



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas Suozzi  
**Status:** Member  
**State/District:** NY03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 08/13/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allianz- Qualified IRA ⇒ AZL DFA Multi Strategy Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Allianz- Qualified IRA ⇒ Black Rock Global Allocations [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Consumer Staples SPDR ETF XLP [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Financial Select Sector EFT XLF [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Healthcare Select Sector ETF XLV [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Sector Technology Select Sector SPDR ELKV [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
AXA Investments- IRA Account ⇒ Ventas [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
AXA Life Insurance [WU]	SP	\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000			
AXA_ Suozzi Family Trust Life Ins Trust [WU]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
BP Real Estate Opportunity Group LLC [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Sarasota, FL, US					
DESCRIPTION: Real Estate Development Project with sole asset being located in Sarasota, Florida.					
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ AmerisourceBergen Corporation (ABC) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ BlackRock, Inc. (BLK) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ CarMax Inc (KMX) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Cerner Corporation (CERN) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ CME Group Inc. - Class A (CME) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Discover Financial Services (DFS) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ eBay Inc. (EBAY) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Facebook, Inc. - Class A (FB) [ST]		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab Retirement Account ⇒ Mastercard Incorporated (MA) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Schwab Money Market Fund [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ The Cooper Companies, Inc. (COO) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ The Priceline Group Inc. (PCLN) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Clarapath Corp [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: New York/Manhattan, NY, US DESCRIPTION: Investment is a start up company relating to biotech for Pathology. Offices are in Manhattan, New York.					
Damen Warehouse Owners, LLC, 6% Interest [OL]		\$100,001 - \$250,000	Rent	None	<input type="checkbox"/>
LOCATION: Chicago, IL, US DESCRIPTION: Business is a refrigerated warehouse space located in Chicago, Illinois.					
Empirical Risk Management, Inc, 100% Interest [OL]	SP	\$1,001 - \$15,000	Ordinary Business Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: Entity is an Insurance Brokerage business located in Glen Cove, New York.					
HCDC Holdings LLC [OL]		\$50,001 - \$100,000	Ordinary Business Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: Investment is a Minority interest in this entity which operates a day camp located in East Hampton, New York.					
HCDC Realty Corp [RP]		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: Rental Property located in East Hampton, New York as the sole asset.					
KEPWRS Inv, LLC [RP]		\$15,001 - \$50,000	Rent	None	<input type="checkbox"/>
LOCATION: Williamsburgh, NY, US DESCRIPTION: Sole asset is rental property located in Williamsburg, New York.					
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch- Advisor Discretion Account ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ BLACKROCK NY MUNICIPAL [GS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Caterpillar, Inc. (CAT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Citigroup, Inc. (C) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Coca-Cola Company (KO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ ConocoPhillips (COP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Consolidated Edison, Inc. (ED) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Control4 Corporation (CTRL) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Costco Wholesale Corporation (COST) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Dominion Energy, Inc. (D) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Eli Lilly and Company (LLY) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST]		None	Dividends	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒		\$1,001 - \$15,000	Dividends	\$201 -	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Intel Corporation (INTC) [ST]				\$1,000	
Merrill Lynch- Advisor Discretion Account ⇒ International Business Machines Corporation (IBM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Intrexon Corporation (XON) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Mallinckrodt plc Ordinary Shares (MNK) [ST]		None	Dividends	None	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Merrill Lynch Cash Fund [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ MetLife, Inc. (MET) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		None	Dividends	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ New York ST DORM ATRV [GS]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Pacific Gas & Electric Co. (PCG) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Pentair plc. Ordinary Share (PNR) [ST]		None	Dividends	None	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Merrill Lynch- Advisor Discretion Account ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PPL Corporation (PPL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Target Corporation (TGT) [ST]		None	Dividends	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Texas Instruments Incorporated (TXN) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Total S.A. (TOT) [ST]		None	Dividends	None	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Total S.A. (TOT) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ VANGUARD NY LONG TERM [GS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Waste Management, Inc. (WM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Westchester CNTY NY GO [GS]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Naples Vanderbilt C&M Investors LLC [RP]		\$1,001 - \$15,000	Rent	None	<input type="checkbox"/>
LOCATION: Naples, FL, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
National Securities ⇒ Acres Capital Debt Opportunity Fund LP [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
National Securities ⇒ Fidelity Government Cash Reserves [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Phillipsburg Holding, LLC, 7% Interest [OL]		\$100,001 - \$250,000	Rent	None	<input type="checkbox"/>
LOCATION: West Orange, NJ, US DESCRIPTION: Minority interest in LLC holding rental property in West Orange, New Jersey.					
SGC Commercial II, LLC [RP]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Sarasota, FL, US DESCRIPTION: Real Estate development project. Sole asset is in Sarasota, FL.					
Southampton Country Day Camp LLC [RP]		\$1,001 - \$15,000	Ordinary Business Income	None	<input type="checkbox"/>
LOCATION: Southampton/Suffolk, NY, US DESCRIPTION: Minority interest in this entity which operates a day camp located in South Hampton, New York.					
Southampton Day Camp Realty LLC [RP]		\$50,001 - \$100,000	Rent	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Southampton/ Suffolk, NY, US DESCRIPTION: Rental Property located in South Hampton, New York as the sole asset.					
Suozzi Solutions, Inc, 100% Interest [OL]		None	Ordinary Business Income	None	<input type="checkbox"/>
LOCATION: Glen Cove/ Nassau , NY, US DESCRIPTION: Entity is a management advisory services consulting business located in Glen Cove, New York. Entity ceased operations at the end of 2016.					
TIAA-CREF ⇒ CREF Equity Index R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ CREF Inflation-Linked Bond R2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ CREF Social Choice R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ AmerisourceBergen Corporation (ABC) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ BlackRock, Inc. (BLK) [ST]	01/5/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ CarMax Inc (KMX) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Cerner Corporation (CERN) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ CME Group Inc. - Class A (CME) [ST]	01/5/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Compass Minerals Intl Inc (CMP) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwab Retirement Account ⇒ Discover Financial Services (DFS) [ST]	01/5/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ eBay Inc. (EBAY) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Express Scripts Holding Company (ESRX) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwab Retirement Account ⇒ Facebook, Inc. - Class A (FB) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Lowe's Companies, Inc. (LOW) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>



<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Charles Schwab Retirement Account ⇒ Mastercard Incorporated (MA) [ST]	01/5/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Schwab Money Market Fund [MF]	01/5/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Charles Schwab Retirement Account ⇒ The Cooper Companies, Inc. (COO) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ The Priceline Group Inc. (PCLN) [ST]	01/5/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab Retirement Account ⇒ Time Warner Inc. New (TWX) [ST]	01/5/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
Charles Schwab Retirement Account ⇒ Ventas [MF]	01/5/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	12/6/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ AT&T Inc. (T) [ST]	08/17/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Blackrock NY Municipal [GS]	08/17/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Caterpillar, Inc. (CAT) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Cisco Systems, Inc. (CSCO) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Citigroup, Inc. (C) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Coca-Cola Company (KO) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ ConocoPhillips (COP) [ST]	08/17/2017	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Merrill Lynch- Advisor Discretion Account ⇒ Consolidated Edison, Inc. (ED) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Control4 Corporation (CTRL) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Costco Wholesale Corporation (COST) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Dominion Energy, Inc. (D) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Eli Lilly and Company (LLY) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Exxon Mobil Corporation (XOM) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST]	12/6/2017	S	\$15,001 - \$50,000	■
Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST]	08/17/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Intel Corporation (INTC) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ International Business Machines Corporation (IBM) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Intrexon Corporation (XON) [ST]	12/6/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]	12/6/2017	S	\$1,001 - \$15,000	■
Merrill Lynch- Advisor Discretion Account ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]	08/25/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ JP Morgan Chase & Co. (JPM) [ST]	08/17/2017	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Merrill Lynch- Advisor Discretion Account ⇒ Mallinckrodt plc Ordinary Shares (MNK) [ST]	08/25/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Mallinckrodt plc Ordinary Shares (MNK) [ST]	09/5/2017	S	\$1,001 - \$15,000	■
Merrill Lynch- Advisor Discretion Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	08/17/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Merill Lynch Cash Fund [MF]	08/17/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ MetLife, Inc. (MET) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]	08/17/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ New York Community Bancorp, Inc. (NYCB) [ST]	09/5/2017	S	\$15,001 - \$50,000	■
Merrill Lynch- Advisor Discretion Account ⇒ New York Community Bancorp, Inc. (NYCB) [ST]	08/25/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ New York ST DORM ATRV [GS]	08/17/2017	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Pacific Gas & Electric Co. (PCG) [ST]	12/21/2017	S	\$1,001 - \$15,000	■
Merrill Lynch- Advisor Discretion Account ⇒ Pacific Gas & Electric Co. (PCG) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Pentair plc. Ordinary Share (PNR) [ST]	09/5/2017	S	\$1,001 - \$15,000	■
Merrill Lynch- Advisor Discretion Account ⇒ Pentair plc. Ordinary Share (PNR) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒	08/17/2017	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Pfizer, Inc. (PFE) [ST]				
Merrill Lynch- Advisor Discretion Account ⇒ PPL Corporation (PPL) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Procter & Gamble Company (PG) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST]	12/21/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Target Corporation (TGT) [ST]	09/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Target Corporation (TGT) [ST]	09/5/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Texas Instruments Incorporated (TXN) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Total S.A. (TOT) [ST]	09/5/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Total S.A. (TOT) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Vanguard NY Long Term [GS]	08/17/2017	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Verizon Communications Inc. (VZ) [ST]	08/17/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Waste Management, Inc. (WM) [ST]	09/5/2017	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Westchester CNTY NY GO [GS]	08/17/2017	P	\$15,001 - \$50,000	
National Securities ⇒	12/31/2017	P	\$250,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Acres Capital Debt Opportunity Fund LP [MF]				\$500,000	
National Securities => Fidelity Government Cash Reserves [MF]		12/31/2017	P	\$100,001 - \$250,000	
Phillipsburg Holding, LLC, 7% Interest [OL]		07/1/2017	P	\$100,001 - \$250,000	
LOCATION: West Orange, NJ, US					
DESCRIPTION: Minority Interest in LLC holding rental property in West Orange, New Jersey.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Empirical Risk Management Inc	Insurance Commissions	\$24,208.00

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

Position	Name of Organization
Chairman	Morgan Park Summer Music Festival

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Educational Foundation	08/1/2018	08/9/2018	New York - Israel - New York	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Allianz- Qualified IRA
- o AXA Investments- IRA Account (Owner: SP)
- o Charles Schwab Retirement Account
- o Merrill Lynch- Advisor Discretion Account  
LOCATION: US  
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- o National Securities
- o TIAA-CREF (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Thomas Suozzi , 08/13/2018