



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Katherine M. Clark  
**Status:** Member  
**State/District:** MA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 05/11/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Centene Corporation (CNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ China Mobile Limited (CHL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Clorox Company (CLX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ D/B/A Chubb Limited New (CB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares Core US Aggregate Bond ETF [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Powershares Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Sealed Air Corporation (SEE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Sony Corporation (SNE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ SPDR Barclays Cap Short Term High Yield Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Mid Cap ETF [EF]					
Ameriprise SEP IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small-Cap ETF - DNQ (VB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Waste Management, Inc. (WM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Centene Corporation (CNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ China Mobile Limited (CHL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Clorox Company (CLX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ D/B/A Chubb Limited New (CB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Ishares Core US Aggregate Bond ETF [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Powershares Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Sealed Air Corporation (SEE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Sony Corporation (SNE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ SPDR Barclays Cap Short Term High Yield Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Small-Cap ETF - DNQ (VB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Waste Management, Inc. (WM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bank of America Account [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Capital One Savings Account [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage 2030 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage US Large Cap Stock [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2 [5P] LOCATION: AK	DC	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
D1 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ John Hancock Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2 [5P] LOCATION: AK	DC	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: AK					
D2 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 College Portfolio C2 [5P]	DC	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: AK					
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio 2 [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: MA					
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio 2 [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: MA					
D3 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Family Home (non-primary) [RP]	JT	\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
LOCATION: Truro, MA, US					
Judith S. Clark Irrevocable Trust ⇒ Amsouth Bancorporation Sub Deb [CS]		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: 6.75%, 11/1/25					
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Avondale AZ Projs of 1998 2007 Build Amer [GS]		\$15,001 - \$50,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 7.8%, 7/1/29					
Judith S. Clark Irrevocable Trust ⇒ BANK AMER CORP FXD RT SUB NT SER L [AB]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 4.200% 08/26/24					
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$50,001 - \$100,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Camden County NJ Impt Auth Lease Rev Taxable-C [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 6.72%, 7/1/23					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Clean Harbors Inc GTD FXD NT [CS]  DESCRIPTION: 5.125%, 12/1/18		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Corporate Capital Trust [HE]		\$15,001 - \$50,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ DOLLAR GEN CORP NEW SR FXD RT [AB]  DESCRIPTION: 3.250% 04/15/23		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Eaton Vance Muni National Munis Cl I [MF]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Fidelity Government Money Market [BA]  DESCRIPTION: Cash holdings		\$250,001 - \$500,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Flextronics Intl Ltd SR NT [CS]  DESCRIPTION: 4.625%, 12/15/20		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ HEALTHSOUTH CORP SR NT [AB]  DESCRIPTION: 5.75% 11/01/24		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Home Depot, Inc. (HD) [ST]		\$15,001 - \$50,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ICAHN ENTERPRISES L P / ICAHN ENTERPRISES FIN CO RP GTD FIXED RT SR 6.000% [AB]  DESCRIPTION: 6.00% 08/01/20		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Intel Corporation (INTC) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.25%; 9/23					
Judith S. Clark Irrevocable Trust ⇒ KB HOME SR NT 7.500% [AB]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 7.500% 9/15/22					
Judith S. Clark Irrevocable Trust ⇒ MICROSOFT CORP FIXEDRT NT [CS]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 3.0%, 10/1/20					
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Nebraska Coop Republican Platte Enhancement [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 4.6%, 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ NETAPP INC SR NT [AB]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 3.250% 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ New Orleans LA RFDG-Taxable-Ltd Tax [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 3.142%, 9/1/20					
Judith S. Clark Irrevocable Trust ⇒ Norfolk Southern Corporation (NSC) [ST]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		\$50,001 - \$100,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Puerto Rico Commonwealth Govt Dev BK RFDG SR NTS [GS]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 5.2%, 8/1/26					
Judith S. Clark Irrevocable Trust ⇒ RADIAN GROUP INC SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 5.500% 06/01/19					



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ RENT A CTR INC NEW GTD FIXED RT SR [AB] DESCRIPTION: 4.750% 05/01/21		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ROYAL BK SCOTLAND GROUP PLC SUB NT TIER 2 ISIN#US780097AZ42 [AB] DESCRIPTION: 6.000% 12/19/23		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Ryland Group Inc SR NT [CS] DESCRIPTION: 5.375% 10/1/22		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 ETF [EF]		\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT [AB] DESCRIPTION: 3.100% 05/15/23		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Tenet Healthcare Corp SR SECD NT [CS] DESCRIPTION: 4.75%, 6/1/20		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ TEVA PHARMACEUTICAL FIN IV B V SR NT [AB] DESCRIPTION: 3.650% 11/10/21		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ The Kraft Heinz Company (KHC) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ TOLL BROS FIN CORP GTD FXD RT [CS] DESCRIPTION: 4.0%, 12/31/18		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Twitter, Inc. (TWTR) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ United Parcel Service, Inc. (UPS) [ST]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M [CS]  DESCRIPTION: 4.125%; 08/15/23		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Wells Fargo & Company (WFC) [ST]  DESCRIPTION: sold 2/13/17		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Zions Bancorporation Medium Term SR NTS SR NT [CS]  DESCRIPTION: 5.65%, 11/15/23		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Massachusetts state pension [PE]		Undetermined	None		<input type="checkbox"/>
Sequoia Fund [MF]		\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Amgen Inc. (AMGN) [ST]	SP	10/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	04/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Centene Corporation (CNC) [ST]	SP	07/3/2017	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Clorox Company (CLX) [ST]	SP	04/4/2017	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Discover Financial Services (DFS) [ST]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒	SP	07/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Discover Financial Services (DFS) [ST]					
Ameriprise SEP IRA ⇒ Dominion Energy, Inc. (D) [ST]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ General Electric Company (GE) [ST]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	01/5/2017	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	07/3/2017	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Ishares 1-3yr Treasury Bond ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares 7-10yr Treasury Bond ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares Core US Aggregate Bond ETF [EF]	SP	07/3/2017	P	\$15,001 - \$50,000	
Ameriprise SEP IRA ⇒ Ishares Iboxx Investment Grade Corp Bond ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ishares MBS ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ MetLife, Inc. (MET) [ST]	SP	04/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	04/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Nike, Inc. (NKE) [ST]	SP	07/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	04/4/2017	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Ameriprise SEP IRA ⇒ Schlumberger N.V. (SLB) [ST]	SP	01/5/2017	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Sealed Air Corporation (SEE) [ST]	SP	04/4/2017	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	10/5/2017	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	04/4/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Amgen Inc. (AMGN) [ST]	SP	10/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	04/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Centene Corporation (CNC) [ST]	SP	07/3/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Clorox Company (CLX) [ST]	SP	04/4/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Discover Financial Services (DFS) [ST]	SP	07/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Dominion Energy, Inc. (D) [ST]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	01/5/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	07/3/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ishares 1-3yr Treasury Bond ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares 7-10yr Treasury Bond ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Ishares Core US Aggregate Bond ETF [EF]	SP	10/20/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ishares Core US Aggregate Bond ETF [EF]	SP	07/3/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ishares Iboxx Investment Grade Corp Bond ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ishares MBS ETF [EF]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ MetLife, Inc. (MET) [ST]	SP	04/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	04/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Nike, Inc. (NKE) [ST]	SP	07/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	04/4/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Schlumberger N.V. (SLB) [ST]	SP	01/5/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Schlumberger N.V. (SLB) [ST]	SP	07/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Sealed Air Corporation (SEE) [ST]	SP	04/4/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Sony Corporation (SNE) [ST]	SP	07/3/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	10/5/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	04/4/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Vanguard Small Cap E [MF]	SP	07/3/2017	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Vanguard Total Bond Market ETF [EF]	SP	07/3/2017	P	\$1,001 - \$15,000	
D1 Irrevocable Trust ⇒ College Portfolio C2 [5P]  LOCATION: AK	DC	04/3/2017	P	\$15,001 - \$50,000	
D2 Irrevocable Trust ⇒ College Portfolio C2 [5P]  LOCATION: AK	DC	04/3/2017	P	\$100,001 - \$250,000	
D3 Irrevocable Trust ⇒ College Portfolio C2 [5P]  LOCATION: AK	DC	04/3/2017	P	\$100,001 - \$250,000	
Family Home (non-primary) [RP]  LOCATION: TRURO, MA, US	JT	10/30/2017	P	\$500,001 - \$1,000,000	
Judith S. Clark Irrevocable Trust ⇒ ARAMARK CORP GTD SR NT [CS]  DESCRIPTION: redeemed full call payout 5.75%; 03/15/2020		03/23/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ CHS / CMNTY HEALTH SYS INC SR SECD NT [CS]  DESCRIPTION: 5.125%; 08/15/2018 redeemed full call payout		04/3/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		04/25/2017	P	\$1,001 - \$15,000	
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 ETF Trust Unit Ser 1 S&P [EF]		02/13/2017	P	\$100,001 - \$250,000	
Judith S. Clark Irrevocable Trust ⇒ Synovus Finl Corp Sub NT [CS]		06/15/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Redeemed 5.125%, 6/15/2017					
Judith S. Clark Irrevocable Trust ⇒ T-Mobile USA Inc GTD SR FXD RT [CS]		04/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: 6.633%; 04/28/2021 redeemed full call payout					
Judith S. Clark Irrevocable Trust ⇒ United States Treasury NTS Note [GS]		11/15/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: 4.25%; 11/15/2017 Redemption Payout					
Judith S. Clark Irrevocable Trust ⇒ WELLCARE GROUP INC FXD RT SR [CS]		04/7/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: 5.75%; 11/15/2020 redeemed full call payout					
Judith S. Clark Irrevocable Trust ⇒ Wells Fargo & Company (WFC) [ST]		02/13/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	October 2017	Mortgage	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	UMass Boston Center for Women in Politics and Public Policy

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	future pension
September 1991	Katherine M. Clark and the state of Colorado (COPERA)	future pension

Date	Parties To	Terms of Agreement
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### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- o Ameriprise SEP IRA (Owner: SP)
- o Ameriprise Traditional IRA (Owner: SP)
- o COPERA 401(K) Plan
- o D1 Irrevocable Trust (Owner: DC)
- o D2 Irrevocable Trust (Owner: DC)
- o D3 Irrevocable Trust (Owner: DC)
- o Judith S. Clark Irrevocable Trust

### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine M. Clark , 05/11/2018