Filing ID #10020908

Financial Disclosure Report

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Filer Information

Name: Hon. Eleanor Holmes Norton
Status: Member
State/District: DC00

Filing Information

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 05/8/2018

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Boston Capital Private Tax Credit Fund [OT] Description: Partnership K-1 received for 2017 was final. Capital account was fully distributed in the amount of $220.		None	Capital Gains, Interest, Rent	$5,001 - $15,000	☐
Brighthouse Financial, Inc. (BHF) [ST] Description: Spinoff from Met Life Inc. Common		$1 - $1,000	None		☐
Congressional Federal Credit Union [BA]		$50,001 - $100,000	Interest	$1 - $200	☐
DC College Savings Plan (2 Accounts) [5P] Location: DC Description: 2 Accounts; 2 Beneficiaries		$1,001 - $15,000	None		☑
MERRILL LYNCH #182 ⇒ Bank Deposit Program [BA]		$1,001 - $15,000	None		☐
MERRILL LYNCH #182 ⇒ Guggenheim S&P 500 Equal Weight ETF (EIF) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl C (EIF) [MF]		$100,001 - $250,000	Capital Gains	$15,001 - $50,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MERRILL LYNCH #182 ⇒ Powershares S&P 500 Low Volatility ETF (Formerly ETF TR II S&P500) (EIF) [MF]		$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Bank Deposit Program [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
MERRILL LYNCH #806 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		$100,001 - $250,000	None		☐
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Dec01 24 [GS]		$15,001 - $50,000	Interest	$201 - $1,000	☐
MERRILL LYNCH #806 ⇒ DC Rev NPR MAY13 4.0% APR01 2037 [GS]		$50,001 - $100,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ DC Ser A DEC13 5.0% JUN01 2030 [GS]		$15,001 - $50,000	Interest	$201 - $1,000	☐
MERRILL LYNCH #806 ⇒ District of Columbia Wtr & Swr Ser C 5%Oct01 2039 [GS]		$15,001 - $50,000	Interest	$201 - $1,000	☑
MERRILL LYNCH #806 ⇒ Guggenheim S&P 500 Equal Weight ETF (EIF) [MF]		$250,001 - $500,000	Dividends	$2,501 - $5,000	☐
MERRILL LYNCH #806 ⇒ Leander Tex Indpt Sch Bond 3.35%CI 0.0%AUG15 18 [GS]		$50,001 - $100,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty 4.0%SEP01 29 [GS]		$15,001 - $50,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond 4.375%SEP01 28 [GS]		$15,001 - $50,000	Interest	$1,001 - $2,500	☐
MERRILL LYNCH #806 ⇒ Powershares S&P 500 Low Volatility ETF (Formerly ETF TR II S&P 500) (EIF) [MF]		$250,001 - $500,000	Dividends	$5,001 - $15,000	☐
MERRILL LYNCH IRA ⇒ Bank of America, NA RASP [BA]		$15,001 - $50,000	Tax-Deferred		☐
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Baron Growth Portfolio Service Class (EIF) [MF]		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Global Equity Portfolio (S) (EIF) [MF]		$50,001 - $100,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Growth and Income Portfolio (S) (EIF) [MF]		$15,001 - $50,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Intermediate Bond Portfolio (S) (EIF) [MF]		$50,001 - $100,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ International Index Portfolio (A) (EIF) [MF]		$15,001 - $50,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (A) (EIF) [MF]		$50,001 - $100,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (S) (EIF) [MF]		$50,001 - $100,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Retirement Growth (A) (EIF) [MF]		$100,001 - $250,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Russell Mid Cap Growth Port (S) (EIF) [MF]		$15,001 - $50,000	Tax-Deferred		
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ T.Rowe Price International Stock (S) (EIF) [MF]		$15,001 - $50,000	Tax-Deferred		
Met Life Insurance Co Deferrred Annuities [OT] DESCRIPTION: IRA-Flexible Purchase Pension Annuity		$1,001 - $15,000	Tax-Deferred	Not Applicable	
MetLife, Inc. (MET) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
ML JOHN HANCOCK ANNUITY ⇒ Deutsche Real Estate Securities (EIF) [MF]		$15,001 - $50,000	None		
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Large Cap Value (EIF) [MF]		$1,001 - $15,000	None		
ML JOHN HANCOCK ANNUITY ⇒ JHAM Managed Volatility Moderate Port (Formerly Lifestyle Moderate MVP) (EIF) [MF]		$15,001 - $50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
ML JOHN HANCOCK ANNUITY ⇒ JHAM Total Stock Market Index (EIF) [MF]		$15,001 - $50,000	None		☐
ML JOHN HANCOCK ANNUITY ⇒ Wells Capital Management Core Bond (EIF) [MF] Description: Exchanged for PIMCO Total Return (EIF).		$1,001 - $15,000	None		☐
Synchrony Bank [BA]		$15,001 - $50,000	Interest	$201 - $1,000	☐
TIAA-CREF Retirement-TIAA Traditional (EIF) [MF]		$1,001 - $15,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DC College Savings Plan (2 Accounts) [5P] Location: DC Description: 2 Accounts; 2 Beneficiaries		11/6/2017	P	$1,001 - $15,000	
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl C (EIF) [MF]		10/27/2017	P	$15,001 - $50,000	
MERRILL LYNCH #806 ⇒ District of Columbia Wtr & Swr Ser C 5%Oct01 2039 [GS]		02/22/2017	P	$15,001 - $50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Merrill Lynch IRA	Required Minimum Distribution	$36,000.00
MetLife	Required Minimum Distribution	$759.00
Pitney Bowes Inc.	Retirement Fee	$12,000.00
Georgetown University	Approved Teaching Fee - Position Relinquished June 2017	$4,815.00

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

Position	Name of Organization
Professor of Law	Georgetown University - Position relinquished June 2017
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Board of Trustees - Honorary Member	Antioch College
Advisory Board Member	Women's Law & Public Policy Fellowship Program, Georgetown University
Executive Committee - Honorary Member	Yale Law School Association

Schedule F: Agreements

Date	Parties To	Terms of Agreement
September 2016	Georgetown Law and Congresswoman Norton	Agreement to teach "Lawmaking and Statutory Interpretation Seminar" during the 2016-2017 academic year. Compensation reported on Schedule C. Position relinquished June 2017.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- MERRILL LYNCH #182
  Location: US
- MERRILL LYNCH #806
  Location: US
- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ VOYA/VY
- ML JOHN HANCOCK ANNUITY

Location: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No (selected: No)

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No (selected: No)

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No (selected: No)

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Eleanor Holmes Norton , 05/8/2018