Filing ID #10022700

Financial Disclosure Report

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Filer Information

Name: Hon. Joe Courtney
Status: Member
State/District: CT02

Filing Information

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 05/15/2018

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Accounts [BA]		$1,001 - $15,000	None		☐
Commonwealth Annuity and Life Insurance Co. "Exceptional Life Policy" [WU]		$1,001 - $15,000	None		☐
Hartford Federal Credit Union [BA]		$1 - $1,000	Dividends	$1 - $200	☐
IRA ⇒ American Growth Fund of America CL C (GFACX) [EF]		None	Tax-Deferred		☑
IRA ⇒ American Growth Fund of America CL F1 (GFAFX) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ American Small Cap World (SMCWX) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ Blackrock Advantage Large Cap (MCLRX) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ Blackrock Focus Growth Fund (MCFOX) [EF]		$1,001 - $15,000	Tax-Deferred	None	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IRA ⇒ Invesco Global Core Equity Fund (AWSCX) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ J Hancock Financial Indices (FIDAX) [EF]		$15,001 - $50,000	Tax-Deferred	None	☐
IRA ⇒ Lord Abbett Calibrated Dividend Growth (LAMCX) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ Lord Abbett Value Opportunities (LVOCX) [EF]		$1,001 - $15,000	Tax-Deferred	None	☐
IRA ⇒ Merrill Cash/Money Accounts [BA]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ Putnam International Growth Fund (PIOCX) [EF]		$1,001 - $15,000	Tax-Deferred	None	☐
Manulife Financial Corporation (MFC) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Mass Mutual Whole Life Policy [WU]		$15,001 - $50,000	None		☐
Saint Francis Hospital and Medical Center Pension Plan [PE]		Undetermined	None		☐
Templeton World Fund - Class A (TEMWX) [EF]		$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
TR 403(b) ⇒ Lincoln Multi-Fund Variable Annuity Fixed Account [MF]		$1,001 - $15,000	Tax-Deferred	None	☐
TR 403(b) ⇒ T Rowe Price Growth Equity Portfolio [MF]		$1,001 - $15,000	Tax-Deferred		☐
TR 403(b) ⇒ T. Rowe Price Retirement 2025 [MF]		$15,001 - $50,000	Tax-Deferred		☐
TR 403(b) ⇒ Voya Intermediate Bond Portfolio [MF]		$1,001 - $15,000	Tax-Deferred		☐
Trinity 403(b) ⇒ American Funds Euro Pacific Growth [MF]		$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Trinity 403(b) ⇒ Columbia Small Cap Value Fund [MF]		$1,001 - $15,000	Tax-Deferred		☑
Trinity 403(b) ⇒ Diamond Hill Large Cap [MF]		$15,001 - $50,000	Tax-Deferred		☑
Trinity 403(b) ⇒ Franklin Small Cap Growth Advantage [MF]		$1,001 - $15,000	Tax-Deferred		☑
Trinity 403(b) ⇒ Lincoln Stable Value Fund [MF]		$50,001 - $100,000	Tax-Deferred		☑
Trinity 403(b) ⇒ Loomis Sayles Growth [MF]		$15,001 - $50,000	Tax-Deferred		☑
Trinity 403(b) ⇒ Metropolitan West Total Return Fund [MF]		$50,001 - $100,000	Tax-Deferred		☑
United Bank Accounts [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
United Financial Bancorp, Inc. (UBNK) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ American Growth Fund of America Cl F1 [MF]		12/19/2017	P	$1,001 - $15,000	
IRA ⇒ American Growth Fund of America Class C (GFACX) [MF]		03/13/2017	S	$1,001 - $15,000	☐
IRA ⇒ American Growth Fund of America Class F1 [MF]		03/13/2017	P	$1,001 - $15,000	
IRA ⇒ American Small Cap World [MF]		12/22/2017	P	$1,001 - $15,000	
IRA ⇒		12/6/2017	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Blackrock Advantage Large Cap [MF]					
IRA ⇒ Invesco Global Core Equity Fund [MF]		12/14/2017	P	$1,001 - $15,000	
IRA ⇒ Lord Abbett Calibrated Dividend Growth [MF]		12/14/2017	P	$1,001 - $15,000	
Trinity 403(b) ⇒ American Funds Euro Pacific [MF]		01/3/2017	P	$15,001 - $50,000	
Trinity 403(b) ⇒ Columbia Small Cap Value Fund [MF]		01/3/2017	P	$1,001 - $15,000	
Trinity 403(b) ⇒ Diamond Hill Large Cap [MF]		01/3/2017	P	$15,001 - $50,000	
Trinity 403(b) ⇒ Franklin Small Cap Growth [MF]		01/3/2017	P	$1,001 - $15,000	
Trinity 403(b) ⇒ Lincoln Stable Value Fund [MF]		01/3/2017	P	$50,001 - $100,000	
Trinity 403(b) ⇒ Loomis Sayles Growth [MF]		01/3/2017	P	$15,001 - $50,000	
Trinity 403(b) ⇒ Metropolitan West Total Return Fund [MF]		01/3/2017	P	$50,001 - $100,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Connecticut Children's Medical Center	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Chase Bank	July 2011	Mortgage on personal residence (not rented)	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
CT District Export Council	09/16/2017	09/20/2017	Hartford, CT - Dublin, Ireland - Manchester, UK - Hartford, CT	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- IRA
- TR 403(b)
- Trinity 403(b)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ● No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Joe Courtney , 05/15/2018