain</u>
GS Financial Square Treasury Instruments Fund	99.66%	0.34%
GS Financial Square Treasury Solutions Fund	95.78%	4.22%
GS Financial Square Money Market Fund	99.90%	0.10%
GS Financial Square Prime Obligations Fund	99.62%	0.38%
GS VIT Government Money Market Fund	99.22%	0.78%
GS Financial Square Treasury Obligations Fund	98.98%	1.02%
GS Investor Money Market Fund	99.96%	0.04%
GS Investor Tax-Exempt Money Market Fund	99.86%	0.14%
GS Financial Square Federal Instruments Fund	99.33%	0.67%
GS Financial Square Government Fund	99.50%	0.50%

The ultimate composition of these distributions may vary from the estimates provided above due to a variety of factors including future income and expenses, allowance for interest receivable, and realized gains and losses from the purchase and sale of securities and other properties.

Please note that this information is being provided to satisfy certain notice requirements under the Investment Company Act of 1940. Tax reporting information for shareholders of the Funds will not be available until January, 2018. As a result, shareholders should not use the information provided in this notice for tax reporting purposes.

Should you have any questions, please call a Goldman Sachs Funds client representative at 1-800-526-7384 (for Retail Shareholders) or 1-800-621-2550 (for Institutional Shareholders).

An investment in the Funds is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the Funds.

Goldman Sachs does not provide accounting, tax, or legal advice. Notwithstanding anything in this document to the contrary, and except as required to enable compliance with applicable securities law, you may disclose to any person the US federal and state income tax treatment and tax structure of the transaction and all materials of any kind (including tax opinions and other tax analyses) that are provided to you relating to such tax treatment and tax structure, without Goldman Sachs imposing any limitation of any kind. Investors should be aware that a determination of the tax consequences to them should take into account their specific circumstances and that the tax law is subject to change in the future or retroactively and investors are strongly urged to consult with their own tax advisor regarding any potential strategy, investment or transaction.

SECMM19DEC17

J.P. MORGAN SECURITIES LLC  
MEMBER FINRA, NYSE, SIPC  
420 W. VAN BUREN STREET  
SUITE 111-0291, 11TH FL  
CHICAGO, IL 60606-3634

Account No: VICENTE GONZALEZ JR TOD  
Account Name: ON-FILE  
Recipient's Identification Number: ON-FILE  
Account Executive No: S&K  
ORIGINAL: 12/31/17

J.P.Morgan

2017 FORMS 1099 & DETAILS

059240 - 1 of 26 NSP08SR2-Y2 02/11/18 100000  
VICENTE GONZALEZ JR TOD

YEAR-END MESSAGES

PLEASE READ THE ENCLOSED TAX GUIDE FOR A COMPLETE EXPLANATION OF THE TAX INFORMATION ON YOUR CONSOLIDATED 1099. WHILE WE VERIFY THE INFORMATION REPORTED, RECLASSIFICATION OF INCOME BY AN ISSUER SUCH AS A MUTUAL FUND MAY REQUIRE A CORRECTED CONSOLIDATED FORM 1099. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH QUESTIONS CONCERNING THE COST BASIS OR ANY OTHER INFORMATION.

Your Account did not receive the following Forms:

1099 OID  
1099 MISCELLANEOUS

TABLE OF CONTENTS

1099 Dividends and Interest.....	3
Instructions for Forms 1099.....	5
1099 Gross Proceeds.....	7
Fees and Charges.....	17
1099 Dividend & Distribution Details.....	18
1099 Interest Income Details.....	38
Investment Details.....	39



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J.P. MORGAN SECURITIES LLC  
MEMBER FINRA, NYSE, SIPC  
420 W. VAN BUREN STREET  
SUITE 111-0291, 11TH FL  
CHICAGO, IL 60606-3634

Account No: VICENTE GONZALEZ JR TOD  
Account Name:  
Recipient's Identification Number:  
Account Executive No: S6K  
ORIGINAL: 12/31/17

J.P.Morgan

RECIPIENT'S Name, Street Address (including apt. no.), City or town, state or province, country, and ZIP or foreign postal code  
VICENTE GONZALEZ JR TOD

Copy B for recipient

☐ FATCA filing requirement  
☐ 2nd TIN Notice

PAYER'S Federal Identification Number: 13-4110995  
PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no.:  
J.P. MORGAN BROKER-DEALER HOLDINGS INC.  
4 METROTECH CENTER  
BROOKLYN NY 11245-0001

Telephone Number: (800) 690-4557

## 2017 CONSOLIDATED FORM 1099

Box no. 2017 FORM 1099-DIV: DIVIDENDS AND DISTRIBUTIONS (OMB NO. 1545-00110)

1a	Total ordinary dividends	6,770.75
1b	Qualified dividends	1,901.66
2a	Total capital gain distr.	1,131.26
2b	Unrecap Sec. 1250 gain	0.00
2c	Section 1202 gain	0.00
2d	Collectibles (28%) gain	0.00
3	Nondividend distributions	162.79
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	85.61
7	Foreign country or U.S. possession	Various
8	Cash liquidation distributions	0.00
9	Noncash liquidation distributions	0.00
10	Exempt-interest dividends	0.00
11	Specified private activity bond interest dividends	0.00

Box no. 2017 FORM 1099-INT: INTEREST INCOME (OMB NO. 1545-00112)

1	Interest income	23.99
2	Early withdrawal penalty	0.00
3	Interest on U.S. Savings Bonds and Treas. obligations	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	0.00
8	Tax-exempt interest	0.00
9	Specified private activity bond interest	0.00
10	Market discount	0.00
11	Bond premium	0.00
12	Bond premium on Treasury obligations	0.00
13	Bond premium on tax-exempt bond	0.00
14	Tax-exempt and tax credit bond CUSIP no.	0.00

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**Form 1099-DIV**

Instructions for Recipient

Recipient's taxpayer identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Box 1a.** Shows total ordinary dividends that are taxable. Include the amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2a–2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

**Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1223 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet—Line 19 in the Schedule D instructions (Form 1040).

**Box 2c.** Shows the portion of the amount in box 2a that is section 1222 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet—Line 18 in the instructions for Schedule D (Form 1040).

**Box 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, *Investment Income and Expenses*.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form 1042, *Request for Taxpayer Identification Number and Certification*, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. The amount is included in box 1a.

**Box 6.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

**Box 7.** The box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

**Boxes 8 and 9.** Shows cash and noncash liquidation distributions.

**Box 10.** Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include the amount on the 8b of Form 1040 or 1040A as tax-exempt interest. The amount may be subject to backup withholding. See box 4.

**Box 11.** Shows exempt-interest dividends subject to the alternative minimum tax. The amount is included in box 10. See the instructions for Form 6251.

**Nonresident.** If the form includes amounts belonging to another person, you are considered a nonresident recipient. Complete a Form 1099-DIV for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nonresident return to show amounts owned by the other spouse. If you bought or sold an obligation during the year return to show amounts owned by the other spouse. See the 2017 General Instructions for Certain Information Returns.

**Future developments.** For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/form1099div](http://www.irs.gov/form1099div).

**Form 1099-ODD**

Instructions for Recipient

**Original issue discount (OID)** is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the original holder, you receive a Form 1099-ODD showing amounts belonging to another person, you are considered a nonresident recipient. Complete a Form 1099-ODD for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "buyer" and the other owner as the "recipient." (See Form(s) 1099-ODD with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "TIR." A spouse is not required to file a nonresident return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nonresident, you are not required to issue or file Form 1099-ODD showing the OID or stated interest allocable to the seller/buyer or of the obligation.

**The information provided may be different for covered and uncovered securities.** For a description of covered securities, see the instructions for Form 8949. For a covered security acquired with acquisition premium, your payer may report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

**Recipient's taxpayer identification number.** For your protection, the form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 2.** Shows qualified stated interest on the obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 6, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, report this amount on line 8b on Form 1040.

**Box 3.** Shows interest or principal forgiven if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include the amount on your income tax return as tax withheld.

**Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(f)(5), show the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(f)(5) that you did not want to make a constant yield election for market discount under section 1278(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 6.** For a taxable covered security, show the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest income on your income tax return. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID on Form 1040 (Schedule B) and you must not make an adjustment to this amount for acquisition premium on Form 1040 (Schedule B). If there is an amount in both boxes 5 and 11, for a tax-exempt security acquired on or after January 1, 2017, and issued with OID, the amount in box 5 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 7.** Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

**Box 8.** Shows ODI on a U.S. Treasury obligation for the part of the year you owned it. Report the amount as interest income on your federal income tax return. The ODI is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deduction adjustment. See Pub. 550 for further information on accounting for this adjustment.

**Box 9.** Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, show the amount of premium amortization allocable to the interest payments, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(f)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(c)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, show the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID in box 11 on line 8b on Form 1040. See the instructions above for a covered security acquired with acquisition premium.

**Future developments.** For the latest information about developments related to Form 1099-ODD and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/form1099odd](http://www.irs.gov/form1099odd).

**For CA, CT, VA, MN & NY Residents**—Citizens paying \$1,000 or more of interest or interest-dividends that were earned on federal tax exempt bonds issued by a state or local government (other than the payee state of residence) are required to provide an information return to the applicable state taxing authority. The requirement applied to the residents in the states of California, Connecticut, Massachusetts, Minnesota and New York.



J.P. MORGAN SECURITIES LLC  
MEMBER FINRA, NYSE, SIPC  
420 W. VAN BUREN STREET  
SUITE 111-0291, 11TH FL  
CHICAGO, IL 60606-3534

Account No:  
Account Name:  
Recipient's Identification Number:  
Account Executive No:

VICENTE GONZALEZ JR TOD

S&K

ORIGINAL:

12/31/17

J.P.Morgan

RECIPIENT'S Name, Street Address (including apt. no.), City or town, state or province, country, and ZIP or foreign postal code  
VICENTE GONZALEZ JR TOD

Copy B for recipient ☐ 2nd TIN Notice

PAYER'S Federal Identification Number: 13-4110995  
PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no.:  
J.P. MORGAN BROKER-DEALER HOLDINGS INC.  
4 METROTECH CENTER  
BROOKLYN NY 11245-0001

Telephone Number: (800) 690-4557

## 2017 1099 GROSS PROCEEDS

Total Cost, Realized Gain or (Loss), and holding period information may not reflect all adjustments necessary for tax reporting purposes. Taxpayers are ultimately responsible for the accuracy of their tax returns and should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange. Where indicated JPMMLLC does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information; taxpayers may be required to report to federal, state, or other taxing authorities. JPMMLLC makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information.

### FORM 1099-B Totals Summary

Form 8949, Part I (Short-Term)		Proceeds	Cost	Realized Gain or (Loss)	Adjustments
Total Covered Short-Term Gain or (Loss) (Cost Basis Reported to IRS, Box A Checked)		14,458.22	14,569.27	(111.05)	
Form 8949, Part II (Long-Term)					
Total Covered Long-Term Gain or (Loss) (Cost Basis Reported to IRS, Box D Checked)		31,714.34	29,506.55	2,212.03	3.24

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Account No:  
Account Name:  
Recipient's Identification Number:

VICENTE GONZALEZ JR TOD

Account Executive No:

SGK

ORIGINAL:

12/31/17

J.P.Morgan

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Covered Short-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 5: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Short-Term

Report on Form 8949, Part I, with Box A checked

\* JPMMLC does not report Realized Gain or (Loss) to IRS and is not responsible for the accuracy of such information.

Date Sold or Disposed	Date Acquired	Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	Cost or Other Basis	Accrued Market Discount	Wash sale loss disallowed	Check if proceeds from collectibles	(Loss) Not Allowed
07/06/17 A	12/16/16	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	1.00630 091936286	11.16 G	9.29	0.00	0.00		1.87
07/06/17 A	12/16/16	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	1.24500 091936286	13.81 G	11.50	0.00	0.00		2.31
07/06/17 A	04/13/17	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	2.57000 091936286	28.50 G	23.74	0.00	0.00		4.76
07/06/17 A	07/22/16	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	2.71800 091936286	30.14 G	25.10	0.00	0.00		5.04
07/06/17 A	10/14/16	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	3.38700 091936286	37.56 G	31.29	0.00	0.00		6.27
07/06/17 A	12/16/16	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	4.28600 091936286	47.31 G	39.40	0.00	0.00		7.91
05/11/17 A	07/19/16	ISHARES MSCI JAPAN ETF ISHARES MSCI JAPAN ETF	23.00000 46434G822	1,202.45 G	1,118.60	0.00	0.00		83.85
12/01/17 A	09/28/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	0.80600 4812C0126	5.98 G	6.44W	0.00	0.00		(0.46)
12/01/17 A	07/28/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	0.81600 4812C0126	6.05 G	6.51W	0.00	0.00		(0.46)
12/01/17 A	08/30/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	0.81900 4812C0126	6.08 G	6.54W	0.00	0.00		(0.46)
12/01/17 A	10/30/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	0.85400 4812C0126	6.34 G	6.82W	0.00	0.00		(0.48)
12/01/17 A	11/29/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	0.97800 4812C0126	7.24 G	7.81W	0.00	0.00		(0.57)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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Account No:  
Account Name:  
Recipient's Identification Number:  
Account Executive No:  
ORIGINAL: 12/31/17

VICENTE GONZALEZ JR TOD

J.P.Morgan

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Covered Short-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 5: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Short-Term

Report on Form 8949, Part I, with Box A checked

\* JPMSELLC does not report Realized Gain or (Loss) to IRS and is not responsible for the accuracy of such information.

(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	(Box 1d/e) Quantity Sold / CUSIP	(Box 1e) Proceeds / Reported to IRS	(Box 1f) Cost or Other Basis	(Box 1f) Accrued Market Discount	(Box 1g) Wash sale loss disallowed	(Box 12) Check if proceeds from collectibles	(Box 7) (Loss) Not Allowed	(Box 7) Realized Gain or (Loss)
12/01/17 A	01/30/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.32500 4812C0126	17.25 G	18.57 W	0.00	0.00			(1.32)
12/01/17 A	02/27/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.41600 4812C0126	17.93 G	19.30 W	0.00	0.00			(1.37)
12/01/17 A	04/27/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.71800 4812C0126	20.17 G	21.71 W	0.00	0.00			(1.54)
12/01/17 A	05/30/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.72200 4812C0126	20.20 G	21.74 W	0.00	0.00			(1.54)
12/01/17 A	03/30/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.75000 4812C0126	20.41 G	21.96 W	0.00	0.00			(1.56)
12/01/17 A	06/29/17	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.78800 4812C0126	20.69 G	22.27 W	0.00	0.00			(1.58)
12/01/17 A	12/29/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.99500 4812C0126	22.22 G	23.93 W	0.00	0.00			(1.71)
01/03/17 A	09/26/16	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	1.89100 922908710	393.88 G	374.75	0.00	0.00			19.1
04/20/17 A	12/22/16	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	0.16300 921937603	1.75 G	1.79	0.00	0.00			(0.04)
04/20/17 A	12/22/16	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	0.34800 921937603	3.74 G	3.81	0.00	0.00			(0.07)
04/20/17 A	12/01/16	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	2.21700 921937603	23.85 G	24.29	0.00	0.00			(0.44)
04/20/17 A	03/01/17	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	2.27800 921937603	24.51 G	24.96	0.00	0.00			(0.46)

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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Account No:  
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VICENTE GONZALEZ JR TOD

SGK

ORIGINAL:

12/31/17

J.P.Morgan

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Covered Short-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 5: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Short-Term

Report on Form 8949, Part I, with Box A checked

\* JPMSLC does not report Realized Gain or (Loss) to IRS and is not responsible for the accuracy of such information.

Date Sold or Disposed	Date Acquired	Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	Cost or Other Basis	Accrued Market Discount	Wash sale loss disallowed	Check if proceeds from collectibles	(Loss) Not Allowed
04/20/17 A	02/01/17	**VANGUARD BOND INDEX FD INC	2.41300	25.96 G	26.44	0.00	0.00		(0.48)
		TOTAL BD MARKET IDX FDADMIRAL	921937603						
04/20/17 A	01/03/17	**VANGUARD BOND INDEX FD INC	2.41700	26.01 G	26.48	0.00	0.00		(0.47)
		TOTAL BD MARKET IDX FDADMIRAL	921937603						
04/20/17 A	04/03/17	**VANGUARD BOND INDEX FD INC	2.52600	27.20 G	27.68	0.00	0.00		(0.48)
		TOTAL BD MARKET IDX FDADMIRAL	921937603						
04/20/17 A	11/01/16	**VANGUARD BOND INDEX FD INC	1.151.47200	12.389.83 G	12.616.55	0.00	0.00		(226.72)
		TOTAL BD MARKET IDX FDADMIRAL	921937603						
28 ITEMS - Total				14,458.22	14,569.27	0.00	0.00		(111.06)

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Account No:  
Account Name:  
Recipient's Identification Number:  
Account Executive No:  
S&K  
ORIGINAL: 12/31/17

VICENTE GONZALEZ JR TOD

J.P.Morgan

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Covered Long-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 5: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Long-Term

Report on Form 8949, Part II, with Box D checked

\* JPMMLLC does not report Realized Gain or (Loss) to IRS and is not responsible for the accuracy of such information.

(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	(Box 1d) Quantity Sold / CUSIP	(Box 1e) Proceeds / Reported to IRS	(Box 1f) Cost or Other Basis	(Box 1g) Accrued Market Discount	(Box 1h) Wash sale loss disallowed	(Box 1i) Check if proceeds from collectibles	(Box 7) (Loss) Not Allowed
05/11/17 A	09/25/15	**BLACKROCK FDS MIDCAP INDEX FD CL K	244.30700 091936286	2,694.71 G	2,256.48	0.00	0.00		438.25
07/06/17 A	10/16/15	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	0.25569 091936286	2.84 G	2.36	0.00	0.00		0.48
07/06/17 A	12/14/15	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	0.39153 091936286	4.34 G	3.62	0.00	0.00		0.72
07/06/17 A	12/14/15	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	0.55334 091936286	6.14 G	5.11	0.00	0.00		1.03
07/06/17 A	04/14/16	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	4.04500 091936286	44.86 G	37.36	0.00	0.00		7.50
07/06/17 A	12/14/15	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	4.04818 091936286	44.89 G	37.39	0.00	0.00		7.50
07/06/17 A	09/25/15	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	452.86126 091936286	5,020.01 G	4,180.89	0.00	0.00		839.12
04/20/17 A	03/12/14	**JPMORGAN TR II CORE BD FD CL I	695.03900 4812C0381	8,083.30 G	8,080.21	0.00	0.00		3.08
12/01/17 A	12/14/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	0.49500 4812C0126	3.67 G	3.96W	0.00	0.00		(0.28)
12/01/17 A	12/15/14	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	1.61100 4812C0126	11.95 G	12.87W	0.00	0.00		(0.92)
12/01/17 A	08/30/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.33500 4812C0126	17.33 G	18.65W	0.00	0.00		(1.32)
12/01/17 A	10/28/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.42900 4812C0126	18.02 G	19.40W	0.00	0.00		(1.38)

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J.P. MORGAN SECURITIES LLC  
MEMBER FINRA, NYSE, SIPC  
420 W. VAN BUREN STREET  
SUITE 111-0291, 11TH FL  
CHICAGO, IL 60606-3534

Account No: VICENTE GONZALEZ JR TOD  
Account Name:  
Recipient's Identification Number:  
Account Executive No: S6K

ORIGINAL: 12/31/17

J.P.Morgan

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Covered Long-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 5: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Long-Term

Report on Form 8949, Part II, with Box D checked

\* JPMORGAN does not report Realized Gain or (Loss) to IRS and is not responsible for the accuracy of such information.

Date Sold or Disposed	Date Acquired	Description of Property	Quantity Sold / CUSIP	Proceeds / Reported to IRS	Cost or Other Basis	Accrued Market Discount	Wash sale loss disallowed	Check if proceeds from collectibles	(Loss) Not Allowed
12/01/17 A	02/26/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.44200 4812C0126	18.12 G	19.50W	0.00	0.00		(1.36)
12/01/17 A	07/28/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.50200 4812C0126	18.56 G	19.98W	0.00	0.00		(1.42)
12/01/17 A	11/29/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.55400 4812C0126	18.95 G	20.40W	0.00	0.00		(1.45)
12/01/17 A	05/27/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.61400 4812C0126	19.40 G	20.88W	0.00	0.00		(1.48)
12/01/17 A	01/28/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.64800 4812C0126	19.65 G	21.15W	0.00	0.00		(1.50)
12/01/17 A	09/29/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.65800 4812C0126	19.72 G	21.23W	0.00	0.00		(1.51)
12/01/17 A	03/30/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.68200 4812C0126	19.90 G	21.42W	0.00	0.00		(1.52)
12/01/17 A	06/29/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.72300 4812C0126	20.20 G	21.75W	0.00	0.00		(1.55)
12/01/17 A	04/28/16	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.76100 4812C0126	20.49 G	22.05W	0.00	0.00		(1.56)
12/01/17 A	03/30/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	2.97300 4812C0126	22.06 G	23.75W	0.00	0.00		(1.69)
12/01/17 A	02/26/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	3.35800 4812C0126	24.92 G	26.82W	0.00	0.00		(1.90)
12/01/17 A	04/29/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	3.49000 4812C0126	25.90 G	27.87W	0.00	0.00		(1.97)

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J.P. Morgan

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Covered Long-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 5: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Long-Term

Report on Form 8949, Part II, with Box D checked

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(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	(Box 1d) Quantity Sold / CUSIP	(Box 1e) Proceeds / Reported to IRS	(Box 1f) Cost or Other Basis	(Box 1g) Accrued Market Discount	(Box 1h) Wash sale loss disallowed	(Box 1i) Check if proceeds from collectibles	(Box 7) (Loss) Not Allowed
12/01/17 A	05/28/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	3,61900 4812C0126	26.85 G	28.91W	0.00	0.00		(2.06)
12/01/17 A	01/30/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	3,81300 4812C0126	28.29 G	30.45W	0.00	0.00		(2.16)
12/01/17 A	06/29/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	3,90000 4812C0126	28.94 G	31.15W	0.00	0.00		(2.21)
12/01/17 A	12/31/14	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	3,91000 4812C0126	29.01 G	31.23W	0.00	0.00		(2.22)
12/01/17 A	09/29/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	3,99700 4812C0126	29.66 G	31.93W	0.00	0.00		(2.27)
12/01/17 A	10/29/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4,17500 4812C0126	30.98 G	33.34W	0.00	0.00		(2.36)
12/01/17 A	07/30/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4,20300 4812C0126	31.19 G	33.57W	0.00	0.00		(2.36)
12/01/17 A	08/28/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4,20900 4812C0126	31.23 G	33.62W	0.00	0.00		(2.36)
12/01/17 A	11/27/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4,31500 4812C0126	32.02 G	34.47W	0.00	0.00		(2.46)
12/01/17 A	12/30/15	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	5,22000 4812C0126	38.73 G	41.69W	0.00	0.00		(2.96)
12/01/17 A	12/15/14	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	15,82700 4812C0126	117.44 G	126.41W	0.00	0.00		(8.9)
12/01/17 A	03/12/14	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	61,98600 4812C0126	459.94 G	495.09W	0.00	0.00		(35.1)

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Account Executive No:

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S&K

ORIGINAL:

12/31/17

J.P.Morgan

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Covered Long-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 8: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Long-Term

Report on Form 8949, Part II, with Box D checked

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(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	(Box 1d) Quantity Sold / CUSIP	(Box 1e) Proceeds / Reported to IRS	(Box 1f) Cost or Other Basis	(Box 1g) Accrued Market Discount	(Box 1h) Wash sale loss disallowed	(Box 1i) Check if proceeds from collectibles	(Box 7) (Loss) Not Allowed
07/06/17 A	03/12/14	**JPMORGAN TR II HIGH YIELD FD CL I	404.18600 4812C0803	3,003.10 G	3,228.72W	0.00	2.02		(223.60)
07/06/17	03/02/16	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	476.76700 54401E143	2,045.33 G	2,050.67	0.00	0.12		(5.22)
07/06/17 A	05/19/15	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	111.77100 56062XT08	643.80 G	646.19W	0.00	0.33		(2.06)
12/01/17 A	05/19/15	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	214.75300 56063N881	1,232.68 G	1,237.95W	0.00	0.55		(4.72)
01/03/17	11/21/14	SPDR S&P 500 ETF TRUST	6.00000 78462F103	1,346.74 G	1,242.95	0.00	0.00		103.79
01/03/17	03/12/14	SPDR S&P 500 ETF TRUST	10.00000 78462F103	2,244.57 G	1,866.34	0.00	0.00		378.23
12/01/17	11/21/14	SPDR S&P 500 ETF TRUST	5.00000 78462F103	1,323.25 G	1,035.80	0.00	0.00		287.45
12/01/17	03/06/15	SPDR S&P 500 ETF TRUST	7.00000 78462F103	1,852.55 G	1,453.73	0.00	0.00		398.82
12/01/17 A	09/26/16	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	2.28300 922908710	558.92 G	485.62	0.00	0.00		73.30



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VICENTE GONZALEZ JR TOD

J.P.Morgan

ORIGINAL: 12/31/17

2017 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715) (continued)

Covered Long-Term Gain or (Loss) - Cost Basis Reported to IRS

Box 5: Covered Security

Box 3: Basis Reported to IRS

Box 2: Type of Gain or (Loss): Long-Term

Report on Form 8949, Part II, with Box D checked

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(Box 1c) Date Sold or Disposed	(Box 1b) Date Acquired	(Box 1a) Description of Property	(Box 1d/b) Quantity Sold / CUSIP	(Box 1d/b) Proceeds / Reported to IRS	(Box 1e) Cost or Other Basis	(Box 1f) Accrued Market Discount	(Box 1g) Wash sale loss disallowed	(Box 12) Check if proceeds from collectibles	(Box 7) (Loss) Not Allowed
04/20/17	A	03/12/14 **VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	36.18200 921937702	379.19 G	380.63	0.00	0.22		(1.22)
46 ITEMS - Total				31,714.34	29,605.55	0.00	3.24		2,212.03

END OF 2017 CONSOLIDATED FORM 1099

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Account No:  
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VICENTE GONZALEZ JR TOD

Account Executive No: 56K

ORIGINAL: 12/31/17

J.P.Morgan

#### FOOTNOTES

- A - Position carried at Average Cost.
- C - Collectibles.
- E - Adjusted for option exercise or assignment.
- G - Gross Proceeds.
- N - Net Proceeds - Adjusted for option exercise or assignment.
- T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement. JPMMLC has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose.
- W - Adjusted for Wash Sale.
- SHORT SALE - Shorts sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.
- Box 12 - If X appears, proceeds in box 1d is from collectibles.

#### DISPOSAL METHODS

Blank - FIFO (First In First Out); L - LIFO (Last In First Out); S - Specific Match (Versus Purchase Method); H - High Cost Method; C - Low Cost Method; X - High Cost Long-Term

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Account No:  
Account Name:  
Recipient's Identification Number: ON-FILE  
Account Executive No: S&K

VICENTE GONZALEZ JR TOD

J.P.Morgan

ORIGINAL:

12/31/17

# DETAILS OF 2017 FEES & CHARGES

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
01/25	Management Fees		Management Fees	1,000.67				
05/25	Advisory Fee		Management Fees	282.03				
06/21	Advisory Fee		Management Fees	294.57				
07/24	Advisory Fee		Management Fees	284.80				
08/21	Advisory Fee		Management Fees	297.91				
09/22	Advisory Fee		Management Fees	298.87				
10/20	Advisory Fee		Management Fees	291.13				
11/21	Advisory Fee		Management Fees	303.63				
12/21	Advisory Fee		Management Fees	295.89				
Total Management Fees				3,349.50				

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VICENTE GONZALEZ JR TOD

J.P.Morgan

ORIGINAL: 12/31/17

## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
04/12	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	091936286	Dividend, Non-Qual	20.46				
12/15	**BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K	09253F879	Dividend, Non-Qual	74.38		4.93		Foreign Tax Paid
12/26	**CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL	14949P208	Dividend, Non-Qual	-80.76				
12/26	**CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL	14949P208	Dividend, Non-Qual	80.76				
	Sub Total			0.00				
03/30	**DODGE & COX INCOME FUND	256210105	Dividend, Non-Qual	91.85				
06/29	**DODGE & COX INCOME FUND	256210105	Dividend, Non-Qual	108.51				
09/28	**DODGE & COX INCOME FUND	256210105	Dividend, Non-Qual	109.26				
12/21	**DODGE & COX INCOME FUND	256210105	Dividend, Non-Qual	104.22				
	Sub Total			413.84				
02/01	**FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND	31420B300	Dividend, Non-Qual	19.75				
03/01	**FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND	31420B300	Dividend, Non-Qual	19.94				
04/03	**FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND	31420B300	Dividend, Non-Qual	5.67				
	Sub Total			45.36				
04/03	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	14.04				
05/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	20.25				
06/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	19.82				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
07/03	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	19.85				
08/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	20.05				
09/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	21.37				
10/02	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	20.46				
11/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	20.37				
12/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	21.02				
12/31	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	Dividend, Non-Qual	20.70				
Sub Total				197.93				
01/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	18.83				
02/28	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	18.60				
03/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	19.34				
04/28	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	19.58				
05/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	19.35				
06/30	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	19.64				
07/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	20.10				
08/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	20.29				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
09/29	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	20.06				
10/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	19.94				
11/30	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	19.33				
12/29	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend, Non-Qual	19.75				
Sub Total				234.81				
03/30	**JPMORGAN TR I U S EQUITY FD CLASS L	4812A1142	Dividend, Non-Qual	3.15				
06/29	**JPMORGAN TR I U S EQUITY FD CLASS L	4812A1142	Dividend, Non-Qual	4.01				
Sub Total				7.16				
10/30	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	Dividend, Non-Qual	6.08				
11/29	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	Dividend, Non-Qual	6.28				
12/14	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	S/T Gains, Non-Qual	0.12				
12/28	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	Dividend, Non-Qual	6.36				
Sub Total				18.84				
10/30	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4812C0126	Dividend, Non-Qual	6.39				
11/29	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4812C0126	Dividend, Non-Qual	7.25				
Sub Total				13.64				
01/30	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	19.15				

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SRK

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
02/27	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	21.74				
03/30	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	23.33				
04/27	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	6.57				
05/30	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	5.91				
06/29	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	5.76				
07/28	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	5.88				
08/30	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	5.66				
09/28	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	Dividend, Non-Qual	5.75				
Sub Total				99.75				
01/30	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	17.25				
02/27	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	18.05				
03/30	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	20.32				
04/27	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	20.28				
05/30	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	20.36				
06/29	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	20.74				
07/28	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	6.12				

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J.P. MORGAN SECURITIES LLC  
MEMBER FINRA, NYSE, SIPC  
420 W. VAN BUREN STREET  
SUITE LL-0291, 11TH FL  
CHICAGO, IL 60606-3534

Account No: VICENTE GONZALEZ JR TOD  
Account Name: ON-FILE  
Recipient's Identification Number:  
Account Executive No: S&K

ORIGINAL: 12/31/17

**J.P.Morgan**

## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
08/30	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	6.10				
09/28	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	Dividend, Non-Qual	6.03				
Sub Total				135.25				
09/28	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	Dividend, Non-Qual	5.14				
12/14	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	S/T Gains, Non-Qual	17.24				
12/21	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	Dividend, Non-Qual	6.71				
Sub Total				29.09				
02/01	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	Dividend, Non-Qual	25.29				
03/01	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	Dividend, Non-Qual	25.50				
04/03	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	Dividend, Non-Qual	25.14				
05/01	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	Dividend, Non-Qual	25.35				
06/01	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	Dividend, Non-Qual	8.98				
Sub Total				110.26				
06/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	15.60				
07/03	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	25.52				
08/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	19.39				
09/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	18.81				

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J.P.Morgan

## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
10/02	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	18.54				
11/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	17.50				
12/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	18.47				
12/29	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	Dividend, Non-Qual	18.22				
Sub Total				152.05				
02/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	17.98				
03/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	18.15				
04/03	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	18.25				
05/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	18.25				
06/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	18.95				
07/03	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	19.76				
08/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	19.84				
09/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	20.19				
10/02	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	21.01				
11/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	20.41				
12/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	Dividend, Non-Qual	20.48				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
12/29	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273E640	Dividend, Non-Qual	20.20				
Sub Total				233.47				
12/12	**MFS SER TR I RESH INTL FD CL R6	55273H361	Dividend, Non-Qual	1.37		1.37		Foreign Tax Paid
01/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	37.58				
02/28	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	37.78				
03/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	37.99				
04/28	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	38.19				
05/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	38.39				
06/30	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	38.59				
07/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	35.91				
08/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend, Non-Qual	36.10				
Sub Total				300.53				
09/29	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend, Non-Qual	37.44				
10/31	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend, Non-Qual	37.64				
11/30	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend, Non-Qual	44.98				
12/21	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend, Non-Qual	38.43				
Sub Total				158.49				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
05/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	30.62				
06/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	30.10				
07/03	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	29.47				
08/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	24.94				
09/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	26.86				
10/02	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	27.65				
11/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	25.42				
12/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	26.14				
12/29	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Dividend, Non-Qual	32.36				
Sub Total				253.56				
02/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	21.13				
03/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	22.63				
04/03	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	25.45				
05/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	22.69				
06/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	25.43				
07/03	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	26.26				

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VICENTE GONZALEZ JR TOD

ORIGINAL: 12/31/17

## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
08/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	22.33				
09/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	23.83				
10/02	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	24.65				
11/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	23.98				
12/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	25.58				
12/14	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	S/T Gains, Non-Qual	30.55				
12/28	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	0.83				
12/29	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	Dividend, Non-Qual	26.05				
Sub Total				321.59				
01/31	**T ROWE PRICE NEW INCOME FUND INC	779570100	Dividend, Non-Qual	39.84				
02/28	**T ROWE PRICE NEW INCOME FUND INC	779570100	Dividend, Non-Qual	42.18				
04/03	**T ROWE PRICE NEW INCOME FUND INC	779570100	Dividend, Non-Qual	13.10				
Sub Total				95.12				
03/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	37.84				
04/28	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	42.90				
05/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	46.43				

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Account No:  
Account Name:  
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ORIGINAL: 12/31/17

J.P.Morgan

## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
06/30	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	50.72				
07/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	44.61				
08/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	47.95				
09/29	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	48.67				
10/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	47.54				
11/30	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	50.29				
12/29	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend, Non-Qual	50.04				
Sub Total				466.99				
02/01	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	Dividend, Non-Qual	25.72				
03/01	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	Dividend, Non-Qual	24.40				
04/03	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	Dividend, Non-Qual	26.98				
04/21	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	Dividend, Non-Qual	17.31				
Sub Total				94.41				
02/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	27.78				
03/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	25.63				
04/03	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	28.76				

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Account No: VICENTE GONZALEZ JR TOD  
Account Name: ON-FILE  
Recipient's Identification Number: 66K  
Account Executive No: 12/31/17

J.P.Morgan

ORIGINAL: 12/31/17

## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
05/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	28.02				
06/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	28.85				
07/03	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	28.26				
08/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	29.49				
09/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	29.81				
10/02	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	29.17				
11/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	30.53				
12/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	29.44				
12/31	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	Dividend, Non-Qual	30.84				
Sub Total				346.58				
02/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	20.92		0.07		Foreign Tax Paid
03/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	20.94		0.07		Foreign Tax Paid
04/03	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	20.96		0.07		Foreign Tax Paid
05/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	38.95		0.13		Foreign Tax Paid
06/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	36.93		0.12		Foreign Tax Paid
07/03	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	39.02		0.13		Foreign Tax Paid

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
08/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	39.05		0.13		Foreign Tax Paid
09/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	41.15		0.14		Foreign Tax Paid
10/02	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	41.18		0.14		Foreign Tax Paid
11/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	41.22		0.14		Foreign Tax Paid
12/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	39.19		0.13		Foreign Tax Paid
12/26	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Dividend, Non-Qual	571.53		1.94		Foreign Tax Paid
Sub Total				951.04		3.21		
02/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	5.72				
03/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	5.51				
04/03	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.36				
05/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.15				
06/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.24				
07/03	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.20				
08/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.25				
09/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.15				
10/02	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	5.63				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
11/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	5.99				
12/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.20				
12/31	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	Dividend, Non-Qual	6.93				
	Sub Total			73.33				
06/27	VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	922042874	Dividend, Non-Qual	11.63		0.61		Foreign Tax Paid
09/25	VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	922042874	Dividend, Non-Qual	3.46		0.18		Foreign Tax Paid
12/22	VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	922042874	Dividend, Non-Qual	4.70		0.25		Foreign Tax Paid
	Sub Total			19.79		1.04		
	Total Non-Qualified Dividends (Included in Box 1a)			4,869.09				
04/12	**BLACKROCK FDS ISHARES RUSSELL MD CP IDX CL K	091836286	Dividend	7.32				
12/15	**BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K	09253F879	Dividend	313.44		20.79		Foreign Tax Paid
12/27	**BROWN ADVISORY FDS WMC STRATEGIC EUROP EQI INSTL	115233629	Dividend	34.28		4.97		Foreign Tax Paid
12/22	**CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL	14949P208	Dividend	89.23		8.47		Foreign Tax Paid
12/20	**DODGE & COX FUNDS INTERNATIONAL STOCK FUND	256206103	Dividend	152.80		15.09		Foreign Tax Paid
01/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.44				
02/28	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.43				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
03/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.48				
04/28	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.50				
05/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.48				
06/30	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.51				
07/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.54				
08/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.56				
09/29	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.54				
10/31	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.53				
11/30	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.48				
12/29	**JOHN HANCOCK INCOME FUND CL I	410227839	Dividend	1.51				
Sub Total				18.00				
12/15	**HARRIS ASSOC INV TR OAKMARK FD INSTL CL	413838780	Dividend	37.31				
06/26	ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	Dividend	33.01		4.80		Foreign Tax Paid
12/26	ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	Dividend	36.45		4.10		Foreign Tax Paid
Sub Total				69.46		8.90		
03/30	**JPMORGAN TR I U S EQUITY FD CLASS L	4812A1142	Dividend	10.38				

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Account Name:  
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Account Executive No:  
VICENTE GONZALEZ JR TOD  
ON-FILE  
S&K

J.P.Morgan

ORIGINAL: 12/31/17

## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
06/29	**JPMORGAN TRI U S EQUITY FD CLASS L	4812A1142	Dividend	13.23				
	Sub Total			23.61				
09/28	**JPMORGAN TRI US EQUITY FD CL R6	48121L817	Dividend	16.95				
12/14	**JPMORGAN TRI US EQUITY FD CL R6	48121L817	S/T Gains, Qual	56.82				
12/21	**JPMORGAN TRI US EQUITY FD CL R6	48121L817	Dividend	22.11				
	Sub Total			95.88				
12/12	**MFS SER TRI RESH INTL FD CL R6	55273H361	Dividend	118.19		12.95		Foreign Tax Paid
01/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.04				
02/28	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.05				
03/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.05				
04/28	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.05				
05/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.05				
06/30	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.05				
07/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.04				
08/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Dividend	0.04				
	Sub Total			0.37				
09/29	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend	0.05				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
10/31	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend	0.05				
11/30	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend	0.06				
12/21	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Dividend	0.05				
Sub Total				0.21				
06/30	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	Dividend	19.03				
12/19	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	S/T Gains, Qual	9.56				
12/19	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	Dividend	45.52				
Sub Total				74.11				
01/31	**T ROWE PRICE NEW INCOME FUND INC	779570100	Dividend	0.01				
02/28	**T ROWE PRICE NEW INCOME FUND INC	779570100	Dividend	0.01				
Sub Total				0.02				
03/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.01				
04/28	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.01				
05/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.01				
06/30	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.02				
07/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.01				
08/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.01				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
09/29	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.01				
10/31	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.01				
11/30	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.02				
12/29	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	Dividend	0.02				
Sub Total				0.13				
04/28	SPDR S&P 500 ETF TRUST	78462F103	Dividend	148.77				
07/31	SPDR S&P 500 ETF TRUST	78462F103	Dividend	170.37				
10/31	SPDR S&P 500 ETF TRUST	78462F103	Dividend	177.78				
12/18	SPDR S&P 500 ETF TRUST	78462F103	Dividend	178.38				
Sub Total				675.30				
06/27	VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	922042874	Dividend	43.33		2.29		Foreign Tax Paid
09/25	VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	922042874	Dividend	12.88		0.68		Foreign Tax Paid
12/22	VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	922042874	Dividend	17.48		0.92		Foreign Tax Paid
Sub Total				73.69		3.89		
03/22	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	Dividend	15.48				
06/23	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	Dividend	15.74				
09/20	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	Dividend	44.69				
12/26	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	Dividend	42.40				
Sub Total				118.31				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
Total Qualified Dividends (Box 1b included in Box 1a)				1,901.66				
Total Ordinary Dividends (Box 1a)				6,770.75				
03/30	**DODGE & COX INCOME FUND	256210105	L/T Cap Gains	10.10				
12/21	**DODGE & COX INCOME FUND	256210105	L/T Cap Gains	30.11				
	Sub Total			40.21				
12/15	**HARRIS ASSOC INVT TR OAKMARK FD INSTL CL	413838780	L/T Cap Gains	203.10				
12/14	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	L/T Cap Gains	5.93				
12/14	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	L/T Cap Gains	509.03				
07/03	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	L/T Cap Gains	47.02				
12/20	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	L/T Cap Gains	290.33				
	Sub Total			337.35				
12/14	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	L/T Cap Gains	30.78				
04/03	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	L/T Cap Gains	0.61				
12/14	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	L/T Cap Gains	4.25				
Total Capital Gains Distributions (Included in Box 2a)				1,131.26				
Total Capital Gains (Box 2a)				1,131.26				

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## 2017 DIVIDEND & DISTRIBUTION DETAILS

### DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
01/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	7.28				
02/28	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	7.31				
03/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	7.34				
04/28	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	7.38				
05/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	7.43				
06/30	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	7.47				
07/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	6.95				
08/31	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	Return Of Capital	6.99				
Sub Total				58.15				
09/29	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Return Of Capital	7.06				
10/31	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	Return Of Capital	7.11				
Sub Total				14.17				
01/31	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Return Of Capital	25.93				
02/28	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Return Of Capital	28.49				
03/31	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Return Of Capital	36.05				
Sub Total				90.47				

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2017 DIVIDEND & DISTRIBUTION DETAILS

DETAILS OF 2017 FORM 1099-DIV (continued)

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
Total Nondividend Distributions (Box 3)				162.79				
Total Foreign Tax Paid (Box 6)						85.61		

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## 2017 INTEREST INCOME DETAILS

### DETAILS OF 2017 FORM 1099-INT

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
02/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	1.46				
03/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	1.12				
04/03	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	1.42				
05/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	1.45				
06/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	1.66				
07/03	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	1.46				
08/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	2.39				
09/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	2.61				
10/02	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	2.28				
11/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	2.28				
12/01	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	2.16				
12/31	J P MORGAN DEPOSIT SWEEP MGD JPMORGAN CHASE BANK NA		Corporate Interest	3.70				
Sub Totals				23.99				
Total Interest Income Not Included in Box 3 (Box 1)				23.99				



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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
07/06	**BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K	09263F879	Bought	47.52	13.25	629.65	
07/06	**CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL	14949P208	Bought	255.17	15.70	4,006.19	
04/20	**DODGE & COX INCOME FUND	256210105	Bought	216.26	13.72	2,967.08	
01/03	ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	Bought	17.00	49.15	836.53	
01/04	ISHARES INC ISHARES MSCI JAPAN ETF	46434G822	Bought	34.00	50.11	1,703.76	
12/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	Bought	359.22	10.25	3,682.02	
04/20	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	Bought	881.81	21.75	19,179.35	
05/11	VANGUARD INTL EQUITY INDEX FD FTSE EUROPE ETF	922042874	Bought	67.00	54.86	3,675.60	
07/06	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	Bought	22.10	222.63	4,920.37	
DETAILS OF 2017 REINVESTMENTS							
04/13	**BLACKROCK FDS MIDCAP INDEX FD CL K	091936286	REINVEST	2.57	0.00	27.78	
12/18	**BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K	09263F879	REINVEST	25.94	0.00	362.10	
12/28	**BROWN ADVISORY FDS WMC STRATEGIC EUROPN EQL INSTL	115233629	REINVEST	2.28	0.00	29.31	
12/22	**CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL	14949P208	REINVEST	4.72	0.00	80.76	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
12/21	**DODGE & COX FUNDS INTERNATIONAL STOCK FUND	256206103	REINVEST	3.01	0.00	137.71	
03/30	**DODGE & COX INCOME FUND REINVEST PRICE \$ 13.63	256210105	REINVEST	0.74	0.00	10.10	
03/30	**DODGE & COX INCOME FUND REINVEST PRICE \$ 13.63	256210105	REINVEST	6.74	0.00	91.85	
06/29	**DODGE & COX INCOME FUND REINVEST PRICE \$ 13.77	256210105	REINVEST	7.88	0.00	108.51	
09/28	**DODGE & COX INCOME FUND REINVEST PRICE \$ 13.83	256210105	REINVEST	7.90	0.00	109.26	
12/21	**DODGE & COX INCOME FUND REINVEST PRICE \$ 13.73	256210105	REINVEST	2.19	0.00	30.11	
12/21	**DODGE & COX INCOME FUND REINVEST PRICE \$ 13.73	256210105	REINVEST	7.59	0.00	104.22	
01/03	**FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND	31420B300	REINVEST	2.00	0.00	19.74	
02/01	**FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND	31420B300	REINVEST	1.99	0.00	19.75	
03/01	**FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND	31420B300	REINVEST	1.98	0.00	19.94	
04/03	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	1.41	0.00	14.04	
05/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	2.02	0.00	20.25	
06/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	1.96	0.00	19.82	
07/03	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	1.97	0.00	19.85	
08/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	1.97	0.00	20.05	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
09/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	2.12	0.00	21.37	
10/02	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	2.02	0.00	20.46	
11/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	2.02	0.00	20.37	
12/01	**FEDERATED INSTL TR HIGH YIELD BD FD CL R6	31420B847	REINVEST	2.10	0.00	21.02	
01/03	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.17	0.00	20.21	
02/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.17	0.00	20.27	
03/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.12	0.00	20.03	
04/03	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.24	0.00	20.82	
05/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.27	0.00	21.08	
06/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.22	0.00	20.83	
07/03	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.27	0.00	21.15	
08/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.33	0.00	21.64	
09/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.37	0.00	21.85	
10/02	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.33	0.00	21.60	
11/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.33	0.00	21.47	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
12/01	**JOHN HANCOCK INCOME FUND CL I	410227839	REINVEST	3.22	0.00	20.81	
12/15	**HARRIS ASSOC INVT TR OAKMARK FD INSTL CL	413838780	REINVEST	0.45	0.00	37.31	
12/15	**HARRIS ASSOC INVT TR OAKMARK FD INSTL CL	413838780	REINVEST	2.45	0.00	203.10	
03/30	**JPMORGAN TR I U S EQUITY FD CLASS L	4812A1142	REINVEST	0.87	0.00	13.53	
06/29	**JPMORGAN TR I U S EQUITY FD CLASS L	4812A1142	REINVEST	1.08	0.00	17.24	
10/30	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	REINVEST	0.52	0.00	6.08	
11/29	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	REINVEST	0.54	0.00	6.28	
12/14	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	REINVEST	0.01	0.00	0.12	
12/14	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	REINVEST	0.51	0.00	5.93	
12/28	**JPMORGAN TR II CORE BD FD ULTRA CL	4812C0100	REINVEST	0.55	0.00	6.36	
10/30	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4812C0126	REINVEST	0.85	0.00	6.39	
11/29	**JPMORGAN TR II HIGH YIELD FD ULTRA CL	4812C0126	REINVEST	0.98	0.00	7.25	
01/30	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	REINVEST	1.67	0.00	19.15	
02/27	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	REINVEST	1.88	0.00	21.74	
03/30	**JPMORGAN TR II CORE BD FD SELECT CL	4812C0381	REINVEST	2.02	0.00	23.33	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
04/27	**JPMORGAN TR II CORE BD FD CL I	4812C0381	REINVEST	0.57	0.00	6.57	
05/30	**JPMORGAN TR II CORE BD FD CL I	4812C0381	REINVEST	0.51	0.00	5.91	
06/29	**JPMORGAN TR II CORE BD FD CL I	4812C0381	REINVEST	0.49	0.00	5.76	
07/28	**JPMORGAN TR II CORE BD FD CL I	4812C0381	REINVEST	0.51	0.00	5.88	
08/30	**JPMORGAN TR II CORE BD FD CL I	4812C0381	REINVEST	0.48	0.00	5.66	
09/28	**JPMORGAN TR II CORE BD FD CL I	4812C0381	REINVEST	0.49	0.00	5.75	
01/30	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	REINVEST	2.33	0.00	17.25	
02/27	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	REINVEST	2.42	0.00	18.05	
03/30	**JPMORGAN TR II HIGH YIELD FD SELECT CL	4812C0803	REINVEST	2.75	0.00	20.32	
04/27	**JPMORGAN TR II HIGH YIELD FD CL I	4812C0803	REINVEST	2.72	0.00	20.28	
05/30	**JPMORGAN TR II HIGH YIELD FD CL I	4812C0803	REINVEST	2.72	0.00	20.36	
06/29	**JPMORGAN TR II HIGH YIELD FD CL I	4812C0803	REINVEST	2.79	0.00	20.74	
07/28	**JPMORGAN TR II HIGH YIELD FD CL I	4812C0803	REINVEST	0.82	0.00	6.12	
08/30	**JPMORGAN TR II HIGH YIELD FD CL I	4812C0803	REINVEST	0.82	0.00	6.10	
09/28	**JPMORGAN TR II HIGH YIELD FD CL I	4812C0803	REINVEST	0.81	0.00	6.03	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
09/26	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	REINVEST	1.34	0.00	22.09	
12/14	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	REINVEST	4.56	0.00	74.06	
12/14	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	REINVEST	31.34	0.00	509.03	
12/21	**JPMORGAN TR I US EQUITY FD CL R6	48121L817	REINVEST	1.77	0.00	28.82	
01/03	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	REINVEST	5.84	0.00	25.09	
02/01	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	REINVEST	5.87	0.00	25.29	
03/01	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	REINVEST	5.92	0.00	25.50	
04/03	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	REINVEST	5.85	0.00	25.14	
05/01	**LORD ABBETT SHORT DURATION INCOME FUND CL I	543916688	REINVEST	5.90	0.00	25.35	
06/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	REINVEST	3.62	0.00	15.60	
07/03	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	REINVEST	5.95	0.00	25.52	
08/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	REINVEST	4.51	0.00	19.39	
09/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	REINVEST	4.37	0.00	18.81	
10/02	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	REINVEST	4.32	0.00	18.54	
11/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	REINVEST	4.09	0.00	17.50	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
12/01	**LORD ABBETT INVT TR SHORT DURATION INCOME FD CL F3	54401E143	REINVEST	4.34	0.00	18.47	
01/03	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.29	0.00	18.60	
02/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.24	0.00	17.98	
03/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.23	0.00	18.15	
04/03	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.24	0.00	18.25	
05/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.22	0.00	18.25	
06/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.27	0.00	18.95	
07/03	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.33	0.00	19.76	
08/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.33	0.00	19.84	
09/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.33	0.00	20.19	
10/02	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.39	0.00	21.01	
11/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.35	0.00	20.41	
12/01	**MFS SER TR X EMERGING MARKET DEBT FUND CLASS I	55273EE640	REINVEST	1.36	0.00	20.48	
12/13	**MFS SER TR I RESH INTL FD CL RG	55273H361	REINVEST	5.58	0.00	105.24	
02/01	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	7.76	0.00	44.90	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
03/01	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	7.76	0.00	45.14	
04/03	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	7.87	0.00	45.38	
05/01	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	7.87	0.00	45.62	
06/01	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	7.90	0.00	45.87	
07/03	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	8.01	0.00	46.11	
08/01	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	7.40	0.00	42.90	
09/01	**MAINSTAY HIGH YIELD CORPORATE BOND FUND CL I	56062X708	REINVEST	7.46	0.00	43.13	
10/02	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	REINVEST	7.69	0.00	44.55	
11/01	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	REINVEST	7.76	0.00	44.80	
12/01	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	REINVEST	7.85	0.00	45.04	
12/22	**MAINSTAY FDS HIGH YIELD CORP BD FD CL R6	56063N881	REINVEST	6.73	0.00	38.48	
07/03	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	REINVEST	0.63	0.00	19.03	
07/03	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	REINVEST	1.56	0.00	47.02	
12/20	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	REINVEST	0.31	0.00	9.56	
12/20	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	REINVEST	1.45	0.00	45.52	



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12/31/17

## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
12/20	**MASSACHUSETTS INVESTORS TRUST-CL I	575736400	REINVEST	9.27	0.00	290.33	
01/03	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	3.16	0.00	31.64	
02/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.57	0.00	25.93	
03/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.81	0.00	28.49	
04/03	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	3.56	0.00	36.05	
05/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	3.01	0.00	30.62	
06/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.94	0.00	30.10	
07/03	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.88	0.00	29.47	
08/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.43	0.00	24.94	
09/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.59	0.00	26.86	
10/02	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.68	0.00	27.65	
11/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.47	0.00	25.42	
12/01	**PIMCO TOTAL RETURN FUND INSTL CL	693390700	REINVEST	2.56	0.00	26.14	
01/03	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.56	0.00	26.11	
02/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.06	0.00	21.13	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
03/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.21	0.00	22.83	
04/03	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.46	0.00	25.45	
05/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.17	0.00	22.69	
06/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.42	0.00	25.43	
07/03	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.49	0.00	26.26	
08/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.11	0.00	22.33	
09/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.23	0.00	23.83	
10/02	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.32	0.00	24.65	
11/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.26	0.00	23.98	
12/01	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.42	0.00	25.58	
12/14	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.89	0.00	30.55	
12/14	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	2.92	0.00	30.78	
12/28	**PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP BD FD INSTL CL	722005816	REINVEST	0.08	0.00	0.83	
01/03	**T ROWE PRICE NEW INCOME FUND INC	779570100	REINVEST	4.83	0.00	45.28	
02/01	**T ROWE PRICE NEW INCOME FUND INC	779570100	REINVEST	4.25	0.00	39.85	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
03/01	**T ROWE PRICE NEW INCOME FUND INC	779570100	REINVEST	4.47	0.00	42.19	
04/03	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	4.03	0.00	37.85	
05/01	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	4.54	0.00	42.91	
06/01	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	4.89	0.00	46.44	
07/03	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	5.35	0.00	50.74	
08/01	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	4.69	0.00	44.62	
09/01	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	5.02	0.00	47.96	
10/02	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	5.12	0.00	48.68	
11/01	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	5.01	0.00	47.55	
12/01	**ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVEST	5.32	0.00	50.31	
01/03	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	REINVEST	2.42	0.00	25.74	
02/01	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	REINVEST	2.41	0.00	25.72	
03/01	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	REINVEST	2.28	0.00	24.40	
04/03	**VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRAL	921937603	REINVEST	2.53	0.00	26.98	
01/03	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.65	0.00	27.65	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
02/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.66	0.00	27.78	
03/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.45	0.00	25.63	
04/03	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	0.06	0.00	0.61	
04/03	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.76	0.00	28.76	
05/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.68	0.00	28.02	
06/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.75	0.00	28.85	
07/03	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.70	0.00	28.26	
08/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.81	0.00	28.49	
09/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.84	0.00	29.81	
10/02	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.79	0.00	29.17	
11/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.92	0.00	30.53	
12/01	**VANGUARD SHORT TERM BOND INDEX FUND ADMIRAL SHARES	921937702	REINVEST	2.83	0.00	29.44	
02/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	0.97	0.00	20.85	
03/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	0.96	0.00	20.87	
04/03	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	0.97	0.00	20.89	
05/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.79	0.00	38.82	

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## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
06/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.69	0.00	36.81	
07/03	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.79	0.00	38.89	
08/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.79	0.00	38.92	
09/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.87	0.00	41.01	
10/02	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.89	0.00	41.04	
11/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.88	0.00	41.08	
12/01	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	1.78	0.00	39.06	
12/26	**VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL	92203J308	REINVEST	26.27	0.00	569.59	
01/03	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.59	0.00	5.69	
02/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.59	0.00	5.72	
03/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.57	0.00	5.51	
04/03	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.66	0.00	6.36	
05/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.63	0.00	6.15	
06/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.64	0.00	6.24	
07/03	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.63	0.00	6.20	
08/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.64	0.00	6.25	

This statement is not a substitute for Form 1099 and is provided for informational purposes only.

J.P. MORGAN SECURITIES LLC  
MEMBER FINRA, NYSE, SIPC  
420 W. VAN BUREN STREET  
SUITE IL1-0291, 11TH FL  
CHICAGO, IL 60606-3534

Account No:  
Account Name:  
Recipient's Identification Number:  
Account Executive No:  
VICENTE GONZALEZ JR TOD  
ON-FILE  
S&K

ORIGINAL: 12/31/17

**J.P.Morgan**

## 2017 INVESTMENT DETAILS

### DETAILS OF 2017 REINVESTMENTS (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
09/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.62	0.00	6.15	
10/02	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.57	0.00	5.63	
11/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.61	0.00	5.99	
12/01	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.64	0.00	6.20	
12/14	**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD	922031810	REINVEST	0.44	0.00	4.25	
03/22	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	REINVEST	0.07	0.00	15.48	
06/23	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	REINVEST	0.07	0.00	15.74	
09/20	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	REINVEST	0.19	0.00	44.69	
12/26	**VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL	922908710	REINVEST	0.17	0.00	42.40	

END OF 2017 DETAILS

<b>TD Ameritrade</b> <small>Member FINRA/SIPC</small>	<b>Tax Information</b> <b>Account 779933369</b>	<b>Statement Date</b> <b>Document ID:</b>
PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>VINCENT GONZALEZ JR</b>	
<b>PAYER'S Federal ID No:</b>	<b>RECIPIENT'S ID No:</b>	

[ ] FATCA filing requirement (see instructions)

### Summary Information

DIVIDENDS AND DISTRIBUTIONS 2017 1099-DIV*		OMB No. 1545-0110	MISCELLANEOUS INCOME
1a- Total ordinary dividends (includes line 1b)		1,657.50	2- Royalties
1b- Qualified dividends		1,657.50	3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)		0.00	4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain		0.00	6- Substitute payments in lieu of dividends
2c- Section 1202 gain		0.00	
2d- Collectibles (28%) gain		0.00	
3- Nondividend distributions		0.00	<b>REGULATED FUTURES CONTRACTS</b>
4- Federal income tax withheld		0.00	8- Profit or (loss) realized in 2017 on contracts
5- Investment expenses		0.00	9- Unrealized profit or (loss) on open contracts
7- Foreign country or US possession:	6- Foreign tax paid:	0.00	10- Unrealized profit or (loss) on open contracts
8- Cash liquidation distributions		0.00	11- Aggregate profit or (loss) on contracts
9- Noncash liquidation distributions		0.00	
10- Exempt-interest dividends (includes line 11)		0.00	<i>If applicable, proceeds from sale transactions detailed in subsequent sections of this form</i>
11- Specified private activity bond interest dividends (AMT)		0.00	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it is.

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown are in U.S. dollars.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash
Short	A (basis reported to the IRS)	0.00	0.00	0.00	
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	
Short	C (Form 1099-B not received)	0.00	0.00	0.00	
	<b>Total Short-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
Long	D (basis reported to the IRS)	0.00	0.00	0.00	
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	
Long	F (Form 1099-B not received)	0.00	0.00	0.00	
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
	<b>Grand total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Withholding</b>		<b>Amount</b>			
Federal income tax withheld		0.00			

Changes to dividend tax classifications processed after your original tax form is issued for 2017 may require an amended tax form.

# Summary Information

(continued)

2017

**INTEREST INCOME**

2017 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	89.76
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession:	6- Foreign tax paid:
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT A**

Use bond-by-bond details from the Form 1 Original Issue Discount income for your income tax return for your reference when preparing your tax return(s).

Original issue discount for 2017  
 Acquisition premium (covered lots)  
 Acquisition premium (noncovered lots)  
 Original issue discount on Treasury obligations  
 Acquisition premium, Treasury obligations  
 Acquisition premium, Treasury obligations  
 Tax-exempt OID  
 Tax-exempt OID (lots not reported)  
 Acquisition premium  
 Acquisition premium (lots not reported)  
 Tax-exempt OID on private activity bonds  
 Tax-exempt OID on private activity bonds  
 Acquisition premium (AMT)  
 Acquisition premium (AMT, lots not reported)  
 Market discount (all lots)  
 Early withdrawal penalty  
 Investment expenses

**RECONCILIATIONS, FEES, EXPENSES, AND OTHER**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Part  
 Other Receipts & Reconciliations- Foreign  
 Other Receipts & Reconciliations- Retirement  
 Other Receipts & Reconciliations- Defined  
 Other Receipts & Reconciliations- Deferred  
 Other Receipts & Reconciliations- Income  
 Other Receipts & Reconciliations- Basis  
 Other Receipts & Reconciliations- Foreign  
 Fees & Expenses- Margin interest  
 Fees & Expenses- Dividends paid on securities  
 Fees & Expenses- Interest paid on securities  
 Fees & Expenses- Non reportable distributions  
 Fees & Expenses- Other expenses  
 Fees & Expenses- Severance tax  
 Fees & Expenses- Organizational expenses  
 Fees & Expenses- Miscellaneous fees  
 Fees & Expenses- Tax-exempt investment  
 Foreign Exchange Gains & Losses- Foreign



## Detail for Dividends and Distributions

2017

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type
HSBC HLDG PLC ADR SPONSORED	404280406 HSBC		04/06/17	682.50	Qualified dividend
			07/05/17	325.00	Qualified dividend
			09/20/17	325.00	Qualified dividend
			11/22/17	325.00	Qualified dividend
				1,657.50	Total Dividends & distri
				1,657.50	Total Dividends & distri

## Detail for Interest Income

2017

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	01/31/17	2.10	Interest- money	
		01/31/17	0.71	Interest- money	
		02/28/17	1.90	Interest- money	
		02/28/17	0.64	Interest- money	
		03/31/17	2.10	Interest- money	
		03/31/17	0.71	Interest- money	
		04/30/17	2.03	Interest- money	
		04/30/17	0.69	Interest- money	
		05/31/17	2.10	Interest- money	
		05/31/17	0.72	Interest- money	
		06/30/17	2.03	Interest- money	
		06/30/17	0.69	Interest- money	
		07/31/17	2.10	Interest- money	
		07/31/17	0.72	Interest- money	
		08/31/17	10.51	Interest- money	
		08/31/17	3.60	Interest- money	
		09/30/17	10.17	Interest- money	
		09/30/17	3.49	Interest- money	
		10/31/17	10.51	Interest- money	
		10/31/17	3.61	Interest- money	
		11/30/17	10.17	Interest- money	
		11/30/17	3.50	Interest- money	
		12/31/17	11.12	Interest- money	
		12/31/17	3.84	Interest- money	
			89.76	Total Interest	
			89.76	Total Interest	

## Foreign Income and Taxes Summary

2017

This schedule lists all income and foreign tax by country. Foreign withholding from mutual funds is listed in a separate section with a country designation of "I".

Country	Security Description	CUSIP	Dividends		Interest
			Nonqualified	Qualified	
UK	HSBC HLDG PLC ADR SPONSORED	404280406	0.00	1,657.50	0.
Total UNITED KINGDOM			0.00	1,657.50	0.
Grand Total	Total Foreign Source Income*			1,657.50	
	Qualified Foreign Source Income**			1,657.50	
	Foreign Tax			0.00	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

#### Common Instructions for Recipient

**Recipient's identification number.** For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 506 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2017 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the instructions for Form 9938.

Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040). If required, **Line 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown on line 2a on Schedule D (Form 1040), line 13. But, if no amount is shown on line 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

**Line 2b.** Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet—Line 19 in the Schedule D instructions (Form 1040).

**Line 2c.** Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. **Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet—Line 18 in the instructions for Schedule D (Form 1040).

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet—Line 18 in the instructions for Schedule D (Form 1040).

**Line 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550.

**Line 4.** See "Backup Withholding" section.

**Line 5.** Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1a.

**Line 6.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

**Line 7.** This line should be left blank if a regulated investment company reported the foreign tax shown in line 6.

**Lines 8 and 9.** Shows cash and noncash liquidation distributions.

**Line 10.** Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See line 4.

**Line 11.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 10. See the instructions for Form 6251.

#### Instructions for Recipient

Lines 12-14. State income tax withheld reporting lines.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8948. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2018 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See line 4. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported on this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer

in writing in accordance with Regulations section 1.171-2(a)(4).  
Instructions for Form 1099-INT  
amount is not reported  
security acquired at  
payer has reported a  
than the amount of li  
section 1.171-2(a)(4)  
Line 13. For a tax-ex  
allocable to the inter  
to determine the net  
or Form 1040A. If a  
security acquired at  
8 or 9, whichever is  
interest paid on the  
See Regulations sec  
Line 14. Shows CUI  
was paid, or tax cred  
allowed, to your dur  
bond(s).  
Line 15-17. State ta



Mon Jul 9 2018 11:48:53 pm ET

# History & Statements

[Transactions](#) [Statements](#) [Confirmations](#) [Shareholder Library](#)

Type: All transaction types

Symbol(s): ☐[Symbol lookup](#)

(separate symbols with commas)

View: [1 day](#) [7 days](#) [14 days](#) [60 days](#) [Current Year](#) [Previous Year](#)

View date/range

from: Jan. 1 2017

to: Dec. 31 2017 [View](#) or [Download](#)

## Search results for 1/1/2017 to 12/31/2017

Date/Time	Description	Amount
12/29/2017 23:17:56	MONEY MARKET INTEREST (MMDA1)	0.00
11/30/2017 22:33:23	MONEY MARKET INTEREST (MMDA1)	0.00
11/24/2017 00:00:01	MONEY MARKET PURCHASE	-325.00
11/24/2017 00:00:01	MONEY MARKET PURCHASE (MMDA1)	0.00
11/22/2017 13:25:11	QUALIFIED DIVIDEND (HSBC)	325.00
10/31/2017 23:42:14	MONEY MARKET INTEREST (MMDA1)	0.00
09/29/2017 22:10:38	MONEY MARKET INTEREST (MMDA1)	0.00
09/21/2017 01:00:15	MONEY MARKET PURCHASE	-325.00
09/21/2017 01:00:15	MONEY MARKET PURCHASE (MMDA1)	0.00
09/20/2017 15:42:36	QUALIFIED DIVIDEND (HSBC)	325.00
08/31/2017 23:22:18	MONEY MARKET INTEREST (MMDA1)	0.00
07/31/2017 22:49:42	MONEY MARKET INTEREST (MMDA1)	0.00
07/06/2017 02:18:42	MONEY MARKET PURCHASE (MMDA1)	0.00
07/06/2017 02:18:41	MONEY MARKET PURCHASE	-325.00
07/05/2017 15:55:29	QUALIFIED DIVIDEND (HSBC)	325.00
06/30/2017 22:17:20	MONEY MARKET INTEREST (MMDA1)	0.00

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Exhibit 5 Properties of Vicente Gonzalez							
Schedule A - Assets "Unearned Income"							
Limited Liability Corporation	Type	Assets and/or Income Source	Address	City	Value of Assets	Type of Income	Calendar Year 2017
United Colonial Group LLC	Raw Land	Cibolo Rd.		Edinburg, Texas	\$50,001-\$100,000	Capital Gains	None
Tenant Services LLC	APT	4-Plex	712 Sand Piper Ave.	McAllen, Texas	\$250,000-\$500,000	Rent	\$5,001-\$15,000
United Colonial Group LLC	APT	4-Plex	721 Sand Piper Ave.	McAllen, Texas	\$100,000-\$250,000	Rent	\$15,001-\$50,000
Tenant Services LLC	APT	6-Plex	2311 Iris St.	McAllen, Texas	\$100,000-\$250,000	Rent	\$5,001-\$15,000
United Colonial Group LLC	APT	604 BlueBird	604 BlueBird	McAllen, Texas	\$100,000-\$250,000	Rent	\$5,001-\$15,000
None	APT	Monte Capitolio	233 Monte Capitolio Rd.	Monterrey, Mexico	\$100,000-\$250,000	Rent	None
United Colonial Group LLC	Real Estate	1548 Dove	1548 Dove Ave.	McAllen, Texas	\$100,000-\$250,000	Rent	\$15,001-\$50,000
Rio Grande Colonial LLC	Real Estate	121 N. 10th Street	121 N. 10th St.	McAllen, Texas	\$500,000-\$1,000,000	Rent	\$100,001 - \$1,000,000
10th St. Suites LLC	Real Estate	3711 N. 10th Street	3711 N. 10th St.	McAllen, Texas	\$500,000-\$1,000,000	Capital Gains	None
None	Real Estate	33 Carrera San Jeronimo	33 Carrera San Jeronimo	Madrid, Spain	\$250,000-\$500,000	Rent	\$15,001-\$50,000
Rechtsanwalte LLC	Real Estate	Ranch	476 Weldge-Lewis Rd.	Fredericksburg, Texas	\$250,000-\$500,000	Capital Gains	None



Properties of Lorena Saenz Gonzalez

Schedule A- Assets & "UNEARNED INCOME"				
Assets and/or Income Source	City	Value of Assets	Type of Income	Calendar Year 2017
5409 N 8th St	McAllen, Texas	\$100,001-\$250,000	Capital Gains	None
2005 Gumwood	McAllen, Texas	\$50,001-\$100,000	Capital Gains	None
721 N 21st	McAllen, Texas	\$50,001-\$100,000	Capital Gains	None