



Filing ID #10022369

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Bruce Westerman
Status: Member
State/District: AR04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 06/14/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ESOP Plan ⇒ Cash holding within ESOP [OT] DESCRIPTION: ESOP cash only value		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Cash holding within 401K [OT] DESCRIPTION: 401K cash only value		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Lloyds TSB Group PLC [CS] DESCRIPTION: Bond		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW LIQUID ASSET - 012082 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW LIQUID ASSET - 012564 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW LIQUID ASSET - 021716 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MSDW LIQUID ASSET - 021724 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Mid South Engineering PSP 401K ⇒ Orion Capital Corp [HE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ PECO Energy Cap 5.75% [CS]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Bond					
Morgan Stanley ⇒ ISHARES CORE MSCI EAFE ETF (IEFA) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE MSCI EMERGING (IEMG) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE S&P US GROWTH (IUSG) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE S&P US VALUE (IUSV) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL 2000 GRWTH ETF (IWO) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL 2000 VALUE ETF (IWN) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP G ETF (IWP) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP V ETF (IWS) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Arkansas Pension [PE]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ESOP Plan ⇒ Active Assets Money Trust [MF]		11/29/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Liquidation of asset to cash within ESOP					
ESOP Plan ⇒ Mid-South Stock [PS]		11/3/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Company stock liquidated to cash within ESOP.					
ESOP Plan ⇒ Standard & Poors Dep Recpts [EF]		06/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Liquidation of asset to cash within ESOP.					
Mid South Engineering PSP 401K ⇒ Abbott Laboratories (ABT) [ST]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Affiliated Computer SVC A [OT]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					
DESCRIPTION: Stock - ACS on NYSE					
Mid South Engineering PSP 401K ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]		12/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Amazon.com, Inc. (AMZN) [ST]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Apple Inc. (AAPL) [ST]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ ARES Capital Corp 5.875% [CS]		06/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Bond					
Mid South Engineering PSP 401K ⇒ BAC CAP TR XIII [OT]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Stock					
Mid South Engineering PSP 401K ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Bank of America 5.125% [CS]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Bond					
Mid South Engineering PSP 401K ⇒ Bank of America 8.125% [CS]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Bond					
Mid South Engineering PSP 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Bio-Rad Laboratories, Inc. Class A (BIO) [ST]		08/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Charles Schwab Corporation (SCHW) [ST]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Citigroup Inc. 6.3% [CS]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Bond					
Mid South Engineering PSP 401K ⇒ Citigroup Inc. Depositary Shares representing 1/1000 Series C Noncumulative Preferred Stock (C\$C) [ST]		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Comcast Corporation - Class A (CMCSA) [ST]		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Countrywide Capital V (CFC\$B) [ST]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Energy Transfer 4187 [CS]		04/17/2017	P	\$1,001 - \$15,000	
DESCRIPTION: Bond					
Mid South Engineering PSP 401K ⇒ Energy Transfer 4187 [CS]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Bond					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mid South Engineering PSP 401K ⇒ Entergy AR 4.90% [CS] DESCRIPTION: Bond		07/6/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Facebook, Inc. - Class A (FB) [ST]		12/15/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ General Electric Cap Corp [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ General Electric Co 5.0% [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ General Motors Company FI [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ General Motors FI 5750 [CS] DESCRIPTION: Bond		09/14/2017	P	\$1,001 - \$15,000	
Mid South Engineering PSP 401K ⇒ Goldman Sachs 5.793% [CS] DESCRIPTION: Bond		12/15/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Hartford Financial Services 8.125% [CS] DESCRIPTION: Bond		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Home Depot, Inc. (HD) [ST]		12/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Intercontinental Exchange Inc. (ICE) [ST]		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Invesco Senior Loan A [MF]		12/15/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ JP Morgan Chase & Co 5% [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mid South Engineering PSP 401K ⇒ JP Morgan Chase 7.90% [CS] DESCRIPTION: Bond		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Keycorp [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Mellon Capital IV 6.244% [CS] DESCRIPTION: Bond		12/15/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ MetLife, Inc. 10.75% [CS] DESCRIPTION: Bond		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Microsoft Corporation (MSFT) [ST]		12/29/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Morgan Stanley 5.45 [CS] DESCRIPTION: Bond		12/12/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Morgan Stanley Emerging Markets Domestic Debt [MF]		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Prudential Financial 8.875% [CS] DESCRIPTION: Bond		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Rite Aid Co Reg-S [CS] DESCRIPTION: Bond		05/24/2017	P	\$1,001 - \$15,000	
Mid South Engineering PSP 401K ⇒ Rite Aid Co Reg-S [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Schlumberger N.V. (SLB) [ST]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ SLM Corporation [CS] DESCRIPTION: Bond		06/9/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mid South Engineering PSP 401K ⇒ SLM Corporation [CS] DESCRIPTION: Bond		12/12/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ TCW Strategic Income Fund [MF]		05/11/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX) [ST]		08/28/2017	P	\$1,001 - \$15,000	
Mid South Engineering PSP 401K ⇒ The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX) [ST]		11/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ The Priceline Group Inc. (PCLN) [ST]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ UNIT FIRST SR LOAN<D 111 [MF]		12/12/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ UNIT FIRST TRUST SR LOAN 110 [MF]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ UNIT FT SR LOAN SELECT CE 27 [MF]		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ UNIT FT SR LOAN<D DUR CE 106 [MF]		12/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Virtus Real Estate SEC C [MF]		12/26/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Virtus Senior Floating Rate C [MF]		12/26/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Visa Inc. (V) [ST]		12/14/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Wachovia Cat TR 5.8% [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒		07/9/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Whiting Corp [CS] DESCRIPTION: Bond					
Mid South Engineering PSP 401K ⇒ Whiting Corp [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Wisconsin Energy 6.25% [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mid South Engineering PSP 401K ⇒ Zions Bancorp [CS] DESCRIPTION: Bond		11/30/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ ISHARES CORE MSCI EAFE ETF [EF]		06/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES CORE MSCI EMERGING [EF]		06/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES CORE S&P US GROWTH [EF]		06/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES CORE S&P US VALUE [EF]		06/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL 2000 GRWTH ETF [EF]		06/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL 2000 VALUE ETF [EF]		06/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP G ETF [EF]		06/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ ISHARES RUSSELL MIDCAP V ETF [EF]		06/21/2017	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	US BANK	December 2014	Equity Line - Home	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Arkansas Forestry Association
Director	Cooper-Anthony Child Advocacy Center

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 2011	Spouse and State of Arkansas	Spouse has an agreement with the State of Arkansas for a pension based on prior employment
May 1992	Bruce Westerman and Mid-South Engineering	continued participation in ESOP and 401K held and managed by former employer, Mid-South Engineering

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">ESOP Plan LOCATION: USMid South Engineering PSP 401KMorgan Stanley
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Bruce Westerman , 06/14/2018