



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Frank Pallone Jr.
Status: Member
State/District: NJ06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 05/15/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Bank Account, Long Branch, NJ [BA]		\$1 - \$1,000	None		<input type="checkbox"/>
Congressional Federal Credit Union [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DC3 UTMA ⇒ Rose Marie Hospodor IRA Trust [EQ]	DC	\$100,001 - \$250,000	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DC3 UTMA ⇒ Wells Fargo Way2Save [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Residential Real Estate, M. Pallone Estate (Half Interest) [RP]		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Long Branch, NJ, US					
WELLS FARGO ADVISORS ⇒ Wells Fargo - Bank Deposit Sweep [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ AAM HIGH 50 DIVIDEND STRATEGY 2016-4Q [OT]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Unit Investment Trust - Equity Trusts					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Altria Group, Inc. (MO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Chevron Corporation (CVX) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Citigroup, Inc. (C) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Dominion Energy, Inc. (D) [ST] DESCRIPTION: Formerly Dominion Resources, Inc.	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX) [MF]	SP	None	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Philip Morris International Inc (PM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ The Kraft Heinz Company (KHC) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax- Exempt Portfolio (VMLTX) [MF]	SP	None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Verizon Communications Inc. (VZ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Wells Fargo Bank Deposit Sweep [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE REAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Emerging Markets Fund CL S (REMSX) [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Global Equity Fund CL S (RGESX) [MF]	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell International Developed Markets Fund CL S (RINTX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Commodity Strategies Fund CL S (RCCSX) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Infrastructure Fund CL S (RGISX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Opportunistic Credit Fund CL S (RGCSX) [MF]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co Global Real Estate Sec Fund CL S (RRESX) [MF]	SP	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Investment Co US Strategic Equity Fund CL S (RSESX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT GRADE BD CLASS S (RFATX) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell Strategic Bond Fund CL S (RFCTX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ Russell US Small Cap Equity Fund CL S (RLESX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Energy Select Sector SPDR (XLE) [EF]	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Financial Select Sector SPDR (XLF) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Industrial Select Sector SPDR (XLI) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 1-3 Year Credit Bond Fund (CSJ) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEI) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays MBS Bond Fund (MBB) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Mid-Cap Fund (IJH) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap 600 Index Fund (IJR) [EF]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond (AGG) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares IBOXX Investment Grade Corporate Bond Fund (LQD) [EF]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares JP Morgan Emerging Markets Bond Fund (EMB) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ ISHARES RUSSELL 2000 (IWM) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Tip Bond (TIP) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares US Preferred Stock (PFF) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Materials Select Sector SPDR (XLB) [ST]	SP	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Powershares DB Commodity Index Tracking Fund (DBC) [EF]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ PowerShares Emerging Markets Sovereign Debt (PCY) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Consumer Discretionary (XLY) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Fund Health Care Fund (XLV) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Select Sector SPDR Trust Consumer Staples Fund (XLP) [EF]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Barclays Capital High Yield Bond Fund (JNK) [EF]	SP	None	None		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR DJ Wilshire Global Real Estate ETF (RWO) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P 500 Trust (SPY) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P Retail Fund (XRT) [EF]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Financial (XLF) [ST]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Industrial (XLI) [ST]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard FTSE Developed Markets (VEA) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Technology Fund (VGT) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intl Equity Index Fds Emerging Markets (VWO) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard REIT Fund (VNQ) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Total Bond Market (BND) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Wells Fargo Bank Sweep Account [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ AIM Investment Sec Funds Invesco Investment Secs Funds Global Real Estate Fund CLY (ARGYX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ American Funds Washington Mututal FD F2 (WMFFX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ AMG Funds Timesquare Mid-Cap Growth Fund Premier (TQMIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Blair Williams Funds International Growth Fund CL I (BIGIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Delaware Group Equity Funds II - Value Fund Instl (DDVIX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Deutsche Secs TR Enhanced Commodity Strat FD INSTL Class (SKIRX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Eagle Small Cap Growth Fund CL I (HSIIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
WELLS FARGO ADVISORS: IRA ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ General Electric Company (GE) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Goldman Sachs Trust Financial Square Treasury Instruments Fund Instl (FTIXX) [MF]	SP	None	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Hancock John Capital Ser Classic Value Fund CL I (JCVIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Harbor Fund International Fund Instl (HAINX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Hotchkis & Wiley Funds Mid-Cap Value Fund CL I (HWMIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Lazard Funds Inc. Emerging Markets Portfolio Instl Shares Fund (LZEMX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Mainstay Large Cap Growth Cap Growth Fund CL I (MLAIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ MFS SER TR I VALUE FD CL I (MEIIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ MFS SER TR X Ermerging Markets Debt Fund Class I (MEDIX) [EQ]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Oppenheimer Developing Markets CL Y (ODVYX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE REAL ESTATE FUND INC (TRREX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Texas Instruments Incorporated (TXN) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Unilever PLC (UL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Victory Sycamore Small Co Opportunities Fund CL I (VSOIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Voya Funds Voya Large CAP Growth Fund Class I (PLCIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo FDS TR Core Bond Fund Class INST (MBFIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Wells Fargo Funds Trust Emerging Growth Fund Instl (WEMIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin Cust Dyna Tech CL A (FKDNX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Franklin CUST DYNA TECH CL A (FKDNX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth CL A (EGWAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: ROTH IRA ⇒ Wells Fargo Advantage Funds Traditional Small Cap Growth Fund CL A (EGWAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Aberdeen FDS Emerging Markets Fund Institutional Class (ABEMX) [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ American Century Mutual Funds Growth Fund Instl (TWGIX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ BARON INVT FUNDS TRUST SMALL CAP FUND INSTL SHARES CLASS I (BSFIX) [MF]	DC	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Enhanced Commodity STRAT FD INSTL Class (SKIRX) [MF]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Global Real Estate SECS FD INSTL Class (RRGIX) [MF]	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Dodge & Cox Funds International Stock Fund (DODFX) [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX) [MF]	DC	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance SER II Income Fund Boston CL I (EIBIX) [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ First Eagle Funds SOGEN Overseas Fund CL I (SGOIX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harbor Fund Capital Appreciation Fund Instl (HACAX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harris Assoc. Investment Trust Oakmark Equity & Income Fund CL I (OAKBX) [MF]	DC	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Shares exchanged for Oakmark Equity and Income Fund CL Advisory (OAYBX)					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ HARTFORD MUTL FDS INC MIDCAP FUND CLASS I (HFMIX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth	DC	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fund CL Y (ORIYX) [MF]					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ JP Morgan Core Bond Fund Select (WOBDX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR I VALUE FD CL I (MEIIX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I (MEDIX) [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Nuveen INVT FDS INC Real Estate SECS Fund Cl I (FARCX) [MF]	DC	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ OAKMARK EQUITY AND FUND ADVISOR CLASS (OAYBX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Shares of Harris Assoc. Investment Trust exchanged for Oakmark Equity & Income Fund Cl I (OAKBX).					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ T ROWE PRICE REAL ESTATE FUND INC. (TRREX) [MF]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Verizon Communications Inc. (VZ) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Victory Sycamore Small CO OPPTY FD CL I (VSOIX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Virtus Asset TR CEREDEx MID CAP Value Equity Fund Class I (SMVTX) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Formerly Ridgeworth FDS Mid-Cap Value Equity FD Class I (SMVTX)					
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Virtus Funds Virtus Vontobel EMG MKTS OPPRTNTIES F Class I (HIEMX) [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Formerly Virtus Opportunities TR Emerging MKTS OPPTYs FD CLL I (HIEMX)					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX) [MF]	SP	02/6/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Nuveen Municipal Trust High Yield Municipal Bond Fund CL A (NHMAX) [MF]	SP	04/11/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 1 ⇒ Vanguard Municipal Bond Fund Limited Term Tax-Exempt Portfolio (VMLTX) [MF]	SP	02/6/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	01/13/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	04/13/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	10/13/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS FD INSTL CL (FTIXX) [MF]	SP	09/20/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	09/19/2017	P	\$100,001 - \$250,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	09/20/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL FUNDS MULTI ASSET GROWTH STRATEGY FUND CLASS S (RMGSX) [MF]	SP	12/12/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO COMMODITY STRATEGIES FUND CL S (RCCSX) [MF]	SP	09/19/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO GLOBAL INTRASTRUCTURE FUND CL S (RGISX) [MF]	SP	09/19/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO GLOBAL OPPORTUNISTIC CREDIT FUND CL S (RGCSX) [MF]	SP	09/19/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO GLOBAL REAL ESTATE SEC FUND CL S (RRESX) [MF]	SP	09/19/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO MULTI-STRATEGY INCOME FD CL S (RMYSX) [MF]	SP	09/19/2017	P	\$15,001 - \$50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO US STRATEGIC EQUITY FUND CL S (RSESX) [MF]	SP	12/12/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT CO. US STRATEGIC EQUITY FUND CL S (RSESX) [MF]	SP	09/19/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT EMERGING MARKETS FUNDS (REMSX) [MF]	SP	07/14/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT EMERGING MARKETS FUNDS (REMSX) [MF]	SP	09/19/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT GLOBAL EQUITY FUND CL S (RGESX) [MF]	SP	09/19/2017	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT GRADE BD CLASS S (RFATX) [MF]	SP	09/19/2017	P	\$15,001 - \$50,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT INTL DEVELOPED MARKETS FUND CL S (RINTX) [MF]	SP	12/12/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT STRATEGIC BOND FUND CLASS S (RFCTX) [MF]	SP	09/19/2017	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL INVT US SMALL CAP EQUITY FUND CLASS S (RLESX) [MF]	SP	09/19/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 3 ⇒ RUSSELL US SMALL CAP EQUITY FUND CL S (RLESX) [MF]	SP	12/12/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Barclays 3-7 Year Treasury Bond Fund (IEI) [EF]	SP	06/16/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	06/16/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	09/29/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST]	SP	06/16/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core S&P Small-Cap ETF (IJR) [ST]	SP	06/19/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [ST]	SP	01/5/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [ST]	SP	06/16/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	06/16/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	06/19/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ iShares U.S. Preferred Stock ETF (PFF) [ST]	SP	09/29/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ PowerShares DB Commodity Index Trac (DBC) [ST]	SP	01/5/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK)	SP	01/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[ST]					
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR DJ Wilshire Global Real Estate ETF (RWO) [ST]	SP	01/5/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR DJ Wilshire Global Real Estate ETF (RWO) [ST]	SP	01/6/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR S&P Retail ETF (XRT) [ST]	SP	06/16/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [ST]	SP	09/29/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Tech ETF (VGT) [ST]	SP	01/5/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Information Tech ETF (VGT) [ST]	SP	06/16/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	SP	06/16/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: GST TRUST 2 ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	SP	09/29/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ AIM Investment Sec Funds Invesco Investment Secs Funds Global Real Estate Fund CLY (ARGYX) [MF]	SP	08/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ Delaware GroupEquity Funds II - Value Fund Instl (DDVIX) [MF]	SP	08/28/2017	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WELLS FARGO ADVISORS: IRA ⇒ MFS SER TR I VALUE FD CL I (MEIIX) [MF]	SP	08/28/2017	P	\$15,001 - \$50,000	
WELLS FARGO ADVISORS: IRA ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	SP	08/28/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: IRA ⇒ T ROWE PRICE REAL EST FUND INC (TRREX) [MF]	SP	08/28/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ BARON INVT FUNDS TRUST SMALL CAP FUND INSTL SHARES CLASS I (BSFIX) [MF]	DC	08/28/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Deutsche SECS TR Global Real Estate SECS FD INSTL Class (RRGIX) [MF]	DC	08/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Eaton Vance Large Cap Value Fund CL I (EILVX) [MF]	DC	08/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Harris Assoc. Investment TR Oakmark Equity & Income Fund CL I (OAKBX) [MF]	DC	11/15/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Investment Managers Ser Tr Oak Ridge Small Cap Growth CL Y (ORIYX) [MF]	DC	08/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ MFS SER TR I VALUE FD CL I (MEIIX) [MF]	DC	08/28/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ Nuveen INVT FDS INC Real Estate SECS Fund Cl I (FARCX) [MF]	DC	08/28/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ OAKMARK EQUITY AND FUND ADVISOR CLASS (OAYBX) [MF]	DC	11/15/2017	P	\$1,001 - \$15,000	
WELLS FARGO ADVISORS: UMTA NJ (3) ⇒ PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECS FUND INSTL CLASS (POSIX) [MF]	DC	08/28/2017	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Oceans Cove	Sales Income (SP)	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citi Mortgage Inc., San Antonio, TX	November 2016	Mortgage on Real Estate, Washington, DC	\$100,001 - \$250,000
JT	CitiBank, St. Louis, MO	February 2008	Home Equity Loan on Real Estate, Washington, DC	\$250,001 - \$500,000
	Wells Fargo Bank, Long Branch, NJ	December 2006	M. Pallone Estate. Mortgage on Real Estate, Long Branch, NJ, held by life estate tenant	\$15,001 - \$50,000
SP	Citi Master Card	December 2016	Revolving Credit	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/3/2017	02/5/2017	Wash., DC - Baltimore, MD - Wash., DC - Baltimore - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
American Israel Education Foundation	08/1/2017	08/9/2017	Newark, NJ - Israel - Newark, NJ - Jerusalem - Newark, NJ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Government of the Republic of Armenia	09/17/2017	09/22/2017	New York, NY - Yerezan, Armenia - New York, NY	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

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- DC3 UTMA (Owner: DC)
- WELLS FARGO ADVISORS (Owner: JT)
LOCATION: US
- WELLS FARGO ADVISORS: GST TRUST 1 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 3 (Owner: SP)
- WELLS FARGO ADVISORS: GST TRUST 2 (Owner: SP)
- WELLS FARGO ADVISORS: IRA (Owner: SP)
- WELLS FARGO ADVISORS: ROTH IRA
- WELLS FARGO ADVISORS: ROTH IRA (Owner: SP)
- WELLS FARGO ADVISORS: UMTA NJ (3) (Owner: DC)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Frank Pallone Jr., 05/15/2018