



Filing ID #10046310

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Sam Searcy
Status: Congressional Candidate
State/District: NC13

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2017
Filing Date: 04/18/2022
Period Covered: 01/01/2021– 04/17/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Clintrax Global, Inc. [OL] LOCATION: Cary, NC, US DESCRIPTION: Global Site Contracting & Payments Service		None	Partnership Income	None	Over \$5,000,000
CollegeAmerica 529 Savings Plan #1 ⇒ American Balanced Fund 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ EuroPacific Growth Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ Fundamental Investors 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ SMALLCAP World Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #1 ⇒ The Growth Fund of America 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒ American Balanced Fund 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CollegeAmerica 529 Savings Plan #2 ⇒ EuroPacific Growth Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒ Fundamental Investors 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒ SMALLCAP World Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #2 ⇒ The Growth Fund of America 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ American Balanced Fund 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ EuroPacific Growth Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ Fundamental Investors 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ SMALLCAP World Fund 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		
CollegeAmerica 529 Savings Plan #3 ⇒ The Growth Fund of America 529A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		
ESI Holdings, LLC [OL] LOCATION: Greenville, NC, US DESCRIPTION: Multifamily Housing Investment		\$100,001 - \$250,000	None		
Graybeard Distillery, Inc. [OL] LOCATION: Durham, NC, US DESCRIPTION: Distillery		\$5,000,001 - \$25,000,000	None		
Northwestern Mutual ⇒ Universal Life Insurance [WU]		\$1,001 - \$15,000	None		
Northwestern Mutual Child #1 ⇒ Universal Life Insurance [WU]	DC	\$1,001 - \$15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Northwestern Mutual Child #2 ⇒ Universal Life Insurance [WU]	DC	\$1,001 - \$15,000	None		
Northwestern Mutual Child #3 ⇒ Universal Life Insurance [WU]	DC	\$1,001 - \$15,000	None		
Northwestern Mutual IRA ⇒ General Money Market Fund Class B (GMMB) [IH]		\$1,001 - \$15,000	Tax-Deferred		
Northwestern Mutual Roth IRA ⇒ American Growth Portfolio Fund Class A (GWPAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
RBC Wealth Management #1 ⇒ AB High Income Municipal Portfolio CL Advisor (ABTYX) [MF]	JT	None	Dividends	\$201 - \$1,000	None
RBC Wealth Management #1 ⇒ Durham NC Cap Fing Corp LTD General Purpose Rev 2012 [GS] DESCRIPTION: Coupon 4.0%; Due 06/01/2020	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
RBC Wealth Management #1 ⇒ Limited Term Tax-Exempt Bond Fund (LTEFX) [MF]	JT	None	Dividends	\$15,001 - \$50,000	None
RBC Wealth Management #1 ⇒ Ohio St Higher Edl Fac Rev Otterbein College Rev 2008A [GS] DESCRIPTION: Coupon 5.5%; Due 12/01/2028	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	None
RBC Wealth Management #1 ⇒ RBC Cash Plus [BA]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
RBC Wealth Management #1 ⇒ Rocky Mount NC SPL Oblig SPL General Purpose Rev 2016 [GS] DESCRIPTION: Coupon 4.0%; Due 05/01/2020	JT	\$100,001 - \$250,000	None		
RBC Wealth Management #1 ⇒ Sanford NC GO Pub Impt BDS General Purpose [GS] DESCRIPTION: Coupon 3.0%; Due 02/01/2032	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
RBC Wealth Management #1 ⇒ University NC Greensboro Rev Public Higher Education Rev 2009A [GS]	JT	\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
DESCRIPTION: Coupon 5.0%; Due 04/01/2022					
RBC Wealth Management #1 ⇒ Vaneck Vectors ETF TR High Yield Mun (HYD) [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
RBC Wealth Management #1 ⇒ Wake County NC LTD Oblig LTD General Purpose [GS]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	None
DESCRIPTION: Coupon 5.0%; Due 01/01/2026					
RBC Wealth Management #2 ⇒ AB High Income Municipal Portfolio CL Advisor (ABTYX) [MF]	JT	None	Dividends	\$1,001 - \$2,500	None
RBC Wealth Management #2 ⇒ Alps ETF TR Alerian MLP (AMLPL) [EF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	None
RBC Wealth Management #2 ⇒ Bank of America Corporation [CS]	JT	None	Interest	\$201 - \$1,000	None
DESCRIPTION: Coupon 3.42%; Due 03/04/2031					
RBC Wealth Management #2 ⇒ Barclays Bank PLC [CS]	JT	None	Capital Gains	\$1,001 - \$2,500	None
DESCRIPTION: Coupon 6.0%; Due 04/25/2019					
RBC Wealth Management #2 ⇒ Credit Suisse London SR NT [CS]	JT	None	Interest	\$1,001 - \$2,500	None
DESCRIPTION: Coupon 5.5%; Due 03/11/2019					
RBC Wealth Management #2 ⇒ Diamond Hill FDS Large Cap Fund CL I (DHLRX) [MF]	JT	\$50,001 - \$100,000	None		
RBC Wealth Management #2 ⇒ Goldman Sachs Group Inc Lnk'd to CMS Spread [CS]	JT	None	Interest	\$201 - \$1,000	None
DESCRIPTION: Coupon 8.625%; Due 09/20/2028					
RBC Wealth Management #2 ⇒ Henderson Global EQ Income Fund (HFQIX) [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
RBC Wealth Management #2 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	None
RBC Wealth Management #2 ⇒	JT	\$250,001 -	Dividends	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares Core S&P 500 ETF (IVV) [EF]		\$500,000			
RBC Wealth Management #2 ⇒ iShares Core S&P Mid Cap ETF (IJH) [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
RBC Wealth Management #2 ⇒ iShares Core S&P Small Cap ETF (IJR) [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	None
RBC Wealth Management #2 ⇒ iShares Iboxx \$ High Yield Corporate Bond ETF (HYG) [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	None
RBC Wealth Management #2 ⇒ iShares Inc MSCI Eurozone ETF (EZU) [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	None
RBC Wealth Management #2 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	None
RBC Wealth Management #2 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	None
RBC Wealth Management #2 ⇒ Limited Term Tax-Exempt Bond Fund (LTEFX) [MF]	JT	\$1,000,001 - \$5,000,000	Dividends	\$2,501 - \$5,000	None
RBC Wealth Management #2 ⇒ Morgan Stanley SR NT FXD/FLT [CS] DESCRIPTION: Coupon 10.0%; Due 07/31/2030	JT	None	Interest	\$201 - \$1,000	None
RBC Wealth Management #2 ⇒ Powershares Exchange Traded Fund Trust II (SPHD) [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
RBC Wealth Management #2 ⇒ Powershares Global Exchange Traded Fund (PBP) [EF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
RBC Wealth Management #2 ⇒ Powershares Variable Rate Preferred Portfolio (VRP) [EF]	JT	\$100,001 - \$250,000	None		
RBC Wealth Management #2 ⇒ RBC Cash Plus [BA]	JT	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500	None
RBC Wealth Management #2 ⇒	JT	None	Capital Gains	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
United State Treasury Bill [GS]					
RBC Wealth Management #2 ⇒ Vaneck Vectors Short High Yield Mun Index (SHYD) [EF]	JT	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	None
RBC Wealth Management #2 ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]	JT	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	None
RBC Wealth Management #2 ⇒ Vanguard REIT ETF (VNQ) [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
RBC Wealth Management #2 ⇒ Vanguard Specialized Funds (VIG) [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
Residential Property [RP] LOCATION: Chapel Hill, NC, US	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
State Employees Credit Union [BA] DESCRIPTION: Checking & Money Market	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	\$5,001 - \$15,000

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Quintiles Inc.	Salary	N/A	\$79,263.46

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PNC Bank	May 2009	Mortgage on Chapel Hill, NC residential property	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
CCO	Graybeard Distillery, Inc.
Member	ESI Holdings, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- CollegeAmerica 529 Savings Plan #1 (Owner: DC)
LOCATION: NC
- CollegeAmerica 529 Savings Plan #2 (Owner: DC)
LOCATION: NC
- CollegeAmerica 529 Savings Plan #3 (Owner: DC)
LOCATION: NC
- Northwestern Mutual
LOCATION: US
- Northwestern Mutual Child #1 (Owner: DC)
LOCATION: US
- Northwestern Mutual Child #2 (Owner: DC)
LOCATION: US
- Northwestern Mutual Child #3 (Owner: DC)
LOCATION: US
- Northwestern Mutual IRA
- Northwestern Mutual Roth IRA
- RBC Wealth Management #1 (Owner: JT)
LOCATION: US
- RBC Wealth Management #2 (Owner: JT)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sam Searcy , 04/18/2022