



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Zoe Lofgren  
**Status:** Member  
**State/District:** CA19

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2017  
**Filing Date:** 07/10/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
California Public Employee Retirement System [DB]		\$250,001 - \$500,000	None		<input type="checkbox"/>
City National Bank [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ California State General Obligation Bond [GS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ California State Public Works Board Lease Revenue Bonds, Ser. D [GS]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Collins/Lofgren Family Trust ⇒ City National Rochdale Gov't Money Market Cln [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ City National Rochdale Money Moneymarket [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Deckers Outdoor Corporation (DECK) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Collins/Lofgren Family Trust ⇒ Lynwood Unified School District GO Bonds [GS]	JT	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Southern Company (SO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Collins/Lofgren Family Trust ⇒ Tesla Motors, Inc. (TSLA) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Fidelity 457 Deferred Compensation ⇒ Fidelity Contrafund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Deferred Compensation ⇒ Fidelity Diversified Int'l [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Deferred Compensation ⇒ Vanguard Extended Market Index [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase of asset.					
Fidelity 457 Deferred Compensation ⇒ Vanguard Institutional Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 457 Deferred Compensation ⇒ VantageTrust PLUS Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins PC, 100% Interest [OL]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: San Jose, CA, US DESCRIPTION: Law firm.					
John Marshall Collins Rollover IRA ⇒ 3M Company (MMM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Adobe Systems Incorporated (ADBE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ American Water Works Company, Inc. (AWK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
John Marshall Collins Rollover IRA ⇒ Amphenol Corporation (APH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Ares Capital Corporation - Closed End Fund (ARCC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ AT&T Inc. (T) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Avangrid, Inc. (AGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ B&G Foods, Inc. B&G Foods, Inc. (BGS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Bank of America Corporation (BAC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Brookfield Global Listed Real Estate Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Cash / Money Market Sweep [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Celgene Corporation (CELG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Cincinnati Financial Corporation (CINF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Cintas Corporation (CTAS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ City National Rochdale Corporate Bond Fund Serv Cl	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
[MF]					
John Marshall Collins Rollover IRA ⇒ City National Rochdale Emerging Markets Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ City National Rochdale Fixed Income Opportunities Fund Cl N [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ City National Rochdale Government Bond Fund Serv Cl [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ CME Group Inc. - Class A (CME) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ CMS Energy Corporation (CMS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Coca-Cola Company (KO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Comcast Corporation - Class A (CMCSA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Consolidated Communications Holdings, Inc. (CNLS) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Danaher Corporation (DHR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Dominion Energy, Inc. (D) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ DowDuPont Inc. (DWDP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Duke Energy Corporation (DUK) [ST]					
John Marshall Collins Rollover IRA ⇒ Ecolab Inc. (ECL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Edwards Lifesciences Corporation (EW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Eli Lilly and Company (LLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ EPR Properties (EPR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Equifax, Inc. (EFX) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Essex Property Trust, Inc. (ESS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Eversource Energy (ES) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ General Mills, Inc. (GIS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Gramercy Property Trust (GPT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Healthcare Trust of America, Inc. Class A (HTA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Ingersoll-Rand plc (IR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
John Marshall Collins Rollover IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Lamar Advertising CO-A REIT [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Lennox International, Inc. (LI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Liberty Property Trust (LPT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ LTC Properties, Inc. (LTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Magna International, Inc. (MGA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ McDonald's Corporation (MCD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Mid-America Apartment Communities, Inc. (MAA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ National Retail Properties (NNN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
John Marshall Collins Rollover IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Pacific Gas & Electric Co. (PCG) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Paychex, Inc. (PAYX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Pepsico, Inc. (PEP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ PNC Financial Services Group, Inc. (PNC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Powershares Preferred Portfolio [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ PPL Corporation (PPL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Procter & Gamble Company (PG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ PulteGroup, Inc. (PHM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Six Flags Entertainment Corporation New (SIX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ SunTrust Banks, Inc. (STI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Sysco Corporation (SYY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Texas Instruments Incorporated (TXN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Marshall Collins Rollover IRA ⇒ Time Warner Inc. New (TWX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ U.S. Bancorp (USB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Union Pacific Corporation (UNP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Verizon Communications Inc. (VZ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Vulcan Materials Company (VMC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ W.P. Carey Inc. REIT (WPC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Walmart Inc. (WMT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Walt Disney Company (DIS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Welltower Inc. (HCN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Xcel Energy Inc. (XEL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Residential Rental Property [RP]	JT	\$500,001 -	Rent	\$5,001 -	<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$1,000,000		\$15,000	
LOCATION: San Jose, CA, US					
Zoe Lofgren Trad IRA ⇒ Delaware Diversified Income Fund Class C [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Collins/Lofgren Family Trust ⇒ California State Public Works Board Lease Revenue Bonds, Ser. D [GS]	JT	10/12/2017	P	\$1,001 - \$15,000	
Collins/Lofgren Family Trust ⇒ Lynwood Unified School District GO Bonds [GS]	JT	08/10/2017	P	\$100,001 - \$250,000	
John Marshall Collins Rollover IRA ⇒ Adobe Systems Incorporated (ADBE) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Alger Spectra Z Fund [MF]	SP	06/13/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ American Water Works Company, Inc. (AWK) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Amphenol Corporation (APH) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Ares Capital Corporation - Closed End Fund (ARCC) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
John Marshall Collins Rollover IRA ⇒ AT&T Inc. (T) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Avangrid, Inc. (AGR) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ B&G Foods, Inc. B&G Foods, Inc. (BGS) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Bank of America Corporation (BAC) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Brookfield Global Listed Real Estate Fund [MF]	SP	06/12/2017	P	\$15,001 - \$50,000	
John Marshall Collins Rollover IRA ⇒ Celgene Corporation (CELG) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Cincinnati Financial Corporation (CINF) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Cintas Corporation (CTAS) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ City National Rochdale Corporate Bond Fund Serv Cl [MF]	SP	06/12/2017	P	\$250,001 - \$500,000	
John Marshall Collins Rollover IRA ⇒ City National Rochdale Corporate Bond Fund Serv Cl [MF]	SP	07/26/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ City National Rochdale Emerging Markets Fund [MF]	SP	06/12/2017	P	\$15,001 - \$50,000	
John Marshall Collins Rollover IRA ⇒ City National Rochdale Fixed Income Opportunities Cl N [MF]	SP	07/26/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒	SP	06/12/2017	P	\$250,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
City National Rochdale Fixed Income Opportunities Fund Cl N [MF]				\$500,000	
John Marshall Collins Rollover IRA ⇒ City National Rochdale Government Bond Fund Serv Cl [MF]	SP	06/12/2017	P	\$100,001 - \$250,000	
John Marshall Collins Rollover IRA ⇒ CME Group Inc. - Class A (CME) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ CMS Energy Corporation (CMS) [ST]	SP	10/27/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Coca-Cola Company (KO) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Colgate-Palmolive Company (CL) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Comcast Corporation - Class A (CMCSA) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Consolidated Communications Holdings, Inc. (CNLS) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Danaher Corporation (DHR) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Delaware Pooled Trust Diversified Income Fund Class A [MF]	SP	06/13/2017	S	\$50,001 - \$100,000	■
John Marshall Collins Rollover IRA ⇒ Dodge & Cox Funds International Stock Fund [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	■
John Marshall Collins Rollover IRA ⇒ Dodge & Cox Income Fund [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	■
John Marshall Collins Rollover IRA ⇒ Dominion Energy, Inc. (D) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒	SP	06/15/2017	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Dow Chemical Company (DOW) [ST]					
John Marshall Collins Rollover IRA ⇒ Dow Chemical Company (DOW) [ST]	SP	09/1/2017	E	\$1,001 - \$15,000	
DESCRIPTION: Exchange of Dow Chemical Co. stock for DowDupont Inc., following merger.					
John Marshall Collins Rollover IRA ⇒ Duke Energy Corporation (DUK) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Ecolab Inc. (ECL) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Edwards Lifesciences Corporation (EW) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Eli Lilly and Company (LLY) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ EPR Properties (EPR) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Equifax, Inc. (EFX) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Equifax, Inc. (EFX) [ST]	SP	09/21/2017	S	\$1,001 - \$15,000	■
John Marshall Collins Rollover IRA ⇒ Essex Property Trust, Inc. (ESS) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Eversource Energy (ES) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Fleming Cap Mutual Fund Group JP Morgan Mid Cap Value Fund Class I [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	■
John Marshall Collins Rollover IRA ⇒ Gateway Fund [MF]	SP	06/13/2017	S	\$1,001 - \$15,000	■
John Marshall Collins Rollover IRA ⇒	SP	06/15/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
General Mills, Inc. (GIS) [ST]					
John Marshall Collins Rollover IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Goldman Sachs Trust Strategic Growth Opportunities Fund Institutional [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Gramercy Property Trust (GPT) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Harbor Fund Cap Appreciation Fund Institutional Class [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Hartford Floating Rate Fund [MF]	SP	06/13/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Hartford Mutual Funds Cap Appreciation Fund Class A [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Healthcare Trust of America, Inc. Class A (HTA) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Ingersoll-Rand plc (IR) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Intel Corporation (INTC) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Janus Venture Fund Class I [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ John Hancock Funds International Growth Fund Class I [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒	SP	06/15/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Chase & Co. (JPM) [ST]					
John Marshall Collins Rollover IRA ⇒ JPMorgan Equity Income Fund Class I [MF]	SP	06/13/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Lamar Advertising CO-A REIT [RE]	SP	11/2/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Lennox International, Inc. (LII) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Liberty Property Trust (LPT) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ LTC Properties, Inc. (LTC) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Magna International, Inc. (MGA) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ McDonald's Corporation (MCD) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Mid-America Apartment Communities, Inc. (MAA) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class I [MF]	SP	06/13/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ National Retail Properties (NNN) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
John Marshall Collins Rollover IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	10/27/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Oppenheimer Developing Markets Fund Class Y [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Pacific Gas & Electric Co. (PCG) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Pacific Gas & Electric Co. (PCG) [ST]	SP	10/31/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Paychex, Inc. (PAYX) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Pepsico, Inc. (PEP) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ PIMCO Income Fund Institutional Class [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ PIMCO Investment Grade Corporate Bond Fund Institutional Class [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ PNC Financial Services Group, Inc. (PNC) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Powershares Preferred Portfolio [EF]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ PPL Corporation (PPL) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Principal Funds High Yield Institutional Class [MF]	SP	06/13/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Procter & Gamble Company (PG) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ PulteGroup, Inc. (PHM) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Marshall Collins Rollover IRA ⇒ Six Flags Entertainment Corporation New (SIX) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ SPDR Index Shares Fund Dow Jones International Real Estate ETF [EF]	SP	06/13/2017	S	\$1,001 - \$15,000	■
John Marshall Collins Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ SunTrust Banks, Inc. (STI) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Sysco Corporation (SYY) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Templeton Global Investment Trust Global Bond Fund Advisor Class [MF]	SP	06/13/2017	S	\$100,001 - \$250,000	■
John Marshall Collins Rollover IRA ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Time Warner Inc. New (TWX) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ U.S. Bancorp (USB) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	06/15/2017	P	\$15,001 - \$50,000	
John Marshall Collins Rollover IRA ⇒ Vanguard REIT ETF [EF]	SP	06/12/2017	S	\$15,001 - \$50,000	■
John Marshall Collins Rollover IRA ⇒ Vanguard Short-Term Bond Index Fund [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	■
John Marshall Collins Rollover IRA ⇒ Verizon Communications Inc. (VZ) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Marshall Collins Rollover IRA ⇒ Victory Portfolios RS Select Growth Fund Class Y [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Visa Inc. (V) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Vulcan Materials Company (VMC) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ W.P. Carey Inc. REIT (WPC) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Wal-Mart Stores, Inc. (WMT) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Walt Disney Company (DIS) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Wells Fargo International Equity Fund - Class Inst [MF]	SP	06/13/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Welltower Inc. (HCN) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Xcel Energy Inc. (XEL) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	
John Marshall Collins Rollover IRA ⇒ Yacktman Fund Service Class A [MF]	SP	06/13/2017	S	\$50,001 - \$100,000	<input type="checkbox"/>
John Marshall Collins Rollover IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	06/15/2017	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
John Marshall Collins PC	spouse salary	N/A
Santa Clara Law School	spouse salary	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	City National Bank	March 2017	Mortgage on primary residence	\$500,001 - \$1,000,000
JT	UBS AG	January 2012	Current mortgage on primary residence (not rented)	\$250,001 - \$500,000
JT	PHH Mortgage Corporation	January 2012	Current mortgage on secondary residence (not rented)	\$250,001 - \$500,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Advisory Board Member	Silicon Valley Education Foundation
Advisory Board Member	Campbell Veteran Memorial Foundation
Advisory Board Member	Santa Clara County Human Trafficking Commission

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
1981	California Public Employees Retirement System (through County of Santa Clara)	Continuing membership (vested) - no continuing contribution by employer or employee
1981	County of Santa Clara	Participation in tax-deferred 457 savings plan

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute Congressional Program	02/18/2017	02/28/2017	Washington, DC - New Delhi, India - Hyderabad, India - Washington, DC	2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Collins/Lofgren Family Trust (Owner: JT)
- Fidelity 457 Deferred Compensation
- John Marshall Collins Rollover IRA (Owner: SP)
- Zoe Lofgren Trad IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Zoe Lofgren , 07/10/2018