

UNITED STATES HOUSE OF REPRESENTATIVES 2017 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: THOMAS A. MAERUD Daytime Telephone: 202-225-3421

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

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(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES
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FILER STATUS	☒ Member of the U.S. House of Representatives	State: <u>PA</u> District: <u>10</u>	☐ Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) Shared ☐ Principal Assistant ☐
REPORT TYPE	☒ 2017 Annual (Due: May 15, 2018)	☐ Amendment	☐ Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes ☒ No ☐	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☐ No ☒
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes ☐ No ☒	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes ☐ No ☒
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes ☐ No ☒	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes ☒ No ☐
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes ☒ No ☐	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☒
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☐ No ☒	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐ No ☒
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☒

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Use additional sheets if more space is required.

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Use additional sheets if more space is required

SCHEDULE D - LIABILITIES

Name: THOMAS A. MARINO

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/15	Mortgage on Rental Property, Dover, DE				X							
JT	WELLS FARGO MORTGAGE	10/05	MORTGAGE ON RENTAL PROPERTY #1				X							
JT	CHASE FINANCIAL	11/06	MORTGAGE ON RENTAL PROPERTY #2					X						
JT	SHELLPOINT MORTGAGE	4/03	MORTGAGE ON PRIMARY RESIDENCE - COGANS, PA					X						

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization



4th Quarter 2017 Statement

Statement of Financial Position - 12/31/17

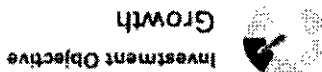
Your Financial Professional: Brian Kennedy

(610) 660-4427
40 Monument Road
Bala Cynwyd, PA 19004

Go Paperless! View your statements and trade confirmations anytime with the click of a button. Going paperless is convenient, secure and environmentally friendly. To go paperless, click on the LPL AccountView link accessible through your financial advisor or institution website.

Your Investment Advisor Firm:
AXA Advisors

Retirement Account



Growth

Investment Objective

Value on December 31, 2017

\$65,657.46

Value on October 1, 2017

\$61,841.06

Value on January 1, 2017

\$51,757.41

Account Summary

Starting Value of Assets Held at LPL Financial

\$61,841.06

\$51,757.41

• Contributions / Inflows

—

—

• Distributions / Outflows

—

—

• Increase / Decrease in Market Value

\$271.00

\$10,180.67

• Dividends, Interest, Capital Gains

\$3,545.40

\$3,759.38

• Fees / Expenses

—

(\$40.00)

Total Ending Value (December 31, 2017)

\$65,657.46

\$65,657.46

Total Change in Value of Assets Held at LPL Financial

\$3,816.40

\$13,900.05

Purchasing Power

Available Cash (December 31, 2017)

\$392.62

1 Increase/Decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account as well as the impact of any transfers of securities into or out of your account during the statement period.

AXA ADVISORS

Clearing through LPL Financial

Member FINRA, SIPC

1701 Executive Drive San Diego, CA 92121-1001

AXA Advisors, LLC



Retirement Account

Retirement Summary

Retirement Contributions applied to:

Retirement Distributions applied to:

Annual Maintenance fee due May 31, 2018

\$40.00

Asset Allocation As of 12/31/2017

1. Mutual Funds, ETFs and Closed-End Funds

2. Cash and Cash Equivalents

3. Equities and Options

4. Fixed Income

\$65,264.84 99.40%

\$392.62 0.60%

— —

— —

Clearing through LPL Financial
Member FINRA, SIPC
4207 Executive Drive San Diego, CA 92121-3894

AXA ADVISORS

AXA Advisors, LLC

10000 Avenue of the Americas, Suite 1000 New York, NY 10020-1000

Activity Summary Continued

Contributions / Inflows	—	—	—
Distributions / Outflows	—	—	—
Dividends, Interest, & Capital Gains	\$3,545.40	\$3,759.38	(\$40.00)
Fees / Expenses ¹	—	—	—

Account Activity

December 1 - December 31, 2017 (Since last statement)

Date	Transaction Type	Description/Security ID	Price(\$)	Quantity	Amount
12/19/2017	Cash Dividend	AB INTL VALUE ADVISOR CL 121917	393.41800	ABIXX	\$120.94
12/19/2017	Dividend	AB INTL VALUE ADVISOR CL REINVEST AT 14.940	ABIXX		(\$120.94)
12/21/2017	Long-Term Capital Gain	CAPITAL WORLD GROWTH & INCOME CL F2 122117	224.80100	WGIFX	\$566.35
12/21/2017	Cash Dividend	CAPITAL WORLD GROWTH & INCOME CL F2 122117	213.86300	WGIFX	\$47.14
12/21/2017	LT Capital Gain	CAPITAL WORLD GROWTH & INCOME CL F2 REINVEST AT	50.750	WGIFX	(\$566.35)
12/21/2017	Dividend	CAPITAL WORLD GROWTH & INCOME CL F2 REINVEST AT	50.750	WGIFX	(\$47.14)
12/21/2017	Long-Term Capital Gain	GROWTH FUND OF AMERICA CL F2 122117	748.14300	GFFFX	\$2,437.15
12/21/2017	Cash Dividend	GROWTH FUND OF AMERICA CL F2 122117	704.21600	GFFFX	\$269.34
12/21/2017	LT Capital Gain	GROWTH FUND OF AMERICA CL F2 REINVEST AT 49.350	GFFFX		(\$2,437.15)
12/21/2017	Dividend	GROWTH FUND OF AMERICA CL F2 REINVEST AT 49.350	GFFFX		(\$269.34)
12/26/2017	Cash Dividend	ISHARES MSCI EMERGING MARKETS ETF 122617	150	EEM	\$104.48

Fees and expenses include account, custodial and advisory fees assessed during the statement period.