# FINANCIAL DISCLOSURE REPORT

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#### FILER INFORMATION

Name: Hon. Neal P. Dunn

Status:MemberState/District:FL02

#### FILING INFORMATION

**Filing Type:** Amendment Report

Filing Year: 2017

**Filing Date:** 09/6/2018

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Leah O Dunn IRA ⇒ Southern Company (SO) [OT]  DESCRIPTION: See Schedule B	SP	\$1,001 - \$15,000	Tax-Deferred		V
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ USAA Whole Life Insurance Policy [OT]  DESCRIPTION: NA		\$250,001 - \$500,000	None		
Raymond James IRA #1 ⇒ AB High Income Fund Advisor Share N/L (AGDYX) [MF] DESCRIPTION: NA		\$50,001 - \$100,000	Tax-Deferred		
Raymond James IRA #1 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]  DESCRIPTION: See Schedule B		\$100,001 - \$250,000	Tax-Deferred		V
Raymond James IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L [MF]  DESCRIPTION: See Schedule B		\$100,001 - \$250,000	Tax-Deferred		V

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James IRA #1 ⇒ American Outdoor Brands Corporation [MF]  DESCRIPTION: NA		\$1,001 - \$15,000	Tax-Deferred		
Raymond James IRA #1 ⇒ Apple Incorporated [MF]		\$15,001 - \$50,000	Tax-Deferred		
Description: NA					
Raymond James IRA $#1 \Rightarrow$ Clearbridge Aggressive Growth Fund Class II [MF]		None	Capital Gains	\$2,501 - \$5,000	<u> </u>
DESCRIPTION: See Schedule B					
Raymond James IRA #1 $\Rightarrow$ Delaware small cap core fund institutional class N/L (DCCIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<b>∀</b>
Description: See Schedule B					
Raymond James IRA #1 ⇒ Delaware Value Fund Institutional Class N/L [MF]  DESCRIPTION: NA		\$15,001 - \$50,000	Tax-Deferred		
		NT.	a '- 1a '-		
Raymond James IRA #1 ⇒ Eventide Gilead Fund Class I N/L [MF]  DESCRIPTION: See Schedule B		None	Capital Gains	\$1,001 - \$2,500	<b>∀</b>
DESCRIPTION, See Schedule B					
Raymond James IRA #1 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<b>▽</b>
Description: See Schedule B					
Raymond James IRA $\#_1 \Rightarrow$ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<b>▽</b>
Description: See Schedule B					
Raymond James IRA #1 ⇒ Johnson & Johnson [MF]		\$15,001 - \$50,000	Tax-Deferred		
Description: NA					
Raymond James IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<u> </u>
Description: See Schedule B					
Raymond James IRA $\#1 \Rightarrow$ MFS International Value Fund Class I N/L(MINIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<b>V</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Description: See Schedule B					
Raymond James IRA #1 $\Rightarrow$ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<u></u>
Raymond James IRA #1 ⇒ Oakmark International Fund Investor Class N/L (OAKIX) [MF]		None	Capital Gains	\$5,001 - \$15,000	<u>~</u>
DESCRIPTION: See Schedule B					
Raymond James IRA #1 $\Rightarrow$ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [MF]		None	Capital Gains	\$2,501 - \$5,000	<b>▽</b>
DESCRIPTION: See Schedule B					
Raymond James IRA #1 $\Rightarrow$ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<b>~</b>
DESCRIPTION: See Schedule B					
Raymond James IRA #1 ⇒ Raymond James Bank [IH]		\$1,001 - \$15,000	Tax-Deferred		П
Description: NA					
Raymond James IRA $\#1 \Rightarrow$ Summit Financial Enterprises Incorporated [OT]		\$100,001 - \$250,000	Tax-Deferred		
Description: Summit Financial Enterprises Inc is a bank located	ed in Pana	ma City, FL			
Raymond James IRA $\#1 \Rightarrow$ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		\$250,001 - \$500,000	Capital Gains	\$201 - \$1,000	<b>✓</b>
DESCRIPTION: See Schedule B					
Raymond James IRA #1 $\Rightarrow$ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<u>~</u>
Description: See Schedule B					
Raymond James IRA #1 ⇒ T.Rowe Price Capital Appreciation Fund Investor Cla (PRWCX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<b>V</b>
Description: See Schedule B					
Raymond James IRA #1 $\Rightarrow$ Tweedy Browne Global Value Fund N/L [MF]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Description: NA					
Raymond James IRA #1 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [MF]		None	Capital Gains	\$2,501 - \$5,000	<b>▽</b>
DESCRIPTION: See Schedule B					
Raymond James IRA $#1 \Rightarrow$ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<u>~</u>
DESCRIPTION: See Schedule B					
Raymond James IRA #1 ⇒ Wells Fargo Special Mid Cap Value FD Class I N/L (WFMIX) [MF]		None	Capital Gains	\$201 - \$1,000	<u>~</u>
Description: See Schedule B					
Raymond James IRA #2 ⇒ Raymond James Bank [IH]  DESCRIPTION: NA		\$1,001 - \$15,000	Tax-Deferred		
Raymond James IRA #2 ⇒		\$15,001 - \$50,000	Tax-Deferred		<b>∀</b>
Southern Company [OT] DESCRIPTION: See Schedule B					
Raymond James IRA #2 ⇒ Summit Financial Enterprises Incorporated [OT]  DESCRIPTION: Summit Financial Enterprises Inc is a bank locat	ed in Pana	\$250,001 - \$500,000 ma City, FL	Tax-Deferred		П
Raymond James IRA #2 ⇒  T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		П
Description: NA					
Raymond James IRA #3 ⇒ Raymond James Deposit [IH]  DESCRIPTION: NA	SP	\$1,001 - \$15,000	Tax-Deferred		
Raymond James IRA #3 ⇒ Southern Company (SO) [OT]  DESCRIPTION: See Schedule B	SP	\$15,001 - \$50,000	Tax-Deferred		<b>▽</b>
Raymond James IRA #3 ⇒ Summit Financial Enterprises Incorporated [OT]	SP	\$100,001 - \$250,000	Tax-Deferred		П
DESCRIPTION: Summit Financial Enterprises Inc is a bank locat	ed in Pana	ma City, FL			

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Raymond James IRA #3 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [MF]  DESCRIPTION: NA	SP	\$1,001 - \$15,000	Tax-Deferred		
Raymond James IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<b>✓</b>
DESCRIPTION: See Schedule B					
Raymond James IRA #4 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX)  [MF]		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
DESCRIPTION: See Schedule B					
Raymond James IRA #4 $\Rightarrow$ Advisory Research MLP and Energy Income FD Class I N/L [MF]		\$15,001 - \$50,000	Tax-Deferred		
Description: NA					
Raymond James IRA #4 ⇒ Clearbridge Aggressive Growth Fund Class I N/L (SAGYX) [MF]		None	Capital Gains	\$201 - \$1,000	<b>∀</b>
Description: See Schedule B					
Raymond James IRA #4 $\Rightarrow$ Columbia Seligman Global Technology FD Inst CL N/L [MF]		\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Description: See Schedule B					
Raymond James IRA #4 ⇒ Delaware value fund institutional class N/L [MF]		\$1,001 - \$15,000	Tax-Deferred		
Description: NA					
Raymond James IRA #4 $\Rightarrow$ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<b>▽</b>
Description: See Schedule B					
Raymond James IRA #4 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]	3	\$1,001 - \$15,000	Tax-Deferred		<u> </u>
Description: See Schedule B					
Raymond James IRA #4 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)		Tx. > \$1,000?
DESCRIPTION: NA					
Raymond James IRA #4 ⇒ MFS International Value Fund Class I N/L(MINIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		П
Description: NA					
Raymond James IRA #4 ⇒ MSIF International Advantage Fund Class I N/L (MFAIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<b>✓</b>
DESCRIPTION: See Schedule B					
Raymond James IRA #4 ⇒ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [MF]		None	Capital Gains	\$201 - \$1,000	<u></u>
DESCRIPTION: See Schedule B					
Raymond James IRA #4 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Description; NA					
Raymond James IRA #4 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<u></u>
DESCRIPTION: See Schedule B					
Raymond James IRA #4 ⇒ T. Rowe Price New Horizons Fund Investor Class N/L(PRNHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<b>∀</b>
DESCRIPTION: See Schedule B					
Raymond James IRA #4 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [MF]		None	Capital Gains	\$201 - \$1,000	<u></u>
DESCRIPTION: See Schedule B					
Raymond James IRA #4 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<b>▽</b>
DESCRIPTION: See Schedule B					
SUMMIT BANK ACCOUNT [BA]	JT	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	П
Summit Bank, NA [OP]		\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000	<b>▽</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?					
DESCRIPTION: Includes options and warrants to purchase shares of Summit Bank NA										
Summit Bank, NA [PS]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	$\overline{\checkmark}$					
Description: 333 shares awarded in January 2015, and vested	in January	2017. (Services perfo	rmed 2016.)							
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Eaton Vance National Municipal Income Fund Class I N/L [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	П					
Description: no										
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC $\Rightarrow$ Nuveen High Yield Municipal Bond Fund Class I N/L [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	П					
Description: no										
The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC ⇒ Vacant Industrial Park Lot [RP]	SP	\$100,001 - \$250,000	None							
LOCATION: Panama City/ Bay, FL, US DESCRIPTION: na										
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ Small Strip Mall [RP]	SP	\$1,000,001 - \$5,000,000	Rent	\$50,001 - \$100,000						
Location: Panama City/ Bay, FL, US DESCRIPTION: na										
The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC ⇒ SUMMIT BANK ACCOUNT [OT]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200						
Description: no										
The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC ⇒ Miniature Golf Course [RP]	SP	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000						
LOCATION: Panama City/ Bay, FL, US										
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012  ⇒ AB High Income Fund Advisor Shares N/L (AGDYX)		\$50,001 - \$100,000	Tax-Deferred		<u>~</u>					
[MF]										
DESCRIPTION: See Schedule B										
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒		\$50,001 -	Tax-Deferred		<b>✓</b>					
AB Large Cap Growth FD Advisor Class N/L (APGYX) [MF]		\$100,000								
DESCRIPTION: See Schedule B										

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Columbia Seligman Global Technology FD Inst CL N/L (CSGZX) [MF]  DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<b>▽</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012  ⇒ Destra Flaherty & Crumrine Preferred & INC FD CLS I N/L (DPIIX) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>V</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Flaherty & Crumrine DYN Preferred SHS (DFP) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>▽</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012  ⇒  IVY Emerging Markets Equity Fund Class I N/L(IPOIX)  [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>V</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012  ⇒  IVY International Core Equity Fund Class I N/L(ICEIX)  [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>V</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012  ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>V</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012  ⇒ MFS Value Fund Class I N/L (MEIIX) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>▽</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012  ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>▽</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒		\$50,001 - \$100,000	Tax-Deferred		<b>✓</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) $[\mathrm{MF}]$					
Description: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Nuveen Preferred Securities and Income FD CL I N/L (NPSRX) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		V
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ NWM Life Insurance Policy [OT]  DESCRIPTION: NA		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Pimco Corporate & Income OPP F (PTY) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>▽</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF]  DESCRIPTION: See Schedule B		\$50,001 - \$100,000	Tax-Deferred		<b>V</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		П
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ Summit Financial Enterprises Inc. [BA]		\$500,001 - \$1,000,000	None		П
Description: Summit Financial Enterprises Inc is a bank locat	eu in Pana				
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒  T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]  DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		V
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒  T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]  DESCRIPTION: See Schedule B		\$15,001 - \$50,000	Tax-Deferred		<b>V</b>
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012		\$50,001 -	Tax-Deferred		<b>∀</b>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
⇒ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [MF]		\$100,000			
DESCRIPTION: See Schedule B					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC ⇒ Patient Practitioners LLC [OT]  DESCRIPTION: NA		\$1,001 - \$15,000	None		П
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Jenks Ave Commercial Rental Property [RP]		\$100,001 - \$250,000	Rent	\$50,001 - \$100,000	П
Location: Panama City/ Bay, FL, US Description: NA					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ SUMMIT BANK ACCOUNT [BA]		\$50,001 - \$100,000	None		П
Description: NA					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC ⇒ Wilson Ave Commercial Rental Property [RP]		\$100,001 - \$250,000	Rent	Not Applicable	П
LOCATION: Panama City/ Bay, FL, US DESCRIPTION: NA					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ Commercial Rental Building [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	П
LOCATION: Panama City/ Bay, FL, US DESCRIPTION: NA					
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC ⇒ SUMMIT BANK ACCOUNT [BA]		\$1,001 - \$15,000	None		П
DESCRIPTION: NA					

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Leah O Dunn IRA ⇒ Southern Company (SO) [IR]	SP	06/29/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 $\Rightarrow$ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		12/14/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 $\Rightarrow$ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		07/24/2017	P	\$15,001 - \$50,000	
Raymond James IRA #1 $\Rightarrow$ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		05/30/2017	P	\$15,001 - \$50,000	
Raymond James IRA #1 ⇒ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		11/30/2017	P	\$1,001 - \$15,000	
Raymond James IRA $\#1 \Rightarrow$ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		08/31/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 $\Rightarrow$ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		05/31/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 $\Rightarrow$ Advisory Research MLP and Energy Income FD Class I N/L (INFIX) [IR]		02/28/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 $\Rightarrow$ AMG Yacktman Fund Class I N/L [MF]		12/1/2016	S	\$1,001 - \$15,000	
Raymond James IRA #1 $\Rightarrow$ Clearbridge Aggressive Growth Fund Class I N/L (SAGYX) [IR]		05/30/2017	S	\$50,001 - \$100,000	<b>V</b>
Raymond James IRA #1 $\Rightarrow$ Delaware small cap core fund institutional class N/L (DCCIX) [IR]		12/26/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 $\Rightarrow$ Eventide Gilead Fund Class I N/L (ETILX) [IR]		05/30/2017	S	\$50,001 - \$100,000	<u>~</u>
Raymond James IRA #1 $\Rightarrow$ Fidelity Advisor Leveraged Company Stock Fund Class I N/L [MF]		12/1/2016	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Raymond James IRA #1 ⇒ Franklin Income Fund Advisors Class N/L (FEIAX) [IR]		04/24/2017	S	\$15,001 - \$50,000	П
Raymond James IRA #1 ⇒ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [IR]		04/24/2017	P	\$15,001 - \$50,000	
Raymond James IRA #1 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [IR]		12/18/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 ⇒ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [IR]		05/30/2017	P	\$50,001 - \$100,000	
Raymond James IRA #1 ⇒ Loomis Sayles Growth Fund Class Y N/L-Natixis Advisor(LSGRX) [IR]		12/21/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 ⇒ MFS International Value Fund Class I N/L(MINIX) [IR]		12/15/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 ⇒ MSIF International Advantage Fund Class I N/L(MFAIX) [IR]		05/30/2017	P	\$50,001 - \$100,000	
Raymond James IRA #1 ⇒ Oakmark International Fund Investor Class N/L (OAKIX) [IR]		05/30/2017	S	\$100,001 - \$250,000	<b>∀</b>
Raymond James IRA #1 ⇒ Olin Corporation Com Par [MF]		11/15/2016	S	\$15,001 - \$50,000	П
Raymond James IRA #1 ⇒ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [IR]		05/30/2017	S	\$15,001 - \$50,000	<u>~</u>
Raymond James IRA #1 ⇒ Principal MID Cap Fund Institutional Shares N/L(PCBIX) [IR]		12/21/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 ⇒ Sturm Ruger & Company Incorporated (RGR) [IR]		04/28/2017	S	\$15,001 - \$50,000	
Raymond James IRA #1 ⇒		04/20/2017	S	\$1,001 - \$15,000	$\overline{\checkmark}$

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
T. Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [IR]					
Raymond James IRA #1 $\Rightarrow$ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [IR]		07/24/2017	P	\$50,001 - \$100,000	
Raymond James IRA $\#1 \Rightarrow$ T.Rowe Price Capital Appreciation Fund Investor Cla (PRWCX) [IR]		12/18/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 ⇒ T.Rowe Price Health Sciences Fund Investor Class N/L (PRHSX) [IR]		12/19/2017	P	\$15,001 - \$50,000	
Raymond James IRA #1 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [IR]		05/30/2017	S	\$50,001 - \$100,000	~
Raymond James IRA #1 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [IR]		12/21/2017	P	\$1,001 - \$15,000	
Raymond James IRA #1 ⇒ Victory Sycamore Established Value Fund Class I N/L (VEVIX) [IR]		05/30/2017	P	\$15,001 - \$50,000	
Raymond James IRA #1 ⇒ Wells Fargo Special Mid Cap Value FD Class I N/L (WFMIX) [IR]		05/30/2017	S	\$15,001 - \$50,000	<b>▽</b>
Raymond James IRA $\#2 \Rightarrow$ Southern Company (SO) [IR]		05/30/2017	P	\$15,001 - \$50,000	
Raymond James IRA #3 ⇒ Southern Company (SO) [IR]	SP	05/30/2017	P	\$15,001 - \$50,000	
Raymond James IRA #4 ⇒ AB High Income Fund Advisor Shares N/L(AGDYX) [IR]		12/13/2017	S (partial)	\$1,001 - \$15,000	<b>▽</b>
Raymond James IRA #4 ⇒ AB Large Cap Growth FD Advisor Class N/L (APGYX) [IR]		05/30/2017	P	\$1,001 - \$15,000	
Raymond James IRA #4 ⇒ AMG Yacktam Fund Class I N/L (YACKX) [IR]		04/24/2017	S	\$1,001 - \$15,000	П

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Raymond James IRA #4 ⇒ Clearbridge Aggressive Growth Fund Class I N/L (SAGYX) [IR]		05/30/2017	S	\$1,001 - \$15,000	<b>▽</b>
Raymond James IRA #4 $\Rightarrow$ Columbia Seligman Global Technology FD Inst CL N/L (CSGZX) [IR]		04/24/2017	P	\$1,001 - \$15,000	
Raymond James IRA #4 $\Rightarrow$ IVY Emerging Markets Equity Fund Class I N/L (IPOIX) [IR]		04/24/2017	P	\$1,001 - \$15,000	
Raymond James IRA #4 $\Rightarrow$ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [IR]		05/30/2017	P	\$1,001 - \$15,000	
Raymond James IRA #4 ⇒ MSIF International Advantage Fund Class I N/L (MFAIX) [IR]		05/30/2017	P	\$1,001 - \$15,000	
Raymond James IRA #4 $\Rightarrow$ Oakmark International Fund Investor Class N/L (OAKIX) [IR]		05/30/2017	S	\$1,001 - \$15,000	
Raymond James IRA #4 $\Rightarrow$ Parnassus Core Equity Fund Investor Class N/L (PRBLX) [IR]		05/30/2017	S	\$1,001 - \$15,000	<u>~</u>
Raymond James IRA #4 $\Rightarrow$ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [IR]		12/19/2017	P	\$1,001 - \$15,000	
Raymond James IRA #4 ⇒ T. Rowe Price New Horizons Fund Investor Class N/L(PRNHX) [IR]		12/19/2017	P	\$1,001 - \$15,000	
Raymond James IRA #4 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [IR]		05/30/2017	S (partial)	\$1,001 - \$15,000	<b>V</b>
Raymond James IRA #4 ⇒ Vanguard Wellesley Income Fund Investor Class N/L (VWINX) [IR]		04/24/2017	S (partial)	\$1,001 - \$15,000	<b>V</b>
Raymond James IRA #4 ⇒ Victory Sycamore Established Value Fund Class I N/L		05/30/2017	P	\$1,001 - \$15,000	

Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
	07/12/2017	P	\$50,001 - \$100,000	
SP	08/10/2016	S	\$50,001 - \$100,000	
SP	08/10/2016	P	\$15,001 - \$50,000	
SP	08/10/2016	S	\$15,001 - \$50,000	П
SP	08/10/2016	P	\$15,001 - \$50,000	
	08/2/2017	P	\$50,001 - \$100,000	
	12/14/2017	P	\$1,001 - \$15,000	
	09/25/2017	P	\$50,001 - \$100,000	
	12/12/2017	P	\$1,001 - \$15,000	
	09/25/2017	P	\$15,001 - \$50,000	
	08/2/2017	P	\$50,001 - \$100,000	
	08/2/2017	P	\$50,001 - \$100,000	
	SP SP	SP 08/10/2016  SP 08/10/2016  SP 08/10/2016  SP 08/10/2016  O8/2/2017  12/14/2017  09/25/2017  09/25/2017  08/2/2017	Type    Type	Type    07/12/2017   P   \$50,001 - \$100,000

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ IVY Emerging Markets Equity Fund Class I N/L(IPOIX) [MF]		08/30/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 $\Rightarrow$ IVY International Core Equity Fund Class I N/L(ICEIX) [MF]		08/30/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		12/18/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		12/18/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ John Hancock Fundamental Large Cap Core Fund Class I N/L (JLVIX) [MF]		09/25/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 $\Rightarrow$ MFS Value Fund Class I N/L (MEIIX) [MF]		12/22/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 $\Rightarrow$ MFS Value Fund Class I N/L (MEIIX) [MF]		09/25/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ MSIF International Advantage Fund Class I N/L(MFAIX) [MF]		08/30/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ Nuveen High Yield Municipal Bond Fund Class I N/L (NHMRX) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 $\Rightarrow$ Nuveen Preferred Securities and Income FD CL I N/L (NPSRX) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ Pimco Corporate & Income OPP F (PTY) [MF]		08/2/2017	P	\$50,001 - \$100,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF]		12/21/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 $\Rightarrow$		09/25/2017	P	\$15,001 - \$50,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Principal Mid Cap Fund Institutional Shares N/L (PCBIX) [MF]				
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]	12/19/2017	P	\$1,001 - \$15,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price Health Sciences Fund Investor Class N/L(PRHSX) [MF]	08/30/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 ⇒ T. Rowe Price QM U.S. Small Cap Growth EQ FD Invest (PRDSX) [MF]	09/25/2017	P	\$15,001 - \$50,000	
The Neal Patrick Dunn Irrevocable Trust uad $1/19/2012 \Rightarrow$ Vaneck Vectors Fallen Angel High Yield Bond ETF (ANGL) [MF]	08/2/2017	P	\$50,001 - \$100,000	

# SCHEDULE C: EARNED INCOME

Source	Туре	Amount				
Raymond James IRA	IRA Distribution	\$7,693.00				
Dunn Properties LLC	Spouse's Director Fee	\$6,000.00				
Summit Bank, NA	Director Fee	\$9,200.00				
Comments: Amount earned as Director Fee, along with interest earned on fee, has been disgorged to Summit Bank NA. Original disclosure inadvertently included stock options received prior to becoming a Member of Congress.						

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Туре	Amount of Liability
SP	Prime Meridian Bank	June 2016	Mortgage on strip mall in Gulf Management LLC held in Leah Dunn Irrev Trust	\$250,001 - \$500,000
	Summit Bank Comments: Paid off	August 2016	Home equity line	\$.01

#### SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors	Dunn Properties LLC
Partner	Shipwreck Golf, LLC
Partner	Gulf Management, LLC
Partner	Gulf Resources, LLC
Chairman, Board of Directors	Summit Bank
Chairman, Board of Directors	Summit Financial Enterprises, LLC

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2008	Filer, Summit Bank NA	Stock options and warrants awarded in 2008, vested immediately, expired in 2017.

#### SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details			Inclusions				
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education	08/6/2017	08/14/2017	Panama City, FL - Tel Aviv - Panama City, FL	0	<u>~</u>	$\checkmark$	<b>∀</b>
Comments: Member transportation \$7,140.25, lodging \$2,044, meal \$966. Relative transportation \$7,140.25, lodging \$152, meal \$966.							

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- Leah O Dunn IRA (Owner: SP)
- Neal P Dunn Irrevocable Trust UAD 1996 (100% Interest)
   Description: Owns 100% of USAA Life Insurance Policy.
- Raymond James IRA #1
- Raymond James IRA #2

- Raymond James IRA #3 (Owner: SP)
- o Raymond James IRA #4

• The Leah Ott Dunn Irrevocable Gift Trust-DEP LLC (20% Interest) (Owner: SP)

LOCATION: Panama City/Bay, FL, US

Description: Owns a vacant industrial lot & a Raymond James investment account

o The Leah Ott Dunn Irrevocable Gift Trust-Gulf Management LLC (50% Interest) (Owner: SP)

LOCATION: Panama City/Bay, FL, US

Description: Holds a strip mall with multiple tenants

• The Leah Ott Dunn Irrevocable Gift Trust-Shipwreck Golf LLC (16% Interest) (Owner: SP)

LOCATION: Panama City/Bay, FL, US

Description: owns a miniature golf course. Owned 1/6th by Trust

• The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012 (100% Interest)

DESCRIPTION: Owns 25% of Dunn Properties LLC and DSI LLC. Owns 50% of Gulf Resources LLC and West PCB Holdings LLC. Owns 100% of Northwestern Mutual Whole Life Insurance policy, has a bank account and owns stock in a bank.

• The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-DSI LLC (25% Interest)

LOCATION: Panama City/Bay, FL, US

DESCRIPTION: Holds shares of interest in other LLC's - Worldwide Equine Imaging, Global PET Imaging, Patient Practitioners

o The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Dunn Properties LLC (25% Interest)

LOCATION: Panama City/Bay, FL, US

Description: Owns 2 commercial rental properties

o The Neal Patrick Dunn Irrevocable Trust uad 1/19/2012-Gulf Resources LLC (50% Interest)

LOCATION: Panama City/Bay, FL, US

Description: Owns a commercial retail building

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

C Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ○ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Neal P. Dunn, 09/6/2018